



The prognostic significance of tumor deposits in patients with head and neck squamous cell carcinomas

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Background: A tumor deposit (TD) is a phenomenon that has not been well studied in head and neck squamous cell carcinoma (HNSCC) but might have prognostic significance. The present study was conducted to explore the presence and the prognostic significance of TDs in patients with HNSCCs.

Methods: Six hundred forty-two pathologically confirmed HNSCC patients with neck dissection samples were enrolled in this retrospective study. Patients were followed up and evaluated every 3 months in the first 3 years after surgery, and every 6 months thereafter by physical examination and computed tomography (CT)/magnetic resonance imaging (MRI) scans. The five-year overall survival (OS), disease-specific survival (DSS), and recurrence-free survival (RFS) were compared in the TD and non-TD groups using multivariable analyses and propensity score matching (PSM) methodology (1:1).

Results: The 5-year OS, DSS, and RFS rate of all patients was 77.3%, 80.6%, and 71.9%, respectively. In the multivariable analyses, poorer rates of OS (HR =2.345, P<0.001), DSS (HR =2.818, P<0.001), and RFS (HR =2.536, P<0.001) were observed in the TD versus the non-TD group. In the PSM cohort, eighty-one patients who had TDs were paired with 70 patients without TDs. Significantly diminished rates of DSS (P=0.040) and RFS (P=0.004) were found in the TD versus the non-TD group.

Conclusions: In response to sparse reports regarding TDs in HNSCCs, the present study proposes the TD as an independent poor prognostic factor meriting further research because of its association with diminished OS, DSS, and RFS rates.

Keywords: Head and neck carcinoma; tumor deposits; prognostic significance; survival; propensity score matching

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Introduction

Approximately 880,000 patients are diagnosed with some type of head and neck cancer, and approximately 450,000 patients expire due to the disease each year (1). According to global cancer statistics in 2018, head and neck cancer ranks seventh among all cancers (1), and squamous cell

carcinomas account for more than 90% of head and neck cancer (2). Several independent prognostic factors have been identified in head and neck squamous cell carcinoma (HNSCC), including extranodal extension, positive margins, and perineural invasion, among others. However, the prognostic value of tumor deposits (TDs) in HNSCC has rarely been mentioned in the literature.

Since the 1930s, the concept of the TD was introduced as small bits of tumor in pericorectal adipose tissue in colorectal cancer (3). TDs were defined by pathologists as tumor nodules located a distance from but in the lymphatic drainage of the primary tumor. No morphological features of lymph nodes should be detected (4). Several studies have shown that TDs are related to an adverse prognosis in colorectal carcinoma (5-9). Hence, they were included in the TNM staging system for colorectal carcinomas since 2010 (10). Additionally, the predictive value of TDs has also been studied in gastric carcinomas (11,12), pancreatic carcinomas, and cholangiocarcinomas (13).

Considering that few studies in the literature have focused on TDs in squamous cell carcinoma (SCC), especially HNSCC, their independent prognostic significance is still unknown. The present study aimed to identify TDs in HNSCC samples and to explore their correlations with survival. We present the following article in accordance with the STROBE reporting checklist (available at: <http://dx.doi.org/10.21037/atm-20-4369>).

Methods

Patient population

From January 2010 to December 2018, 642 patients were continuously enrolled at Peking Union Medical College Hospital. Inclusion criteria were as follows: (I) pathologically confirmed, previously untreated HNSCC undergoing radical resection; (II) neck dissection materials available. The exclusion criteria were as follows: (I) loss during follow-up; (II) presence of other malignant tumors. TNM stages of all participants were evaluated according to the American Joint Committee on Cancer (AJCC) in 2017. Clinical information such as age, gender, adult comorbidity score, tumor site, and tobacco and alcohol consumption were obtained from the medical history of the participants.

Smokers were delimited as patients who smoked more than 100 cigarettes in their lifetime; otherwise, they were delimited as never smokers. Drinkers were delimited as those who consumed alcohol at least once a week for over a year; whereas never drinkers had consumed less. The adult comorbidity score was graded by the Adult Comorbidity Evaluation 27 index, which has been validated as a dependable comorbidity index for patients with head and neck cancer (14).

Surgical procedures

Curative surgery was performed in all patients in this study. Primary tumor resection and neck dissection were accomplished following accepted criteria for adequate resection according to the location and stage of the tumor.

