



# Development of a predictive nomogram for early recurrence of hepatocellular carcinoma in patients undergoing liver transplantation

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**Background:** An individual prognostic model that includes inflammation caused by the delayed recovery of liver function after surgery for the early recurrence of hepatocellular carcinoma (HCC) following liver transplantation (LT) has not been well determined. Our aim was to develop a nomogram model for predicting individual survival and early recurrence following LT for patients.

**Methods:** Retrospective data, including clinical pathology and follow-up data, on HCC patients were collected between October 2016 and October 2019 at Huashan Hospital Affiliated to Fudan University. A nomogram estimating recurrence post-transplantation was constructed using multivariate Cox regression analysis.

**Results:** A total of 210 patients were included in the present study. The multivariate estimators of recurrence consisted of age, maximum tumor diameter, tumor thrombus, microvascular invasion (MVI), alanine aminotransferase and alpha-fetoprotein on postoperative day 7. Nomogram of recurrence-free survival was developed. The calibration and discrimination of the novel model were assessed with the calibration curves and concordance index (C-index). Its reliability and advantages were evaluated by comparing it with the conventional American Joint Committee on Cancer (AJCC) 8th edition staging system using integrated discrimination improvement (IDI) and net reclassification improvement (NRI). In comparison to the AJCC 8th edition staging system, the C-index (development set: 0.796 *vs.* 0.643, validation set: 0.741 *vs.* 0.563), the area under the receiver operating characteristic curve (AUC) of the validation set (1-year AUC: 0.732 *vs.* 0.586, 2-year AUC: 0.705 *vs.* 0.504), the development set (1-year AUC: 0.799 *vs.* 0.551, 2-year AUC: 0.801 *vs.* 0.512), and this model's calibration plots all showed improved performance. In addition, NRI and IDI verified that the nomogram is an accurate prognostic tool. Subsequently, a web calculator was generated to assess the risk of tumor recurrence post-LT.

**Conclusions:** The nomogram, based on clinical and pathological factors, showed good accuracy in estimating prognostic recurrence and can be used to guide individual patient follow-up and treatment.

**Keywords:** Liver transplantation (LT); prognosis; hepatocellular carcinoma (HCC)

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## Introduction

Hepatocellular carcinoma (HCC) is the fourth most common cause of cancer-related death and the sixth most prevalent malignant tumor (1). As a solid tumor, HCC has a poor rate of excision and a high recurrence rate (2). As it can cure both primary liver disease and HCC itself, liver transplantation (LT) has gradually become the most efficacious method for treating HCC, especially for patients with liver cirrhosis. In 1996, Mazzaferro *et al.* presented the widely accepted Milan criteria (3). Based on these criteria, more than 70% of patients can achieve an excellent survival rate of 5 years (4). To enable more patients to benefit from LT treatment, additional transplantation criteria, such as UCSF (University of California, San Francisco), Up-to-7 (HCC with 7 as the sum of the size of the largest tumor and the number of tumors), and TTV (total tumor volume), have been proposed by transplant centers worldwide (5). Numerous expanded criteria for HCC LT adaptation have enabled some patients with moderate, or even locally progressed HCC, to be cured. A previously published study found that HCC LT patients who met the UCSF, TTV, and Up-to-7 criteria had a 5-year survival rate of 75%, which was similar to the prognosis of LT patients with HCC who fulfilled the stringent Milan criteria (4).

However, the ever-expanding criteria of HCC LT also increase the risk of metastasis and recurrence after surgery (6). Recurrence after HCC LT is among the most commonly seen causes of mortality in the surgical population (7). The 5-year HCC recurrence rate after LT is 20–57.8%, while the overall median survival time after recurrence is only 12.97 months. Some remarkable progress has recently been made in anti-cancer strategies and drugs, such as antiviral therapy, new targeted drugs, and mTOR (the mammalian target of rapamycin) inhibitors. The efficacy of such treatments is not enough to meet needs; the response rate is not high. Therefore, a precise prediction of a population at high risk of postoperative recurrence will guide the development of an efficient follow-up monitoring program and prevention strategies.

Patients who meet the Milan criteria still have a 15–20% chance of tumor recurrence after LT. Therefore, it is not enough to predict relapse, metastasis based solely on tumor size and number. As well as HCC LT adaptation criteria, the risk factors related to relapse, metastasis after HCC LT mainly include tumor-related factors, patient-related factors, and perioperative treatment. The tumor level, including tumor diameter, number, envelope, microvascular

invasion (MVI), portal venous tumor thrombosis and tumor differentiation, are high-risk factors for tumor recurrence and metastasis. Markers represented by alpha-fetoprotein (AFP), neutrophil lymphocyte ratio, and genomic sequencing have been considered increasingly important in recent years. In particular, dynamic changes in AFP, which have been included in many criteria for HCC indications, have been confirmed by a large number of studies to play a vital part in predicting, checking, and diagnosing recurrence after HCC LT. Patient-level factors mainly include non-alcoholic fatty liver disease, viral hepatitis infection, and obesity. Perioperative period treatment-level factors include the length of preoperative waiting time, whether or not bridging treatment was received, donor age, cold ischemia time, surgical techniques, postoperative immunosuppression and targeted treatment (8). These indicators are commonly seen as clinical-pathological factors in the preoperative and intraoperative period. However, the relationship between postoperative liver function recovery and HCC recurrence is still not clear. The combination of multi-risk factors has the advantage of high predictive effectiveness; for example, the RETREAT (The Risk Estimation of Tumor Recurrence After Transplant) score divides patients into 4 risk levels by including AFP, MVI, tumor max diameter, and tumor number. However, those research included patients with a history of hepatitis C virus (HCV), and individual predictions with continuous personal risk prediction could not be made as a result of this (9).

