Transsacrococcygeal approach in rectal gastrointestinal stromal tumour resection: 10-year experience at a single centre

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Background: The transsacrococcygeal (TSC) approach in rectal gastrointestinal stromal tumour (GIST) resection is clinically challenging and controversial, and we evaluated its value in the present study.

Methods: We enrolled patients who underwent rectal GIST resection by the TSC approach during 2008–2018. The clinicopathological index, surgical outcome, and prognosis were analysed. Prognostic information was obtained from medical records and follow-up data. Anal function was evaluated by the low anterior resection syndrome (LARS) score.

Results: Among 88 rectal GIST patients over the 10-year study period, 17 who underwent the TSC approach were analysed. The median age was 55 (range, 26–73) years. In total, 15 patients received preoperative imatinib neoadjuvant therapy for 232 (30–690) days. The tumours were exogenous in 14 patients and intramural in 3 patients. The mean initial tumour size and preoperative tumour size were 6.4 ± 2.2 and 4.2 ± 1.7 cm, respectively. The operative time and blood loss were 130.2 ± 47.4 min and 44.6 ± 36.0 mL, respectively. Of the 17 patients 7 had postoperative complications (within 30 days postoperatively), and the complications of 5 patients were cured by conservative treatment. Only 1 patient was lost to follow-up, and the others had a good oncological prognosis at recent follow-up evaluations. All patients had LARS scores ≤ 9 points at 1 year after the operation.

Conclusions: The TSC approach can result in a good oncological prognosis, usually does not affect anal function, and is particularly suitable for exogenous middle and low rectal GISTs. However, it might cause some controlled complications. Hence, careful patient selection is necessary for this operation.

Keywords: Rectal gastrointestinal stromal tumour (rectal GIST); transsacrococcygeal approach (TSC approach); prognosis; surgery; resection

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Introduction

Gastrointestinal stromal tumours (GISTs) are the most common mesenchymal tumours in the gastrointestinal tract, occurring from the oesophagus to the anus and external gastrointestinal tract, with the stomach being the most common site (60%), followed by the small intestine (30%) (1). However, GISTs are rare in the rectum, accounting for no more than 5% of cases (2,3). Due to the anatomical structure of the pelvic stenosis and the features of rectal GISTs, surgical management is very challenging (4,5). Surgeons not only need to remove the tumour completely but also must protect anal function and the surrounding tissue.

Currently, the treatment of rectal GISTs is controversial, especially for middle and low rectal tumours (6-9). In the past, if local resection could not be completed, anterior rectal resection or the Miles procedure would be chosen. However, extensive resection leads to increased trauma and a high rate of postoperative complications, potentially leading to a long recovery and more pain for patients. Even so, the recurrence rate of rectal GISTs is still reported to be as high as 20–50% (10), which is related to the biological behaviour of the rectal GIST itself and the difficulty of surgical resection.

Due to the unique position and rarity of lymph node metastasis of rectal GISTs, it is possible to perform local resection by the transsacrococcygeal (TSC), transanal, transvaginal or transperineal approach. TSC approach is suitable for specific patients. It offers several advantages, including the possibility of obtaining better exposure, and of avoiding the risk of genitourinary and anal dysfunctions following total mesorectum excision (TME) (11,12). In recent years, surgeons in The Sixth Affiliated Hospital have performed TSC resection for middle and low rectal GISTs, but this approach is rarely used in other institutions (13,14). Therefore, we retrospectively evaluated rectal GISTs that were removed by the TSC approach in terms of clinical characteristics and outcomes and assessed the response to pre- and postoperative imatinib therapy. We present the following article in accordance with the STROBE reporting checklist (available at http://dx.doi.org/10.21037/atm-20-8204).

Methods

A retrospective analysis of rectal GIST cases was performed based on patients treated at The Sixth Affiliated

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Hospital, Sun Yat-sen University from February 2008 to February 2018 (*Figure 1*). The enrolment criteria for this study were: (I) diagnosis with rectal GIST by two professional pathologists based on histopathology and immunohistochemical study; (II) complete clinicopathological data; (III) resection of rectal GIST by the TSC approach and (IV) postoperative follow-up for at least 1 year. The exclusion criteria were: (I) other serious diseases; (II) distant metastases at the time of surgery or presenting with other rectal tumours; and (III) death caused by other diseases. The primary outcomes were disease-free survival and anal function. The secondary outcome was the rate of complications.

