Perfusion MR prior to radiotherapy is a strong predictor of survival in high-grade gliomas after proton and carbon ion radiotherapy

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Background: To assess the survival predictability of perfusion magnetic resonance imaging (MRI) by the normalized cerebral blood volume (nCBV) prior to particle beam radiotherapy (PBRT) in high-grade glioma (HGG) patients underwent particle therapy.

Methods: The study retrieved dynamic susceptibility contrast MRI acquired prior to PBRT between 6/2015 and 3/2019 in 45 patients with HGG. Maximum nCBV (nCBVmax) within or adjacent to surgical/ tumor bed was measured using 'hot-spot' method. The predictive values of nCBVmax for progression-free survival (PFS) and overall survival (OS) were assessed in univariate Kaplan-Meier curve and multivariate Cox proportional hazards (CPH) models. Nomograms based on CPH results were constructed to individualize the predicted probability of OS and PFS.

Results: The Kaplan-Meier curves and all CPH models based on nCBVmax as continuous variable (nCBVmax-C), group by cut-off derived from median value and Youden-index method showed that nCBVmax prior to radiotherapy was a strong predictor for both PFS and OS in HGG patients who underwent PBRT. Nomograms built on CPH models showed similar excellent performance in both discrimination and calibration.

Conclusions: Perfusion imaging prior to PBRT is a strong predictor of survival in HGG. Novel perfusion MR-based nomogram with prospective validation could potentially be formally used in future clinical practice to individualize survival probability.

Keywords: Glioblastoma; high-grade glioma (HGG); particle beam radiotherapy (PBRT); perfusion MR; survival

Submitted Feb 18, 2020. Accepted for publication Apr 27, 2020. doi: 10.21037/atm-20-1646 View this article at: https://dx.doi.org/10.21037/atm-20-1646

Introduction

High-grade (i.e., WHO III or IV class) glioma, characterized by high angiogenesis, is the most frequent and lethal primary brain malignancy in adults (1). Progression is universal after standard treatment with resection, radiotherapy and temozolomide (TMZ) chemotherapy. The median survival remains dismal at approximately 15 months for glioblastoma patients treated with standard photon-based radiotherapy and TMZ after resection (2). In contrast to photon, charged particle (e.g., proton and carbon ion) beams allow sharper dose deposition and higher relative biological effectiveness (RBE), potentially providing therapeutic advantages of efficacy and toxicity profiles (3,4).

Particle beam radiotherapy (PBRT), as the current most advanced radiation technique, has been placed with expectation to reverse the poor outcome of high-grade glioma (HGG). Previous results of a collaborative research from Germany and Japan showed that carbon ion boost plus photon radiation improved survival of HGG patients in comparison to photon radiotherapy alone (4). Moreover, our early experience indicated an encouraging treatment efficacy of PBRT in HGG (5). PBRT has been increasingly spread worldwide to treat cancer, identifying predictors of treatment efficacy is a critical need for future development of PBRT in HGG.

Numerous demographic and tumor-related factors, particularly molecular characteristics including *IDH* gene, MGMT and TERT promoter status (1,6), have been identified as strong survival predictors in HGG. However, the nature of high heterogeneity within glioma tissue can induce significant sampling error of accurate pathologic and molecular assessment for the whole tumor area (7). Besides, intra-tumor cell populations with distinct molecular markers can react diverse treatment response (8,9). Radiomic, a novel imaging technique that providing a comprehensive and dynamic view of the whole tumor tissue, has been used with the combination of traditional markers to help deliver more accurate prognosis information.

Perfusion-weighted MR imaging, beyond conventional anatomical imaging methods, offers sub-structural microvascular information, such as angiogenesis and vascularity, for the entire brain tissue. In terms of angiogenesis, this highly typical character of HGG defines the invasiveness. Moreover, angiogenesis in HGG generally presents newly formed molecular microvessels with aberrant function, and mainly induces the radio-resistance of HGG by hypoxia (10). From these views, angiogenesis may be highly corresponding to HGG patients' survival. With perfusion MR imaging, angiogenesis or microvessel hyperplasia is particularly reflected in cerebral blood volume (CBV).