Nomogram is a visual chart representation of Cox regression analyses. It has the advantages of high accuracy and visualization, and is easy to populate with various clinical pathology factors and other factors, yielding individual risk predictions. Nomogram is widely used in clinical decision-making. Several researchers have applied nomograms to predict HCC recurrence metastasis following LT, such as UCLA's single-center 865 cases of HCC post-LT recurrence metastasis (10). However, the participants included in that study were mainly from western population. Chinese Huaxi Hospital and Renji Hospital have also generated nomograms for patient prognosis after HCC LT (11,12), but patients' postoperative liver function was not included in these studies.

The aim of the present study was to incorporate clinical-pathological factors, including postoperative liver function, to establish and verify a risk predictive nomogram model of recurrence after HCC LT in China for individualized patient recurrence risk assessment.

We present the following article in accordance with the

TRIPOD reporting checklist (available at <http://dx.doi.org/10.21037/atm-21-334>).

## Methods

### *Participants*

From February 2016 until October 2019, 210 patients with HCC who underwent LT at the Huashan Hospital Affiliated to Fudan University, were included in the study. All the patients were followed-up until December 2019 or recurrence. Inclusion criteria consisted of patients with histologically proven HCC without major vascular invasion. The exclusion criteria were HCC combined with other malignant tumors, extrahepatic metastasis, death within 3 months after surgery, and incomplete follow-up information. Of the included patients, two-thirds served as the development set and were randomly selected, while the remaining one-third was the validation set. All donor livers were DCD (donors of cardiac death) matched by the China Organ Transplant Response System. No organs from prisoner under sentence of death were transplanted and reported in this study. All transplantations received approval from the Ethics Committee of Huashan Hospital Affiliated to the Fudan University. The retrospective study was performed according to the Declaration of Helsinki (as revised in 2013) and approval was received from the Ethics Committee of Huashan Hospital Affiliated to Fudan University (No. KY-2019-511). Written consent to publish this information has been obtained from all participants.

### *Immunosuppression and antiviral protocol post-LT*

The principal scheme of immunosuppressive medication included tacrolimus (TAC), corticosteroids, ciclosporin, mycophenolate mofetil (MMF), and sirolimus. During the first 7 postoperative days, methylprednisolone was given intravenously with a gradually decreasing dosage. Intravenous corticosteroid was switched to prednisone orally when patients were discharged from hospital, and then discontinued 3–6 months following LT. The first dosage of TAC was 0.05–0.10 mg/kg/day and modified based on liver function and concentration of TAC in serum. The dosage of MMF was initially determined per individual and ranged between 1.0 to 1.5 g/d. It was ceased if there were severe adverse effects and in long-time survivors who had a steady functioning graft 6 months after LT. At 6 months post-LT, the dosage of TAC was slowly and cautiously

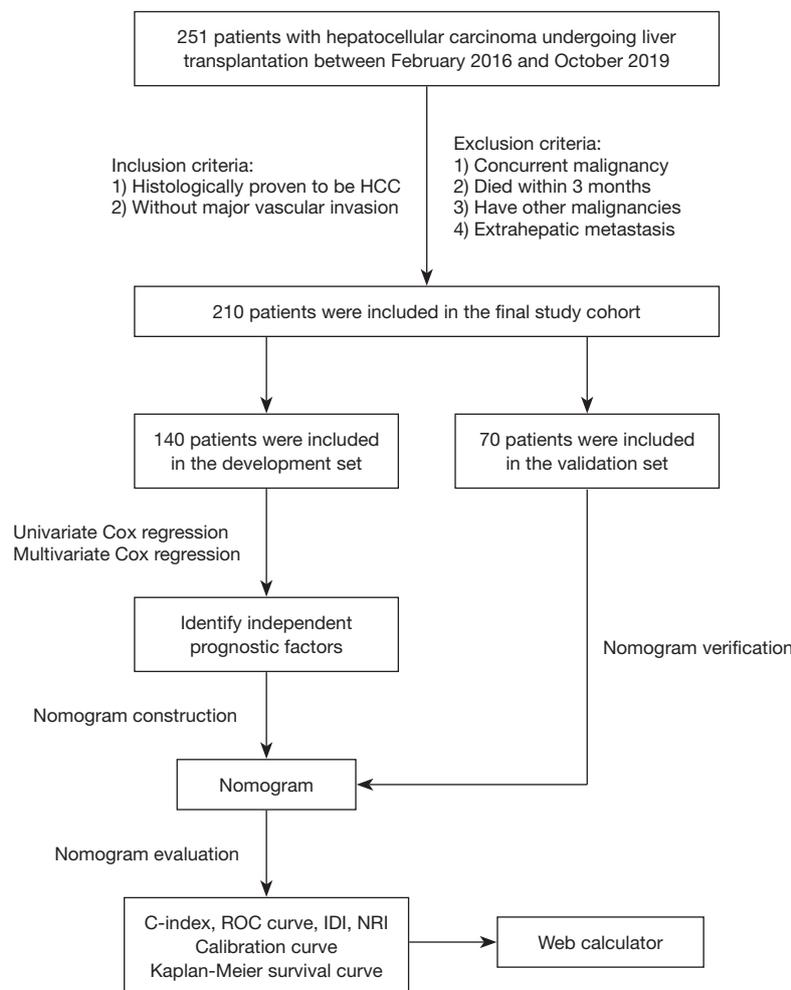
reduced, while carefully monitoring the allograft's function, to keep the TAC concentration as low as possible. For high-risk groups, such as HCC LT recipients who exceeded the Milan criteria, immunosuppressants were changed from oral TAC to oral sirolimus at our center, usually 1 month after surgery. Following the development of rejection, steroid pulse therapy was conducted. For hepatitis B (HB) virus-positive patients, 4,000 IU of HB immune globulin was given during the operation. Tenofovir was also given and HB immune globulin was frequently administered postoperatively to maintain an ideal antibody concentration against the HB surface antigen.

