# Comprehensive analysis of circular RNA in oral leukoplakia: upregulated circHLA-C as a potential biomarker for diagnosis and prognosis

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**Background:** Emerging evidence indicates that circular RNAs (circRNAs) play an indispensable role in a variety of tumors, yet the function of circRNAs in premalignant lesions is still obscure. Oral leukoplakia (OLK) is one of the most common premalignant lesions of the oral mucosa. Our study aimed to comprehensively investigate whether circRNAs contribute to the occurrence and development of OLK.

**Methods:** We obtained six pairs of OLK and normal oral mucosal (NOM) tissue samples and subjected them to high-throughput sequencing to detect the expression of circRNA. In total, 26 pairs of NOM and OLK tissues were used for validation. Key circRNAs were selected and further validated by real-time quantitative reverse transcription polymerase chain reaction (qRT-PCR), ribonuclease (RNase) R digestion, and Sanger sequencing. Visualization analysis of circular human leukocyte antigen-C (circHLA-C) was performed in the UCSC Genome Browser (genome.ucsc.edu). Functional analysis of differentially expressed (DE) circRNAs were processed by Gene Ontology (GO) enrichment and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis. Furthermore, TargetScan (www.targetscan.org) was applied to predict targeted micro RNAs (miRNAs) and messenger RNAs (mRNAs) of circRNAs and a competing endogenous RNA (ceRNA) network related with identified circRNAs was constructed in Cytoscape (v2.8.0).

**Results:** Profile data showed that 366 circRNAs were significantly altered in OLK tissues, including 65 upregulated and 301 downregulated circRNA transcripts. Compared with sequencing results, seven selected circRNAs expressed the same changing tendency. The amplest upregulated circRNA in our sequencing data, circHLA-C, was confirmed through back-splice junction sequences by Sanger sequencing after RNase R digestion. Correlation analysis demonstrated that circHLA-C correlated positively with the degree of dysplasia. Furthermore, receiver operating characteristic (ROC) curve analysis indicated that circHLA-C had potential diagnostic value with excellent accuracy and specificity.

**Conclusions:** According to the literature, we were the first to uncover the expression profiles of circRNAs in OLK. Our research performed a comprehensive bioinformatics analysis of DE circRNAs in OLK and identified circHLA-C as a promising diagnostic biomarker with potential as a therapeutic genetic target for OLK.

Keywords: circRNA; oral leukoplakia (OLK); premalignant lesion; high-throughput sequencing; ceRNA

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# Introduction

Oral leukoplakia (OLK) is predominantly a whitish patch or plaque that cannot be characterized clinically or pathologically as any other disease (1). Epidemiology shows that the incidence of malignant transformation is as high as 3–18%, and can be as high as 15–40% for heterogeneous OLK (2). Due to its complex etiology, the pathogenesis of OLK is still unclear (3). As no effective treatment for OLK exists in clinical practice (4,5), studying the mechanism and determining new potential molecular markers of OLK and effective therapeutic targets is important.

Circular RNAs (circRNAs) are newly identified noncoding RNAs with a covalently closed loop structure with no 5' or 3' polarities or polyadenylated tails (6). It was once thought that circRNAs were byproducts of intramolecular mis-splicing (7). They are considered to be a new class of widespread, highly abundant, conserved, and stable endogenous non-coding RNAs in eukaryotic cells (8-10). With the development of bioinformatic approaches, biochemical enrichment strategies, and high-throughput sequencing technology, a number of circRNAs have been defined in various species and diseases.

CircRNAs can participate in and regulate human diseases at the epigenetic level via competing for endogenous RNA (ceRNA) mechanisms. One hypothesis proposed that transcripts might use micro RNA (miRNA) response elements (MREs) to form a large-scale regulatory network across the transcriptome (11). The hypothesis can be interpreted to posit that any RNA transcript with MREs may serve as a ceRNA. Compared with other types of ceRNAs, circRNAs are highly expressed and stable in cells and have more binding sites with miRNAs, which enables effective regulation of miRNAs (12,13).