All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Research Ethics Committee of the Sixth Affiliated Hospital of Sun Yat-sen University, Guangzhou, China (2018ZSLYEC-099), and all patients provided informed consent for participation.

Patients and clinical index

The diagnostic information included the imaging and pathological findings. The following clinical data were collected and collated: age, sex, preoperative treatment, surgical and postoperative outcomes, and pathological outcomes. A core biopsy was used to confirm histology before treatment. All patients underwent computed tomography and magnetic resonance imaging (MRI) examinations before operation, and the tumour size was obtained from high-resolution MRI. The modified National Institutes of Health (NIH) risk system was used to assess the risk of recurrence. Tumour response evaluation was assessed by RECIST 1.1 (15).

Surgical procedures

The main surgical approach was based on the technique originally described by Canessa (16).We modified the procedure (*Figure 2*), taking the resection of an anterior wall rectal GIST as an example. (I) The approach is performed in the prone jack-knife position. The rectal mass is examined with a finger through the anus; an anterior wall tumour might also be examined through the vagina. Next, a midline skin incision is made from the level of the sacrococcygeal joint (SCJ) to the anal edge, and an incision is made halfway around the anus according to the tumour's



Figure 1 Study flowchart. Rectal GISTs resected by the TSC approach in the Sixth Affiliated Hospital, Sun Yat-sen University from February 2008 to February 2018. GIST, gastrointestinal stromal tumour; TSC, transsacrococcygeal.



Figure 2 Surgical technique for transsacrococcygeal approach to resect of rectal gastrointestinal stromal tumour. (A) Operative position and surgical incision; (B) freeing and removal of the coccyx and exposure of the posterior wall of the rectum; (C) proctotomy and exposure of the tumour located in the anterior wall of the rectum; (D) removal of the tumour; (E) suturing the anterior wall of the rectum; (F) suturing the posterior wall of the rectum; (G) drainage tube placed and tissues closed in layers; and (H) skin incision closed.

location to expose the SCJ. (II) If the tumour is located at the middle of the rectum, the coccyx is freed and removed. The pelvic subperitoneal space is enlarged, and the posterior wall of the rectum exposed. (III) A proctotomy is performed and then the tumour located in the anterior wall of the rectum is exposed. (IV) The tumour is removed and the anterior wall of the rectum sutured. (V) The posterior wall of the rectum is sutured. (VI) A drainage tube is placed, and the tissues closed in layers. At the deepest part of the wound, one silicone drainage tube is placed next to the mesorectum and the posterior rectal space, and an anal tube is placed through the anus (VII) The skin incision is closed.

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Table 1 Clinical information of rectal GIST patients

Clinical information	N (%)/mean ± SD		
Median age [years]	55 [26–73]		
>60	8 (47.0%)		
≤60	9 (53.0%)		
Sex			
Male	11 (64.7%)		
Female	6 (35.3%)		
Presenting symptom			
Yes	15 (88.2%)		
No	2 (11.8%)		
Biopsy			
Yes	15 (88.2%)		
No	2 (11.8%)		
Neoadjuvant therapy			
Yes	15 (88.2%)		
No	2 (11.8%)		
Neoadjuvant therapy (days)	232.0±174.7		

GIST, gastrointestinal stromal tumour; SD, standard deviation.

Follow-up information

Follow-up was carried out over the telephone or by a medical history review. The follow-up data included postoperative adjuvant therapy, survival information, and the low anterior resection syndrome (LARS) score. The LARS score was retrospectively evaluated by the LARS Scoring Instructions (17). Follow-up time was defined as the period from the first day of discharge to the date of the interview, and survival time was defined as the period from the first day of discharge to the date of the interview or the date of death. High-resolution MRI was used to evaluate whether the tumour had recurred.