Histological analysis

Pathological data such as histopathologic grade, lymph node metastasis, extranodal extension, positive margins, perineural invasion, and lymphovascular invasion were extracted from pathological reports. Original pathology slides were re-examined by two pathologists who were blinded to the purpose of the study. TDs were defined as tumor nodules in the lymphatic drainage area of the primary tumor, away from the tumor and with no morphological features of lymph nodes.

Patient follow-up

Patients were followed up and evaluated every 3 months in the first 3 years after surgery and every 6 months thereafter by physical examination and computed tomography (CT)/magnetic resonance imaging (MRI) scans. All patients were followed up for at least 1 year or until death. Patients were regarded as recurrence-free if cancer absence was recorded on the last visit. Recurrences were diagnosed by biopsy, positron emission tomography (PET), bone scan, or CT/MRI.

Overall survival (OS) was assigned as the primary end-point, which was the time from surgery to the time of death due to any reason or last follow-up. Recurrence-free survival (RFS) and disease-specific survival (DSS) were assigned as the secondary end-points. DSS was the time from surgery to the time of death from primary disease or last follow-up, and RFS was the time from surgery to the time of recurrence or last follow-up.

Statistical analysis

The baseline characteristics of the TD group and the non-TD group are presented as the number of patients and percentages. They were then compared using the Pearson χ^2 test. Survival analyses of the two groups were compared with Kaplan-Meier methodology and the log-rank test.

Unadjusted univariable and multivariable Cox proportional hazards models were used to estimate the prognostic value of TDs and other pathologic features. To strengthen the stability of the study findings, propensity score matching (PSM) was applied herein as a sensitivity analysis. Nearest neighbor 1:1 matching was applied in the matching for the TD and non-TD groups. Matching covariates included tumor site, age, gender, tobacco and alcohol consumption, adult comorbidity score, differentiation grade, pathologic T stage (pT), pathologic N stage (pN), pathologic stage, extranodal extension, positive margins, perineural invasion, and lymphovascular invasion. All statistical tests were two-sided, and P values <0.05 were considered statistically significant. SPSS version 23.0 (IBM Corporation, USA) was applied for the statistical analyses.

Ethical statement

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The current study was approved by the Ethics Committee of Peking Union Medical College Hospital (NO: S-K1243), Peking Union Medical College and Chinese Academy of Medical Sciences, Beijing, China. Individual consent for this retrospective analysis was waived. The study outcomes will not affect the future management of the patients.

Results

Baseline characteristics

A total of 642 patients were enrolled in this study from January 2010 to December 2018. Most of the patients (50.8%) were between 56 to 70 years old. The male:female ratio was 6.6:1 (557 males:85 females). The larynx (49.5%) and oral cavity (29.3%) were the predominant tumor sites in HNSCC patients in this study. Most patients (75.1%) were diagnosed with stage III-IV disease. TDs were found in 81 (12.6%) patients.

As presented in *Table 1*, no significant differences were found between the two groups regarding age, tobacco consumption, comorbidity score, histopathologic grade, extranodal extension, positive margins, or perineural invasion. Compared with the non-TD group, the TD group had a significantly higher proportion of hypopharynx SCC (P=0.001), males (P=0.018), and drinkers (P=0.034). Patients with TDs were also more likely to exhibit lymphovascular invasion (P<0.001), a higher pT (P=0.002), pN (P<0.001),

and pathologic stage (P<0.001).

Treatment outcomes

The median follow-up time for the whole cohort was 44 months. The median OS, DSS, and RFS time was 44, 44, and 39 months, respectively. Among 642 patients, 510 (79.4%) were alive. One hundred eight patients (TD, n=48; non-TD, n=60) expired due to primary disease. Twenty-four patients expired because of intercurrent diseases. For the whole cohort, the 3- and 5-year OS rate was 82.1% and 77.3%, respectively. The 3- and 5-year DSS rate was 85.0% and 80.6%, respectively.

The 3- and 5-year RFS rate of the entire cohort was 74.7% and 71.9%, respectively. The median survival time for patients with recurrence was 8 months (range: 0–78 months). Therefore, the patients could still live for several months after diagnosis of recurrence. However, related symptoms such as infection, dyspnea, dysphagia, and pain occurred in most patients with recurrence.