For patients treated with photon-based radiotherapy, several studies indicated that CBV at a variety of time points was predictive of clinical outcome in HGG (11-17), but there are two other researches showed that CBV was not associated with survival in glioma (18,19). In the condition of PBRT, the value of CBV in predicting the survival of HGG patients is unknown. Besides, there are significant differences among tumor cells under hypoxia responding to different radiation beams (20,21). Therefore, it is essential to explore the relationship between angiogenesis and survival in HGG treated with PBRT, which may benefit improving survival predictability for informing clinical and personal decision-making, as well as distinguishing level of radio-resistance within tumor tissue for further improvement of PBRT in HGG. In the present study, we retrospectively documented the character of perfusion MR prior to PBRT, analyzed the effect of CBV on HGG patients' clinical outcome, and further constructed nomograms to predict individual survival.

Methods

Patients and pretreatment workups

This retrospective study was approved by the institutional review board (IRB) of the Shanghai Proton and Heavy Ion Center (SPHIC), and patients' informed consent was waived. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). All patients were required to be presented and discussed in the multidisciplinary tumor clinic for their diagnosis, indication, and eligibility for the particle therapy protocol prior to registration and treatment at SPHIC.

Required pretreatment evaluation included a complete history and physical (H&P) examination, complete blood count, hepatic and renal function tests, electrocardiogram, and conventional MR with T1-weighted (T1W), T2weighted (T2W), FLAIR and contrast-enhanced T1W imaging. Perfusion MR was encouraged and further required after the latest clinical trials of carbon-ion escalating boost in HGG initiated.

Particle radiotherapy and chemotherapy

Doses of PBRT were measured by gray relative biological equivalent [Gy (RBE)] to account for the RBE differences compared to photon beam. The clinical target volume (CTV) for high risk (CTV-hr) was defined as gross-tumor volume (GTV) in residual lesion detected on imaging studies and surgical bed plus 5 mm expansion, and the CTV for lower risk (CTV-lr) consisted of GTV plus 15 mm margin and edema area. The standard protocol of PBRT for all patients was CTV-hr with proton beam to 60 Gy (RBE), and CTV-lr with proton beam to 50 Gy (RBE). For patients with incomplete resection, dose escalating trials using proton of various does/fractions followed by carbon-ion escalating boost were encouraged to target residual disease.

Concurrent TMZ were planned to be used for all patients of <65 years of age, and \geq 65 years with methylation of the MGMT promoter. Adjuvant TMZ was administered for at least six cycles in the absence of death or irreversible blood toxicity. Concurrent TMZ was started on first day of particle radiotherapy at 75 mg/m² (oral), 7 days a week. Adjuvant TMZ was followed at 150–200 mg/m² for 5 days during each 28-day cycle.

Perfusion MR and postprocessing

All MR imaging studies were performed on a 3.0T superconducting imaging unit (Magnetom Skyra; Siemens Medical Solutions, Germany). Conventional MR images, including T1W, T2W, contrast-enhanced T1W images, and dynamic susceptibility-weighted contrast-enhanced (DSC) perfusion MR images, were obtained during the same examination. DSC was with a gradient-echo echoplanar imaging sequence during the first pass of a standard-dose (0.1 mmol/kg) bolus of gadopentetate dimeglumine.

The normalized CBV (nCBV) map was generated using FDA-approved commercially available software (NordicBrainEx, NordicNeuroLab, Norway), and was presented as color overlays on structural images in semiautomatic method. The generation of nCBV map consisted of automatic/semiautomatic steps of leakage correction and normalization.

The method used for leakage correction is described by Bjornerud *et al.* (22). Leakage is estimated by a tail of the residual function equation

$$C(t) = AIF(t) \otimes R(t)$$
^[1]

where C is the measured concentration, AIF is the arterial input function and R is the residual function. Basically, if the residual function does not approach 0 after a long time, there is leakage. The leakage correction is done by finding the mean value of the residual after a preset time T_c and multiplying it with the time that has passed after T_c .

Normalization in this study is done by Gaussian method (23,24). In brief, the value of CBV is normalized to the standard deviation of CBV throughout the whole normal brain, as shown in the equation

$$nCBV = CBV / \sigma CBV_{\text{whole normal brain}}$$
[2]

where $\sigma CBV_{Whole normal brain}$ is standard deviation of the whole normal brain. The whole normal tissue is found by segmenting the whole brain using a clustering algorithm to exclude lesion and blood vessels.

