



Clinicopathological characteristics and prognostic factors of pulmonary sarcomatoid carcinoma: a large population analysis

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Background: This study was conducted to identify the clinicopathological characteristics and survival outcomes of pulmonary sarcomatoid carcinoma (PSC), and to compare prognostic factors between elderly (≥ 65 years) and non-elderly (< 65 years) patients.

Methods: The Surveillance, Epidemiology, and End Results (SEER) database was used to identify patients diagnosed with PSC between 2004 and 2016. The Kaplan-Meier method was used for overall survival (OS) and cancer-specific survival (CSS) analysis. The Cox proportional hazards model was used to detect independent prognostic factors. A propensity score matched (PSM) analysis was conducted to compare OS and CSS in elderly versus non-elderly PSC patients.

Results: A total of 1,039 eligible cases were identified, with a median follow-up of 6 months. The 5-year OS and CSS rates were 12.3% and 18.7%, respectively, and the median survival was 6 months. Multivariate analysis revealed that female (HR =0.750, $P < 0.004$), surgery (HR =0.484, $P < 0.001$), chemotherapy (HR =0.504, $P < 0.001$), and radiation (HR =0.801, $P = 0.041$) were independent favorable prognostic factors. There was a significant difference in the OS and CSS rates between elderly and non-elderly patients after PSM ($P = 0.007$ and $P = 0.017$, respectively). In multivariate analysis, the predictors for OS in the elderly patients were gender, tumor stage, and chemotherapy, whereas in the non-elderly patients, the predictors were tumor stage, chemotherapy, and surgery.

Conclusions: The PSC patients in our study had poor survival outcomes. Comprehensive treatment, including surgery, chemotherapy, and radiotherapy, could improve patient prognosis. Elderly patients had different clinicopathological characteristics, compared to non-elderly patients.

Keywords: Pulmonary sarcomatoid carcinoma (PSC); Surveillance, Epidemiology, and End Results (SEER); clinical characteristics

Submitted May 12, 2020. Accepted for publication Dec 09, 2020.

doi: 10.21037/atm-20-6213

View this article at: <http://dx.doi.org/10.21037/atm-20-6213>

Introduction

Pulmonary sarcomatoid carcinoma (PSC) is a collection of five distinct subtypes of lung cancer: pleomorphic carcinoma, spindle cell carcinoma, giant cell carcinoma, carcinosarcoma, and pulmonary blastoma (1). PSC is

extremely rare, accounting for less than 1% of all lung cancers (2). Advanced stage is a high risk factor for PSC patients. Similar to lung squamous cell cancer and adenocarcinoma patients, PSC patients with advanced age had worse survival (3). TP53 mutations are common in

contrast to KRAS and EGFR. Whereas, EGFR mutation is rare in carcinosarcoma, however, patients with EGFR mutation always have better survival outcomes with the use of anti-EGFR treatment (4,5).

PSC remains an understudied sub-type of NSCLC because of its rarity. Few previous studies have compared the clinicopathological characteristics and survival outcomes between the elderly and non-elderly patients. Most researches on PSC are single-institution retrospective studies, and clinical understanding of its biological characteristics is limited. We chose to study PSC using the Surveillance, Epidemiology and End Results (SEER) Program, which is supported by the National Cancer Institute (NCI) and contains the research data of 18 different population-based cancer registries, covering 30% of the United States population (6).

We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/atm-20-6213>).

Methods

Data source

Patient data from 2004 to 2016 were extracted from the SEER 18 Database using SEER*Stat software (version 8.3.6). Only patients with a single primary tumor (sequence number = 0 or 1) were included, as survival in patients with multiple primary tumors could not be ascribed to a single anatomical cancer site. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Study population and inclusion criteria

Patients meet the inclusion criteria as following were included in this study: the International Classification of Diseases for Oncology, 3rd edition codes (ICD-O-3 Codes) were including pleomorphic carcinoma (8022/3), giant cell carcinoma (8031/3), spindle cell carcinoma (8032/3), pulmonary blastoma (8972/3), and carcinosarcoma (8980/3). Information regarding race, age, gender, primary site, histology subtypes, stage, year of diagnosis, treatment and survival data were collected.