### *Patient follow-up*

In the first 3 months of follow-up, the patients were followed-up weekly, then every 2 weeks for the following 3 months, and then monthly 6 months postoperatively. AFP level and an ultrasound of the liver were conducted during every follow-up. Computed tomography (CT) and liver enhancement magnetic resonance imaging (MRI) were performed every 3 months within 2 years after LT. Multidisciplinary teams provide regional therapies (surgery, radiofrequency ablation) or systematic treatment (targeted therapies, chemotherapy), and offer optimal supportive care for patients with HCC recurrence. The amount of time from surgery to the day of HCC recurrence or the final day of follow-up, including intrahepatic recurrence or distant metastasis, was determined as recurrence-free survival (RFS). Overall survival (OS) was determined as the period of time from the date of surgery until death or the final day of follow-up. The primary endpoint was RFS. During the study period, the median duration of follow-up was 13 months [with an interquartile range (IQR) of 6–21.3 months].

### *Statistical analysis*

The continuous variables were compared with the Mann-Whitney *U*-test (for non-normal distributed data) or Student's *t*-test, and expressed as mean ± criteria deviation. Categorical data are expressed by frequency and analyzed with 2-tailed  $\chi^2$ -test and Fisher's exact test. The Kaplan-Meier method was used to plot the survival curve, which was compared by the log-rank test. In the Cox proportional hazards model, possible risk factors, determined by univariate analysis ( $P < 0.1$ ), were added through a forward stepwise selection process, and risk factors were identified



**Figure 1** Flowchart of this study.

( $P < 0.05$ ). Using these determined risk factors, we composed a nomogram to predict 1- and 2-year recurrence in HCC patients. To assess the nomogram's capacity to discriminate, we used the receiver-operating characteristic curve and concordance index (C-index), and evaluated the area under the curve (AUC). To compare the relationship between the estimated prospects and the factual outcomes, calibration curves were utilized. Calibration and discrimination were both assessed by bootstrapping with a 1,000 resamples. The accuracy of this new model was compared to the conventional American Joint Committee on Cancer (AJCC) staging system, and integrated discrimination improvement (IDI) and net reclassification improvement (NRI) were identified. R software (R Project for Statistical Computing, RRID:SCR\_001905; version:3.3.0) was used to perform the statistical analyses. To identify the cutoff value for the

continuous variables, a bioinformatics tool named X-tile (X-Tile, RRID:SCR\_005602; version:3.6.1) was used (13).

## Results

The flowchart of the study design is listed as follows (*Figure 1*).

### *Demographics and pathological characteristics*

Data on patient sex and age were collected by reviewing the admission records. The number of tumors (single/multiple), tumor envelope (yes/no), satellite lesions (yes/no), vascular tumor thrombosis (yes/no), pathological grade (I/II/III), MVI classification (M0/M1/M2); medical history and AFP, ALT, aspartate aminotransferase (AST),  $\gamma$ -glutamyl transpeptidase (GGT), and international normalized ratio

(INR) were collected from laboratory test reports, and the Model of End-Stage Liver Disease MELD (scores) were calculated. The median and range of the continuous variables are shown in *Table 1*. The  $\chi^2$ -test and independent samples *t*-test were carried out between the validation and the development sets; among the indicators of the two groups, no differences of statistical significance were found. To compare the cumulative recurrence rate among the two groups, a Kaplan-Meier curve was plotted, which showed no statistical difference. A summary of the baseline information is shown in *Table 1*. *Figure 2* represents the Kaplan-Meier curve.

### Risk factor evaluation and nomogram construction

The median duration of follow-up was 13 months (IQR, 6–21.3 months). Within this period of time, 62 patients (29.5%) had recurrence of HCC. We first used X-tile software to determine the most suitable tangent point and to classify the continuous variables and then conducted multivariate and univariate regression analyses with the relevant content of the development set. In the univariate analysis, age, maximum tumor diameter, number of tumors, tumor thrombus, MVI, preoperative AFP, preoperative AST, preoperative GGT, and postoperative day 7 AFP, ALT, and INR ( $P < 0.1$ ) were added to the multivariate analysis. Age ( $P = 0.004$ ), maximum tumor diameter ( $P = 0.015$ ), thrombosis ( $P < 0.001$ ), MVI ( $P = 0.033$ ), and postoperative day 7 AFP ( $P = 0.030$ ) and ALT ( $P = 0.048$ ) remained significant in the multivariate analysis. The univariate and multivariate analyses are summarized in *Table 2*.