The measurements of maximum nCBV (nCBVmax) within/adjacent to surgical bed and/or residual lesion were obtained using the 'hot spot' method (areas of peak CBV by visual inspection of the nCBV color map), as illustrated in *Figure 1*.

Treatment response assessments and follow-up

The patients were generally followed-up according to the institutional follow-up protocol of SPHIC after the completion of PBRT. The first follow-up was at 4 weeks after the completion of PBRT, then was planned to be followed-up with MRI scans and basic laboratory work every 2–3 months. The Response Assessment in Neuro-Oncology (RANO) criteria (25) with interpretation modifications (26), including parameters for changes in T1W enhancing lesion and non-enhancing T2/FLAIR, were used to determine disease progression.

Statistical analysis

Overall survival (OS) time is calculated as the duration between pathologic diagnosis and the date of death. Progression-free survival (PFS) time is defined as the duration between the time of diagnosis and the date of progression. Associations between nCBVmax [as continuous variable (nCBVmax-C)] and categorical variables (age, KPS, IDH, MGMT, tumor grade and extent of resection) were analyzed by Mann-Whitney test. Survival curves were constructed using the Kaplan-Meier method, and survival differences were evaluated by the log-rank test. Multivariate survival analysis was done using Cox proportional hazards (CPH) model. Receiver operator characteristic (ROC) analysis identified optimized cut-offs of nCBVmax stratified for PFS and OS. Nomograms in this study were created using information obtained from multivariable CPH analyses. Predictive performance of nomograms was assessed using the concordance index (C-index). Calibration curves of the nomograms were derived to evaluate the consistency between predicted survival and observed survival. All the statistical analyses were performed using the R software.

Results

Characteristics of patients and treatment

Between 6/2015 and 3/2019, the first 61 consecutive and



Figure 1 Illustration for the measurement of nCBV using the 'hot-spot' method. (A) Contrast-enhanced T1W MR; (B) nCBV color map. Area with of peak CBV (marked in yellow arrow) within/adjacent to surgical bed and/or residual lesion was obtained for measurement of nCBVmax. nCBV, normalized cerebral blood volume; T1W, T1-weighted; nCBVmax, maximum nCBV.

non-selected patients with histology confirmed HGG were treated with standardized proton radiation or proton radiation plus carbon-ion boost at the SPHIC. Of these patients, 45 cases underwent perfusion MR imaging pre radiotherapy. The median age of 45 patients was 52 years old, and there were 28 males and 17 females. All patients had maximal possible surgical intervention, and concurrent particle radiotherapy and TMZ of the Stupp protocol. The characteristics of the patients, their condition, as well as PBRT are detailed in *Table 1*.

Correlations of nCBV max with clinic-pathological factors

The median value of nCBVmax for the entire cohort was 6.332 (range, 1.600–13.180). The correlation between perfusion parameter and other characteristics were analyzed (detailed in *Table 2*). There was a trend toward correlation between nCBVmax and resection completeness (P=0.077). Interestingly, patients with IDH-wild type tend to have higher nCBVmax than those with IDH-mutant type after surgery (P=0.066). There was no statistically relation of nCBVmax to age, KPS, MGMT or histology grade.

Survival analysis of nCBV max with median value as cut-off

The median follow-up period of patients was 13.9 months.

At the time of this analysis, 13 patients (2 grade III, 4 grade IV) had tumor progression, and 9 patients (2 grade III, 7 grade IV) died. The 12- and 18-month OS rates were 88.1% (95% CI, 77.1–99.1%) and 74.9% (95% CI, 58.2–91.6%), respectively for the entire cohort; and those of the PFS were 74.3% (95% CI, 59.6–89.0%) and 57.3% (95% CI, 38.7–75.9%), respectively.

Perfusion parameter was further analyzed for association with PFS and OS in both uni- and multi-variable analysis. Kaplan-Meier curves documented significant differences of OS and PFS between patients with median nCBVmax (nCBVmax-M) value above and below median cut-off of 6.332 (*Figure 2*). In multi-variable CPH models after adjusting for age, KPS, WHO grade, surgical intervention, *IDH* gene and MGMT status, nCBVmax-M remains independently predictive of OS and PFS (*Figure 3*).