The exclusion criteria were as follows: (I) patients with missing or incomplete survival data; (II) patients with histology and no pathological confirmation; and (III) patients with incomplete clinicopathological data, including

age, race, primary site, surgical type, and American Joint Committee on Cancer (AJCC) stage.

Covariates

Data available in the SEER database included age (<65 years; ≥65 years), gender (male; female), race (white; black; other), laterality (left; right), differentiation (grade I–II; grade III; grade IV; unknown), AJCC 8th Edition stage group (I; II; III; IV), surgery (no; yes), chemotherapy (no/unknown; yes), and radiation (no/unknown; yes). AJCC 8th edition stage group was calculated for each patient based on tumor size, extension, and 7th edition N/M stage.

The endpoints of this study were overall survival (OS), defined as the time from diagnosis to death from any cause or date of the last follow-up, and cancer-specific survival (CSS), defined as the time from diagnosis to death caused by PSC or date of the most recent follow-up. The SEER 18 Database contains information on deaths up until 2016; therefore, the cut-off date was set at December 31, 2016.

Statistical methods

The Kaplan-Meier survival curves were compared using the log-rank test. A P value of <0.05 was considered statistically significant. Hazard ratios (HRs) and 95% confidence intervals (95% CIs) were calculated. The Cox proportional hazards model was used to conduct both univariate and multivariate survival analysis.

Propensity score matching (PSM) analysis (including race, laterality, surgery, chemotherapy, and radiation) was performed to compare OS and CSS in elderly versus non-elderly patients. Propensity matching was performed on a one-to-one basis using nearest neighbor matching without replacement (caliper 0.01).

SPSS software (SPSS Inc., Chicago, IL, USA, version 23) was used for statistical analysis, and GraphPad Prism 8 was used to generate the survival curves. PS-matching package version 3.04 and SPSS statistics R essentials were used for statistical analyses.

Results

Patient characteristics

A total of 1,039 patients diagnosed with PSC between 2004 and 2016 were identified. Patient demographic information is shown in *Figure 1*. The median age at diagnosis

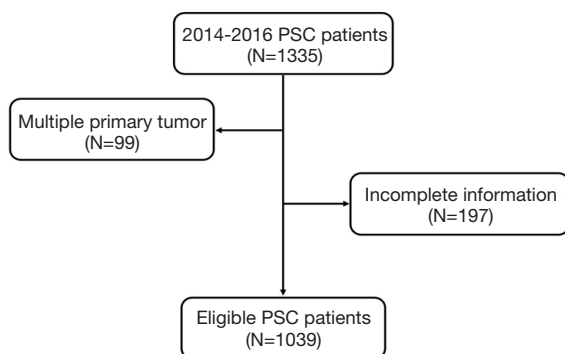


Figure 1 Flow chart for screening eligible patients.

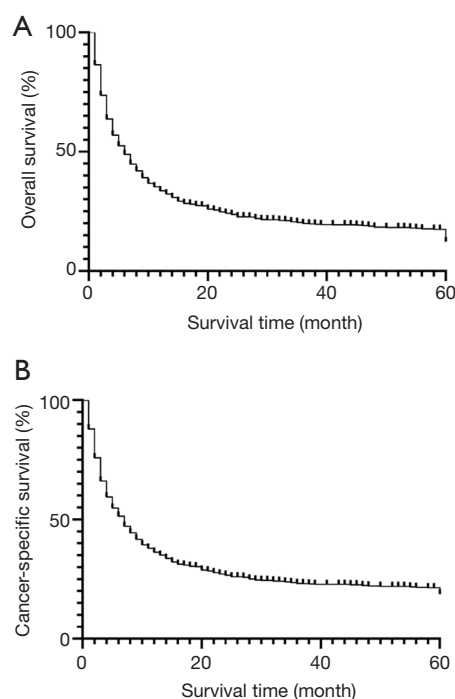


Figure 2 Kaplan-Meier survival plots for eligible patients showing (A) overall survival (OS) and (B) cancer-specific survival (CSS).