The nomogram predicting RFS was composed according to independent prognostic markers in the Cox model. The nomogram assigned the probability of RFS by accumulating the scores of every risk factor detected on the points scale. The total score visualized on the bottom scale specified the probability of 1- and 2-year RFS (*Figure 3*). Higher scores indicated worse prognosis.

In the development set, the C-index achieved 0.796, and the 1- and 2-year AUC achieved 0.799 and 0.801, respectively. In the validation set, the C-index achieved 0.741, and the 1- and 2-year AUC achieved 0.732 and 0.705, respectively (*Figure 4*).

The calibration curve demonstrated that the constructed nomogram model seemed to be well calibrated, and an adequate consensus existed among the observed and estimated probabilities of recurrences (*Figure 5*).

Evaluation of the accuracy demonstrated that the NRI of the 1- and 2-year follow-ups were 0.568 [95%

confidence interval (CI): 0.312–1.039] and 0.582 (95% CI: 0.294–1.060), respectively, in the development set. Also in the development set, the IDI of the 1- and 2-year follow-ups was 0.233 ( $P < 0.001$ ) and 0.271 ( $P < 0.001$ ), respectively. In the validation set, the NRI of the 1- and 2-year follow-ups were 0.359 (95% CI: –0.302 to 1.048) and 0.444 (95% CI: –0.344 to 1.000), respectively. Likewise, the IDI of the 1- and 2-year follow-ups was 0.172 ( $P < 0.001$ ) and 0.209 ( $P < 0.001$ ), respectively, in the validation set. These findings suggest that our nomogram had more significant potential for the correct prediction of recurrence compared to the AJCC staging system.

The total score calculated by the nomogram was a continuous variable. Using X-tile software, patients were divided into low- and high-risk groups. The predictive nomogram model that divided the groups into low and high risk showed not only significant differences in the cumulative recurrence rates but also significant differences in the cumulative survival rates, indicating that our model could also predict patient prognosis.

In the development set, the 1- and 2-year cumulative recurrence rates in the low-risk group were 19% and 31.5%, and 75% and 93.7% in the high-risk group. The 1- and 2-year cumulative survival rates in the low-risk group were 94.2% and 91.2%, and 75% and 45% in the high-risk group.

In the validation set, the 1- and 2-year cumulative recurrence rates in the low-risk group were 15.8% and 32.2%, and 58.3% and 79.2% in the high-risk group. The 1- and 2-year cumulative survival rates in the low-risk group were 100% and 85.8%, and 66.7% and 33.3% in the high-risk group (*Figure 6*).

For convenience, we constructed a web calculator: [https://maensi.shinyapps.io/Huashan\\_surgery](https://maensi.shinyapps.io/Huashan_surgery).

For instance, a 45-year-old male (0 points) with a maximum tumor diameter of 7 cm (30.3 points), MVI of 1 (36.9 points), vascular tumor thrombus (78.8 points), AFP of 83  $\mu\text{g/L}$  (6.2 points), and an ALT of 156 U/L (25.1 points) on postoperative day 7 would have a total score of 177.3 points. The estimated rate of recurrence is 18% in 1 year and 31% in 2 years (*Figure 7*). This calculated value could be used in the development of plans of treatment and in communication with the patient.

## Discussion

The recurrence rate of HCC 5-year post-LT is 20–57.8%, which is a crucial aspect that affects long-term survival. The

**Table 1** Baseline characteristics of the 210 transplantation patients

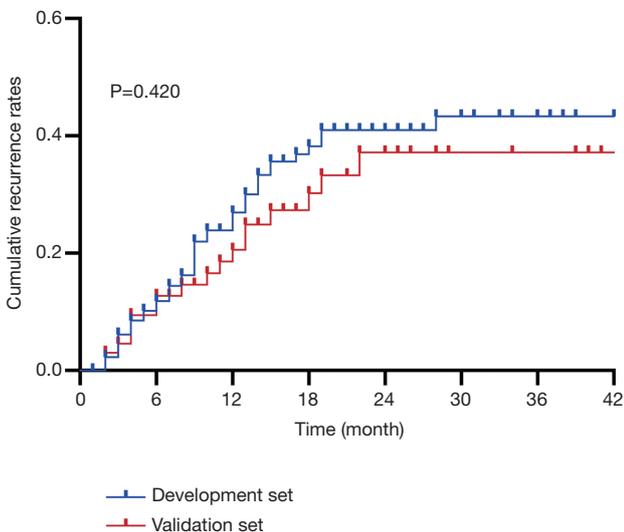
Factor	Development set (n=140)	Validation set (n=70)	P value
Age			0.739
Mean age $\pm$ SD [range]	51.98 $\pm$ 9 [28–74]	52.41 $\pm$ 8.87 [34–75]	
Gender			0.08
Male	129 (92.1%)	59 (84.3%)	
Female	11 (7.9%)	11 (15.7%)	
Number			0.118
Single	66 (47.1%)	41 (58.6%)	
Multiple	74 (52.9%)	29 (41.4%)	
Capsule			0.806
No	112 (80%)	57 (81.4%)	
Yes	28 (20%)	13 (18.6%)	
Satellite lesions			0.242
No	112 (80%)	46 (65.7%)	
Yes	28 (20%)	24 (34.3%)	
Thrombus			0.076
No	110 (78.6%)	62 (88.6%)	
Yes	30 (21.4%)	8 (11.4%)	
Grade			0.780
I	6 (4.3%)	2 (2.9%)	
II	76 (54.3%)	41 (58.6%)	
III	58 (41.4%)	27 (38.6%)	
MVI			0.361
0	26 (18.6%)	19 (27.1%)	
1	31 (22.1%)	14 (20%)	
2	83 (59.3%)	37 (52.9%)	
Milan criteria			0.590
Yes	52 (37.1%)	29 (41.4%)	
No	88 (62.9%)	41 (58.6%)	
UCSF			0.625
Yes	65 (46.4%)	35 (50%)	
No	75 (53.6%)	35 (50%)	
Preoperative AFP	38.48 (0.8–24,200)	54.44 (1.23–24,200)	0.419
Preoperative ALT	39.50 (7–1,787)	45 (6–1,383)	0.074
Preoperative AST	45.50 (15–5,999)	51 (12–2,095)	0.110
Preoperative TB	24.65 (5.4–826)	18.7 (5.4–452.1)	0.085

