



miR-126-3p contributes to sorafenib resistance in hepatocellular carcinoma via downregulating SPRED1

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Background: Sorafenib can prolong the survival of patients with advanced hepatocellular carcinoma (HCC). However, drug resistance remains the main obstacle to improving its efficiency. This study aimed to explore the likely molecular mechanism of sorafenib resistance.

Methods: Differentially expressed microRNAs (miRNAs) related to sorafenib response were analyzed with the Limma package in R software. The expression levels of miR-126-3p and sprouty-related EVH1 domain-containing protein 1 (SPRED1) in HCC cells were measured by quantitative reverse transcription polymerase chain reaction (qRT-PCR). Cell viability and proliferation were detected with Cell Counting Kit-8 (CCK-8), EdU proliferation, and clone formation assays. Transwell assays were performed to measure cell migration and invasion. TargetScan, MicroRNA Target Prediction Database (miRDB), and StarBase v2.0 were used to predict the targets of miR-126-3p. SPRED1 was confirmed as a target gene of miR-126-3p by dual-luciferase reporter assay and Western blotting. Finally, the *in vivo* anti-tumor effect of LV-miR-126-3p inhibitor combined with sorafenib was evaluated via subcutaneous tumor models.

Results: HCC cells with high expression of miR-126-3p exhibited increased resistance to sorafenib. The results of bioinformatics analysis and the dual-luciferase reporter assay showed that miR-126-3p directly targeted SPRED1. The sensitivity of HCC cells to sorafenib was markedly enhanced by SPRED1 upregulation. Gain- and loss-of function experiments verified that miR-126-3p induced sorafenib resistance in HCC through downregulating SPRED1. Furthermore, the inhibition of miR-126-3p markedly increased the effectiveness of sorafenib against HCC *in vivo*. Mechanistically, our results suggested that miR-126-3p promoted sorafenib resistance via targeting SPRED1 and activating the ERK signaling pathway.

Conclusions: Our study demonstrates that regulating the miR-126-3p/SPRED1 axis might be a promising strategy for enhancing the antitumor effect of sorafenib in the treatment of HCC.

Keywords: miR-126-3p; sprouty-related EVH1 domain-containing protein 1 (SPRED1); sorafenib resistance; hepatocellular carcinoma (HCC); ERK signaling pathway

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Introduction

Since being approved for the treatment of hepatocellular carcinoma (HCC) by the U.S. Food and Drug Administration (FDA), sorafenib has become the standard treatment for patients in the advanced stages of the disease (1,2). Numerous clinical studies have shown that sorafenib prolongs the survival of patients with advanced HCC; however, due to primary or acquired drug resistance, the effectiveness of sorafenib is limited. A variety of factors have been shown to be closely correlated with sorafenib resistance, including epithelial-mesenchymal transition (EMT), hypoxia, cancer stem cell (CSC) generation, and activation of signaling pathways such as the PI3K/AKT, NF- κ B, and MAPK pathways (3). Therefore, more efforts to clarify the mechanism of sorafenib resistance are called for to improve the effectiveness of sorafenib for a larger proportion of advanced HCC patients.

MicroRNAs (miRNAs) are short, conserved, noncoding RNAs that regulate gene expression at the post-transcriptional level. Recent studies have indicated that miRNAs possibly play critical roles in regulating sorafenib resistance in HCC through involvement in signaling pathways and other mechanisms (4,5). For instance, one study reported that miR-122 and miR-181a caused sorafenib resistance in HCC through regulating RAS/RAF/ERK signaling pathways, and another suggested that targeting miR-122 may improve the effectiveness of sorafenib in patients with HCC (6,7). Qiu *et al.* also showed that miR-16 could reverse sorafenib resistance by inhibiting HIF-1 α -induced generation of cancer stem cells (8). Thus, the targeting of specific miRNAs may become an effective therapeutic method for overcoming sorafenib resistance.

miR-126-3p has been shown to be involved in the processes of inflammation and angiogenesis in numerous cancers, but it appears to have different effects in different cancers (9). Studies have demonstrated that miR-126-3p suppresses cell proliferation and metastasis in breast cancer and colorectal cancer (10,11). However, in leukemia, lung cancer, and HCC, miR-126-3p has been shown to contribute to tumor progression (12-14). It has also been reported that miR-126-3p plays a crucial role in regulating the response to anticancer therapy (15,16), but it is unclear if miR-126-3p is involved in sorafenib resistance in HCC.

Sprouty-related EVH1 domain-containing protein 1 (SPRED1), which is a widely known negative regulator of the Ras/Raf/ERK signaling pathway, has been shown to

have a low expression in various tumor tissues (17,18). A recent study identified that SPRED1 loss was a driver of mucosal melanoma; knockdown of SPRED1 led to MAPK activation, increased cell proliferation, and promoted resistance to tyrosine kinase inhibitors (19). Another study demonstrated that SPRED1 was downregulated in HCC and that it negatively regulated HCC cell proliferation, invasion, and metastasis (20). Moreover, a recent study of HCC patients found that the mRNA level of SPRED1 was inversely correlated with that of miR-126-3p (21). Nevertheless, whether SPRED1 expression is related to resistance or sensitivity to sorafenib in HCC is still unknown.

In the present study, we found that miR-126-3p was significantly upregulated in HCC patients who were resistant to sorafenib. Bioinformatics analysis and dual luciferase reporter assay confirmed that SPRED1 was the target of miR-126-3p. We further demonstrated that miR-126-3p prompted sorafenib resistance by inhibiting SPRED1 expression and activating the ERK signaling pathway. We present the following article in accordance with the ARRIVE reporting checklist (available at <http://dx.doi.org/10.21037/atm-20-2081>).

Methods

Cell culture and reagents

Five HCC cell lines (HepG2, Hep3B, Huh-7, SK-HEP-1, and MHCC97H) were cultured in Dulbecco's Modified Eagle Medium (DMEM; Gibco BRL, Grand Island, NY, USA) supplemented with 10% fetal bovine serum (FBS, Gibco BRL). Sorafenib was purchased from APEX BIO Technology (TX, USA). The sorafenib reagent was dissolved in dimethyl sulfoxide (DMSO) at a dose of 100 μ mol/L for storage, and was diluted to working concentrations with DMEM. SCH772984, an ERK inhibitor, was purchased from Selleck Chemicals (Houston, TX, USA) and used at concentration of 1 μ mol/L, as recommended (22).

Bioinformatics analysis

Gene Expression Omnibus (GEO) is a public database containing high-throughput gene expression data, gene chips analyses, and microarray data. We conducted a search of the GEO database using the keywords "microRNA" "sorafenib" and "HCC", and identified the dataset, GSE56059, which was then analyzed with the Limma

package (version: 3.40.2) in R software. Differentially expressed miRNAs were analyzed between sorafenib nonresponse HCC patients [progressive disease (PD)] and sorafenib response HCC patients [partial response (PR) or stable disease (SD)] (23). Fold-change (FC) between the two groups was calculated for each gene. Then, the differentially expressed genes were filtered for $P < 0.05$ and $FC > 1.5$. Next, candidate target genes of miR-126-3p were identified using three online programs: TargetScan 7.2 (<http://www.targetscan.org>) (24), miRDB (<http://www.mirdb.org>), and StarBase v2.0 (<http://starbase.sysu.edu.cn>) (25). **Table S1** shows the predicted target genes that overlapped in the three programs.

Cell transfection

SPRED1 small interfering (siRNA), and miR-126-3p mimics and inhibitors were designed and synthesized by RiboBio (Guangzhou, China). The plasmid vector pCDH-MSCV-MCS-EF1-copGFP-T2A-Puro carrying the full-length complementary DNA of human SPRED1 was purchased from GenePharma (Shanghai, China). Lentivirus microRNA inhibitor of miR-126-3p was obtained from Sigma-Aldrich (Germany) and then cloned into the pLKO.1-puro vector. The 293T cell line was then transfected with either SPRED1 overexpression plasmid, lenti-miR-126-3p plasmid, or the blank plasmid together with assistant plasmids (psPAX2 and pMD2.G) to produce viral particles. Viral supernatants were collected and transfected into HCC cells. The infected cells were selected with 2 $\mu\text{g}/\text{mL}$ of puromycin. The siRNAs, plasmids, and microRNA mimics and inhibitors were transfected into HCC cells using Lipofectamine2000 (Invitrogen, USA) according to the manufacturer's instructions. The sequences of the siRNAs and microRNA mimics and inhibitors are listed in **Table S2**.