was 68 years (1–94 years), with 618 patients (59.5%) ≥ 65 years old, and more male than female patients (59.3% vs. 49.7%). High-grade tumors and undifferentiated tumors represented 52.5% and 46.1% of tumor types, respectively. Our cohort comprised spindle cell carcinoma (28.7%), giant cell carcinoma (24.3%), pleomorphic carcinoma (28.4%), carcinosarcoma (16.2%), and pulmonary blastoma (2.4%). Advanced cancer stages (III–IV) were prevalent (74.8%).

Data showed that 396 (38.1%) patients received surgery, 432 (36.8%) patients received chemotherapy, and 139

(13.4%) patients were treated with radiotherapy.

Survival data and related prognostic indicators

Overall, PSC patients had poor outcomes, with a median OS of 6 months. The 5-year OS and CSS rates were 12.3% and 18.7%, respectively. The OS and CSS curves are shown in *Figure 2*. In the univariate analyses, age ($P < 0.001$), gender ($P = 0.001$), differentiation ($P < 0.001$), histology ($P < 0.001$), AJCC 8th edition stage groups ($P < 0.001$), surgery ($P < 0.001$), chemotherapy ($P < 0.001$), and radiation ($P = 0.003$) were predictors of OS (*Table 1*). Multivariate analysis further revealed that age (≥ 65 years, HR = 1.409, $P < 0.001$), histology (giant cell carcinoma, HR = 1.264, $P < 0.037$), and advanced AJCC stage (stage III, HR = 2.777, $P < 0.001$; stage IV, HR = 5.100, $P < 0.001$) were independent, unfavorable prognostic factors, while female sex (HR = 0.750, $P < 0.001$), surgery (HR = 0.484, $P < 0.001$), chemotherapy (HR = 0.504, $P < 0.001$), and radiation (HR = 0.801, $P < 0.001$) were independent, favorable prognostic factors.

Clinicopathological data and survival of the elderly and the non-elderly

We compared clinicopathological parameters and survival data of the elderly (≥ 65 years) and the non-elderly (< 65 years) patients. Basic patient information before matching is shown in *Table 2*. To reduce selection bias, a PSM analysis was undertaken. A total of 657 patients were successfully matched, 337 patients in the elderly group and 320 patients in the non-elderly group. In the elderly group, the 5-year OS and CSS rates were 9.3% and 15.2%, respectively, compared with 17.3% and 22.3%, respectively in the non-elderly group (*Figure 3*). Multivariate analysis showed the independent, favorable prognostic factors to be female sex (HR = 0.656, $P = 0.001$) and chemotherapy (HR = 0.530, $P < 0.001$) in the elderly group, and surgery (HR = 0.551, $P < 0.001$) and chemotherapy (HR = 0.573, $P < 0.001$) in the non-elderly group (*Table 3*).

Discussion

PSC is an under-researched disease, particularly in the elderly, and patients have a poor prognosis. Histologically, PSC is recognized as a change from the typical epithelioid morphology of carcinoma, to giant cells or spindle-shaped cells that morphologically mimic sarcoma. Uncommonly, true heterologous sarcomatous types, such

Table 1 Univariate and multivariate analyses of overall survival (OS) in eligible patients

