

Adult pulmonary Langerhans cell histiocytosis might consist of two distinct groups: isolated form and extrapulmonary recidivism type

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Background: Adult pulmonary Langerhans cell histiocytosis (PLCH) is a rare form of Langerhans cell histiocytosis (LCH) that typically occurs in cigarette smokers. The clinical course of PLCH is unpredictable; the disease may resolve spontaneously, or lead to multi-organ failure and death. To better understand this idiopathic disease, we retrospectively overviewed a cohort of Asian patients with PLCHs.

Methods: Herein, we have provided detailed clinicopathological features and molecular findings of PLCHs in a Southwestern Chinese population, including the expressions of apoptotic protein P16, programmed cell death 1 (PD-1), and programmed cell death-ligand 1 (PD-L1). Importantly, the *BRAF*^{V600E} mutation was observed in this cohort.

Results: In accordance with the follow up data, the cohort was subdivided into two groups, an isolated pulmonary group and extrapulmonary recidivism group. Among the isolated group, the participants were predominantly young males (<40 years old), with a history of smoking, respiratory symptoms (cough and difficulty breathing), showed more cystic lesions in computed tomography (CT) scanning, had more cellular Langerhans granulomas under the microscope, overexpression of P16 (66.7%), high PD-1 (100%) and low PD-L1 (33.3%) expressions, and no $BRAF^{V600E}$ mutation was detected. In contrast, the extrapulmonary recidivism group showed significantly older age (>40 years old), recurrent spontaneous pneumothorax, more nodular changes in CT scanning, more interstitial fibrosis histologically, expression rates of 100% of P16, 66.7% of PD-1, and 33.3% of PD-L1; and importantly, $BRAF^{V600E}$ mutation was detected in 33.3% of this subdivision.

Conclusions: We found that adult PLCH might consist of two distinct groups: an isolated form and extrapulmonary recidivism PLCH. Overexpression of P16 could be a diagnostic biomarker for PLCH. An extremely low mutation rate of the *BRAF* gene in adult PLCH in our cohort indicated that there might be other pathogeneses for this disease among Asian patients.

Keywords: Pulmonary Langerhans cell histiocytosis (PLCH); P16; PD-L1; PD-1; BRAF^{V600E}

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Introduction

Langerhans cell histiocytosis (LCH) is an abnormal proliferation of Langerhans-type cells with specific expression of CD1a, langerin (CD207), and S100 proteins. It can affect people of all ages, but occurs more commonly in children (1). Pulmonary Langerhans cell histiocytosis (PLCH) is a different form of LCH that typically occurs in the lungs of adult cigarette smokers. The clinical course of PLCH is highly variable and often unpredictable; the disease may resolve spontaneously (more often in young smokers), or lead to multi-organ failure and death (2). Therefore, it is still unclear whether PLCH is a neoplastic entity or reactive process of Langerhans cells.

Previous studies have suggested that LCH is a dendritic cell neoplasm, with the prevalence of $BRAF^{V600E}$ mutation ranging from 36–64% in the Western population, including in PLCH (3-5). However, the pathogenesis of PLCH remains unclear, especially in Asian patients. In this study, we aimed to gather and present some molecular findings of PLCHs in a Southwest China population, including the expressions of apoptotic protein P16, programmed cell death 1 (PD-1), and programmed cell death-ligand 1 (PD-L1). Notably, the $BRAF^{V600E}$ mutation was observed and reported on in this cohort.

We present the following article in accordance with the MDAR checklist (available at http://dx.doi.org/10.21037/ atm-20-8141).

Methods

Participants and collection of samples

A retrospective study was conducted drawing from the archive of the West China Hospital of Sichuan University between January 2014 and December 2019. Due to the lack of demonstrable Langerhans cells in old fibrotic lesions, we selected 6 early (cellular) PLCH cases. All 6 adult PLCH participants had undergone fiberoptic bronchoscopic biopsy or surgical resection, followed by formalin-fixed paraffin-embedded (FFPE) tissue formation. All cases met the criteria of PLCH according to the 2015 World Health Organization (WHO) classification of tumors of the lung, pleura, thymus, and heart. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Written informed consent was provided by all participants included in the analysis. This study was approved by the Ethical Committee of the West China Hospital (No. 2020-1211).