The functions of circRNAs suggest that they have a vital role in disease regulation (14,15). Studies on the relationship between circRNAs and diseases have included cancers, and nervous system and cardiovascular diseases (16-18). Many studies have shown that circRNAs can be used as biomarkers and therapeutic targets (19-21). Several studies have reported on the role of circRNAs in disease progression in the field of stomatology, such as in oral squamous cell carcinoma (OSCC) and oral mucosal melanoma (OMM) (22,23). Research indicates that circRNA\_100290, circular RNA DOCK1 (circDOCK1), and circ\_0007059 are involved in the regulation of growth and metastasis in OSCC through the mechanism of ceRNA (24-26). Tumorigenesis and metastasis of OMM can be

regulated by hsa\_circ\_0005320, hsa\_circ\_0067531, and hsa\_circ\_0000869 (27). All of these findings indicate that circRNA may play a part in oral cancer, and provide fresh motivation for identifying whether circRNAs participate in the regulation of oral precancerous lesion.

Accordingly, we explored the expression profiles of circRNAs in OLK and normal oral mucosal (NOM) tissue by high-throughput RNA sequencing, and analyzed the screened, differentially expressed (DE) genes. We generated the miRNA-mRNA and ceRNA networks. It was confirmed that circHLA-C is a potential biomarker for diagnosis and prognosis and that it might promote the development of OLK.

We present the following article in accordance with the MDAR checklist (available at http://dx.doi.org/10.21037/ atm-20-3840).

# **Methods**

#### Tissue specimens

Six OLK tissue samples were obtained from two male and four female patients who received treatment at the Department of Oral Mucosa of the Shanghai Ninth People's Hospital, from September 2018 to November 2018 (Table 1). Based on the diagnostic criteria of World Health Organization, the patients were diagnosed by histopathological examination. Six NOM specimens were prospectively obtained from the Department of Plastic and Reconstructive Surgery. None of these selected objects had the systemic disease, a history of immune stimulants (including corticosteroid) within 3 months and radiotherapy and chemotherapy. All tissue specimens were frozen in liquid nitrogen immediately. Before surgery, we provided a written informed consent for all patients for experimental use of their tissues. Specimen information was recorded and data were encoded to protect patient privacy. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) individual consent for this retrospective analysis was waived. All the studies were approved by the Ethics Committee of Shanghai Ninth People's Hospital [No. (2012) 21].

#### RNA extraction and quality control

Total RNA was extracted using TRIzol reagent (Life Technologies, Carlsbad, CA, USA). The RNA concentrations for each specimen were measured using a

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Number	Age (yr)	Gender (M/F)	Location	Dysplasia degree	Smoking history (N/Y)	Alcohol history (N/Y)	
1	47	F	Dorsal of the tongue	Mild	Ν	Ν	
2	61	F	Ventral of the tongue	Mild	Ν	Ν	
3	41	М	Ventral of the tongue	Mild	Ν	Ν	
4	51	F	Ventral of the tongue	Mild-moderate	Ν	Ν	
5	69	М	Ventral of the tongue	Mild-moderate	Ν	Y	
6	52	F	Buccal	Moderate-severe	Ν	Ν	

 Table 1 The clinical characteristics of patients with oral leukoplakia for sequencing

NanoDrop ND-1000 (Thermo Fisher Scientific, Waltham, MA, USA). Spectrophotometer OD260/OD280 values were used for RNA purity indexes. Quality control results indicated a range of OD260/OD280 between 1.8 and 2.1. Sufficient RNA was used with Ribo-Zero rRNA Removal Kits (Illumina, USA) to eliminate ribosomal RNA (rRNA) according to kit instructions. RNA libraries were constructed using rRNA-depleted RNAs and TruSeq Stranded Total RNA Library Prep Kits (Illumina, USA). RNA libraries were adjusted to 10 pM and converted into denatured single-stranded DNA molecules and amplified in situ as hierarchical clusters. Sequencing libraries were detected by an Agilent 2100 Bioanalyzer using an Agilent DNA 1000 chip kit (Agilent, Technologies, USA).