Variables	N (%)	Univariate analysis		Multivariate analysis	
		P value	HR (95% CI)	P value	
Age		0.001		<0.001	
<65	421 (40.5)		Reference		
≥65	618 (59.5)		1.409 (1.218–1.629)		
Gender		0.001		<0.001	
Male	616 (59.3)		Reference		
Female	423 (40.7)		0.750 (0.652–0.864)		
Race		0.376		NI	
White	831 (80.0)				
Black	141 (13.6)	0.456			
Other*	67 (6.4)	0.270			
Laterality		0.462		NI	
Right	582 (56.0)				
Left	457 (44.0)				
Differentiation		<0.001		NI	
Grade I–II	14 (1.3)				
Grade III	389 (37.4)	0.008			
Grade IV	157 (15.1)	0.009			
Unknown	479 (46.1)	0.001			
Histology		<0.001		0.016	
Carcinosarcoma	169 (16.4)		Reference		
Pulmonary blastoma	25 (2.4)	0.002	0.575 (0.309–1.069)	0.080	
Spindle cell carcinoma	298 (28.7)	0.001	1.231 (0.995–1.523)	0.056	
Giant cell carcinoma	252 (24.3)	<0.001	1.264 (1.014–1.575)	0.037	
Pleomorphic carcinoma	295 (28.4)	0.660	1.210 (0.974–1.503)	0.085	
AJCC stage group 8th edition		<0.001		<0.001	
I	128 (12.3)		Reference		
II	134 (12.9)	0.115	1.475 (1.079–2.017)	0.015	
III	260 (25.0)	<0.001	2.777 (2.084–3.700)	<0.001	
IV	517 (49.8)	<0.001	5.100 (3.788–6.868)	<0.001	
Surgery		<0.001		<0.001	
No	643 (61.9)		Reference		
Yes	396 (38.1)		0.484 (0.396–0.591)		
Chemotherapy		<0.001		<0.001	
No/unknown	607 (51.7)		Reference		
Yes	432 (36.8)		0.504 (0.435–0.584)		
Radiotherapy		0.003		0.041	
No/unknown	900 (86.6)		Reference		
Yes	139 (13.4)		0.801 (0.648–0.991)		

*Other: American Indian/AK Native, Asian/Pacific Islander. N, number; HR, hazard ratio; 95% CI, 95% confidence index; NI, not included in the multivariate survival analysis.

Table 2 Comparison between the elderly and non-elderly cohorts

Characteristic	Elderly, N=421 (%)	Non-elderly, N=618 (%)	P value
Sex			0.181
Male	260 (61.8)	356 (57.6)	
Female	161 (38.2)	262 (42.4)	
Race			<0.001
White	303 (72.0)	528 (85.4)	
Black	92 (21.9)	49 (7.9)	
Other*	26 (6.2)	41 (6.6)	
Laterality			<0.001
Right	245 (44.1)	337 (54.5)	
Left	176 (31.7)	281 (45.5)	
Differentiation			0.244
Grade I-II	9 (2.1)	5 (0.8)	
Grade III	159 (37.8)	230 (37.2)	
Grade IV	67 (15.9)	90 (14.6)	
Unknown	186 (44.2)	293 (47.4)	
Histology			<0.001
Carcinosarcoma	56 (13.3)	113 (18.3)	
Pulmonary blastoma	17 (4.0)	8 (1.3)	
Spindle cell carcinoma	90 (21.4)	208 (33.7)	
Giant cell carcinoma	127 (30.2)	125 (20.2)	
Pleomorphic carcinoma	131 (31.1)	164 (26.5)	
AJCC stage group 8th edition			0.416
I	47 (11.2)	81 (13.1)	
II	49 (11.6)	85 (13.8)	
III	114 (27.1)	146 (23.6)	
IV	211 (50.1)	306 (49.5)	
Surgery			0.016
No	242 (57.5)	401 (64.9)	
Yes	179 (42.5)	217 (35.1)	
Chemotherapy			<0.001
No/unknown	196 (46.6)	411 (66.5)	
Yes	225 (53.4)	207 (33.5)	
Radiotherapy			<0.001
No/unknown	344 (81.7)	556 (90.0)	
Yes	77 (18.3)	62 (10.0)	

*Other: American Indian/AK Native, Asian/Pacific Islander.