**Table 1** (continued)

Table 1 (continued)

Factor	Development set (n=140)	Validation set (n=70)	P value
Preoperative GGT	85 (12–1,337)	80 (18–782)	0.950
Preoperative INR	1.195 (0.92–2.81)	1.12 (0.89–2.81)	0.128
Preoperative NLR	2.537 (0.519–53.765)	3.115 (0.623–44.714)	0.107
Postoperative day 7 AFP	8.96 (0.25–24,200)	11.55 (0.05–24,200)	0.447
Postoperative day 7 ALT	95 (14–1,459)	128 (13–4,611)	0.322
Postoperative day 7 AST	32.50 (11–989)	38 (11–4,211)	0.365
Postoperative day 7 TB	45.70 (9.8–522)	39.85 (12.8–302.5)	0.331
Postoperative day 7 GGT	106 (27–913)	112 (33–790)	0.901
Postoperative day 7 INR	1.285 (0.98–4.47)	1.245 (1.03–2.52)	0.166
MELD score	9.70 (8.28–11.50)	8.79 (7.64–10.78)	0.069

AFP, alpha-fetoprotein; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CI, confidence interval; GGT,  $\gamma$ -glutamyl transpeptidase; HR, hazard ratio; INR, international normalized ratio; MELD, Model of End-Stage Liver Disease; MVI, microvascular invasion; NLR, neutrophil lymphocyte ratio; SD, standard deviation; TB, total bilirubin; UCSF, University Of California, San Francisco.



**Figure 2** Differences in cumulative recurrence rates between the development set and the validation set.

ever-expanding criteria for LT can benefit more patients, but can also increase the risk of recurrence post-LT (4,7). However, patients who met the strict Milan criteria still had a nearly 20% chance of relapse or metastasis after surgery. A variety of different clinical pathology parameters, including patient factors, tumor factors, and perinatal treatment factors, have been reported to be associated with post-LT relapse and metastasis. However, the predictive effectiveness

of individual factors is limited. The scoring system derived from a collection of factors is a better choice.

Researchers at the University of California used data derived from 721 patients who met the Milan criteria to propose a prognostic scoring system (10). The following three variables were found to be related to disease recurrence: MVI, AFP level in serum at LT, and maximum nodule diameter as well as the sum of nodules. These three variables formed the basis of a scoring system that could predict the probability of recurrence. However, the study participants were early HCC patients who fulfilled the Milan criteria, but could not meet the ever-expanding needs of the current criteria for HCC LT. Moreover, the evaluation and predictive index were non-linear, and therefore could not determine individual prediction.

The nomogram has the advantage of high accuracy, can consider various clinical pathology factors, and produce individualized risk predictions. Several researchers have applied nomograms to predict HCC recurrence and metastasis after LT. However, they either only included a Western population that mainly suffered from a history of liver disease as a result of HCV infection or did not include patients' postoperative liver function during the perioperative period.

Using multi-factorial regression analysis, we found that postoperative 7 days AFP and ALT, age, maximum tumor diameter, MVI, and portal venous tumor thrombus were independent risk factors associated with RFS.