# CircRNA sequencing data analysis

Paired-end reads were harvested from Illumina HiSeq 4000 sequencer, and were quality controlled by Q30. After 3' adaptor-trimming and low-quality reads removing by cutadapt software (v1.9.3), screened and qualified trimmed reads were aligned to a reference genome or transcriptome with STAR software (v2.5.1b). Detection and identification were performed by DCC software (v0.4.4). The identified circRNAs were annotated using circBase and circ2Traits databases (28,29). According to sequencing the depth and degree of variation, we normalized the data and screened for DE circRNAs between OLK and NOM using edge R software (v3.16.5). To generate the profiling of DE circRNAs between OLK and NOM, hierarchical clustering analysis was performed based on the expression levels of all identified circRNAs, and the significant difference between OLK and NOM was analyzed by Cluster and TreeView software (30).

Unprocessed and analyzed sequencing data, after standardization, were uploaded to the National Center for Biotechnology Information Gene Expression Omnibus (GEO). The approved GEO accession number is GSE131568.

#### Quantitative real-time RT-PCR

According to the results of the circRNAs expression and functional analysis, 10 significantly altered circRNAs were selected for real-time quantitative reverse transcription polymerase chain reaction (qRT-PCR) using the 6 pairs of samples. Tissues of OLK and NOM from each patient were considered as an independent cohort to verify the candidate circHLA-C by qRT-PCR. The independent cohort contained samples from 40 patients, whose data are shown in Table S1.

SuperScript III reverse transcription kits (Invitrogen, Carlsbad, USA) were used according to the manufacturer's instructions to construct cDNA libraries of extracted RNA. qRT-PCR was conducted by ViiA 7 Real-time System (Applied Biosystems, Wilmington, DE, USA) using qPCR SYBR Green master mix (Cloudseq, Shanghai, China). Divergent primer pairs designed for target 10 circRNAs selected were summarized in *Table 2* (Sangon, Shanghai, China).  $\beta$ -actin was the internal control. Target circRNAs and  $\beta$ -actin were amplified routinely in triplicate. Relative expression of circRNAs was expressed as  $2^{-\Delta\Delta Ct}$  of each circRNA measurement.

# Construction of ceRNA network and bioinformatic analysis

Potential target miRNAs of circRNAs were predicted by TargetScan. The bioinformatics software Cytoscape (v2.8.0) was used to construct the network of circRNAs and their downstream miRNAs and mRNAs.

The predicted functions of the DE circRNAs between OLK and the NOM control were determined by performing Gene Ontology (GO) and Kyoto Encyclopedia

Circular RNA	Primer type	Primer sequence (5'-3')
chr6:31238920-31324013-	1-Forward	CGGCAAGGATTACATCGC
	1-Reverse	CCTTCCCGTTCTCCAGGT
chr19:4511523-4511918-	2-Forward	TGTGTGCAGTGGGGTGAC
	2-Reverse	CCCTTTGGCGACATTCAC
chr2:177161588-177202305+	3-Forward	TGACGCACTGGTATTTGGC
	3-Reverse	GCATTTTCAGGCCAAGGTT
chr3:149613260-149639014+	4-Forward	TGGGCATCTGTCTCATCTTG
	4-Reverse	GTCGTTGGATCCCATGCT
chr3:185293003-185344181+	5-Forward	CCTCAACAGCTGAATGGGA
	5-Reverse	CCCACATCTCCCCCTTCT
chr1:16044388-16047883+	6-Forward	ACTCCGTCACCTCCACCA
	6-Reverse	CCGCAGGTAGCTCTCCAA
chr5:49694941-49707217-	7-Forward	TGCTCAGCAGGAGCTTCA
	7-Reverse	CTGCATTCAAATCCCCAGA
chr8:618598-624047-	8-Forward	AAAACGCTGCTGCTCCTG
	8-Reverse	TCTTTGGCTGGTCATGAGG
chr17:19554860-19575269+	9-Forward	TCAAAGGTGGATTGGGGA
	9-Reverse	CATCCAGCATGGTGAGCA
chr16:31733947-31734674+	10-Forward	CGCTGTCTCTAAGCCGGA
	10-Reverse	GGTGTTCCCACTCCTCCC

 Table 2 The primers used for qRT-PCR experiments

qRT-PCR, real-time quantitative reverse transcription polymerase chain reaction.

of Genes and Genomes (KEGG) analysis. The GO covers three domains: biological process (BP), cellular component (CC), and molecular function (MF). The terms of GO were screened on the basis of host genes with significantly different circRNAs and the GO annotation list. The P value denoted the significance of GO term enrichment in the host genes using Fisher's exact test (P<0.05 was recommended). The KEGG analysis was used for annotated host genes with DE circRNAs using the KEGG database. The Fisher P value denoted the significance of each pathway involved, and P<0.05 was deemed to indicate statistically significant differences.