As shown in *Figure 1*, the non-TD group had higher OS (P<0.001), DSS (P<0.001), and RFS (P<0.001) rates. The 5-year OS rate was 49.5% and 80.9% in the TD and non-TD group, respectively. The 5-year DSS rate was 49.5% in the TD group compared with 84.8% in the non-TD group. The 5-year RFS rate was 35.7% and 77.0% in the TD and non-TD group, respectively.

Univariable and multivariable analyses

As presented in *Table 2*, differentiation, pT, pN, pathologic stage, extranodal extension, positive margins, perineural invasion, lymphovascular invasion, and TDs were included to compare the predictive power of TDs with other pathologic factors. TDs were associated with reduced OS, DSS, and RFS rates in the univariable analysis. In the multivariable analysis, patients in the TD group were associated with reduced OS (HR =2.345; 95% CI: 1.533–3.587; P<0.001), DSS (HR =2.818; 95% CI: 1.828–4.345; P<0.001), and RFS (HR =2.536; 95% CI: 1.762–3.650; P<0.001) rates. Differentiation, pathologic grade, perineural invasion, and lymphovascular invasion were independent predictive factors for OS, DSS, and RFS along with TDs.

Propensity score matching

All the characteristics in *Table 1* were chosen as independent variables for PSM. Using a matching score of 1:1 and

Table 1 Baseline characteristics of HNSCC patients between the TD and non-TD group

	Non-TD (n=561)		TD (n=81)		P
	Number	%	Number	%	
Site of tumor					0.001
Larynx	275	49.0	43	53.1	
Hypopharynx	86	15.3	25	30.9	
Oral cavity	178	31.7	10	12.3	
Oral pharynx	16	2.9	2	2.5	
Lip	6	1.1	1	1.2	
Age (y)					0.101
≤55	194	34.6	25	30.9	
56–70	277	49.4	49	60.5	
>70	90	16.0	7	8.6	
Gender (%)					0.018
Male	480	85.6	77	95.1	
Female	81	14.4	4	4.9	
Smoking status					0.064
Smokers	418	74.5	68	84.0	
Nonsmokers	143	25.5	13	16.0	
Alcohol					0.034
Drinkers	318	56.7	56	69.1	
Nondrinkers	243	43.3	25	30.9	
Adult comorbidity score					0.721
None to mild	412	73.4	61	75.3	
Moderate to severe	149	26.6	20	24.7	
Histopathologic grade					0.416
Well differentiated	348	62.0	46	56.8	
Moderately differentiated	156	27.8	23	28.4	
Poorly differentiated	57	10.2	12	14.8	
Pathologic T classification					0.002
pT1	60	10.7	8	9.9	
pT2	198	35.3	13	16.0	
pT3	159	28.3	37	45.7	
pT4	144	25.7	23	28.4	

Table 1 (continued)

Table 1 (continued)

	Non-TD (n=561)		TD (n=81)		P
	Number	%	Number	%	
Pathologic N classification					<0.001
pN0	325	57.9	9	11.1	
pN1	94	16.8	11	13.6	
pN2	141	25.1	59	72.8	
pN3	1	0.2	2	2.5	
Pathologic stage					<0.001
I	44	7.8	0	0.0	
II	116	20.7	0	0.0	
III	169	30.1	9	11.1	
IV	232	41.4	72	88.9	
Extranodal extension					0.543
Negative	541	96.4	77	95.1	
Positive	20	3.6	4	4.9	
Positive margin					0.089
Negative	527	93.9	72	88.9	
Positive	34	6.1	9	11.1	
Perineural invasion					0.393
Negative	538	95.9	76	93.8	
Positive	23	4.1	5	6.2	
Lymphovascular invasion					<0.001
Negative	528	94.1	67	82.7	
Positive	33	5.9	14	17.3	

HNSCC, head and neck squamous cell carcinoma; TD, tumor deposit. P was calculated with the Chi-square test.

maximizing matching performance, 81 patients who had TDs were paired with 70 patients without TDs. Eleven unmatched patients of the non-TD group were eliminated from PSM cohort by the software. After PSM, all the selected characteristics were balanced between the two groups, as shown in *Table 3*.