Statistical analysis

All data are described as the mean ± standard deviation or n (%). Statistical analyses were performed using SPSS version 25.0 (SPSS, Chicago, IL, USA), and survival curves were generated using GraphPad Prism 8.0 (San Diego, CA, USA). One patient who was lost to follow-up was also included in the study

Results

Case descriptions

Of a total of 531 GIST patients from the Sixth Affiliated Hospital of Sun Yat-sen University identified between 2008 and 2018, 18 with rectal GISTs underwent TSC resection. Of them, 17 patients with rectal GISTs were eligible and one patient who also had rectal cancer was excluded.for this study (Figure 1). The median age was 55 (range, 26-73) years, and 8 patients (47.3%) were older than 60 years (Table 1). There were no obvious clinical symptoms in 11.8% of the patients, in whom the tumour was found by digital rectal examination; the remaining 88.2% of patients demonstrated symptoms of anal bulge (5 cases), constipation (5 cases), haematochezia (2 cases), anal masses (2 cases) and perianal discomfort (1 case). Of the 17 patients, 2 had experienced recurrence after surgery in other hospitals and were then transferred for further treatment. The initial tumour size ranged from 4 to 12 cm, with an average diameter of 6.4 cm. Pathological evidence was found in all 15 patients before imatinib therapy. The representative images of MRI before and after neoadjuvant imatinib therapy can be seen in Figure 3. The distance from the tumour to the anal verge was within 5.5 cm. Among the 15 patients treated with imatinib, 10 achieved a partial response, 3 had stable disease and 2 had progressive disease. Two patients underwent surgery directly after a definite diagnosis; their tumour sizes were 4 and 5 cm, and the distances from the anal margin were 5 and 3.1 cm, respectively (Table 2).

Pathological outcomes

All 17 patients underwent pathological examination after the operation. The final pathological diagnosis was made according to histological morphology and immunohistochemical staining of the specimens. The tumours were exogenous in 14 patients and intramural in 3 patients. The mitotic counts were $\leq 5/50$, (5–10)/50, and $\geq 10/50$ per high-power field in 11 (64.7%), 6 (35.3%), and 0 (0%) patients, respectively. Immunohistochemistry demonstrated that 17 (100%), 16 (94.1%), and 13 (76.5%) of the patients had expression of CD34⁺, CD117⁺, and DOG-1⁺, respectively. According to the modified NIH risk classification system, 1 patient (5.9%), 4 patients (23.5%), 1 patient (5.9%) and 11 patients (64.7%) were in the categories of very low risk, low risk, intermediate risk and



Figure 3 Comparison of magnetic resonance imaging (MRI) and pathological images pre- and post-neoadjuvant imatinib in a patient with anterior rectal gastrointestinal stromal tumour (GIST) (The " \leftarrow " points to the location of tumour). (A) Anterior rectal GIST before imatinib (maximum tumour diameter 8.2 cm) and (B) at 10 months after imatinib treatment (maximum tumour diameter 5.0 cm). (C) The pathological image prior to neoadjuvant therapy showing tumour spindle cells (H&E, ×100). (D) The pathological image after imatinib therapy (H&E, ×200).

high risk, respectively (Table 2).

Surgical outcomes

All patients underwent TSC resection of rectal GISTs, and imatinib was discontinued 2 weeks before surgery. The mean operation time, blood loss and postoperative hospitalisation stay were 130.2 ± 47.4 min, 44.6 ± 36.0 mL, and 25.4 ± 14.3 days, respectively (*Table 3*). All patients had a negative surgical margin, and 1 patient experienced tumour rupture during the operation. Two patients underwent prophylactic ileostomy. Postoperative complications (within 30 days postoperative) occurred in 7 patients (41.2%) and included 5 cases of leakage and 2 cases of poor wound healing. Among the 5 cases of leakage, 4 patients had rectal

leakage, and 1 had a rectovaginal fistula. Case 1 and Case 3 with rectal leakage recovered after conservative treatment, including unobtrusive drainage and irrigation, but the hospital stay was relatively long, and Case 2 underwent sigmoidostomy (*Table 4*). In Case 4, the tumour had invaded the posterior wall of the vagina, which was partially excised and repaired by a gynaecologist. This patient had a rectovaginal fistula after surgery and underwent colostomy, which could not be closed after several months because of existing leakage. In Case 7, postoperative sacrococcygeal incision debridement was performed 12 days after surgery, and ileostomy was performed 12 days after surgery. After 5 months, anal stenosis was found, and it was not possible to close the stoma. Anal dilation was treated, and the stoma was closed 15 months later.