# Statistical analysis

Statistical software SPSS 19.0 (SPSS, Chicago, IL, USA) and Graphpad Prism version 8.0 (Grapahpad, San Diego,

CA, USA) were used for management and statistical analysis of the data. Statistical significance of differences between two groups was performed by unpaired *t*-test (\*, P<0.05, \*\*, P<0.01, \*\*\*, P<0.001, \*\*\*\*, P<0.0001). Quantitative data was expressed as mean  $\pm$  SEM (standard error of mean). All the experiments were performed three times at least. ROC curve analysis was utilized to identify the sensitivity and specificity of circHLA-C. Correlation between circHLA-C and epithelial dysplasia was analyzed by Spearman's rank correlation coefficient.

#### **Results**

# Profiling and characteristics of circRNAs in human OLK and NOM

The differentiated circRNAs between OLK and NOM



Figure 1 Expression profiles of circRNAs in OLK versus NOM tissues. (A) Hierarchical clustering analysis showed circRNA expression profiles that were different between OLK tissues and NOM tissues. Hierarchical clustering analysis for 12 samples in a disease group (K1, K2, K3, K4, K5, and K6) and control group (N0, N1, N2, N3, N4, and N5). (B) Scatter plot of differences in circRNA expression between OLK and NOM tissues. Red, upregulated circRNAs with logFC  $\geq$ 2.0 in OLK tissues; green, downregulated circRNAs with logFC  $\geq$ 2.0. (C) Volcano plot of significantly dysregulated circRNAs in OLK tissues. Red, eligible circRNAs (logFC  $\geq$ 2.0, P value <0.05). OLK, oral leukoplakia; NOM, normal oral mucosal; K, OLK; N, NOM; FC, fold change.

groups were compared in 6 pairs of the OLK and NOM samples. In contrast to the NOM group, hierarchical clustering diagrams predicted relationships among the samples (*Figure 1A*). Scatter and volcanic plots were painted with statistical criteria that had been determined through fold change (FC) and P value (*Figure 1B,C*). In total, 366 significantly dysregulated circRNAs were identified in OLK, of which 65 were markedly upregulated and 301 downregulated.

Among the 366 dysregulated circRNAs, 28 new circRNAs were identified, with the remainder already existing in the circbase (28). Most were exonic and known circRNAs (*Figure 2A*,*B*). The lengths of these circRNAs were concentrated into two groups: <500 and 500–2,000 nucleotides (*Figure 2C*). The circRNAs were located on

nearly all human chromosomes, including the 22 autosomes and X chromosomes (*Figure 2D*).

#### Further validation of the selected circRNA

The top 4 upregulated and 6 downregulated circRNAs were selected for verification by qRT-PCR (*Table 3*). Validation was performed on the 6 pairs of tissue. Of the 10 circRNAs, 7 showed the same change in direction and statistical significance as the circRNA sequencing (*Figure 3*). Since circRNA is not sensitive to nuclease, we selected 5 interesting circRNAs from 7 circRNAs verified by qRT-PCR for enzyme tolerance testing. The results showed that circHLA-C, circPLIN4 (perilipin-4), and circRNF13 (ring finger protein 13) could resist the hydrolysis of ribonuclease

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**Figure 2** Distribution of characteristics of significantly dysregulated circRNAs. (A) Significantly differentially expressed circRNAs were divided into 4 types according to host gene structure: exon, intron, antisense, and sense overlapping circRNA. Red, upregulated; green downregulated circRNA. (B) Filtered circRNAs were classified by whether they were newly discovered. (C) Classification according to circRNA length. (D) Chromosome distribution of upregulated and downregulated significantly differentially expressed circRNAs.