Quantitative reverse transcription polymerase chain reaction (qRT-PCR)

Total RNA was isolated using TRIzol reagent (Takara, Japan) and reversed transcribed into cDNA using PrimerScript RT Master (Takara). Gene expressions were examined using SYBR Premix Ex Taq (Takara) by quantitative reverse transcription polymerase chain reaction (qRT-PCR). The primers sequences of target genes are listed in **Table S3**.

Cell viability and cell proliferation assays

To assess cell viability, HepG2 and MHCC97H cells were seeded onto a 96-well plate at a density of 1×10^4 cells/well. The cells were treated with various concentrations of sorafenib for 48 hours. To determine cell proliferation, HepG2 and MHCC97H cells were seeded onto a 96-well plate at a density of 2×10^3 cells/well. The cells were then divided into different groups and cultured for 24, 48, or 72 hours. After washing the cells twice with phosphate-buffered saline (PBS), 100 μL of DMEM containing 10 μL of Cell Counting Kit-8 (CCK8) reagent was added to each well. After incubation for 1 hour at 37 $^{\circ}\text{C}$, the absorbance was measured at 450 nm using an automated enzyme-linked immunosorbent assay (ELISA) plate reader.

EdU proliferation assay

The EdU assay was performed using a BeyoClick™ EdU Cell Proliferation Kit with Alexa Fluor 488 (Beyotime, Jiangsu, China) according to the manufacturer's protocol. Briefly, HCC cells were seeded onto a 24-well plate and treated for 48 hours. After that, the cells were incubated with 10 $\mu\text{mol}/\text{L}$ EdU diluted in DMEM for a further 2 hours. Then, the cells were fixed with 4% paraformaldehyde for 20 min and permeabilized with 0.3% Triton X-100 for 20 min at room temperature. After being washed three times with PBS, the cells were incubated with 0.5 mL of Click Reaction Mixture for 30 min. Subsequently, the cells were stained with Hoechst (1:1,000) for 2 min and observed under a fluorescence microscope (Olympus, Tokyo, Japan). The numbers of EdU-positive cells were counted in three random fields of view per slide, and the percentage of EdU-positive cells was calculated.

Dual luciferase reporter assay

Wild-type and mutational SPRED1 mRNA 3'-UTR luciferase reporter gene plasmids were constructed by GenePharma Inc (Shanghai, China). Briefly, 293T cells were cotransfected with pSPRED1-3'UTR-WT/miR-126-3p mimics or pSPRED1-3'UTR-MUT/miR-126-3p mimics using Lipofectamine-2000 in 96-well plates. After 48 hours, luciferase assay was performed using a Dual-Luciferase Reporter Gene Assay Kit (Beyotime, China) according to the manufacturer's instructions. Renilla luciferase served as a control reporter for normalization, and the relative luciferase activity was calculated as the ratio of the firefly and Renilla luciferase activities.

Western blotting and immunohistochemical (IHC)

Western blotting and immunohistochemistry (IHC) assays were performed as described in our previous study (26). Antibody Sampler Kit (#9926) was purchased from Cell Signaling Technology (Danvers, MA, USA). The primary antibodies against SPRED1 and glyceraldehyde 3-phosphate dehydrogenase (GAPDH), and the horse-radish peroxidase (HRP)-labeled anti-rabbit secondary antibodies were obtained from ABclonal (Wuhan, China).

Animal experiments

Animal experiments were approved by the Bioethics Committee of Sun Yat-Sen University and were performed according to the National Institutes of Health guidelines for the use and care of laboratory animals (approval number: SYSU-IACUC-2019-B182). MHCC97H cells transfected with LV-vector or LV-miR-126-3p inhibitor (5×10^6) were injected subcutaneously into 4–6 weeks old BALB/C nude mice. Sorafenib was diluted in an oral vehicle containing Cremophor (Sigma), ethanol, and ddH₂O at a ratio of 1:1:6. The mice injected with MHCC97H-LV-vector cells were then randomly divided into two groups: the control group (ddH₂O, orally) and the sorafenib group (30 mg/kg/d, orally). The mice injected with MHCC97H-LV-miR-126-3p inhibitor were administered 30 mg/kg/d of sorafenib. Tumor volumes were measured every 3 days and were calculated using the following equation: $V \text{ (mm}^3\text{)} = 0.5 \times \text{length} \times \text{width}^2$. Finally, the mice were sacrificed after 2 weeks of treatment, and the solid tumors were weighed and fixed in formaldehyde.

Statistical analysis

All data are presented as the mean \pm standard deviation. Data were compared using Student's *t*-test or two-way analysis of variance (ANOVA) with Bonferroni correction. All analyses were performed using IBM SPSS Statistics version 20.0 software. A *P* value of < 0.05 was considered to be statistically significant.

Results

High expression of miR-126-3p was positively correlated with sorafenib resistance in HCC

Differentially expressed miRNAs were analyzed between

sorafenib nonresponse HCC patients (PD) and sorafenib response HCC patients (PR or SD), and the results are shown in *Figure 1*. In our previous study, we demonstrated that miR-1226 was markedly downregulated in the PD group and could promote sorafenib sensitivity in HCC (27). Here, we found that miR-126-3p was highly expressed in the PD group. To determine whether miR-126-3p was involved in sorafenib sensitivity in HCC cells, we first used qRT-PCR to determine miR-126-3p expression levels in a panel of human HCC cell lines. The results showed that HepG2 cells exhibited the lowest expression of miR-126-3p, while MHCC97H cells exhibited the highest expression (*Figure 1B*). *Figure 1D* shows the effect of sorafenib on the viability of HepG2 and MHCC97H cells; the IC₅₀ value of MHCC97H cells (22.54 $\mu\text{mol/L}$) was much higher than that of HepG2 cells (5.48 $\mu\text{mol/L}$). However, the IC₅₀ value of MHCC97H cells transfected with miR-126-3p inhibitor decreased to 13.26 $\mu\text{mol/L}$, and the IC₅₀ value of HepG2 cells transfected with miR-126-3p mimics increased to 9.03 $\mu\text{mol/L}$. Moreover, the CCK8 assay showed that overexpression of miR-126-3p significantly decreased the antiproliferative effect of sorafenib in HepG2 cells, while miR-126-3p inhibitor markedly enhanced the antitumor effect of sorafenib in MHCC97H cells (*Figure 1E*).

SPRED1 was a direct target of miR-126-3p

The direct target of miR-126-3p was predicted with the online programs TargetScan, miRDB, and StarBase v2.0. Seven genes (KANK2, ADAM9, SLC7A5, SPRED1, PLXNB2, ITGA6, and CRK) were predicted by all three programs (*Figure 2A*, *Table S3*). A recent study indicated that the mRNA level of SPRED1 was inversely correlated with that of miR-126-3p in HCC patients (21). Therefore, SPRED1 was selected for further experiments. The results of qRT-PCR and Western blotting assays showed that miR-126-3p mimics could inhibit the expression of SPRED1 in HepG2 cells, whereas miR-126-3p inhibitor markedly increased the expression of SPRED1 in MHCC97H cells (*Figure 2B,C,D*). Next, we performed a dual-luciferase reporter assay using reporter plasmid containing a wild-type or mutant sequence of 3'UTR region of SPRED1 (*Figure 2E*). The results showed that miR-126-3p mimic markedly reduced the firefly luciferase activity in the wild-type group; however, it had no effect on firefly luciferase