**Table 2** Evaluation of variables related to recurrence-free survival

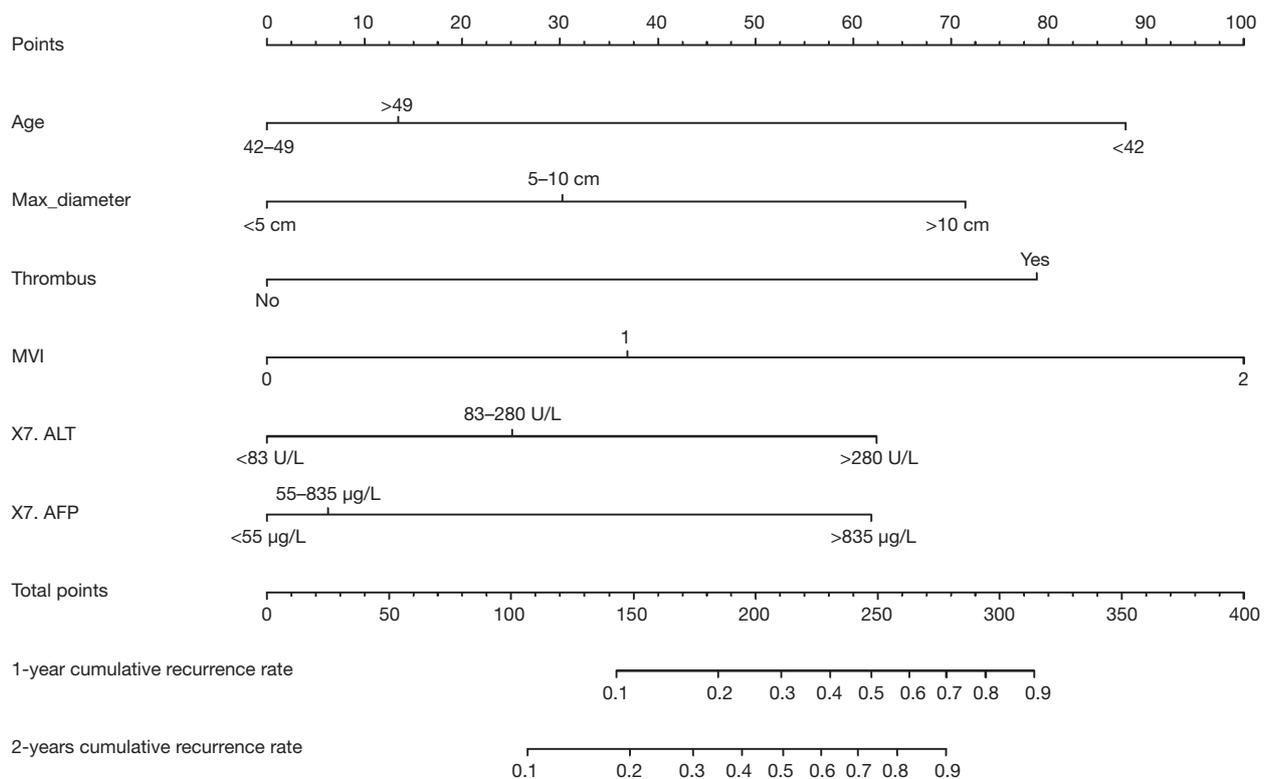
Variable	Univariate analysis			Multivariable analysis		
	HR	95% CI	P value	HR	95% CI	P value
Age			0.008			0.004
<42	Reference			Reference		
42–49	0.402	0.177–0.911	0.029	0.213	0.081–0.556	0.002
>49	0.317	0.152–0.658	0.002	0.269	0.107–0.673	0.005
Number			0.029			
Single	Reference					
Multiple	2.034	1.077–3.844	0.029			
Maximum diameter (cm)			<0.001			0.015
<5	Reference			Reference		
5–10	2.214	1.094–4.483	0.027	1.711	0.754–3.882	0.261
>10	4.449	2.129–9.299	<0.001	3.963	1.813–8.659	0.004
Thrombus			<0.001			<0.001
No	Reference			Reference		
Yes	3.054	1.679–5.556	<0.001	4.276	1.958–9.341	<0.001
MVI			0.003			0.033
0	Reference			Reference		
1	4.492	0.525–38.46	0.170	1.994	0.206–19.315	0.551
2	13.670	1.876–99.6261	0.001	5.982	0.752–47.616	0.091
Preoperative AFP (µg/L)			<0.001			
<130	Reference					
130–1,500	2.123	1.003–4.495	0.049			
>1,500	4.027	2.011–8.063	<0.001			
Preoperative AST (U/L)			0.022			
<60	Reference					
60–140	1.049	0.513–2.144	0.896			
>140	2.874	1.328–6.221	0.007			
Preoperative GGT (U/L)			0.024			
<78	Reference					
78–160	0.867	0.397–1.893	0.720			
>160	2.203	1.122–4.327	0.022			
Preoperative INR			0.100			
<1.11	Reference					
1.11–1.50	0.640	0.347–1.183	0.155			
>1.50	0.307	0.091–1.031	0.056			