Table 3 Selected top 10 altered expression of circRNAs in OLK tissues by	y F0	C
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CircRNA ID	FC	P value	Chromosome	Strand	Gene name	Sequence length
Top 4 upregulated circRNAs						
CircHLA-C (chr6:31238920-31324013-)	7.7187	0.0000	chr6	-	HLA-C	85,094
CircPLIN4 (chr19:4511523-4511918-)	5.9924	0.0012	chr19	-	PLIN4	396
CircMTX2 (chr2:177161588-177202305+)	5.9802	0.0030	chr2	+	MTX2	40,718
CircRNF13 (chr3:149613260-149639014+)	5.7345	0.0019	chr3	+	RNF13	379
Top 6 downregulated circRNAs						
CircSENP2 (chr3:185293003-185344181+)	-8.5832	0.0000	chr3	+	SENP2	51,179
CircPLEKHM2 (chr1:16044388-16047883+)	-6.1216	0.0002	chr1	+	PLEKHM2	435
CircERICH1 (chr8:618598-624047-)	-5.9165	0.0002	chr8	-	ERICH1	954
CircEMB (chr5:49694941-49707217-)	-5.7219	0.0001	chr5	-	EMB	12,277
CircALDH3A2 (chr17:19554860-19575269+)	-5.5323	0.0058	chr17	+	ALDH3A2	1,290
CircZNF720 (chr16:31733947-31734674+)	-5.2580	0.0007	chr16	+	ZNF720	223

OLK, oral leukoplakia; FC, fold change; HLA-C, human leukocyte antigen-C; PLIN4, perilipin-4; MTX2, metaxin 2; RNF13, ring finger protein 13; SENP2, sentrin/SUMO-specific proteases; PLEKHM2, Pleckstrin Homology And RUN Domain containing M2; ERICH1, Glutamate Rich 1; EMB, Embigin; ALDH3A2, Aldehyde Dehydrogenase 3 Family Member A2; ZNF720, Zinc Finger Protein 720.



**Figure 3** The 10 identified circRNAs in patients with OLK compared with NOM tissues. 6 pairs of tissues from patients with OLK and NOM were used for validating by qRT-PCR. The 10 selected circRNAs were showed relative expression in OLK compared with NOM. CircHLA-C (P<0.0001), circPLIN4 (P<0.0001), circMTX2 (P<0.01), circRNF13 (P<0.001), circSENP2 (P<0.0001), circPLEKHM2 (P<0.0001), circCALDH3A2 and circZNF720 showed no statistically significant difference between the OLK and NOM groups. The results of the 10 verified circRNAs of high-throughput sequencing and qRT-PCR were exhibited together as logFC in OLK group. OLK, oral leukoplakia; NOM, normal oral mucosal; qRT-PCR, real-time quantitative reverse transcription polymerase chain reaction; FC, Fold change; HLA-C, human leukocyte antigen-C; PLIN4, perilipin-4; MTX2, metaxin 2; RNF13, ring finger protein 13; SENP2, sentrin/SUMO-specific proteases; PLEKHM2, Pleckstrin Homology And RUN Domain containing M2; ERICH1, Glutamate Rich 1; EMB, Embigin; ALDH3A2, Aldehyde Dehydrogenase 3 Family Member A2; ZNF720, Zinc Finger Protein 720.

(RNase) R. Then Sanger sequencing was conducted on the three circRNAs. The experiment results revealed that the most upregulated circRNA (circHLA-C) showed that it had a specific back-splice junction site. We further performed a visualization analysis of circHLA-C, which was derived from HLA-C and consisted of 8 exons and 7 introns. The

back-splice site was CTACCTGG, as verified by Sanger sequencing (*Figure 4*).

#### Predicted function of DE circRNAs in OLK

The functions of DE circRNAs in OLK tissues were



**Figure 4** The ring formation mechanism and back spliced site of circHLA-C. The ring formation mechanism and the constitution characteristics of the host gene (HLA-C) were shown. The Sanger sequencing results of circHLA-C demonstrate that circHLA-C is a real circRNA with specific back-splice sites. HLA-C, human leukocyte antigen-C.

predicted because of the ability of circRNA to promote the expression of source genes. The GO enrichment analysis was based on a tripartite functional analysis of circRNA host genes that BP, CC, and MF (*Figure 5A,B,C,D,E,F*). In upregulated DE circRNAs, HLA-C, the host gene of circHLA-C, participated in as many as 95 GO terms, including 44 BP, 45 CC, and 6 MF, respectively. Multiterm of T cell-mediated cytotoxicity and immunity was regulated by HLA-C in BP. Major histocompatibility complex (MHC) class I protein was regulated by HLA-C in CC.