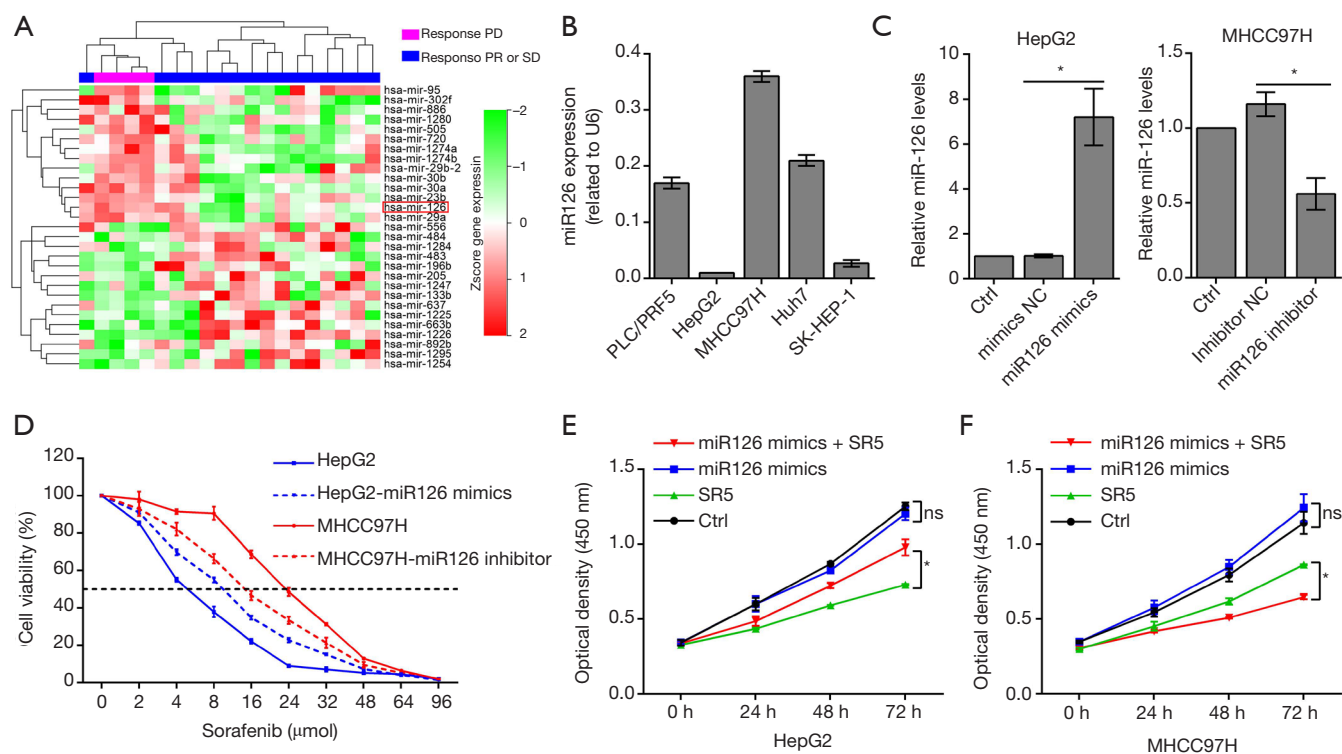


Figure 1 HCC cells with high expression of miR-126-3p were more resistant to sorafenib. (A) A heat map showing the miRNAs differentially expressed in sorafenib resistant HCC patients (PD) and sorafenib sensitive HCC patients (PR or SD) from the GEO dataset (GSE56059). (B) The expression levels of miR-126-3p in five HCC cells were measured by qRT-PCR. (C) RT-PCR results showed the efficiency of miR-126-3p inhibitor and mimics in HCC cells. (D) The dose-dependent effects of sorafenib on the viability of HepG2 and MHCC97H cells. (E) Cell counting kit-8 (CCK-8) assay showed that miR-126-3p mimics markedly impaired the antiproliferative effect of sorafenib in HepG2 cells. (F) CCK8 assay showed that miR-126-3p inhibitor markedly enhanced the growth inhibition effects of sorafenib on MHCC97H cells. Data represents the mean \pm SD of three independent experiments. IC50 value was calculated by nonlinear regression analysis using GraphPad Prism software. *, $P < 0.05$. PD, progressive disease; PR, partial response; SD, stable disease.

activity in the mutant group (Figure 2F).

Upregulation of SPRED1 enhanced the antitumor effect of sorafenib against HCC

To determine whether SPRED1 was involved in sensitivity of HCC cells to sorafenib, we first determined the expression levels of SPRED1 in HepG2 and MHCC97H cells. The expression of SPRED1 was greater in the HepG2 cells than in the MHCC97H cells (Figure S1). The CCK-8 proliferation assay demonstrated that the downregulation of SPRED1 markedly impaired the antitumor effect of sorafenib in HepG2 cells (Figure 3A), whereas overexpression of SPRED1 enhanced the antitumor effect of sorafenib in MHCC97H cells (Figure 3B). The EdU assay demonstrated that sorafenib at 5 $\mu\text{mol/L}$ significantly

reduced the ratio of proliferating cells to 43% compared with the control in HepG2 cells. Conversely, the antitumor effect of sorafenib in HepG2 cells was markedly decreased after transfection with SPRED1 siRNA (Figure 3C). Additionally, sorafenib at 5 $\mu\text{mol/L}$ could only reduce the ratio of proliferating cells to 71% compared with the control. However, in MHCC97H cells transfected with the SPRED1 overexpression plasmid, sorafenib decreased the proliferation inhibition rate to 38% compared with the control (Figure 3D). The Transwell migration and invasion assays consistently demonstrated that inhibiting SPRED1 markedly impaired the effect of sorafenib on the cell mobility and invasion ability of HepG2 cells, whereas upregulation of SPRED1 enhanced the effect of sorafenib on the cell mobility and invasion ability of MHCC97H cells (Figure 3E, Figure S1D,E).

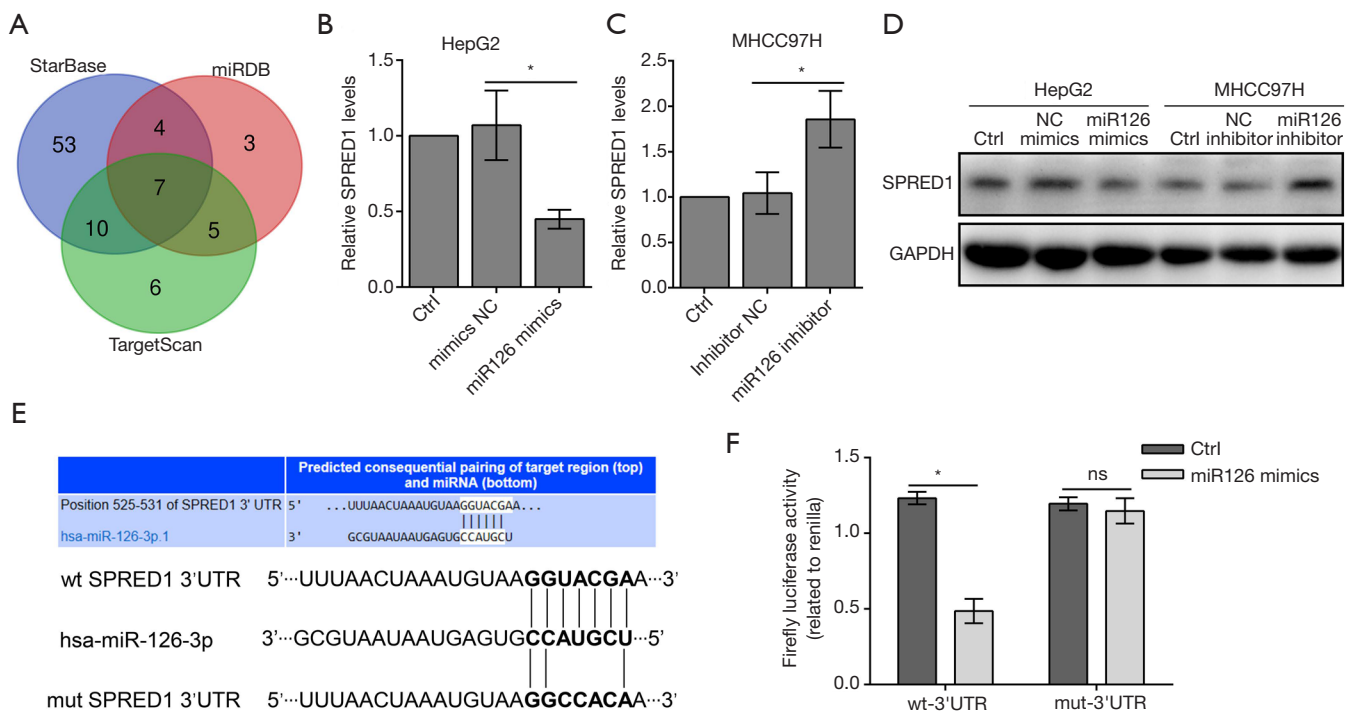


Figure 2 SPRED1 was a direct target of miR-126-3p. (A) TargetScan, miRDB, and StarBase v2.0 were used to predict the potential targets of miR-126-3p. (B,C) The effect of miR-126-3p mimics or inhibitor on the expression of SPRED1 in HCC cells by qRT-PCR. (D) Western blot assay showed that miR-126-3p markedly inhibited the expression of SPRED1 in HepG2 cells, while miR-126-3p mimics could upregulate the protein levels in MHCC97H cells. (E) TargetScan was used to predict the binding site for miR-126-3p in the 3'-UTRs of SPRED1. (F) Luciferase reporter assays were conducted to verify the relationships between miR-126-3p and SPRED1 in HepG2 cells. Data represent the mean \pm SD of three independent experiments. *, $P < 0.05$.