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All clinical data were retrospectively collected from the database of the hospital information system, including symptoms and signs, smoking habits, history of present and past illness, laboratory examinations, chest computed tomography (CT) examinations and lung function tests, as well as any systemic treatments that had been administered. All participants were followed up, 3 cases were identified as PLCH accompanied by extrapulmonary involvement (extrapulmonary recidivism group, 3/6, 50%), and the others were allocated to the isolated pulmonary group (3/6, 50%).

Immunohistochemistry (IHC)

According to manufacturer's instructions, IHC was performed using an automated IHC instrument (Roche Diagnostics, Basel, Switzerland) using the antibodies of P16 (clone 1C1, ZSGB Biotechnology Co. Ltd., Beijing, China), PD-1 (clone UMAB199, ZSGB Biotechnology Co. Ltd., Beijing, China), and PD-L1 (clone 22C3, Dako, Glostrup, Denmark). Antibodies against CD1a (clone O10, Dako, Glostrup, Denmark), langerin (clone 12D6, Maixin Biotechnology Development Co. Ltd., Fuzhou, China), S100 (clone 4C4.9, Maixin Biotechnology Development Co. Ltd., Fuzhou, China) were used for IHC to determine the immunophenotype of the PLCH.

The positive staining of PD-1 and PD-L1 were interpreted and scored by two experienced pathologists. Cases were scored as positive for PD-1 if any of the tumor immune cells stained positive in either a membrane or membrane/cytoplasmic pattern as previously reported (3-5). The criteria for scoring PD-L1 was referred to tumor proportion score (TPS) on non-small cell lung cancer (NSCLC) according to the manufacturer's instruction. A TPS <1% was defined as negative, whereas TPS 1–49% was defined as low expression, and TPS \geq 50% as high expression.

Detection of the genomic BRAF^{V600E} mutation

Extraction of DNA was performed using a QIAamp DNA formalin fixed paraffin-embedded (FFPE) Tissue Kit (Qiagen, Hilden, Germany), according to the manufacturer's instructions. After quality control, all DNA samples were genotyped for the presence of $BRAF^{V600E}$ mutation using a commercial human BRAF gene V600E mutation detection kit [fluorescence polymerase chain reaction (PCR) method, SLAN-965 Real-Time PCR System] of AmoyDx

Table I Clinical features	of two groups of adult	PLCH					
0	Isolat	р	Extrapulmonary recidivism group				
Case	1	2	3	4	5	6	
Gender	М	М	М	F	М	Μ	
Age (years)	20	24	35	53	42	64	
Clinical manifestations	Cough and difficulty breathing, bilateral pneumothorax	Cough, sputum	Cough, sputum, difficulty breathing	Recurrent spontaneous pneumothorax	Chest pain	Chest pain	
Smoking (number per day, years)	20, 7	3, 0.5	NA	0	20, 20	40, 40	
CT scan	Thin-walled cysts, bilateral pneumothorax	Thin-walled cysts, micro-nodules (<0.3 cm)	Big-nodules, partial cystic changes	Thin-walled cysts, nodules (0.1–0.8 cm)	Nodules (0.3–1 cm)	Nodules (<1 cm), central cystic change	
Other organ	No	No	No	Skin, thyroid	4th rib, pituitary	3rd rib	
Underlying disease	No	No	Aml	Ptc, Scid	No	No	
Treatment	Sc, Op 3 month	Sc	Cvp 3 cycles	Thymalfasin, 2 months (t1w)	Sc	Etoposide 15 cycles (q1w), Ecop 3 cycles (q4w)	
Follow-up (months)	35	7	8	38	46	19	
Prognosis	Alive	Alive	Dead	Alive	Dead	Alive	

Table 1	Clinical	features	of two	groups	of a	dult	PL	CH

PLCH, pulmonary Langerhans cell histiocytosis; F, female; M, male; NA, not available; AML, acute mononuclear leukemia; PTC, papillary thyroid carcinoma; SCID, severe combination of immunodeficiency; OP, oral prednisone; SC, smoking cessation; CVP, cyclophosphamide, vincristine and prednisone; t1w, two times per weeks; q1w, one time per week; q4w, one time per four weeks; ECOP, etoposide, cyclophosphamide, vincristine, predniso.

biomedical technology co. Ltd. (Guangxi, China).