The KEGG analysis identified functional pathways through accurate pathways relevant to their source genes, with 139 KEGG pathways associated with 366 DE genes (DEGs). As a precancerous lesion, OLK is involved in the process of carcinogenesis through 3 pathways of the KEGG pathway. Among 60 upregulated circRNA pathways, "proteoglycans in cancer," "miRNAs in cancer," and "pathways in cancer" are all involved in regulating the expression of extracellular-signal-regulated protein kinase/ mitogen-activated protein kinase (ERK/MAPK1), which have been proved to be crucial factors in the pathogenesis of OLK (31,32). This suggests that OLK is a risk of oral cancer on account of it being involved in several cancer-related pathways. Moreover, we found that the regulation of the "natural killer cell-mediated cytotoxicity," "T cell receptor signaling pathway," and "B cell receptor signaling pathway" were all related to immunity, and were significantly regulated by the 65 overexpressed circRNAs. We also found that circHLA-C was regulated in the pathway of "natural killer cell-mediated cytotoxicity", a detailed mechanism diagram is shown in Figure S1. Among 79 downregulated circRNA pathways, "ubiquitin-mediated proteolysis", "signaling pathways regulating pluripotency of stem cells", and "mTOR signaling pathway" were significantly regulated by the 301 downregulated circRNAs (*Figure 6A,B*).

# ROC curve analysis of confirmed diagnosability of circHLA-C in OLK

The ROC curve analysis was performed to evaluate the potential diagnosability of circHLA-C. Among another 20 pairs of OLK and NOM, qRT-PCR was conducted to

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Figure 5 Bioinformatics analysis of significantly dysregulated expression of circRNAs. (A,B,C,D,E,F) The top 10 annotation cluster terms of upregulated and downregulated circRNAs are shown. GO, gene ontology; BP, biology process; CC, cell component; MF, molecular function.

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Figure 6 The top 10 of the KEGG analysis of upregulated and downregulated circRNAs. KEGG, Kyoto Encyclopedia of Genes and Genomes.

confirm the prognostic value. The area under the curve (AUC) was found for circHLA-C (AUC 0.9550, 95% CI, 0.8924–1.000, P value <0.0001). Generally speaking, the larger the AUC, the stronger the diagnosability. We foresee that circHLA-C may potentially function as a valuable biomarker for the diagnosis of OLK (*Figure 7*).

# Correlation between circHLA-C and epithelia dysplasia

It was observed that circHLA-C was expressed differently in different degrees of dysplasia of OLK tissues. According to pathological results, dysplasia of OLK could be divided into three levels, being mild, moderate, and severe (*Figure 8A,B*). The correlation between the expression of circHLA-C and the degree of dysplasia in another 20 samples was analyzed. The result delineated that their expression showed a positive correlation, with a statistically significant difference between the expression of circHLA-C and the mild and moderate degree of OLK dysplasia (P<0.05) (*Figure 8C*).

#### CircRNA/miRNA interaction analysis

One way that circRNAs can regulate miRNA levels is by absorbing miRNA and acting as a "miRNA sponge" (12). We screened the top 8 circRNAs and established a ceRNA network. The top 5 miRNAs regulated by each circRNA are listed in *Figure 9*. It was predicted that circRNAs such as circRNF13 (chr3:149613260-149639014+) could adsorb miR-7-5p, miR-5683, miR-7107-3p, miR-4452, and miR-580-5p. Bioinformatics prediction continued to analyze the downstream of the miRNA-mRNA network. The top 5 mRNAs that miRNA could inhibit in the downstream were also predicted, which highlighted that the roles of circRNA are very extensive, and can be regulated by several different pathways (*Figure 9*).



**Figure 7** ROC curve analysis of circHLA-C in OLK. The ROC curves showed that the expression of circHLA-C has potential value in the diagnosis of OLK. ROC, receiver operating characteristic; OLK, oral leukoplakia; AUC, area under curve; SEM, standard error of mean; CI, confidence interval.