The effect of miR-126-3p on the sensitivity of HCC cells to sorafenib was dependent on the regulation of SPRED1 expression

To verify that miR-126-3p induced sorafenib resistance through downregulating SPRED1, rescue assays were performed. Consistent with the above-mentioned results, miR-126-3p u-regulation markedly impaired the effect of sorafenib in HepG2 cells. However, cotransfection with SPRED1 overexpression plasmid and miR-126-3p mimic could resensitize HepG2 cells to the antitumor effect of sorafenib (Figure 4A,B). In the MHCC97H cells, CCK8 and colony-formation assays both showed that downregulation of miR-126-3p increased the antitumor effect of sorafenib treatment, while cotransfection with SPRED1 siRNA and miR-126-3p inhibitor almost completely abrogated this increased sensitivity to sorafenib (Figure 4C,D). Furthermore, Transwell migration and invasion assays of HepG2 cells treated with sorafenib showed that the

increased cell mobility and invasion ability induced by the overexpression of miR-126-3p was reversed by the upregulation of SPRED1 (Figure 4E, Figure S2A). Transwell assay also found similar results in MHCC97H cells (Figure 4F, Figure S2B). Collectively, these data suggested that miR-126-3p affects sorafenib sensitivity through regulating SPRED1 expression.

miR-126-3p/SPRED1 influenced sorafenib sensibility by modulating the ERK signaling pathway

Studies have shown that the Ras/Raf/MAPK signaling pathway is the key target of sorafenib and responsible for its antitumor effects (28). Therefore, we investigated the effect of miR-126-3p on this signaling pathway. Western blotting analysis demonstrated that inhibition of SPRED1 induced ERK phosphorylation in HepG2 cells, while overexpression of SPRED1 markedly reduced the phospho-ERK (p-ERK) levels in MHCC97H cells (Figure 5A).

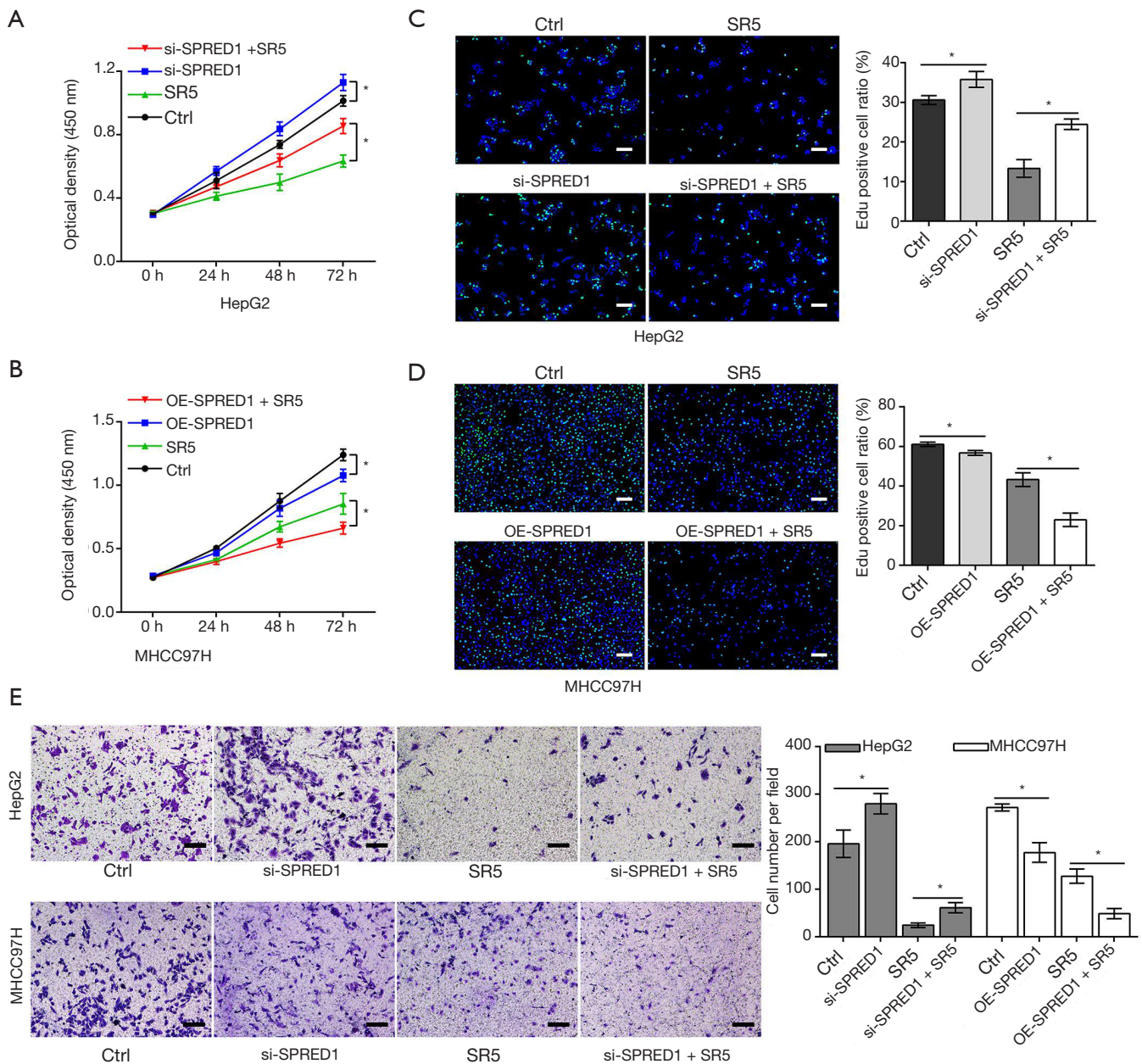


Figure 3 Regulation of SPRED1 could influence the anti-tumor effect of sorafenib in HCC cells. (A,C) CCK8 and EdU proliferation assays showed that SPRED1 siRNA markedly impaired the antiproliferative effects of sorafenib on HepG2 cells. (B,D) CCK8 and EdU proliferation assays showed that overexpression of SPRED1 markedly enhanced the growth inhibition effects of sorafenib on MHCC97H cells. (E) Transwell migration assays were performed to examine the effect of regulating SPRED1 on sorafenib treatment in HepG2 and MHCC97H cells [crystal violet, 100 μm ($\times 100$)]. Data represent the mean \pm SD of three independent experiments. *, $P < 0.05$.