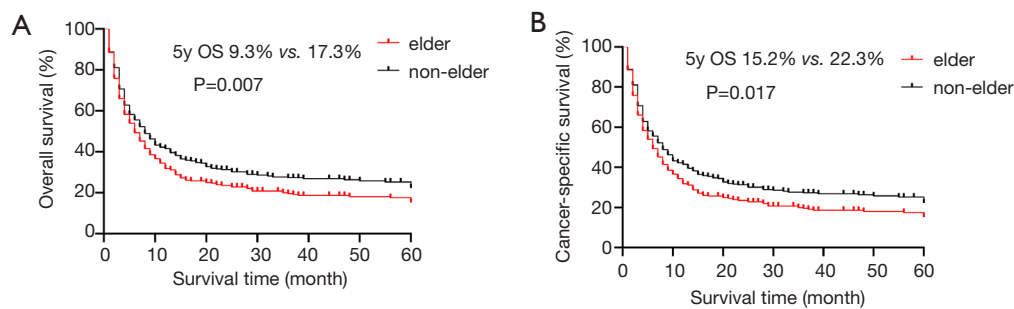


Figure 3 Kaplan-Meier survival curves of elderly and non-elderly patients showing (A) overall survival (OS) and (B) cancer-specific survival (CSS).

Table 3 Multivariate analyses of the effect of different variables on PSC survival of elderly and non-elderly

Variables	Elderly		Non-elderly	
	HR (95% CI)	P value	HR (95% CI)	P value
Sex		0.001		
Male	Reference		–	
Female	0.656 (0.515–0.835)		–	
AJCC stage group 8th edition		<0.001		<0.001
I	Reference		Reference	
II	0.857 (0.501–1.467)	0.574	1.807 (0.890–3.668)	0.102
III	1.722 (1.041–2.848)	0.034	3.295 (1.765–6.151)	<0.001
IV	5.828 (3.662–9.277)	<0.001	7.988 (4.205–15.172)	<0.001
Surgery				<0.001
No	–		Reference	
Yes	–		0.551 (0.397–0.765)	
Chemotherapy		<0.001		<0.001
No/unknown	Reference		Reference	
Yes	0.530 (0.416–0.676)		0.573 (0.442–0.744)	

N, number; HR, hazard ratio; 95% CI, 95% confidence index; NI, not included in the multivariate survival analysis.

as rhabdomyoblastic differentiation or malignant cartilage formation, are included. If the area of sarcomatoid change constitutes more than 10% of the tumor, a diagnosis of sarcomatoid carcinoma is given per the current WHO criteria; therefore, it is difficult to provide an accurate diagnosis preoperatively. In most cases, a conclusive pathological diagnosis is established based on a surgically resected specimen (7) (Figure 4). In the WHO classification of lung tumors, these neoplasms have undergone frequent reclassification over the years. However, the conceptual approach proposed by the WHO is not without controversy.

The variable use of terminology for these tumors and the inclusion by the WHO has largely complicated the collection of uniform clinical data. In addition, the significance of such histology in terms of treatment and prognosis has been particularly difficult to ascertain. The comprehensive immunohistochemical studies of larger series of these tumors are still lacking.

We found that PSC was more often associated with males (59.3%), which is similar to the findings of Martin *et al.* (8) (54%), but is far lower than previous reports of over 90% (9,10). PSC is pathologically high-grade, with