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Table 2 Pathological outcomes

Pathological outcomes	N (%)/mean ± SD
Tumour location	
Anterior	6 (35.3%)
Posterior	2 (11.8%)
Lateral	9 (52.9%)
Pattern of tumour growth	
Endogenous	0
Intramural	3 (17.6%)
Exogenous	14 (82.4%)
Distance from anal verge (cm)	3.3±1.4
Initial tumour diameter (cm)	6.4±2.2
Tumour size (cm, before neoadjuvant therap	су)
<2	1 (5.9%)
2–5	11 (64.7%)
5.1–9.9	5 (29.4%)
Preoperative tumour diameter (cm)	4.2±1.7
Response evaluation	
Complete response (CR)	0
Partial response (PR)	10 (66.7%)
Stable disease (SD)	3 (20%)
Progressive disease (PD)	2 (13.3%)
Mitotic count (/50 HPF)	
≤5	11 (64.7%)
5–10	6 (35.3%)
IHC	
CD34 ⁺	17 (100%)
CD117⁺	16 (94.1%)
Dog-1 ⁺	13 (76.5%)
Tumour rupture	
Yes	1 (5.9%)
Surgical margin	
Positive	0
NIH criteria	
Very low	1 (5.9%)
Low	4 (23.5%)
Intermediate	1 (5.9%)
High	11 (64.7%)

Response evaluation was assessed by RECIST 1.1; HPF, high-power field; IHC, immuno-histochemistry; NIH, National Institutes of Health. SD, standard deviation

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Table	3	Surgical	outcomes

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Surgical outcomes	N (%)/mean ± SD
Operative time (min)	130.2±47.4
Blood loss (mL)	44.6±36.0
Postoperative hospitalisation (days)	25.4±14.3
Complications	
Leakage	5 (29.4%)
Poor wound healing	2 (11.8%)
SD standard deviation	

SD, standard deviation.

Postoperative therapy and survival information

After the operation, 14 patients received imatinib treatment, which lasted more than 1 year (1–8 years). Of the 17 patients, 1 was lost to follow-up, but the others survived to the most recent follow-up evaluation. Patients were followed up for a range of 17–99 months, with a median of 52 months (*Table 5*). There was 1 case of recurrence 1 month after surgery. Subsequently, the tumour was treated with imatinib for 6 months, but did not shrink, so the patient underwent resection by the TSC approach again, and postoperative treatment with imatinib has been performed to date. All patients had a good survival prognosis (*Figure 4*). All patients had a LARS score ≤ 9 points at 1 year after the operation, and none had anal dysfunction (*Table 6*).

Discussion

In this study, patients had a good oncological prognosis and anal function, and the postoperative results were consistent with previous reports (11,12), although the complication rate does seem high.

Due to their low incidence and limited clinical studies, the treatment of rectal GISTs is still controversial. Surgical resection is the main treatment method (18,19), including local resection and radical excision. Local resection includes transanal, transperineal, and TSC approaches. Radical excision mainly consists of lower anterior resection and the Miles procedure, and will cause increased trauma and the loss of some physiological functions. In this study, 19.3% of patients with rectal GISTs underwent TSC resection, a proportion that was higher than in Jakob *et al.*'s (1/38) (14) and Wilkinson *et al.*'s (0/13) (13) reports. The high proportion may be explained by the fact that our centre is the largest gastrointestinal specialist hospital in China,

