

#### **Instructions**

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your

paten<sup>.</sup>

Zhang 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Hua-song	2. Surname (Last Name) Zhang	3. Date 23-July-1988	
4. Are you the corresponding author?	☐ Yes    ✓ No	Corresponding Author's Name Yi-Qing Zheng, Hui-Ying Zhao, Sui-Jun Chen	
5. Manuscript Title Characteristics of 43 multiple auricular China	deformity case families and	d auricle morphology in 463 microtia patients in South	
6. Manuscript Identifying Number (if you ki ATM-20-2336	now it)		
Section 2. The Work Under C			
The Work Under C	onsideration for Public	cation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  Volume  Yes			
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo			
Section 4. Intellectual Prope	rty Patents & Copyric	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No	

Zhang 2



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Dr. Zhang has nothing to disclose.

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1. Given Name (Fii Sui-Jun	rst Name)	2. Surnam Chen	e (Last Name)		3. Date 04-August-1974	
4. Are you the cor	responding author?	✓ Yes	No			
5. Manuscript Title Characteristics of China		deformity ca	ase families and au	uricle morphology in 4	63 microtia patients in South	
6. Manuscript Ider ATM-20-2336	ntifying Number (if you kr	ow it)				
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Are there any rele	evant conflicts of intere	est? Ye	es 🗸 No			
Section 3.	Relevant financial	activities (	outside the sub	mitted work		
of compensation	) with entities as descri	bed in the i	nstructions. Use o	ne line for each entity;	elationships (regardless of amo add as many lines as you need	
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Are there any ren	evant connect of intere	.50.	<b>V</b> 140			
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Do you have any	patents, whether plan	ned, pendin	g or issued, broac	lly relevant to the work	Yes ✓ No	

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Section 5.	
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Zeng 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Hai-Cang	rst Name)	2. Surname (Last Name) Zeng	3. Date 05-July-1993
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Yi-Qing Zheng, Hui-Ying Zhao, Sui-Jun Chen
5. Manuscript Title Characteristics o China		deformity case families and	d auricle morphology in 463 microtia patients in South
6. Manuscript Ider ATM-20-2336	ntifying Number (if you kr	now it)	
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of compensation clicking the "Add	the appropriate boxes i ) with entities as descri	n the table to indicate who bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
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Xiong 1



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1. Given Name (First Name) Hao	2. Surname (Last Name) Xiong	3. Date 27-November-1979
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Yi-Qing Zheng, Hui-Ying Zhao, Sui-Jun Chen
5. Manuscript Title Characteristics of 43 multiple auricular China	deformity case families an	d auricle morphology in 463 microtia patients in South
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intellectual Propel	rty Patents & Copyrig	gnis ———
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Xiong 2



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4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Yi-Qing Zheng, Hui-Ying Zhao, Sui-Jun Chen
5. Manuscript Title Characteristics o China		deformity case families and	d auricle morphology in 463 microtia patients in South
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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Inform	ation	
1. Given Name (First Name) Yao-Dong	2. Surname (Last Name) Xu	3. Date 10-November-1964
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Yi-Qing Zheng, Hui-Ying Zhao, Sui-Jun Chen
5. Manuscript Title Characteristics of 43 multiple auricular of China	deformity case families and	d auricle morphology in 463 microtia patients in South
6. Manuscript Identifying Number (if you kn ATM-20-2336	iow it)	
Section 2. The Work Under Co		
The Work Officer Co	onsideration for Public	
	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	ubmitted work.
of compensation) with entities as descri	bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Proper	ty Patents & Copyri <u>c</u>	yhts
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the work? Yes V No

Xu 2



Section 5.	
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Xu has nothi	ng to disclose.

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

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Section 1.	Identifying Inform	ation				
Given Name (First Name) Hui-Ying		2. Surname (Last Name) Zhao	3. Date 20-January-1979			
4. Are you the corresponding author?		✓ Yes No				
5. Manuscript Title Characteristics of 43 multiple auricular deformity case families and auricle morphology in 463 microtia patients in South China						
6. Manuscript Identifying Number (if you know it) ATM-20-2336						
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Section 4.						
occion in	Intellectual Proper	ty Patents & Copyrights				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo						

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Section 5.					
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Dr. Zhao has not	thing to disclose.				

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Section 1.	Identifying Information					
1. Given Name (First Name) Yi-Qing		2. Surname (Last Name) Zheng		3. Date 01-October-1961		
4. Are you the corresponding author?		✓ Yes No				
<ul> <li>5. Manuscript Title</li> <li>Characteristics of 43 multiple auricular deformity case families and auricle morphology in 463 microtia patients in South</li> <li>China</li> <li>6. Manuscript Identifying Number (if you know it)</li> <li>ATM-20-2336</li> </ul>						
Section 2. The Work Under Consideration for Publication						
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Section 4.	Intellectual Prope	rty Patents & Copyrig	hts			
Do you have any	patents, whether plan	ned, pending or issued, br	padly relevant to the work?	? ☐ Yes ✓ No		

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Dr. Zheng has nothing to disclose.			

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