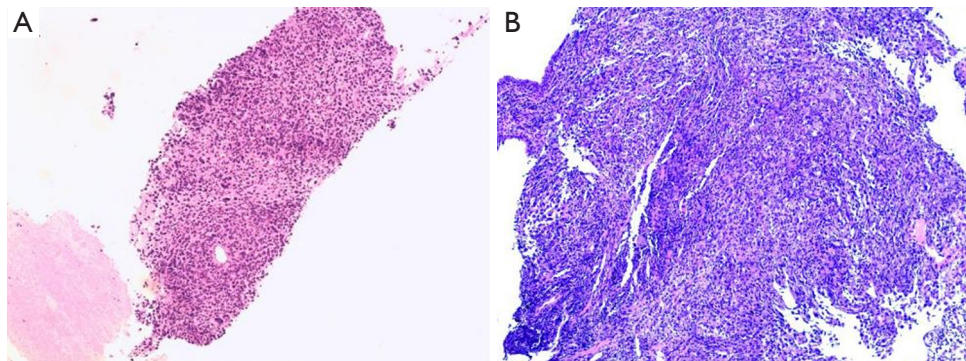


Figure 4 Images of clinicopathological characteristics of pulmonary sarcomatoid carcinoma. (A) The staining method: HE. Magnification 40x. (B) The staining method: HE. Magnification 100x.

poor prognosis (11), and these clinicopathological features were confirmed in our study. In addition, our study found that most PSC patients were at an advanced stage when diagnosed. Since PSC is generally a form of high-grade and advanced-stage tumor progression in non-small cell carcinoma, it is unsurprising that it is associated with a poor prognosis (2). The median survival of PSC had been reported to range from 8 to 19 months (12-17), which is inferior to other non-small cell lung carcinomas, and is similar to our findings that prognosis was poor even in patients with early-stage PSCs (stage I/II). The median OS in our study was 36 months.

We compared prognostic factors between elderly and the non-elderly patients. The 5-year OS and CSS rates of the elderly patients were poorer than those of the non-elderly patients. Stage was an independent, unfavorable prognostic factor. Female sex and chemotherapy were protective factors for OS in elderly patients, while surgery and chemotherapy were protective factors in non-elderly patients. It is noted that most elderly patients cannot tolerate surgery or chemotherapy, most likely due to poor physical status and basic diseases.

Primary surgery is the mainstream treatment for early stage patients, with a median survival time of approximately 14 months (18,19). Our research showed that surgery was a positive independent prognostic factor, further confirming its importance in treatment of PSC. The roles of chemotherapy and radiotherapy in PSC treatment are still unclear. While the overall response rate to chemotherapy can be extremely low, ranging from 0 to 17% (20), and irradiation can cause sarcomatous or anaplastic changes in carcinoma (21), our study found that chemotherapy and radiotherapy were independent protective factors in PSC.

New hope for therapy in PSC exists, primarily due to the discovery of the MET exon 14 skipping mutation. MET exon 14 skipping mutations lead to increased signaling through the MET receptor pathway, and patient tumor responses have been noted with targeted MET tyrosine kinase inhibitors (22,23). However, two recent studies found that 30–40% of PSC patients had KRAS mutations, causing poor clinical outcomes (24,25). Unfortunately, it is difficult to treat KRAS mutations with targeted therapy. Another promising therapeutic option in PSC is immune checkpoint inhibitors, as over half of PSC tumors have been shown to have PD-L1 overexpression (26-28).

Although our results may offer physicians a better understanding of the clinicopathological features and survival with PSC, there are limitations to our research. First, SEER does not include some important variables, such as chemotherapy regimens, genomic analyses, and surgical margin status. Second, PSC is a rare tumor, and it is possible that PSC was incorrectly diagnosed in some cases. Finally, selection bias may exist because there are inherent confounding effects.

Ultimately, our study showed that PSC has a higher incidence in the elderly, in males, and in high-grade and advanced AJCC stage. Female sex, surgery, chemotherapy, and radiation are independent protective factors of OS. Elderly patients are associated with worse outcomes than non-elderly patients. However, based on an understanding of its molecular biology, broad immunologic and molecular testing may be used to direct therapeutic options.

Acknowledgments

Funding: None.

Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <http://dx.doi.org/10.21037/atm-20-6213>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/atm-20-6213>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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Cite this article as: Gang J, Yan Q, Xiang S, Zheng L, Zhao L. Clinicopathological characteristics and prognostic factors of pulmonary sarcomatoid carcinoma: a large population analysis. *Ann Transl Med* 2021;9(2):121. doi: 10.21037/atm-20-6213