Statistical analysis

Fisher's exact test were used to estimate the association of $BRAF^{V600E}$ mutations and PD-1, PD-L1, P16 expression with the clinical characteristics and the results of PLCH.

Statistical analyses were performed using IBM SPSS Statistics 19 software. P values of 0.05 were considered as statistically significant.

Results

Clinical features

The relevant clinical characteristics of this study are summarized in *Table 1*. As mentioned before, 3 participants (50%) presented with isolated pulmonary lesions, and the other 3 showed extrapulmonary involvement during follow up. Accordingly, this cohort was subdivided into two groups, the isolated pulmonary group, and extrapulmonary recidivism group. The most common areas of extrapulmonary involvement were the ribs (2/3, 66.7%), with other areas including the pituitary gland, skin, and thyroid gland. The age range of all cases was 20 to 64 years, with a median age of 38.5 years old. Interestingly, all isolated form cases were <40 [20–35] years old, while extrapulmonary recidivism group participants were >40 [42–64] years old. Furthermore, the gender predilection was prominent, with males constituting 86.7% (5/6).

With the exception of case 3, 100% of isolated PLCHs had a history of smoking, while 66.7% of extrapulmonary PLCHs had a severe smoking habit. Among the isolated pulmonary group, all participants presented with respiratory symptoms, including cough and difficulty breathing. Spontaneous pneumothorax accompanied 33.3% of cases. In contrast, 66.7% of extrapulmonary recidivism patients presented with chest pain rather than respiratory symptoms. Consistent with the isolated form, 33.3% of extrapulmonary patients had recurrent pneumothorax.



Figure 1 CT scanning showed that the isolated lung group presented more cystic lesions, including thin-walled cysts accompanied with bilateral pneumothorax (A, case 1), cysts of variable size with small nodules (B, case 2), and thick-walled cysts with multiple masses (C, case 3). Extrapulmonary recidivism (D, case 6) presented poorly defined nodules, with central lucency indicating developing cysts (arrow). CT, computed tomography.

In terms of imaging, 100% of isolated PLCHs showed cystic lesions, whereas 100% of extrapulmonary PLCH participants presented nodular-type lesions. Additionally, 66.7% of isolated PLCHs was observed to have nodules of variable size. Precisely, case 1 showed thin-walled cavities with different sizes in both lungs, a widened lung septum, and bilateral pneumothorax (Figure 1A). Case 2 presented multiple thin-walled cysts in both lungs, especially in the upper lobe, and small nodules (<0.3 cm) in the lower lobe of the right lung (Figure 1B). Case 3 presented multiple large nodules with cystic changes in both lungs (Figure 1C). However, all extrapulmonary PLCHs were found to have multiple nodules <1 cm in diameter. Only 33.3% of cases showed thin-walled cysts which had initially been reported as pulmonary bullae (case 4). Interestingly, case 6 showed some nodules had a central lucency (Figure 1D), indicating the transformation process from nodule to cyst.

However, a third of participants presented with accompanying underlying disease in both groups of adult PLCHs. A participant from the isolated pulmonary group was suffering acute mononuclear leukemia (case 3), and a participant from the extrapulmonary recidivism group also had thyroid papillary carcinoma, hepatitis B, and a severe combination of immunodeficiency (case 4).

As adult PLCH is a rare disease, and its therapeutic

strategy is still controversial, various treatments were received by our participants. Smokers were all treated to assist in the cessation of smoking, and this was an exclusive management for 66.7% of participants. Cases 2 and 5 were successfully managed with smoking cessation. Unfortunately, case 5 died of multi-systemic involvement 46 months later. The other 33.3% of participants received various medications, including oral prednisone for 3 months (case 1), 15 cycles of etoposide, and 3 cycles of ECOP (case 6). Both case 1 and 6 survived (35 and 19 months). The remaining 33.3% of participants were treated with medication for their underlying diseases, including 3 cycles of cyclophosphamide, vincristine, prednisone (CVP) for acute mononuclear leukemia (case 3) and thymalfasin 2 months for hepatitis B (case 4). Participant 3 died of leukemia 8 months later. We found that the prognosis of the isolated lung group (<40 years) was significantly better than that of the extrapulmonary invasion group (>40 years).