After PSM, the 5-year OS rate was 49.5% and 65.6% in the TD and non-TD group, respectively. The 5-year DSS rate was 49.5% in the TD group compared with 65.6% in the non-TD group. The 5-year RFS rate was 35.7% and 61.0% in the TD and non-TD group, respectively. Significant differences in DSS (P=0.040) and RFS (P=0.004) were found between the two groups, as shown in *Figure 2*.

Discussion

Since its first description in 1935, the TD has been investigated in several cancers, and the mechanism underlying its formation is still under debate. At the time of their discovery, TDs were thought to represent tumor cell dissemination along blood vessels (3). Later, some other hypotheses were proposed such as perivascular, intralymphatic/perilymphatic, and perineural pathways. The formation of TDs sometimes results from more than a single pathway (15). Some researchers have proposed that TDs originate from lymph node metastases with thorough extranodal extensions, which eliminate the structure

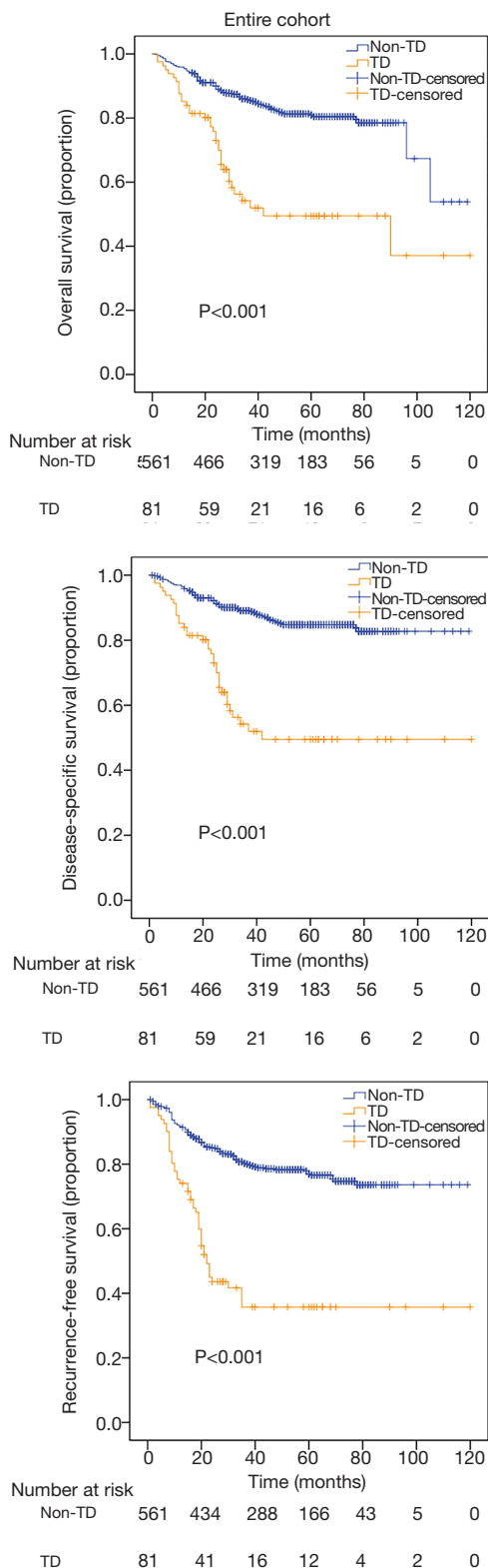


Figure 1 Overall survival (OS), disease-specific survival (DSS), and recurrence-free survival (RFS) in HNSCC patients with and without tumor deposits. HNSCC, head and neck squamous cell carcinoma; TD, tumor deposit.

of the primary lymph node. However, this mechanism cannot explain the formation of all TDs based on their morphology. First, trapped nerves and arteries in some TDs are not common structures in lymph nodes (16,17). Second, two kinds of morphologies are detected in TDs: those with and those without regular contours. TDs with regular contours have been thought to originate from lymphatic or perilymphatic pathway, and TDs without regular contours are more likely to originate from vascular or perivascular pathway. The 6th edition of TNM staging for colorectal carcinomas applied such criteria to define TDs. TDs with regular contours were identified as lymph node metastases (18). However, as more studies uncovered the independent prognostic value of TDs (19-21), they were no longer classified according to their shapes or considered as lymph node metastases. Therefore, AJCC counted TDs as the pN1c stage in the 7th edition of TNM staging for colorectal carcinomas (22), which was confirmed in subsequent studies (8,23,24).