#### Discussion

OSCC is the sixth most common malignant tumor in the world; however, patients are often diagnosed at the advanced stage when the prognosis is poor. On average, cancer takes four to five years to develop from OLK into OSCC (33,34); hence it is very important for diagnosis and the initiation of treatment to occur in a well-established premalignant stage. However, for a considerable number of OLKs, the pathogenesis remains ambiguous, and early diagnosis and prognosis biomarkers for OLK are not commonly applied in laboratory and clinical settings. Therefore, it is vital to provide a solution to fill this gap and to discover effective prevention and treatment strategies for OLK by researching new biomarkers.

This study proposed the concept of miRNA sponges, with circRNAs acting as antagonists of miRNAs, as it has been observed with the circRNA CDR1as (6). In addition, some studies have suggested that circRNAs regulate the expression of their parental genes in cis and promote gene transcription (35). Other studies showed that circRNAs bind to proteins to affect cell cycle progression and prevent protein function (36). In this study, the important functions of how circRNA worked in the occurrence and development of OLK were discussed and predicted.

We know that circRNA is a non-coding RNA that plays an important role in disease mechanisms (37,38). A large



**Figure 8** Correlation between circHLA-C and epithelial dysplasia. (A,B) Clinical and pathological images with different degrees of dysplasia. (C) Correlation between circHLA-C and progression of dysplasia of OLK. Expression of circHLA-C correlated positively with the degree of dysplasia. No dysplasia, mild, mild to moderate and moderate dysplasia was calculated as 0, 1, 2, and 3, respectively. OLK, oral leukoplakia.

number of circRNAs have been identified in various cell lines and species (13,38,39). In response to there being no relevant literature about this topic, we studied the circRNA expression profiles for OLK. Some studies suggest that circRNAs regulate the expression of their parental genes in cis and promote gene transcription (35). We found that circHLA-C was derived from HLA-C, the human MHC, namely human leukocyte antigen. The efficacy of the immune response to infectious and autoimmune

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Figure 9 ceRNA interaction. The ceRNA network (circRNA-miRNA-mRNA) of the top 8 selected circRNAs. Top 5 miRNA and mRNA were predicted. ceRNA, competing endogenous RNA.

diseases can be influenced by variations in the expression of HLA-C. The evolution of HLA-C has led to it having more intensive interactions with killer cell immunoglobulin-like receptors (KIRs), and thus it can influence the regulation of NK cell responses (40). Studies show that the genotype of KIR2DL1(+)-HLA-C2(+) could serve as a risk factor

in predisposing people to OSCC at a younger age (41). In addition, variation in HLA genes may impact the risk of squamous cell cervical cancer by altering the efficiency of the T-cell-mediated immune response to human papillomavirus (HPV) antigens (42).

The GO enrichment analysis showed that DE genes

were involved in a number of biological processes that could regulate the occurrence and development of diseases by influencing biological processes, cell components, and MFs. According to GO enrichment scores, "cell cycle", "positive regulation of T cell-mediated cytotoxicity", and "antigen procession and presentation of exogenous peptide antigen via major histocompatibility (MHC) class I molecules" were the top GO terms in BP. Most DEGs were focused on "cell cycle" and "cell cycle process" because epithelial dysplasia is one of the typical pathological manifestations of OLK, and it causes alterations in the cell cycle. It is speculated that changes in the expression of genes that control the cell cycle are the main causes of OLK. "T cell-mediated cytotoxicity and immunity" and "MHC class I protein complex" are regulated by HLA-C. Studies have shown that MHC class I molecules are connected with recognition by specific Tcell receptors and molecules present on the surface of CD8positive (CD8+) cells (43). It was reported that MHC class I, a pivotal etiological factor, when reduced in OLK, is related to the degree of dysplasia (44,45). Moreover, it is known that CD8+ cells were significantly more abundant in OSCC and OLK with dysplasia compared with OLK without dysplasia (46). It was indicated that the abnormal expression of key genes that regulate T cell-mediated cytotoxicity, and antigen procession and presentation of exogenous peptide antigen via MHC class I molecules could function sustainedly in the course of occurrence and dysplasia of OLK. As the gene with the most significant expression difference, circHLA-C may be an important part of DE genes in this process.