**Table 2** (continued)

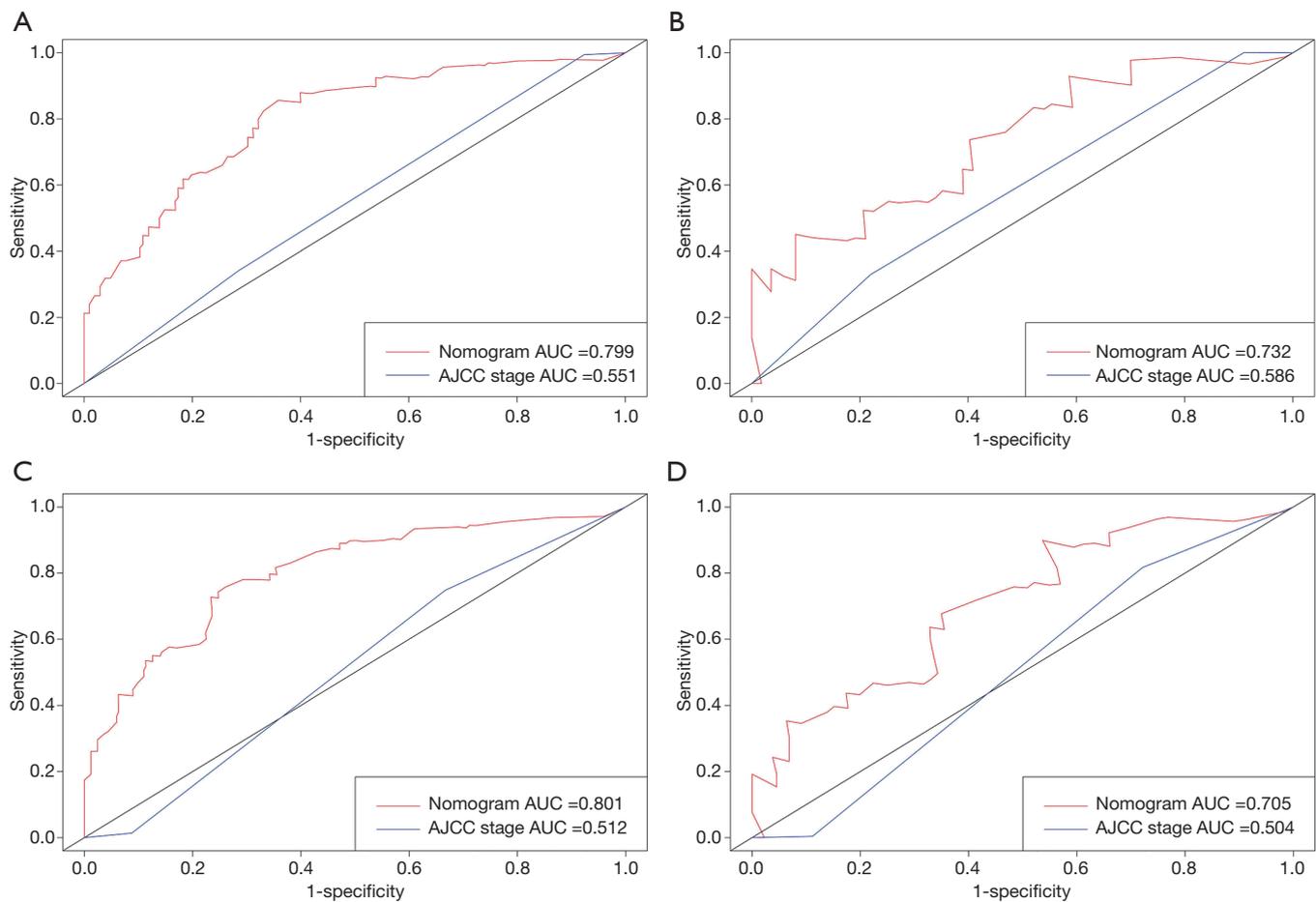
Table 2 (continued)

Variable	Univariate analysis			Multivariable analysis		
	HR	95% CI	P value	HR	95% CI	P value
Postoperative day 7 AFP ( $\mu\text{g/L}$ )			<0.001			0.030
<55	Reference			Reference		
55–835	2.516	1.277–4.955	0.008	1.117	0.455–2.742	0.810
>835	4.420	2.050–9.526	<0.001	2.957	1.294–6.756	0.010
Postoperative day 7 ALT (U/L)			0.055			0.048
<83	Reference			Reference		
83–280	1.453	0.703–3.001	0.313	1.545	0.723–3.300	0.261
>280	2.747	1.189–6.345	0.018	2.990	1.233–7.246	0.015
Postoperative day 7 INR			0.007			
<1.36	Reference					
1.36–1.67	0.395	0.153–1.020	0.055			
>1.67	2.257	1.065–4.781	0.034			

AFP, alpha-fetoprotein; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CI, confidence interval; GGT,  $\gamma$ -glutamyl transpeptidase; HR, hazard ratio, INR, international normalized ratio; MVI, microvascular invasion.



**Figure 3** Prognostic nomogram for the prediction of recurrence-free survival for hepatocellular carcinoma post-transplantation. For every predictor, a straight vertical line upward was projected to identify the points. Total points bar was used to plot the accumulated points, and a straight vertical line yielded the 1- and 2-year predicted post-transplantation survival risk.