According to the few studies in the literature, the reported incidence of TDs in HNSCC is variable. Violaris and colleagues pioneered the study of TDs in HNSCC, in which 138 of 497 patients (27.8%) had TDs (25). A significantly higher presence of TDs was found in patients with poorly differentiated HNSCC plus T4 tumors. However, the neck dissection samples from these patients were obtained sometime after the initial treatment, so they might have more cervical metastases than patients who had neck dissections during the initial treatment. MacLennan and colleagues analyzed 63 patients with HNSCCs and clinically N0 necks. Five patients (7.9%) were identified with TDs (26). They later conducted another study in 155 patients with HNSCCs and found TDs in 37 patients (23.8%) (27,28). Patients with hypopharynx SCCs were most likely to develop TDs (28). Sarioglu and colleagues retrospectively analyzed neck dissection samples from 140 patients with HNSCCs and found TDs in 24 patients (17.1%). In their study, patients with TDs were associated with more lymphovascular invasion and a higher pN (29). In the present study, TDs were found in 12.6% of the patients, which adds to and supports the previous study. In addition, TDs were significantly more common in hypopharynx SCC, males, and drinkers. Patients with TDs were also more likely to exhibit lymphovascular invasion and a higher pT, pN, and pathologic stage. The characteristics of TDs were partly in accordance with historical data (25,28,29), which might reflect that TDs are indicative of more advanced HNSCC.

Table 2 Univariable and multivariable analyses of OS, DSS, and RFS regarding tumor deposits and other pathologic factors in the whole cohort

	OS		DSS		RFS	
	HR (95% CI)	P	HR (95% CI)	P	HR (95% CI)	P
Univariable analyses						
Differentiation (well vs. moderately vs. poorly)	0.569 (0.453–0.714)	<0.001	0.457 (0.365–0.593)	<0.001	0.581 (0.473–0.714)	<0.001
Pathologic T-stage (pT1 vs. pT2 vs. pT3 vs. pT4)	0.713 (0.592–0.860)	<0.001	0.694 (0.565–0.854)	0.001	0.717 (0.608–0.847)	<0.001
Pathologic N-stage (pN0 vs. pN1 vs. pN2 vs. pN3)	0.603 (0.499–0.728)	<0.001	0.482 (0.388–0.599)	<0.001	0.501 (0.421–0.597)	<0.001
Pathologic stage (I vs. II vs. III vs. IV)	0.426 (0.327–0.555)	<0.001	0.304 (0.213–0.435)	<0.001	0.389 (0.304–0.498)	<0.001
Extranodal extension (Neg. vs. Pos.)	0.628 (0.293–1.345)	0.231	0.517 (0.240–1.113)	0.092	0.336 (0.194–0.581)	<0.001
Positive margin (Neg. vs. Pos.)	0.339 (0.206–0.558)	<0.001	0.276 (0.166–0.458)	<0.001	0.315 (0.202–0.490)	<0.001
Perineural invasion (Neg. vs. Pos.)	0.389 (0.203–0.743)	0.004	0.323 (0.168–0.620)	0.001	0.344 (0.198–0.596)	<0.001
LVI (Neg. vs. Pos.)	0.360 (0.221–0.586)	<0.001	0.294 (0.179–0.482)	<0.001	0.304 (0.201–0.460)	<0.001
Tumor deposit (Pos. vs. Neg.)	3.211 (2.174–4.745)	<0.001	4.205 (2.793–6.329)	<0.001	3.955 (2.819–5.549)	<0.001
Multivariable analyses						
Differentiation (Well vs. moderately vs. poorly)	0.623 (0.489–0.796)	<0.001	0.498 (0.381–0.651)	<0.001	0.666 (0.532–0.833)	<0.001
Pathologic T-stage (pT1 vs. pT2 vs. pT3 vs. pT4)	1.261 (0.982–1.621)	0.068		0.120	1.202 (0.997–1.449)	0.053
Pathologic N-stage (pN0 vs. pN1 vs. pN2 vs. pN3)	1.287 (0.975–1.701)	0.076		0.880		0.886
Pathologic stage (I vs. II vs. III vs. IV)	0.383 (0.253–0.580)	<0.001	0.416 (0.292–0.602)	<0.001	0.445 (0.335–0.590)	<0.001
Extranodal extension (Neg. vs. Pos.)		0.522		0.560		0.322
Positive margin (Neg. vs. Pos.)	0.588 (0.326–0.958)	0.034	0.602 (0.347–1.045)	0.072	0.588 (0.362–0.956)	0.032
Perineural invasion (Neg. vs. Pos.)	0.447 (0.230–0.872)	0.018	0.398 (0.204–0.777)	0.007	0.388 (0.219–0.687)	0.001
LVI (Neg. vs. Pos.)	0.501 (0.301–0.833)	0.008	0.436 (0.259–0.733)	0.002	0.469 (0.305–0.720)	0.001
Tumor deposit (Pos. vs. Neg.)	2.345 (1.533–3.587)	<0.001	2.818 (1.828–4.345)	<0.001	2.536 (1.762–3.650)	<0.001