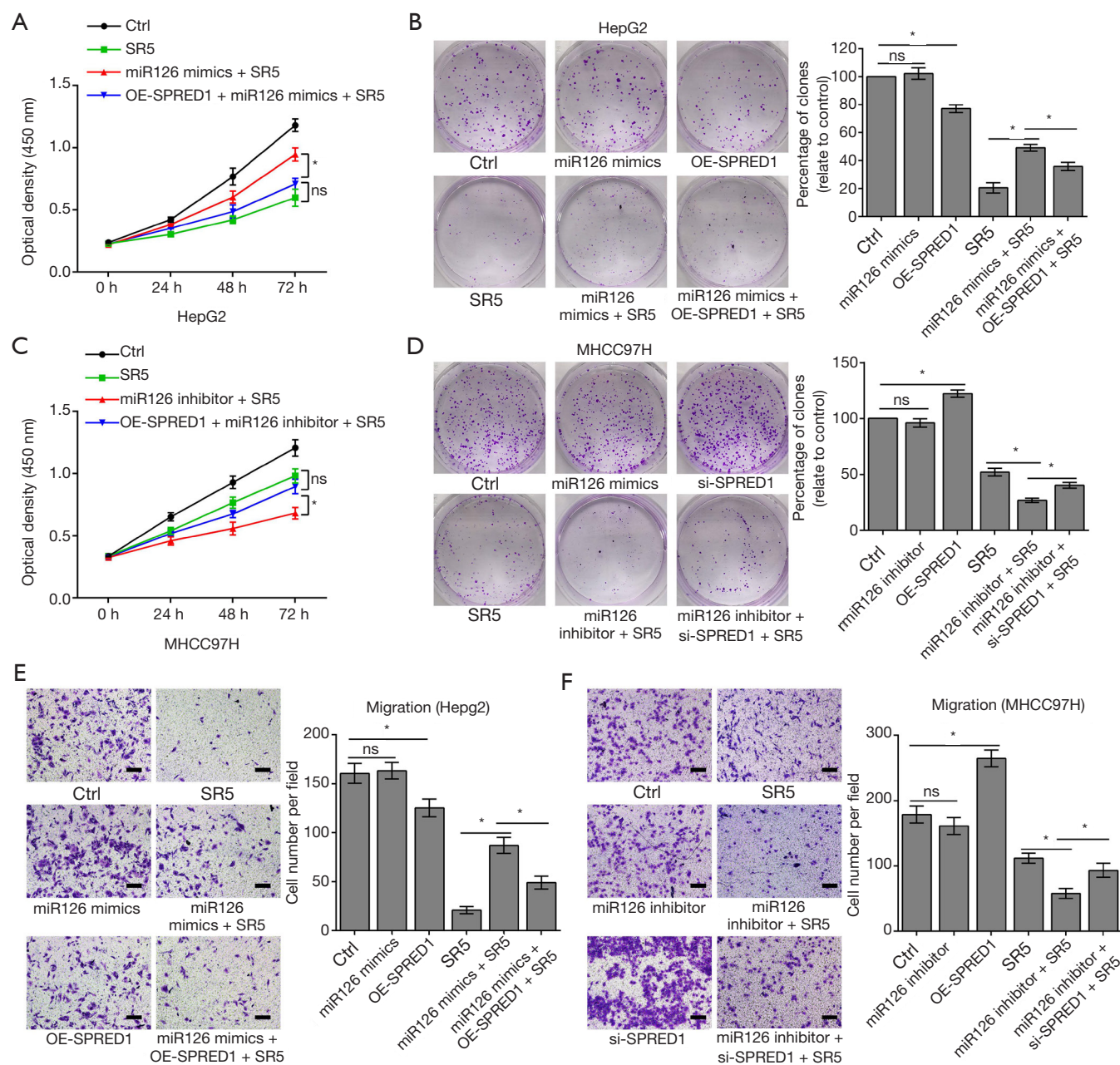


Figure 4 The function of miR-126-3p on promoting sorafenib resistance was dependent on the regulation of SPRED1. (A,B) CCK-8 and colony-formation assays showed the antitumor effects of sorafenib in HepG2 cells with overexpression of SPRED1, miR-126-3p, or a combination of the two. (C,D) CCK-8 and colony-formation assays showed the antitumor effects of sorafenib against MHCC97H cells with the downregulation of SPRED1, miR-126-3p or a combination of the two. (E) Transwell migration assays were performed to examine the effect of sorafenib combined with overexpressing SPRED1 or miR-126-3p mimics in HepG2 cell. (F) Transwell migration assays were performed to examine the effect of sorafenib combined with si-SPRED1 or miR-126-3p inhibitor in HepG2 cell. HCC cells were stained with crystal violet in B,D,E,F, scale bars: 100 μ m (\times 100). Data represent the mean \pm SD of three independent experiments. *, $P < 0.05$.

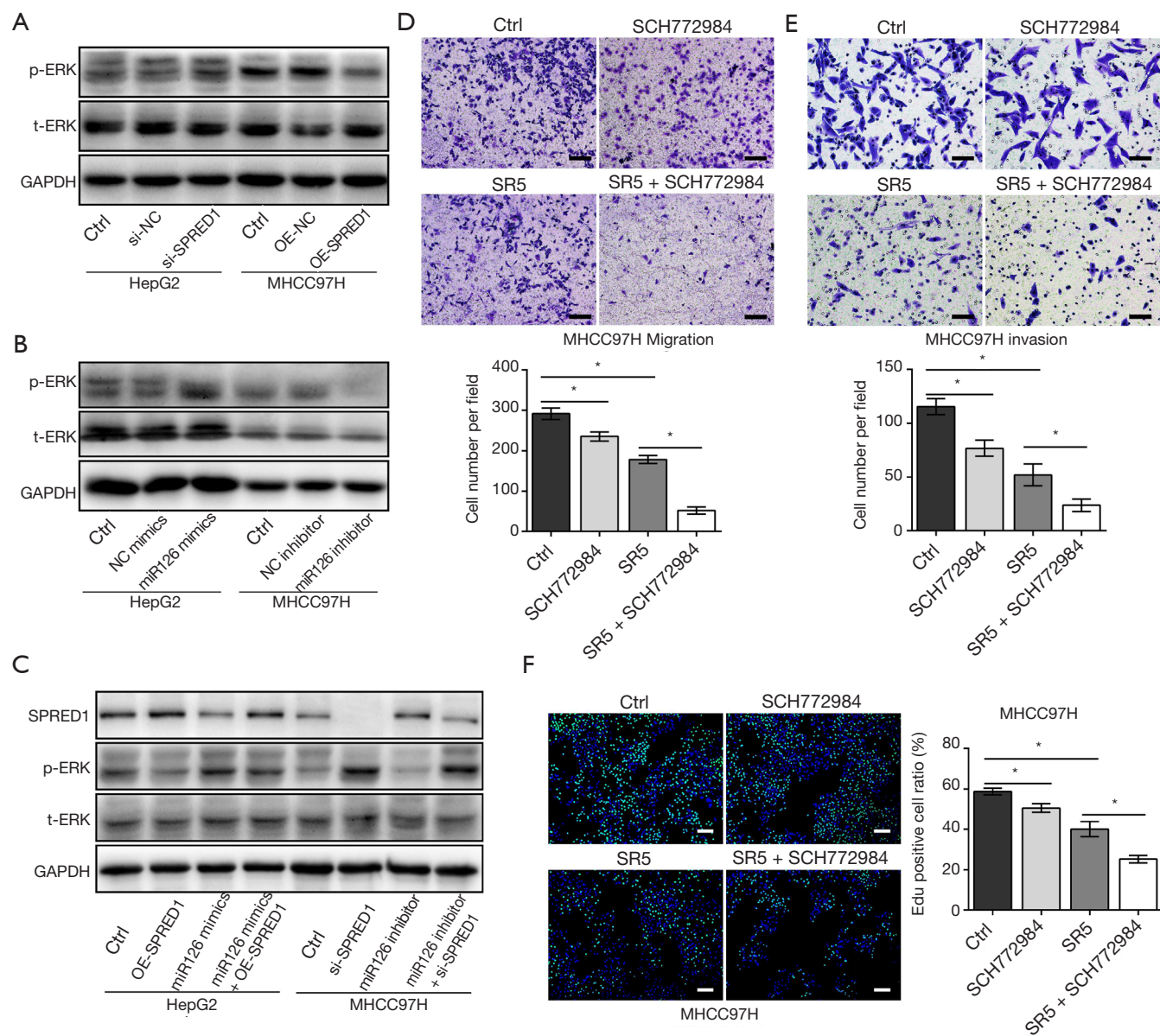


Figure 5 miR-126-3p/SPRED1 modulated the phosphorylation levels of ERK. (A) Western blot showed that miR-126-3p mimics or miR-126-3p inhibitor significantly influenced the phosphorylation levels of ERK in HCC cells. (B) Western blot also showed that SPRED1 siRNA could increase phosphorylation levels of ERK in HepG2 cells, while overexpression of SPRED1 markedly suppressed p-ERK in MHCC97H cells. (C) Western blot assay showed SPRED1 and p-ERK expression in HCC cells transfected with miR-126-3p and SPRED1. (D,E) Transwell assays showed that SCH772984 (ERK inhibitor) markedly enhanced the migration and invasion inhibition of sorafenib against MHCC97H cells. (F) EdU assays showing the combined therapy of sorafenib and SCH772984 in MHCC97H cells. HCC cells were stained with crystal violet in D,E, scale bars: 100 μ m (black), 50 μ m (white). Data represent the mean \pm SD of three independent experiments. *, $P < 0.05$.