The KEGG pathway analysis predicted 139 pathways related to DE circRNAs. Among 60 upregulated circRNA pathways, the top 10 pathways included "natural killer cell-mediated cytotoxicity", "B cell receptor signaling pathway", "Epstein-Barr virus infection", "proteoglycans in cancer", "T cell receptor signaling pathway", and "allograft rejection". Among these pathways, we found that the target gene of circHLA-C is involved in the top 3 pathway "natural killer cell-mediated cytotoxicity", "Epstein-Barr virus infection", and "allograft rejection". This result suggested that OLK may be associated with infection and immunosuppressive factors, and circHLA-C may act as a key figure. These important pathways are of great significance in OLK development and will guide the discussion regarding the mechanisms of OLK in the future. The mechanism of circHLA-C's participation in the pathway still needs to be verified by subsequent experiments.

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We further validated that circHLA-C was significantly increased in repeated OLK samples of 20 OLK patients as compared with healthy samples. The ROC curve showed that circHLA-C had a satisfactory AUC. It was not only indicated that overexpressed circHLA-C plays an important role in the pathogenesis of OLK, but also demonstrated that circHLA-C might define its clinical value as a novel biomarker.

Studies have shown that circRNA can be used as ceRNA to adsorb miRNA through a sponge mechanism and act on a cellular regulatory network to establish a large gene regulatory transcriptome network using small RNA (47). The ceRNA network we constructed showed that circHLA-C could perform complementary pairing with miR-9, miR-339-5p, miR-29a-3p, miR-26a-5p, and miR-222-3p through the sponge mechanism because perfect binding sites were predicted between circHLA-C and above miRNA via TargetScan software. According to literature reports, the expression of serum miR-9 was significantly reduced in patients with OLK and OSCC compared with NOM samples (48). Downregulation of miR-339-5p was reported in OLK and some primary cancer tissues as a tumor suppressor and had been associated with cancer progression (49,50). Significant downregulation of miR-29a-3p and miR-26a-5p was found in OLK and cancer tissues; this downregulation implied a tumor-suppressive function (51). It was also found that miR-222-3p was significantly downregulated in OLK compared to normal and OSCC patients (52). As we can see, a circRNA may have multiple binding sites of the same miRNA or multiple miRNA binding sites. It might be that circHLA-C sponges these miRNAs to regulate the initiation and progression of OLK. More specific research should be conducted on the interaction between circHLA-C and miRNAs and the downstream target genes in OLK in the future. Time-specificity is a property of circRNA, with the expression of circRNA changing at different stages of cell development (9). During the transformation of OLK to OSCC, the degree of dysplasia of cells gradually increases, which could reflect the severity of OLK, leading us to ponder whether the process is the result of circRNA regulation. In the results, the difference of circHLA-C expression in different tissues made us contemplate whether it was correlated with the degree of dysplasia of OLK samples. The results showed that the expression of circHLA-C correlated positively with the degree of the dysplasia (with no dysplasia to moderate dysplasia) (P=0.01589). However, our samples were lacking in cases of

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severe dysplasia; and an increase in sample size and degrees of dysplasia is needed for further validation. Further cell and animal model experiments should be undertaken to better explain the details of the mechanism and specific functions of circHLA-C in OLK.

# Conclusions

Our study is the first to show that a large number of circRNAs were significantly DE between NOM and OLK tissues. The relationship between circHLA-C and dysplasia indicated that circHLA-C may positively correlate with the degree of dysplasia and plays a pivotal role in the immunosuppression and infection disorder of OLK. Contrary correlation and predicted binding sites between circHLA-C and miR-9, miR-339-5p, miR-29a-3p, miR-26a-5p, and miR-222-3p suggested that circHLA-C may regulate the progress of OLK via sponging miRNA from above. In summary, circHLA-C may be a valuable biomarker in OLK diagnosis, and it has generated new inspiration for future research into the diagnosis and prognosis of OLK.

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of Shanghai Ninth People's Hospital [No.: 2012 (21)] and individual consent for this retrospective analysis was waived.

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