OS, overall survival; DSS, disease-specific survival; RFS, recurrence-free survival; PSM, propensity score matching; CI, confidence interval; HR, hazard ratio; LVI, lymphovascular invasion. Pos., positive; Neg., negative.

A large amount of evidence in the literature supports TDs as adverse prognostic factors in colorectal and gastric carcinomas (11,12,30–32). However, a literature search identified only three reports on the independent prognostic significance of TDs in HNSCCs, as defined herein. TDs have been shown to be adverse prognostic factors for OS (25,28,29) and DSS (29). However, two of the studies had a relatively small sample size, and none of the studies adjusted other prognostic factors between the TD group the non-TD group to compare the independent prognostic

significance of TDs. Thus, the poor survival of patients with TDs might be the consequence of several confounding factors. In our study, as shown in *Table 1*, due to the significant differences in several variables between the two groups, multivariable analyses and PSM were applied to exclude potential variables that might impact survival and balance the baseline characteristics between the two groups. In the multivariable analyses, in addition to differentiation, pathologic stage, perineural invasion, and lymphovascular invasion, TDs also had negative effects on OS, DSS,

Table 3 Baseline characteristics of HNSCC patients between the TD and non-TD group after PSM

	After matching				P
	Non-TD (n=70)		TD (n=81)		
	Number	%	Number	%	
Site of tumor					0.395
Larynx	45	64.3	43	53.1	
Hypopharynx	19	27.1	25	30.9	
Oral cavity	6	8.6	10	12.3	
Oral pharynx	0	0.0	2	2.5	
Lip	0	0.0	1	1.2	
Age (y)					0.950
≤55	22	31.4	25	30.9	
56–70	41	58.6	49	60.5	
>70	7	10.0	7	8.6	
Gender (%)					0.568
Male	65	92.9	77	95.1	
Female	5	7.1	4	4.9	
Smoking status					0.955
Smokers	59	84.3	68	84.0	
Nonsmokers	11	15.7	13	16.0	
Alcohol					0.793
Drinkers	47	67.1	56	69.1	
Nondrinkers	23	32.9	25	30.9	
Adult comorbidity score					0.731
None to mild	51	72.9	61	75.3	
Moderate to severe	19	27.1	20	24.7	
Histopathologic grade					0.986
Well differentiated	39	55.7	46	56.8	
Moderately differentiated	20	28.6	23	28.4	
Poorly differentiated	11	15.7	12	14.8	
Pathologic T classification					0.076
pT1	4	5.7	8	9.9	
pT2	17	24.3	13	16.0	
pT3	20	28.6	37	45.7	
pT4	29	41.4	23	28.4	

Table 3 (continued)

Table 3 (continued)

	After matching				P
	Non-TD (n=70)		TD (n=81)		
	Number	%	Number	%	
Pathologic N classification					0.496
pN0	11	15.7	9	11.1	
pN1	10	14.3	11	13.6	
pN2	49	70.0	59	72.8	
pN3	0	0.0	2	2.5	
Pathologic stage					0.071
I	0	0.0	0	0.0	
II	4	5.7	0	0.0	
III	5	7.1	9	11.1	
IV	61	87.1	72	88.9	
Extranodal extension					0.514
Negative	68	97.1	77	95.1	
Positive	2	2.9	4	4.9	
Positive margin					0.122
Negative	67	95.7	72	88.9	
Positive	3	4.3	9	11.1	
Perineural invasion					0.334
Negative	68	97.1	76	93.8	
Positive	2	2.9	5	6.2	
Lymphovascular invasion					0.796
Negative	59	84.3	67	82.7	
Positive	11	15.7	14	17.3	

HNSCC, head and neck squamous cell carcinoma; TD, tumor deposit; PSM, propensity score matching. P was calculated with the Chi-square test.

and RFS. In the PSM cohort, the TD group tended to have diminished DSS and RFS rates ($P=0.040$ and 0.004 , respectively), supporting the TD as an independent adverse prognostic factor in HNSCC.