Survival analysis of nCBVmax using You-index method as cut-off

Beyond median value, ROC analysis of 2-year OS and 1-year PFS was conducted to achieve Youden index-derived cutoff values for nCBVmax. The values of area under the curve (AUC) were 0.778 and 0.848 assessed as for nCBVmax on OS and PFS (*Figure 4*), respectively. Both ROC models showed the same value of 6.993 as optimal cut-off point of

Table 1	Characteristics	of pati	ents, their	condition.	and treat	ment
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Characteristics	No. of patients (N=45, %)
Gender	
Male	28 (62.2)
Female	17 (37.8)
Age (years)	
Median [range]	52 [22–76]
<50	22 (44.4)
≥50	28 (55.6)
KPS before radiotherapy	
>80	37 (75.6)
≤80	13 (24.4)
Histology grade (WHO grade)	
Grade IV	29 (64.4)
Grade III	16 (35.6)
IDH mutation	
Wild type	34 (75.6)
Mutant type	11 (24.4)
Surgical intervention	
PR/biopsy	8 (17.8)
STR	21 (46.7)
Total resection	16 (35.5)
MGMT promoter	
Methylated	14 (31.1)
Un-methylated	18 (40.0)
NA	13 (28.9)
Doses of particle radiation (GyE/fractions)	
Proton-60 GyE/30	25 (55.6)
Proton-50 GyE/25 + C-ion- 10–12 GyE/4–5*	4 (8.9)
Proton-60 GyE/30 + C-ion boost to 9–15 GyE/3	12 (26.7)
Proton-34 GyE/10 + C-ion boost 9 GyE/3*	2 (44.4)

*, for patients ≥65 years only. PR, partial resection; STR, subtotal resection; GyE, gray-equivalent.

nCBVmax.

In conjunction with the ROC curve analysis, nCBVmax with the cut-off value derived from Youden index method (nCBVmax-Y) was assessed with the correlation of survival. Kaplan-Meier curves showed that nCBVmax-Y was statistically associated with reduced PFS and OS (*Figure 2*). Additionally, as illustrated in *Figure 3*, nCBVmax-Y remained robustly correlated with both OS and PFS in multivariate analysis.

Survival analysis of nCBVmax-C

Another CPH model was built consisted of nCBVmax-C, age, KPS, WHO grade, surgical intervention, IDH gene and MGMT status. Strong independently predictive value of nCBVmax-C was also indicated (*Figure 3*). All CPH models from nCBVmax-M, nCBVmax-Y and nCBVmax-C identified nCBVmax as an independent predictor of both OS and PFS in HGG patients underwent PBRT.

Analysis of individual survival prediction

To individualize the predicted OS and PFS probability for HGG patients underwent PBRT, nomograms on basis of the results of the above CPH models (*Figure 5*). The individual survival prediction performance was quantified with respect to discrimination (C-index plot) and calibration (calibration curves), as shown in *Figure 6*. All CPH models present similar performance in predicting individual OS and PFS.

Discussion

High CBV values have been shown to be associated with shorter survival of glioma in numerous studies (11-17, 27-29), while some studies indicated that CBV was not predictive of prognosis (18,19). Indeed, traditional CBV estimates vary with postprocessing algorithm, particularly referring to the measurement of CBV ratio of lesion to normal brain tissue (normalization) and leakage correction. Typically, normalization utilizing white matter method is performed whereby nCBV is divided by the value in a manually selected region of interest (ROI) of the contralateral normal-appearing white matter (NAWM); while a roughly 25–30% variation has been reported in NAWM CBV measurement in glioma (23,30). Leakage correction occupies another important role affecting the

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 Table 2 Correlations of perfusion parameter and clinico-pathological characteristics

Characteristics	nCBVmax	P value		
Age (years)		0.848		
<50	6.128			
≥50	6.340			
KPS before radiotherapy		0.397		
>80	5.985			
≤80	7.572			
Histology grade (WHO grade)		0.341		
Grade IV	6.332			
Grade III	5.911			
IDH mutation		0.066		
Wild type	6.963			
Mutant type	5.481			
Surgical intervention		0.077		
Biopsy/PR/STR	6.932			
GTR	5.080			
MGMT promoter		0.539		
Methylated	6.340			
Un-methylated/NA	6.189			

nCBVmax, maximum normalized cerebral blood volume; PR, partial resection; STR, subtotal resection; GTR, gross total resection.

accurate estimates of CBV. During perfusion MR scan, extravasation of low concentration of contrast agent is inevitable due to severely compromised blood-brain barrier, and is associated with underestimation of nCBV in HGG (14).