Histological findings

Consistent with CT imaging, all adult PLCH participants showed either nodular (*Figure 2A*) or cystic lesions (*Figure 2B*) at low magnification. Among the isolated PLCH group, 66.7% presented cysts of variable sizes



Figure 2 Microscopic pathological features of Adult PLCHs. Adult PLCHs showed either nodular Roche automatic immunohistochemical staining (A) or cystic lesions (B) at low magnification (HE, magnification ×12.5). Commonly, Langerhans cells clustered around the bronchioles and destroyed the bronchial wall, but were recognized by presence of small artery. In the cystic lesions, Langerhans cells accumulated in the walls of variable-sized cysts. (C) High magnification revealed Langerhans cells with unclear cell boundaries, irregular nuclear membranes, visible furrows, and moderate amounts of slightly eosinophilic cytoplasm (HE, magnification ×800). The extrapulmonary recidivism group presented more significant interstitial fibrosis either in nodular (D) or cystic (E) (HE, magnification ×100), combined with infiltration by numerous eosinophils, lymphocytes, and plasma cells. (F) Extrapulmonary involvement was demonstrated by rib invasion presenting with infiltration of Langerhans cells between trabeculae (HE, magnification ×100). Traditional diagnostic markers, S100, langerin, and CD1a were positive in all 6 cases, which confirmed the diagnosis (EnVision, G,H,I, magnification ×200). (J) P16 was overexpressed in Langerhans cells with a cytoplasm positive pattern (EnVision, magnification ×200). Adult PLCH was found to have high PD-1 (K) expression in tumor immune cells and low PD-L1 (L) expression in Langerhans cells (EnVision, magnification ×200). PLCH, pulmonary Langerhans cell histiocytosis; PD-1, programmed cell death 1; PD-L1, programmed cell death-ligand 1.

Casa	Isolated pulmonary group			Extrapulmonary recidivism group				
Case	1	2	3	4	5	6		
P16	+	+	-	+	+	+		
PD-1	5%	20%	10%	-	10%	10%		
PD-L1	-	-	5%	-	5%	-		
BRAF ^{V600E} mutation	-	_	-	_	-	+		

Table 2 Overview of P16, PD-1, PD-L1 expressions and *BRAF*^{V600E} mutation of PLCH

PD-1, programmed cell death 1; PD-L1, programmed cell death-ligand 1; PLCH, pulmonary Langerhans cell histiocytosis.

(*Figure 2B*) and cellular Langerhans cells were aggregated within the cyst walls without obvious fibrosis (*Figure 2C*). In contrast, all cases in the extrapulmonary invasion group showed significant interstitial fibrosis (*Figure 2D,E,F*) and well-circumscribed nodular granulomas were present in 66.7% cases. Nevertheless, a cluster of Langerhans cells was the diagnostic criteria for PLCH, which was always mixed with variable numbers of eosinophils, plasma cells, and lymphocytes. Adjacent alveolar spaces were commonly filled with pigmented macrophages (smoker's macrophages). The characteristics of Langerhans cells were consistent with previous reports, including unclear cell boundaries, irregular nuclear membranes, and visible furrows, as well as moderate amounts of pale or slightly eosinophilic cytoplasm.

Immunophenotype

As traditional diagnostic markers, S100, langerin, and CD1a were positive in all 6 cases resulting in a sensitivity of 100% (*Figure 2G*,*H*,*I*). Testing for apoptotic protein P16 and the immune checkpoint markers, PD-1 and PD-L1, were also performed on our cohort (*Table 2*).

In total, up to 83.3% of cases showed diffuse nuclear and cytoplasmic staining of P16 in Langerhans cells (*Figure 27*). Expression of P16 was detected in 66.7% of participants in the isolated pulmonary group, and 100% of participants in the extrapulmonary recidivism group showed P16 expression.

The IHC staining of PD-1 was localized to the membranes of tumor infiltrating lymphocytes (*Figure 2K*). The results illustrated that PD-1 was positive in all 3 isolated PLCHs (100%), and 66.7% of the 3 extrapulmonary PLCHs. Furthermore, PD-1 was lowly-expressed in all cases of expression, ranging from 5% to 20%. On the other hand, the Langerhans cells were negative for PD-1 in all cases.