Table 4 Complications within 30 days after surgery

Case no.	Date of surgery	Age intervalª	Tumour location	Distance from anus (cm)	Tumour size (cm)	Complication	Treatment	Outcome	Hospital-isation (days)
1	2016.1	70–75	Lateral	2.8	3.5	Leakage	Drainage	Recovered	24
2	2015.6	45–50	Lateral	5.5	4.0	Wound dehiscence	Sigmoidostomy	Ostomy closure after 6 months	45
3	2013.9	70–73	Anterior	3.1	5.0	Sacrococcygeal sinus tract	Drainage	Recovered	48
4	2013.12	55–60	Anterior	2.5	6.1	Rectovaginal fistula	Sigmoidostomy	Rectostenosis and permanent stoma	30
5	2017.11	60–65	Posterior	5	6.5	Poor wound healing of the rectum	Conservative treatment	Recovered	56
6	2014.7	65–70	Lateral	2.6	2.0	Wound dehiscence of the skin	Conservative treatment	Recovered	23
7	2011.5	30–35	Anterior	4	2.7	Leakage	Debridement and ileostomy	Ostomy closure after 15 months	18

^a, ages are presented as a range in order to avoid indirectly identifying patient data.

Table 5 Postoperative therapy and follow-up

Postoperative therapy and prognosis	N (%)/mean ± SD
Postoperative adjuvant therapy	
Yes	14 (82.4%)
No	3 (17.6%)
Recurrence	
Yes	1 (5.9%)
No	15 (88.2%)
Lost to follow-up	1 (5.9%)
Median follow-up (months)	52
Median survival time (months)	55
LARS score	
≤9	100 (100%)
>9	0

LARS, low anterior resection syndrome.

and surgeons have extensive experience; therefore, more difficult patients are sent here for treatment.

The posterior approach has been reported to have low morbidity and good oncological outcomes (20,21), but the TSC approach is still controversial because of complications such as leakage, wound infection and poor wound healing. Other debated factors include surgical indication, the procedures, and operability. In our centre, the average operation time was 130.2±47.4 min, which indirectly reflects the feasibility of surgery. In this study, 7 of the 17 patients had different degrees of complications, including 5 cases of leakage and 2 cases of poor wound healing. The high incidence rate of complications may be attributed to the specific location of the tumour, the ages of the patients, experience of the surgeon, and the complexity of the cases. Of the 7 patients with complications 6 had a rectal GIST located at the lateral or anterior wall of the rectum. Case 1 and Case 6 in this study underwent a second tumour excision operation due to recurrence, and a second operation may increase the difficulty of the operation. In addition, the average age and blood loss of patients with complications (58.6 years and 51.4 mL, respectively) were higher than those of patients without complications (54.0 years and 39.3 mL, respectively). Complications also lead to postoperative hospitalisation. Fortunately, all patients obtained a good survival prognosis. Therefore, methods to reduce the incidence of complications should be examined. To reduce the incidence of leakage, a leak test with methylene blue or water is important to ensure the absence of leak. Optimisation of suture techniques, prophylactic ileostomy and postoperative nursing could reduce the incidence of leakage and other serious complications.

The standard treatment for resectable rectal GIST is complete surgical resection without dissection of clinically negative lymph nodes (7). Pre-treatment with imatinib



Figure 4 (A) Disease-free survival curve and (B) overall survival curve of the 17 rectal gastrointestinal stromal tumour (GIST) patients.

Case no.	Incontinence of flatus	Incontinence of liquid stool	Frequency of bowel movements	Clustering of stools	Urgency	Total score
1	0	0	0	0	0	0
2	0	0	0	0	0	0
3	0	0	0	0	0	0
4	0	0	0	0	0	0
5	0	0	5	0	0	5
6	NA	NA	NA	NA	NA	NA
7	0	0	0	9	0	9
8	0	0	0	9	0	9
9	0	0	0	0	0	0
10	0	0	0	0	0	0
11	0	0	5	0	0	5
12	0	0	0	0	0	0
13	0	0	0	0	0	0
14	0	0	0	0	0	0
15	0	0	0	0	0	0
16	0	0	0	0	0	0
17	0	0	0	0	0	0

Table 6 LARS score at 1	year after surgery
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Interpretation: 0–20: no LARS; 21–29: minor LARS; 30–42: major LARS. LARS, low anterior resection syndrome; NA, not applicable. Patient of Case 6 had a permanent ostomy.

should be considered if R0 resection is not feasible, or it can be achieved by less invasive/functionally preserving surgery in the case of reduced tumour size. For unresectable or metastatic rectal GISTs, imatinib is the primary therapy; Surgery may be indicated for limited disease progression, previously unresectable tumours after a favorable response to preoperative imatinib or symptomatic bleeding or obstruction (6).