In this study, the nCBV was generated with automatic normalization and leakage correction. In brief, the process of leakage correction used a tissue residual function method to eliminate the confounding effect of contrast agent extravasation (22); normalization was done by dividing all pixels on the value of the corresponding normal tissue, which was found by segmenting the brain using a clustering algorithm. This image post-processing procedure is highly automated without manual selection of reference (normal) tissue. Conventional manual methods generally have limitations concerning observer-dependent measurement of nCBV value with objectivity and reproducibility. In contrast, the method in this study could significantly reduce the variability in the assessment, making it more attractive and feasible in a clinical setting (24).

Selective analysis of perfusion parameters within nonenhancing and/or enhancing area partially or entirely on structural MR images is usually performed in conventional approach, which is concerning with bias in nature. Hotspot measurement without regard to whether nCBVmax displayed in the enhancing or non-enhancing area was chosen in this study. The reasons are: (I) since resection level in HGG is mainly judged by removal of enhancing tumor component, and nCBVmax is not always within the enhancing part; (II) this approach does not need subjective definition of a ROI area for assessing nCBVmax, thereby is more reproducible and less time-consuming.

The most important finding of this study is that nCBVmax prior to PBRT help to predict OS and PFS in both univariate and multivariate analysis. Noticeably, the relevance outperformed clinical parameters and molecular markers. The result is consistent with the study reported by Akgoz et al. (14), in which multivariate analysis demonstrated that nCBV before initiating photon-based radiotherapy was the most predictive factor for both OS and PFS in glioblastoma rather than MGMT status, surgical intervention and age. Indeed, for low-grade gliomas, Law et al. also showed that CBV correlated more accurately with PFS than tumor grade (31). We suggest that nCBVmax areas may represent the site of the most malignancy and radio-resistance within heterogeneous HGG. Preliminary evidence supports this hypothesis that components of glioblastoma with high CBV have elevated expression of angiogenesis-related genes with corresponding to invasiveness (32). If it proves to be true, more aggressive treatment designed to target such area, such as radiation boost with higher dose, may be indicated. In this term, a phase I/III trial is ongoing in our center to assess the efficacy of carbon-ion boost plus standard proton radiation strategy guided by multi-modal imagines, including perfusion MR, ¹⁸F-FET-PET, MRS (33).

In looking at the clinical parameters and molecular markers with statistically relation to survival in our analysis, KPS was the independent predictors for both PFS and OS in all CPH models; histological grade and MGMT had a trend toward correlation with PFS in nCBVmax-M and/ or nCBVmax-Y CPH models; age was indicated of a trend relation to OS in the nCBVmax-C CPH model; neither IDH nor surgical intervention had a correlation with PFS

Figure 2 Kaplan-Meier survival curves of nCBVmax on OS and PFS. (A) OS by nCBVmax-M; (B) PFS by nCBVmax-M; (C) OS by nCBVmax-Y; (D) PFS by nCBVmax-Y. Created with survmier (4) Created with survmier (8) 4 24 24 24 4 24 Effect of nCBVmax (Youden index) on PFS (based on Kaplan-Meier estimate) The state of t 18 8 (35) 3 (14) 8 <u>∞</u> →nCBVmax ≤6.993 → nCBVmax >6.993 8 ^{9 (35)} 2 (11) 18 œ Effect of median nCBVmax on PFS (based on Kaplan-Meier estimate) 12 Time (months) 12 Time (months) 12 Time (months) 12 Time (months) Time (months) Time (months) 15 (65) 9 (41) 42 40 8 (69) 8 (32) nCBVmax nCBVmax 23 (88) 12 (63) 20 (87) 15 (68) ശ 9 ശ ശ Number at risk: n (%) Number at risk: n (%) Number of censoring Number of censoring P=0.0054 P<0.0001 23 (100) 22 (100) 26 (100) 19 (100) С 0 С С С Viilidadorq Isvivu2 0.50 23 25 25 0.00 Survival probability 0.75 0.50 0.25 1.00 1.00 0.00 മ Δ n.censor n.censor nCBVmax nCBVmax Created with survmie Created with survmie ^{1 (4)} ^{3 (14)} 24 24 2 (11) 2 4 - 24 24 Η 24 11 (48) 5 (23) 48 Effect of nCBVmax (Youden index) on OS (based on Kaplan-Meier estimate) 18 2 (46) 4 (21) 8 18 ThCBVmax ≤6.332 ThCBVmax >6.332 40 100 The second seco Effect of median nCBVmax on OS (based on Kaplan-Meier estimate) 12 Time (months) 12 Time (months) 12 Time (months) 12 Time (months) Time (months) Time (months) 16 (70) 13 (59) ₽ 42 nCBVmax nCBVmax 21 (91) 16 (73) 24 (92) 13 (68) 9 9 9 9 9 S --Number at risk: n (%) Number of censoring Number at risk: n (%) Number of censoring P=0.00069 P=0.0079 23 (100) 22 (100) 26 (100 19 (100 0 С c 332 Survival probability 0.75 0.50 257 0.00 1.00 Survival probability 0.75 0.55 0.55 0.75 00.1 0.25-0.00 ∢ υ