Although this is not the pilot study to reveal the effects of TDs on survival in HNSCC, our study had a relatively larger sample size and applied a strict statistical approach. The presence and adverse prognostic effects of TDs were further confirmed. As TDs have not become an established part of the pathology report in HNSCC, it is important to establish uniform terminology for the detection and report

of TDs among histopathologists. The TD identifies patients with poor chances of long-term survival. Therefore, more attention should be focused on TDs among pathologists, oncologists, and surgeons. Future research with more patients is required to incorporate TDs into pathological staging systems.

Conclusions

In conclusion, the present study proposed the TD as an independent poor prognostic factor meriting further

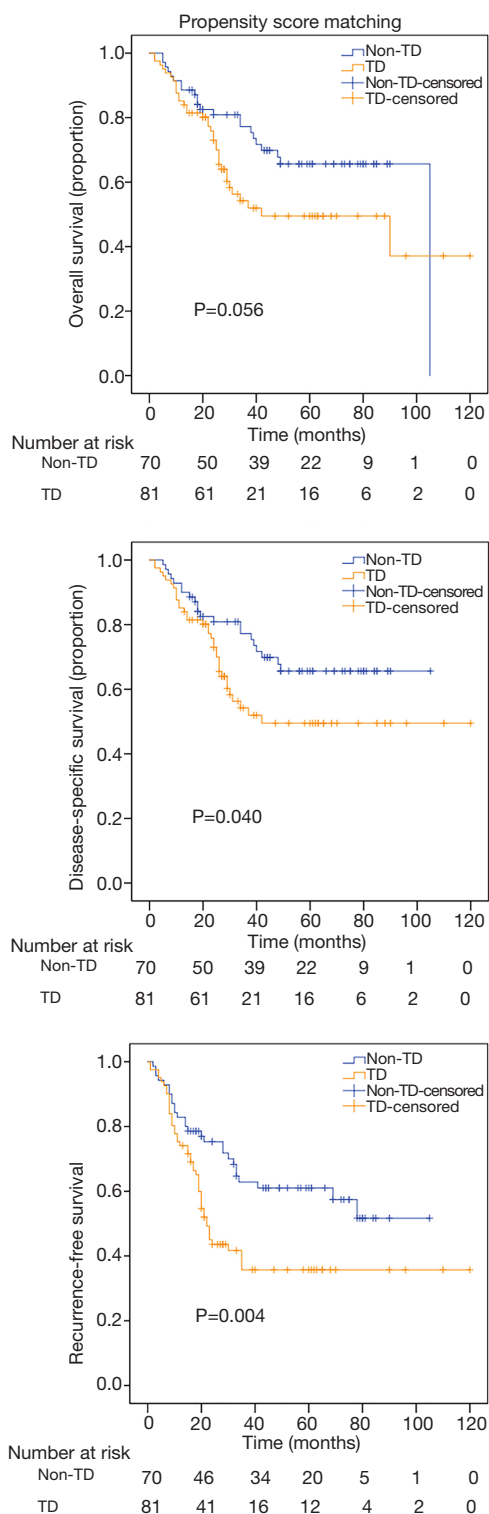


Figure 2 Overall survival (OS), disease-specific survival (DSS), and recurrence-free survival (RFS) in HNSCC patients with and without tumor deposits, after propensity score matching. HNSCC, head and neck squamous cell carcinoma; TD, tumor deposit; PSM, propensity score matching.

research because of its association with diminished OS, DSS and RFS rates in HNSCC patients. Poorer DSS and RFS rates were also observed in patients with TDs using PSM.

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Footnote

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/atm-20-4369>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The current study was approved by the Ethics Committee of Peking Union Medical College Hospital (NO: S-K1243), Peking Union Medical College and Chinese Academy of Medical Sciences, Beijing, China. Individual consent for this retrospective analysis was waived. The study outcomes will not affect the future management of the patients.

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