While PD-1 staining was generally easy to interpret, PD-L1 was potentially more complicated as it stained in both the Langerhans cells and normal pigmented macrophages (a variety of histiocytes). With the help of expressions for langerin, CD1a, and S-100, low expression (TPS 5%) of PD-L1 (22C3) was identified in only 33.3% of participants with membrane patterns (*Figure 2L*). There was no statistically significant difference between the isolated pulmonary group and extrapulmonary recidivism group.

Statistical tests showed no significant correlation between the expressions of P16, PD-1, PD-L1, and various other clinical parameters.

BRAF^{V600E} mutation

Mutation analysis for $BRAF^{V600E}$ was performed in all 6 participants. Negative, positive, and internal controls were set up for each sample. Finally, $BRAF^{V600E}$ mutation was identified in only a single participant (case 6, 16.7%). Amongst our limited cases, all isolated PLCHs showed the wild type on $BRAF^{V600E}$ gene, whereas 33.3% of the extrapulmonary PLCHs presented $BRAF^{V600E}$ mutations. Statistical tests showed no significant correlation between the $BRAF^{V600E}$ mutation and age, gender, extrapulmonary progression, and results.

Discussion

A retrospective study by Vassallo *et al.* found that PLCH occurs with equal frequency in both genders (6). Unlike previous reports, there was an obvious gender trend in our cohort, with men accounting for 83.3% of the total sample. This may be due to the much lower number of Asian females who smoke, which is an important factor in PLCH; the link between smoking and PLCH has been clearly substantiated. In our and previous studies (7), >80%

of participants had a history of smoking. In addition, 50% of our cases developed extrapulmonary recidivism during the progression of the disease, which is much higher than previously reported (approximately 15%). Accordingly,

we allocated the participants to two groups, the isolated pulmonary group and the extrapulmonary recidivism group, and some distinguishing features between the two groups were found.

First, significant differences in age were found between the two groups; the age of the extrapulmonary recidivism group (>40 years old) was significantly greater than that of the isolated lung group (<40 years old). This age-related parameter was also observed in a recent retrospective study (8), in which older age was found to be associated with poor prognosis. Second, in terms of clinical symptoms, the isolated pulmonary group displayed more respiratory symptoms (cough and difficulty breathing), while the extrapulmonary recidivism group showed a higher rate of recurrent spontaneous pneumothorax and chest pain. Third, CT scan revealed that the isolated lung group had more cystic lesions, whereas the extrapulmonary recidivism group showed more nodular lesions. For histopathology, cellular Langerhans granulomas were more common in the isolated lung group, indicating the early/proliferation phase. The extrapulmonary recidivism group presented more interstitial fibrosis accompanied by chronic inflammatory cell infiltration, alveolar epithelial hyperplasia, larger number of infiltrating macrophages, and decreased Langerhans cells, which suggested the late/receding phase. Further research is required to establish whether these phenomena relate to the disease pathogenesis and progression.

There were some interesting features in present cohort. Case 3 in the isolated pulmonary group was a 35-year-old male patient with acute mononuclear leukemia, and this combination had not been reported previously. Case 4 in the extrapulmonary recidivism group was the only female patient in this group, did not smoke, but presented with the combined co-morbidities of severe immunodeficiency and low T and B cell counts. She was diagnosed with thyroid gland LCH and papillary thyroid cancer two years after her initial diagnosis. It is well known that thyroid papillary carcinoma is closely related to $BRAF^{V600E}$ mutation (9), which is a common gene alteration in LCH. However, in case 4, we did not detect $BRAF^{V600E}$ mutation when investigating her PLCH. Further research is required to explore whether BRAF^{V600E} mutation or the activation of related pathways promote thyroid cancer and PLCH simultaneously.