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nCBVmax, maximum normalized cerebral blood volume; OS, overall survival; PFS, progression-free survival; nCBVmax-M, median nCBVmax; nCBVmax-Y, nCBVmax

with the cut-off of Youden-index.

nCBVmax

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A		nCBVmax-M C Hazard ratio	PH model on OS		P value	В		nCBVmax-N Hazard ratio	I CPH model on I	PFS		Pva	alue
nCBVmax	(N=45)	14.2 (1.36 - 148)	ı	-	1 0.027 *	nCBVmax	(N=45)	10.61 (1.91 - 58.9)	H				0.007 *
Age	(N=45)	(0.67 - 19)			0.135	Age	(N=45)	0.92 (0.26 - 3.2)	⊢	-			0.89
KPS	(N=45)	(1.35 - 97)	H	-		KPS	(N=45)	7.22 (1.34 - 38.8)				-	0.021 *
Tumor_Grade	(N=45)	(0.22 - 23)			0.493	Tumor_Grade	(N=45)	7.90 (0.76 - 82.7)	<u>ب</u>				0.084
Surgical_Intervention	(N=45)	(0.34 - 27) ⊢			0.324	Surgical_Intervention	(N=45)	3.29 (0.58 - 18.8)	H	-		0	0.18
IDH	(N=45)	(0.15 - 60)			0.476	IDH	(N=45)	(0.10 - 30.9)				(0.69
MGMT	(N=45)	3.0 (0.48 - 18)	•		0.241	MGMT	(N=45)	3.53 (0.82 - 15.3)	F	-			0.092
# Events: 9; Global p-valu AIC: 46.97; Concordance	e (Log-Rank): 0 Index: 0.91	0.28036	0.5 1 2 5	10 20 50	100 200	# Events: 13; Global p-vai AIC: 72.32; Concordance	lue (Log-Rank): Index: 0.84	0.00048695	0.5 1	5 10		50 1	100
С		nCBVmax-Y Cl Hazard ratio	PH model on OS		P value	D		nCBVmax-Y Hazard ratio	CPH model on F	νFS		Pva	alue
nCBVmax	(N=45)	15.6 (1.534 - 159)	F	-	1 0.02 *	nCBVmax	(N=45)	20.9 (3.016 - 144.4)			-		0.002 *
Age	(N=45)	(0.701 - 26)			0.115	Age	(N=45)	(0.370- 4.8)	H	_			0.657
KPS	(N=45)	(0.873 - 75)	F	-	- 0.066	KPS	(N=45)	4.9 (0.954 - 25.3)	t	-	-		0.057
Tumor_Grade	(N=45)	(0.213 - 32)			0.452	Tumor_Grade	(N=45)	11.5 (1.119 - 117.3)					0.04 *
Surgical_Intervention	(N=45)	(0.303 - 22)			0.386	Surgical_Intervention	(N=45)	3.8 (0.699 - 20.7)		•	-		0.122
IDH	(N=45)	(0.064 - 47)			0.745	IDH	(N=45)	(0.086 - 21.9)			-		0.822
MGMT	(N=45)	(0.354 - 17)	FB		0.362	MGMT	(N=45)	(0.537 - 9.7)					0.264
# Events: 9; Global p-valu AIC: 46.13; Concordance	e (Log-Rank): 0 Index: 0.92	0.020046	0.5 1	5 10 50	100	# Events: 13; Global p-val AIC: 68.1; Concordance I	lue (Log-Rank): ndex: 0.87	8.341e-05 0.1	0.5 1	5 10	50	100	
E		nCBVmax-C C Hazard ratio	PH model on OS	1	P value	F		nCBVmax-C Hazard ratio	CPH model on F	νFS		Pva	alue
nCBVmax_P	(N=45)	(1.33 - 6.3)	⊢ ∎1		0.007 **	nCBVmax_P	(N=45)	(1.17 - 2.2)	⊢∎	-			0.004 *
Age	(N=45)	(0.81 ^{6.8} / _{57.9})	-	•	0.078	Age	(N=45)	(0.32 - 4.3)	µ∎				0.801
KPS	(N=45)	15.3 (1.24 - 189.9)	F	-	1 0.034 *	KPS	(N=45)	4.4 (1.02 - 18.9)	·	-			0.046 *
Tumor_Grade	(N=45)	(0.12 - 14.0)			0.832	Tumor_Grade	(N=45)	(0.60 - 47.4)	ı —				0.135
Surgical_Intervention	(N=45)	(0.50 - 80.7)	-		0.152	Surgical_Intervention	(N=45)	2.9 (0.64 - 13.6)		-			0.167
IDH	(N=45)	(0.14 - 80.2)			0.462	IDH	(N=45)	(0.11 - 26.5)		,		-	0.705
MGMT	(N=45)	(0.51 - 80.6)	-	-	0.149	MGMT	(N=45)	(0.57 - 7.6)	—				0.264
# Events: 9; Global p-valu AIC: 38.64; Concordance	e (Log-Rank): 9 Index: 0.94	.1821e-05 0.1	0.5 1 5	10 50	100	# Events: 13; Global p-vai AIC: 72.91; Concordance	lue (Log-Rank): Index: 0.86	0.00062116	0.2 0.5 1	2 5	10 20	50	0