Moreover, our findings also revealed that upregulation of miR-126-3p markedly increased p-ERK in HepG2 cells, while the downregulation of miR-126-3p significantly decreased p-ERK in MHCC97H cells (Figure 5B). We also performed rescue assays to investigate the role of miR-126-3p/SPRED1/p-ERK signaling pathway. The results showed that miR-126 mimics inhibited the expression of SPRED1 and increased the p-ERK levels in HepG2 cells, while simultaneously, the overexpression of SPRED1 impaired the increase of p-ERK levels caused by miR-126 mimics (Figure 5C). Moreover, treatment of HepG2 and MHCC97H cells with a combination of sorafenib and SCH772984 (an ERK inhibitor) demonstrated that SCH772984 (1 $\mu\text{mol/L}$) strongly and synergistically enhanced the anti-tumor effect of sorafenib (Figure 5D,E,F). These results indicate that the regulation of miR-126-3p/SPRED1 influences the p-ERK levels, and synergistic inhibition of p-ERK markedly enhances the anti-tumor effect of sorafenib in HCC cells.

Downregulation of miR-126-3p promoted the antitumor effects of sorafenib in vivo

We constructed murine xenograft tumor models with MHCC97H-LV-vector and MHCC97H-LV-miR-126-3p inhibitor cells, and then treated the mice with vehicle control or sorafenib for 2 weeks (Figure 6). The results showed that sorafenib combined with miR-126-3p inhibition markedly inhibited tumor growth in the xenograft mice compared with the control and sorafenib alone groups (Figure 6D). The mean tumor weight in the sorafenib alone group was 43.6% of that in the control group, and the mean tumor weight in the sorafenib combined with miR-126-3p inhibition group was 13.9% of that in the control group (Figure 6C). Also, IHC analysis revealed that sorafenib combined with miR-126-3p inhibition markedly decreased the positive staining of Ki-67 and the level of p-ERK compared with the control and sorafenib groups (Figure 6E).

Discussion

Sorafenib is the first-line treatment for patients with advanced HCC. However, for a large proportion of patients, drug resistance makes sorafenib ineffective. In this study, we explored the role of miRNAs in the regulation of sorafenib resistance. For the first time we confirmed that miR-126-

3p is positively correlated with sorafenib resistance in HCC patients.

A number of studies have indicated that dysregulation of miR-126-3p affects various biologic behaviors in tumor cells, including cell proliferation, migration, and tumor self-renewal (9,13,29). However, the function of miR-126-3p in HCC is controversial, and it is still unknown whether its expression is related to sorafenib sensitivity. Some studies have demonstrated that miR-126-3p is upregulated in HCC compared with metastatic liver tumors. High levels of circulating miR-126-3p are considered to be a promising noninvasive diagnostic biomarker for hepatitis B virus (HBV)—related or hepatitis C virus (HCV)—related HCC (12,30,31). Nevertheless, other studies have reported that a low expression of miR-126-3p is positively correlated with HCC recurrence after liver transplantation, and that miR-126-3p has an inhibitory effect on cell proliferation and induces apoptosis of HCC cells through targeting SOX2 (32,33). miRNA–mRNA interactions suggest that the same miRNA could have multiple targets, and the same mRNA could be targeted by multiple miRNAs. Thus, a possible explanation for the paradoxical role of miR-126-3p in tumors might be that distinct target genes and distinct signaling pathways are involved, which gives rise to different biological consequences. Studies also have shown that miR-126-3p has both oncogenic and suppressive capabilities in leukemia (13,34). In the current study, *in vitro* and *in vivo* experiments demonstrated that HCC cells with a high expression of miR-126-3p are more resistant to sorafenib treatment. To the best of our knowledge, this is the first study to report that miR-126-3p is associated with sorafenib resistance in HCC.

To further investigate the mechanisms of the relationship between miR-126-3p and sorafenib, we identified putative target genes of miR-126-3p and confirmed that SPRED1 is a direct target of miR-126-3p. A recent study reported that SPRED1 mRNA expression was inversely correlated with miR-126-3p expression in HCC patients (21). Another study reported that SPRED1 could inhibit cell proliferation and migration in HCC (20). However, it was still unclear if SPRED1 is related to sorafenib sensitivity in HCC. Our results demonstrated that overexpression of SPRED1 markedly enhances the antitumor effect of sorafenib in HCC, and we further confirmed that miR-126-3p contributes to sorafenib resistance in HCC cells via inhibiting SPRED1 expression.

Because sorafenib is an inhibitor of multiple receptor

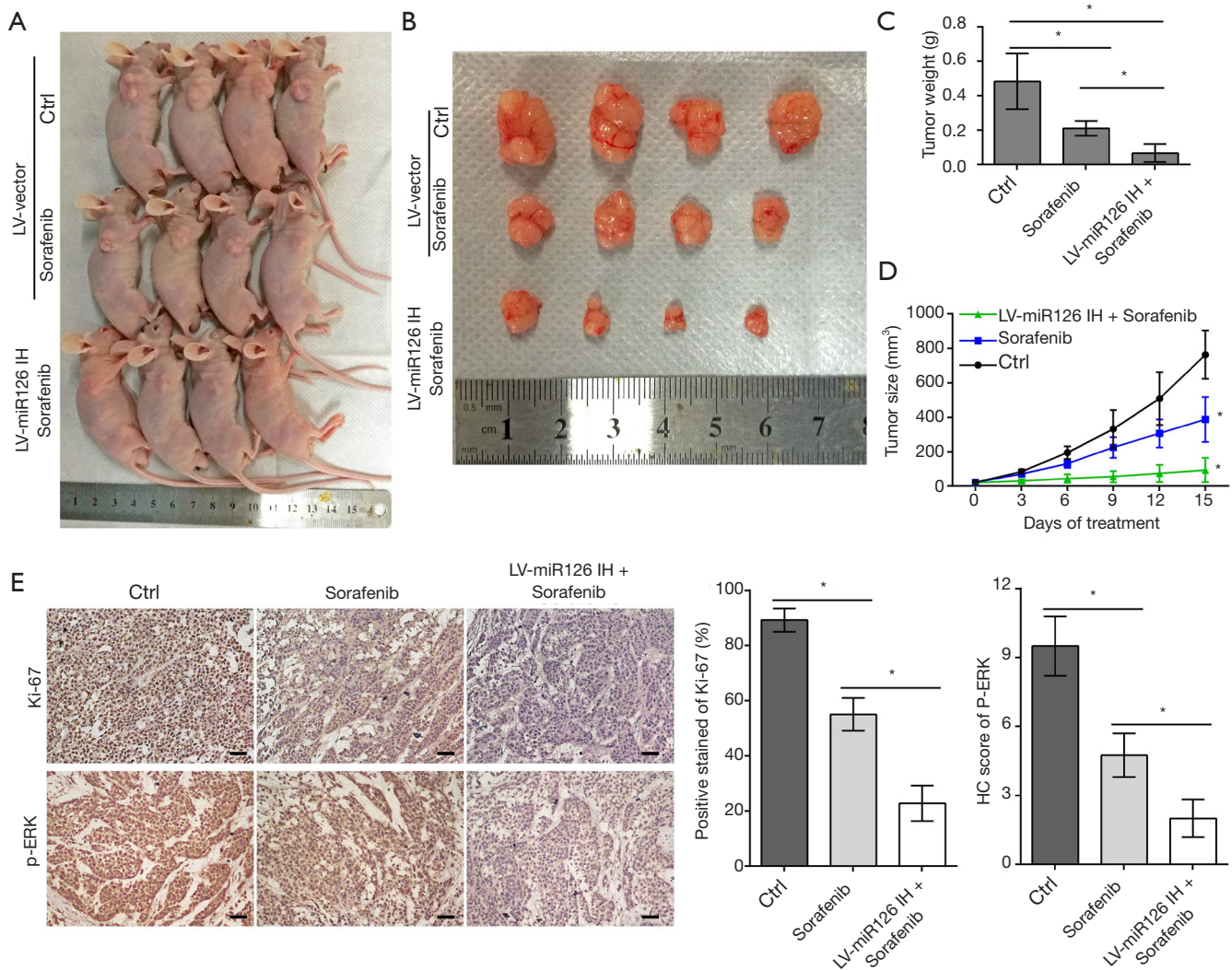


Figure 6 Downregulation of miR126 promoted the *in vivo* antitumor effects of sorafenib. (A) Mice bearing subcutaneous tumors from each of the three groups. (B) Dissected tumors from each group of mice. (C) The weight of dissected tumors from each group at endpoint. (D) The tumor volumes were measured every 3 days, and the growth curves of tumors were created for each group. (E) Ki-67 and p-ERK expression levels in each group were analyzed by immunohistochemistry. Scale bars: 50 μ m (200 \times). *, $P < 0.05$.

tyrosine kinases (VEGFR-2, PDGFR- β , Flt-3, and Kit) and Raf kinases, it can inhibit the ERK signaling pathway in HCC (28,35). Previous studies have revealed that higher p-ERK levels are associated with increased time to progression (TTP) in HCC and are potentially a predictive biomarker for poor outcomes in patients with advanced HCC who are treated with sorafenib (36,37). Furthermore, a preclinical study also suggested that p-ERK might be a prognostic biomarker for HCC patients treated with the combination of sorafenib and MEK inhibitor (38).