Several studies have shown that tobacco smoke could promote dendritic cell survival by anti-apoptotic mechanisms and that P16 negatively regulates the course of the cell cycle by inhibiting the activity of cyclin D/ CDK (Figure 3) (10,11); accordingly, we selected apoptosis associated protein P16 to evaluate the status. In our study, the positive rate of P16 was up to 86.7%, suggesting that it was highly sensitive to PLCH. Hence, we proposed P16 could be a diagnostic biomarker for PLCH. Moreover, the overexpression of P16 protein may be related to the regulation of the cell cycle, resulting in the pathogenesis of PLCH. Again, P16 was overexpressed in 66.7% of participants in the isolated pulmonary group and 100% in the extrapulmonary recidivism group, respectively. There was no significant correlation between P16 activity and the progression of PLCH, which opposed the data of Chilosi et al., who found that P16 was not expressed in all invasive PLCHs, suggesting that loss of senescence control may be related to the clinical invasiveness of PLCH (12).

Another characteristic of our results was high PD-1 (83.3%) and low PD-L1 (33.3%) expressions. This is consistent with the report of Xu *et al.*, who found 1/6 (16.7%) of PLCH patients expressed PD-L1 (13). In recent years, PD-1 and PD-L1 inhibitors have successively entered the clinic and have shown promising results (14). However, the application of PD-1 inhibitors and PD-L1 inhibitors in PLCH has not yet been reported. Our results of high PD-1 expression support the potential use of PD-1 inhibitors in refractory PLCH.

Various upstream somatic mutations of the mitogenic activated protein kinase (MAPK) pathway might play a role in LCH (3,15-17). The BRAF gene is a serine/suferanine kinase involving signal transmission in the MAPK pathway, and its mutation has been found in PLCH at a rate of 36-64% (3,18-20). Breaking traditional perspective, the present study showed significantly lower rate of BRAF^{V600E} mutation (16.7%, case 6) in PLCH than previously reported. The impact of specific *BRAF*^{V600E} mutations on the clinical course of PLCH is uncertain. There is little data on the clinical significance of this clonal molecular alteration in adult PLCH, except in the study of pediatric systemic LCH (21). Berres et al. demonstrated an association between the presence of BRAF^{V600E} mutation and the chance of highrisk disease and resistance to first-line therapy, but did not find that it affected survival in pediatric LCH. In our study, we observed that $BRAF^{V600E}$ mutation occurred in one extrapulmonary involvement case, but this was without statistical significance. Further studies are anticipated to



Figure 3 Schematic diagram of MEK-ERK signal cascade of the MAPK pathway, PD-1 and PD-L1 pathway, and P16 inhibitory pathway. MEK-ERK, Ras-Raf- mitogen-activated protein kinase-extracellular-signal-regulated kinase; MAPK, mitogen-activated protein kinase; PD-1, programmed cell death 1; PD-L1, programmed cell death-ligand 1.

assess the effects of $BRAF^{V600E}$ mutation on adult PLCH, and to determine whether this mutation is useful for disease stratification and prediction.

The treatment of PLCH is generally conducted based on the extent of disease and clinical course. As a localized disease, PLCH often spontaneously resolves in young patients. Except for the cessation of smoking, no pharmacologic intervention is needed. The use of corticosteroids and/or chemotherapy drug therapy, including cladribine, vinblastine, methotrexate, cyclophosphamide, and etoposide should be considered for patients with severe or progressive disease (22). In our study, only one patient with extrapulmonary progression received chemotherapy, but the effect was not significant. The discovery of the BRAF^{V600E} mutation in PLCH lead to the possibility of targeted therapy using BRAF inhibitors (e.g., vemurafenib, dabrafenib), which were originally developed for the treatment of melanoma and other malignancies (23). However, these treatments need to be critically evaluated

due to their potentially serious side effects.

Herein, we proposed that adult PLCH might harbor two groups, and the prognosis of the isolated lung group (<40 years) was significantly better than that of the extrapulmonary invasion group (>40 years).

Conclusions

Adult PLCH is a rare disease that includes a wide range of clinical manifestations. We proposed that PLCH might entail two distinct groups: the isolated form and the extrapulmonary recidivism PLCH, as we found some significant differences between the two groups. Our high PD-1 expression results provide the possibility for the application of PD-1 inhibitors in refractory PLCH. Over expression of P16 could be a diagnostic biomarker for PLCH. The extremely low mutation rate of the $BRAF^{V600E}$ gene in adult PLCH in our cohort indicated that there might be other pathogeneses in for this disease among the

Asian population.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the West China Hospital of Sichuan University (No. 2020-1211). Written informed consent was provided by all participants.

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