Figure 3 CPH models of nCBVmax on OS and PFS. (A) CPH model on OS by nCBVmax-M; (B) CPH model on PFS by nCBVmax-M; (C) CPH model on OS by nCBVmax-Y; (D) CPH model on PFS by nCBVmax-Y; (E) CPH model on OS with nCBVmax-C; (F) CPH model on PFS with nCBVmax-C. CPH, Cox proportional hazards; nCBVmax, maximum normalized cerebral blood volume; OS, overall survival; PFS, progression-free survival; nCBVmax-M, median nCBVmax; nCBVmax-Y, nCBVmax with the cut-off of Youden-index; nCBVmax-C, nCBVmax as continuous variable.



Figure 4 ROC analysis for nCBVmax on OS and PFS. (A) ROC curve for nCBVmax on 2-year OS; (B) ROC curve for nCBVmax on 1-year PFS. ROC, receiver operator characteristic; nCBVmax, maximum normalized cerebral blood volume; OS, overall survival; PFS, progression-free survival.

or OS in any CPH model. Our limited sample size probably underestimated the predictive value of these known prognostic factors. However, we have little doubt with the predictive value of resection completeness in the condition of our treatment strategy of delivering carbon-ion boost for tumor residual for incomplete removal of tumor. Again, the future results of our ongoing phase I/III trial can provide valuable information for this issue (33).

We also developed nomograms, based on nCBVmax-M, nCBVmax-Y, and nCBVmax-C CPH models, for obtaining individual survival estimates for HGG patients. Rather than a group estimate on specific patient-level factors, nomogram is provided as an accessible tool for predicting individual survival. Survival-related nomograms for glioblastoma patients have been constructed in previous studies (34-39). These nomograms have got some achievements in survival analysis, but none applied perfusion MR imaging parameters. In our study, fundamental clinical and pathological factors with possible correlation to survival have been included in each nomogram. All these outcome predictive models showed similar performance of excellent concordance and calibration with actual survival. The present study is, to our knowledge, the first study providing nomograms for HGG patients underwent PBRT.