In terms of the surgical approach, transanal resection is more minimally invasive for rectal GIST treatment, but it

is difficult to visualise a complete exogenous rectal tumour. In recent years, transanal endoscopic microsurgery has been performed; this operation can remove tumours with a full layer of the rectum (22) and offers access to high lesions, but special instruments and technical experience are required (23). For an exogenous tumour, the TSC approach is conducive to exposing the tumour completely, identifying nerves and muscles, and protecting anal function, especially for tumours located at the posterolateral wall of the middle and lower rectum. On the other hand, Gervaz et al. reported that rectal GISTs are generally not associated with lymph node metastasis and are more suitable for TSC resection (11). Compared with radical excision, this approach is less traumatic and helps maintain sexual and anal functions (21). In this study, 82.4% of the cases had exogenous tumours, and the distance from the lower edge of the tumour to the anal edge was within 5 cm.

However, the indications for resection of rectal GISTs by the TSC approach still need to be mastered, and tumour size, location, and surrounding invasion need to be comprehensively considered. Because the size of the tumour is closely related to the risk of recurrence and the choice of surgical approach (24), local resection is feasible for most patients with small tumours (diameter <5 cm) (25). For tumours in the lower rectum, transanal resection is safe and feasible as long as a safe cutting margin of 1 cm can be guaranteed. For women with tumours in the middle of the anterior wall of the rectum, transvaginal resection may be considered. For tumours located in the posterior wall of the middle segment of the rectum, especially tumours growing away from the rectal lumen, the TSC approach is the best choice. For stromal tumours in the upper segment of the rectum, transabdominal tumour resection is suitable. According to our data, the sum of the preoperative tumour diameter and the distance from the tumour to the anal verge ranged from 3.6 to 11 cm, and there were 6, 2 and 9 cases located in the anterior, posterior and lateral walls of the rectum, respectively. This suggests that the TSC approach is more appropriate when the tumour is located at the middle and lower rectum. It is worth mentioning that the presence of a tumour in the anterior wall of the rectum is not a contraindication to this procedure. We recommend the TSC approach for resection of intramural or exogenous rectal GISTs in the middle and lower rectum. Since this approach is rarely used, it should be carried out in centres with rich professional experience based on individualized treatment.

In addition, for patients diagnosed with rectal GIST, imatinib neoadjuvant therapy is feasible, and surgery can be performed when the tumour cannot shrink further. The incidence of rectal stromal tumours is low, and the degree of risk is usually high. Neoadjuvant imatinib therapy for rectal GISTs offers a number of potential benefits (26), including tumour downsizing, reduction in mitotic activity, reduced morbidity, and a reduced risk of recurrence (27) Patients with intermediate or high risk should continue to use imatinib 400 mg/day as adjunctive therapy after surgery for at least 3 years. In this study, differences were noted in tumour diameter between before and after treatment with imatinib, which significantly reduced the mean tumour size from 6.4 (2.6–8.6) to 4.2 (2.0–6.8) cm (95% confidence interval, P<0.05).

Given the long latency period between the primary surgical intervention and recurrence and/or metastasis, regular follow-up at 3–6-month intervals in the first 3 postoperative years is highly recommended, especially for patients with intermediate and high-risk rectal GISTs. Close surveillance also allows for the early discovery of local recurrence after local excision. Case 1 and Case 6 in this study underwent surgery at local hospitals and then re-presented to us due to recurrence. To date, they have exhibited recurrence-free survival after the second surgery.

Finally, the study was limited by its small sample size and retrospective design, but this technique is an alternative to consider in selected cases of rectal tumours.

Conclusions

Currently, there are few reports on the TSC approach to resect rectal GISTs. Our study suggests that this operation can achieve a good oncological prognosis, usually does not affect anal function, and is particularly suitable for exogenous middle and low rectal GISTs. However, it can also cause some controlled complications. Hence, careful patient selection and an experienced surgeon are necessary for this operation.

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Footnote

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