Studies have shown that SPRED1 could inhibit the ERK activation by forming a complex with Raf, and another study demonstrated that SPRED1 inhibits p-ERK levels through downregulating Ras-GTP levels (39,40). In the current study, we identified that upregulation of SPRED1 markedly decreases ERK phosphorylation and that the overexpression of miR-126-3p increases p-ERK levels via the downregulation of SPRED1 in HCC cells. Overall, our results indicate that miR-126-3p/SPRED1 influences the sensitivity of HCC to sorafenib by modulating ERK

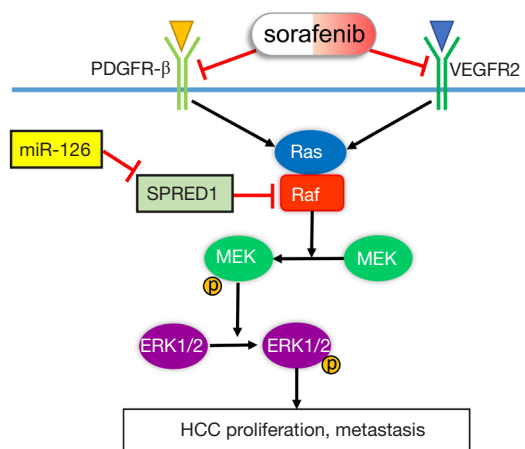


Figure 7 An illustrative model to show the proposed mechanism of miR-126-3p promoting sorafenib resistance in HCC. Sorafenib is a multikinase inhibitor which acts against several receptor tyrosine kinases, including PDGFR-β and VEGFR2, and then blocks the Raf/MEK/ERK signaling pathway. SPRED1 inhibits MEK/ERK activation by forming a complex with Raf. However, miR-126-3p can directly inhibit SPRED1 expression and then activate the ERK signaling pathway, thus impairing the anti-tumor effect of sorafenib against HCC. Taken together, inhibiting miR-126-3p could enhance sorafenib sensitivity against HCC through synergistically suppressing the Raf/MEK/ERK signaling pathway.

signaling pathway.

Conclusions

In conclusion, we systematically demonstrated that miR-126-3p contributes to sorafenib resistance in HCC via inhibiting SPRED1 and activating the ERK signaling pathway (Figure 7). Our findings provide preclinical evidence to support further study on the potential use of the miR-126-3p/SPRED1 axis as a predictive biomarker of sorafenib response in patients with HCC.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work, and the questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. Animal experiments were approved by the Bioethics Committee of Sun Yat-Sen University and were performed according to the National Institutes of Health guidelines for the use and care of laboratory animals (approval number: SYSU-IACUC-2019-B182).

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Table S1 The target genes of miR-126-3p predicted by three online programs

| Online program | Target genes of miR-126-3p |
|----------------|---|
| TargetScan 7.2 | <i>LRP6, TRAF7, PCDH7, RGS3, TRIM46, ITGA6, SPRED1, FBXO33, HERPUD1, SAMD14, KANK2, CAMSAP1, PLXNB2, ADAM9, EFHD2, AKAP13, PEX5, CRK, EGFL7, DIP2C, PLK2, SDC2, PTPN9, GBP2, GNA13, IRS1, BAK1, SLC7A5</i> |
| miRDB | <i>RNF165, RGS3, ITGA6, SPRED1, SLC15A4, TSC1, KANK2, PIK3R2, CAMSAP1, PLXNB2, ADAM9, LARP6, CRK, DIP2C, KCNAB3, PTPN9, IRS1, PMM1, SLC7A5</i> |
| StarBase V2.0 | <i>TRAF7, ZNF219, C5orf13, DNMT1L, HERPUD1, SFRS6, ARID2, PCDH9, CDKN2AIP, VPRBP, IRS2, SPATS2, ATP6V1D, WDR6, GNA13, SMEK1, MANBAL, ORMDL3, ARNTL, CYBASC3, EVI5, KANK2, TSC1, TNFRSF11B, ADAM9, F8A3, RORB, GOLPH3, PARP16, PEX5, SLC41A2, AGK, IFT81, GMFB, SLC7A5, SFRS11, VEGFA, SPRED1, TP53BP2, RNMT, ZNF131, PLXNB2, HMGB3, EFHD2, CHST3, AKAP13, MID1IP1, HOXA3, NIPBL, GATAD2B, THAP6, SMURF2, TMEM161B, LRP6, RNF165, ITGA6, FBXO33, FAM171A1, PIK3R2, NAV1, LARP6, CEP97, CRK, CDA, EXOSC3, PLK2, SLC6A8, SDC2, PPP3CB, RNASEH1, PURA, BZW1, SLC39A6, CPNE1</i> |
| Common | <i>KANK2, ADAM9, SLC7A5, SPRED1, PLXNB2, ITGA6, CRK</i> |

Table S2 The sequences of siRNAs and microRNA mimics and inhibitors

| Primers | Sequence |
|----------------------|--|
| miR-126-3p mimics | 5'-UCGUACCGUGAGUAAUAAUGCG-3' 5'-CAUUUUUACUCACGGUACGAUU-3' |
| mimics NC | 5'-UUCUCCGAACGUGUCACGUTT-3' 5'-ACGUGACACGUUCGGAGAATT-3' |
| miR-126-3p inhibitor | 5'-CGCAUUUUUACUCACGGUACGA-3' |
| Inhibitor NC | 5'-CAGUACUUUUUGUGUAGUACAA-3' |
| SPRED1 siRNA | 5'-GAATACGTACAGCGGCAAATA-3' |

Table S3 The sequences of reverse transcription (RT) primers and PCR primers for target genes.

| Primers | Sequence |
|----------------------|--|
| miR-126-3p RT primer | 5'-CCTGTTGTCTCCAGCCACAAAAGAGCACAATATTTTCAGGAGACAACAGGCGCATT-3' |
| miR-126-3p forward | 5'-CGCCGTCGTACCGTGAGTAA-3' |
| miR-126-3p reverse | 5'-CAGCCACAAAAGAGCACAAT-3' |
| U6 RT primer | 5'-GTCGTATCCAGTGCAGGGTCCGAGGTATTTCGCACTGGATACGACAAAAATATG-3' |
| U6 forward | 5'-GCGCGTCGTGAAGCGTTC-3' |
| U6 reverse | 5'-GTGCAGGGTCCGAGGT-3' |
| SPRED1 forward | 5'-GCTAACCATCGCAAGCAGAAC-3' |
| SPRED1 reverse | 5'-CATTGTATGTCAGACTCAGAGGGAG-3' |
| GAPDH forward | 5'-GGACCTGACCTGCCGTCTAG-3' |
| GAPDH reverse | 5'-GTAGCCCAGGATGCCCTTGA-3' |