A number of limitations of this study need to be discussed. First, this retrospective study in nature was based on a single institution experience, and the sample size of 45 cases is relatively small. At present, it is hard for us to perform validation with an independent-internal or external data set to address the issues of probably misestimating the nCBV's prediction power and a possible overfitting of the CPH models' performance. Hence, our result was limited in a research tool to explore the prediction role of perfusion MR. As with large scale sample of prospective trial going in our institution (33), future results may improve the generalizability of utilizing nCBV as a prognostic marker for the decision-making for PBRT in HGG. Second, despite all HGG patients in our institutional protocol were treated prospectively to 60 Gy (RBE) with or without boost to tumor residual guided by multi-modal images, 2 elderly cases of our 45 patients were treated with a hypofractionated strategy (proton beam to 34 Gy (RBE) in 10 fractions followed by carbon-ion boost); nevertheless, the treatments on the entire cohort level were homogenous. Third, there is possibility of histopathologic misdiagnosis attributable to small tissue sample in the condition of patients underwent biopsy/partial resection (PR). However, because only 15 of our 45 patients (33.3%) whose tumor were biopsied or partially removed, we postulate that the incidence of histopathologic misdiagnosis was low in our series. Fourth, for our nomograms, more dedicated models that include other modalities of imaging parameters are needed in the future; and these nomograms need to be validated in a prospective cohort in the next step prior to being formally applied in clinical practice.

Conclusions

The presented retrospective analysis of MR perfusion imaging prior to proton and heavy ion radiation showed that nCBVmax is a strong predictor of survival in HGG. The novel proposed perfusion MR-based nomogram with prospective validation could potentially be formally used in future clinical practice to individual survival probability.

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С

Nomogram based on nCBVmax-M CPH model Α 10 Points > 6.332 nCBVmax ≤ 6.332 ≤ 80 KPS > 80 ≥ 50 Aae < 50 STR/PR/Bi Surgical_intervention GTR Wild IDH Mutant Unmethy/N.A MGMT Methy IV Tumor grade Total points 10 . 15 30 35 20 25 40 1-vear OS (0.9, 0.8, 0.7, 0.6, 0.5, 0.4, 0.3, 0.2, 0.1) 2-year OS (0.9, 0.8, 0.7, 0.6, 0.5, 0.4, 0.3, 0.2, 0.1)



(0.9, 0.8, 0.7, 0.6, 0.5, 0.4, 0.3, 0.2, 0.1)



Nomogram based on nCBVmax-Y CPH model



Figure 5 Nomograms based on CPH results for nCBVmax on OS and PFS. (A) Nomogram on OS probability by nCBVmax-M; (B) Nomogram on PFS probability by nCBVmax-M; (C) Nomogram on OS probability by nCBVmax-Y; (D) Nomogram on PFS probability by nCBVmax-Y; (E) Nomogram on OS probability with nCBVmax-C; (F) Nomogram on PFS probability with nCBVmax-C. CPH, Cox proportional hazards; nCBVmax, maximum normalized cerebral blood volume; OS, overall survival; PFS, progression-free survival; nCBVmax-M, median nCBVmax; nCBVmax-Y, nCBVmax with the cut-off of Youden-index; nCBVmax-C, nCBVmax as continuous variable.

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Figure 6 C-index plots and calibration curves based on CPH results for nCBVmax on OS and PFS. (A) C index on OS probability by nCBVmax CPH models; (B) C index on PFS probability by nCBVmax CPH models; (C) calibration curve on 2-year OS probability by nCBVmax CPH models; (D) calibration curve on 1-year PFS probability by nCBVmax CPH models. C-index, concordance index; CPH, Cox proportional hazards; nCBVmax, maximum normalized cerebral blood volume; OS, overall survival; PFS, progression-free survival.

Acknowledgments

Funding: This work was supported by The National Key Research and Development Program of China (Project No. 2017YFC0108603); The Shanghai Academic/Technology Research Leader Program (Project Nos. 19XD1432900 and 18XD1423000); Science and Technology Commission of Shanghai Municipality (Project No. 19411951000); Science and Technology Development Fund of Shanghai Pudong New Area (Project Nos. PKJ2018-Y51, PKJ2017-Y54, PKJ2017-Y50 and PKJ2017-Y49).

Footnote

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at https://atm. amegroups.com/article/view/10.21037/atm-20-1646/coif). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was

conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the ethics committee at Shanghai Proton and Heavy Ion Center [approval number: SPHIC-HNCNS-2019-09 (RS)]. Written informed consent was obtained from all patients.

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Cite this article as: Qiu X, Gao J, Yang J, Hu J, Hu W, Zhang X, Lu JJ, Kong L. Perfusion MR prior to radiotherapy is a strong predictor of survival in high-grade gliomas after proton and carbon ion radiotherapy. Ann Transl Med 2022;10(22):1199. doi: 10.21037/atm-20-1646

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