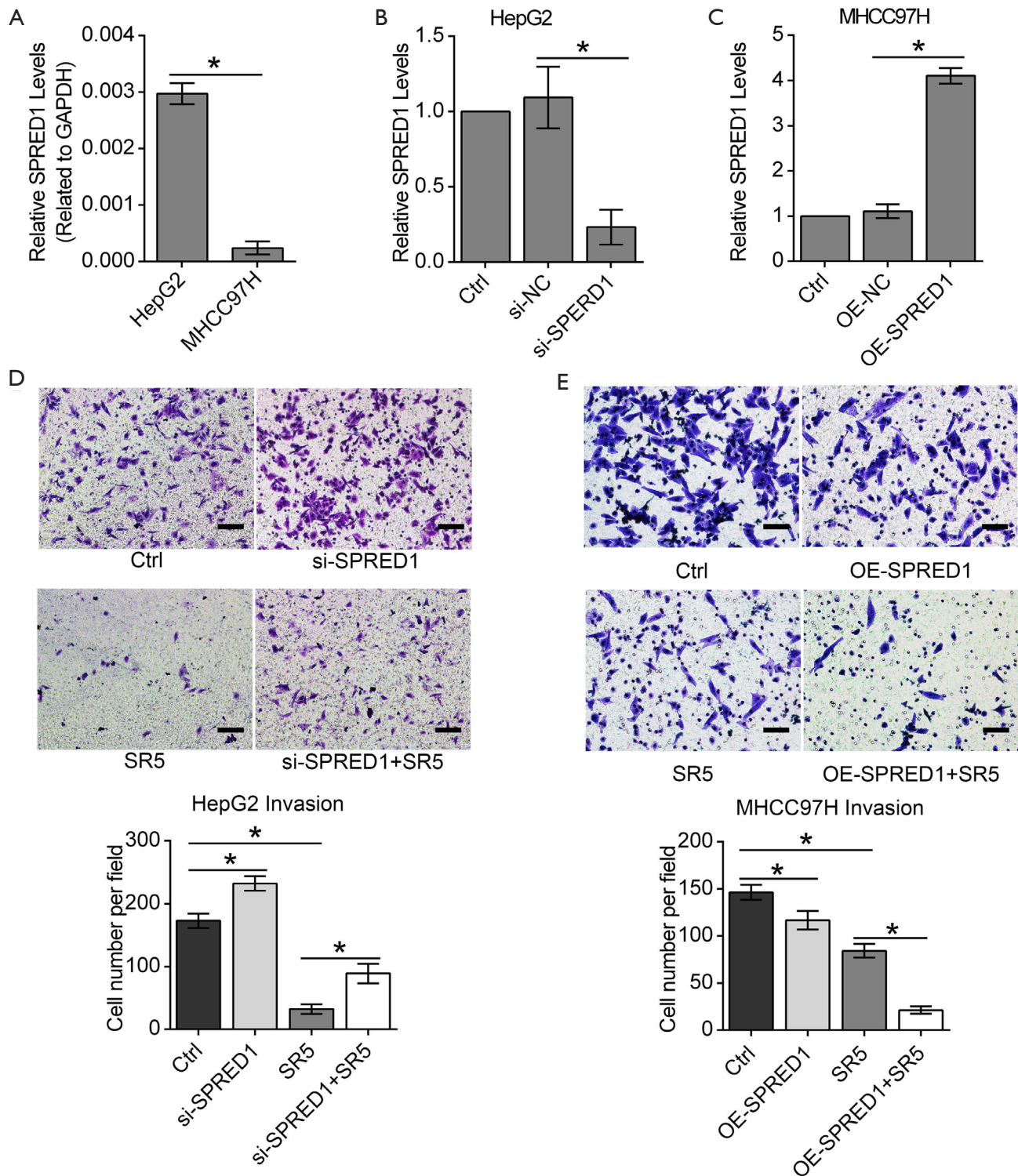


Figure S1 SPRED1 enhanced the effect of sorafenib on inhibiting cells invasion ability in HCC cells. (A) qRT-PCR assay to exam the expression of SPRED1 in HepG2 and MHCC97H cells. (B,C) qRT-PCR assay to confirm the efficiency of siRNA or overexpression plasmid specific to SPRED1 in HCC cells. (D) Transwell invasion assays showed the effect of SPRED1 siRNA, sorafenib or combined therapy on cell invasion ability in HepG2 cells. (E) Transwell invasion assays showed the effect of SPRED1 overexpression plasmid, sorafenib or combined therapy on cell invasion ability in MHCC97H cells. HCC cells were stained with crystal violet in D,E, scale bars: 100 μm ($\times 100$). Data represents the mean \pm SD of three independent experiments. *, $P < 0.05$.

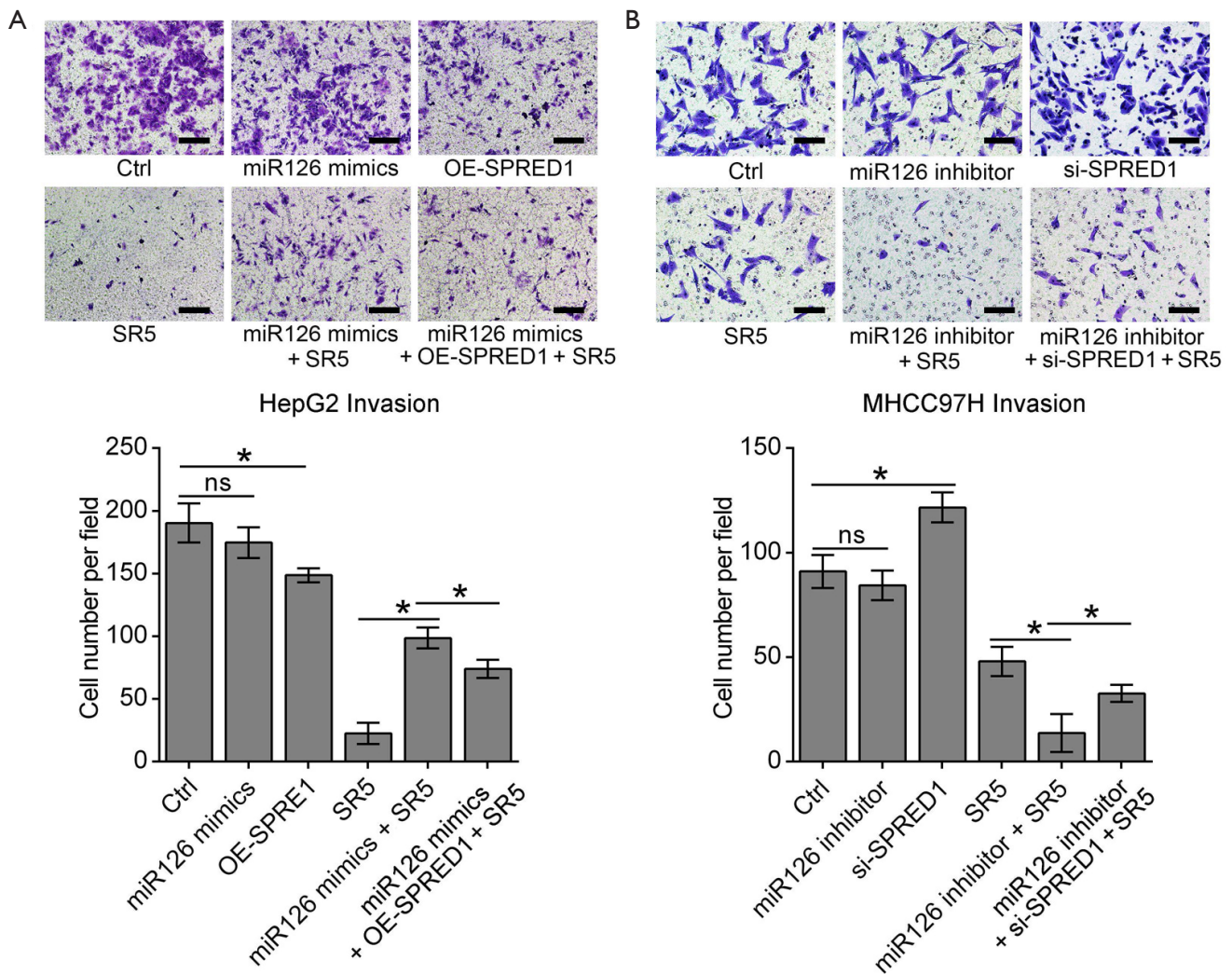


Figure S2 Regulation of miR-126-3p and SPRED1 markedly influence the anti-metastasis effect of sorafenib in HCC cells. (A) Transwell invasion assays to exam the effect of sorafenib combined with over-expressing SPRED1 or miR-126-3p mimics in HepG2 cell. (B) Transwell invasion assays to exam the effect of sorafenib combined with si-SPRED1 or miR-126-3p inhibitor in HepG2 cell. HCC cells were stained with crystal violet in A,B, scale bars: 100 μ m (\times 100). Data represents the mean \pm SD of three independent experiments. *, $P < 0.05$.