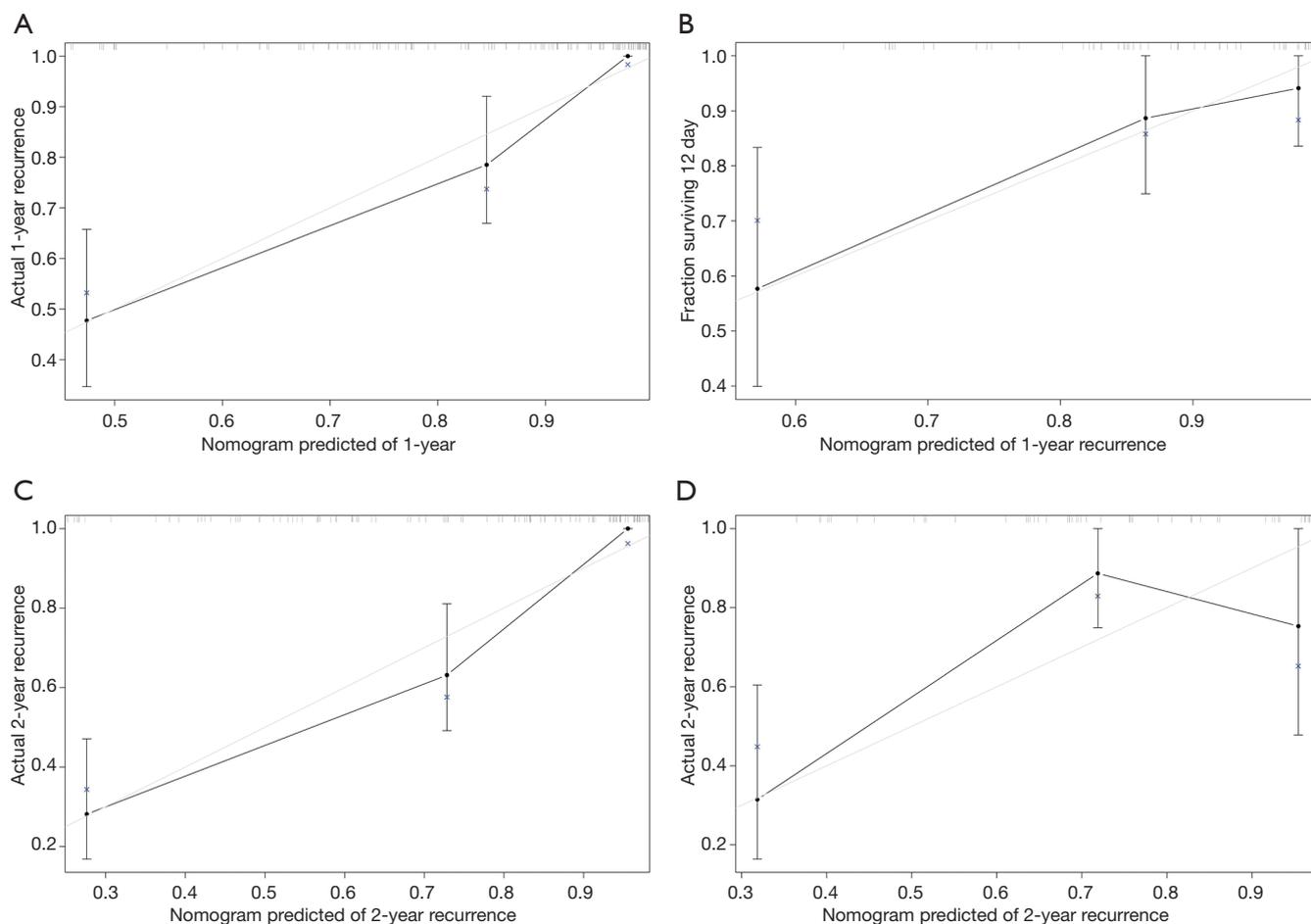


**Figure 4** Prognostic distinction and estimative performance of the nomogram. (A) 1-year recurrence-free survival (RFS) in the development set; (B) 1-year RFS in the validation set; (C) 2-year RFS in the development set; (D) 2-year RFS in the validation set.

Although many biomarkers have been studied over the past few decades, serological testing for HCC recurrence still mostly depends on the traditional tumor marker AFP (14,15). Moreover, the serum AFP level is a crucial factor affecting HCC cell invasion, metastasis, and prognosis (16,17). Other studies, such as those of Bilbao *et al.* and Krasnodębski *et al.*, found that elderly patients with HCC had shorter OS and RFS after LT. Older age was considered a critical risk factor for recurrence of tumor in patients with HCC (18,19). Vascular aggression is also considered a critical step in the recurrence of HCC, including macrovascular aggression visibility and MVI. MVI, which is confirmed by preoperative imaging, can be accurately diagnosed. Vascular aggression exists in approximately 35–50% of patients (20), and is diagnosed with the trunk in 15–30% of cases (21). Once diagnosed, the opportunity for radical removal and transplantation is lost, and the

prognosis is poor. Vascular aggression is currently regarded as a vital adverse prognostic factor because it increases the possibility of cancer cells being released into the vascular system, resulting in a higher probability of recurrence and metastasis. In this situation, LT might only be beneficial in cases where there is an excellent biological response in preoperative treatment or in cases where liver function is decompensated (20,22,23).

As an essential link to invasive metastasis, MVI has a high incidence of 15–57.1%, and has become a recognized independent prognostic risk factor for HCC (24). Studies have reported that it can serve as a value of prediction for HCC patients who received hepatectomy or LT (25). In a previously published study, 902 HCC LT patients were found to have an MVI-positive rate of 22.1%, and MVI was a critical indicator affecting the prognosis of HCC LT patients (26).



**Figure 5** Calibration of 1- and 2-year recurrence-free survival (RFS) in the development and validation sets. (A) 1-year RFS in the development set; (B) 1-year RFS in the validation set; (C) 2-year RFS in the development set; (D) 2-year RFS in the validation set.

The liver function index is also associated with HCC, as abnormalities in liver function that persist after surgery may lead to inflammation, immune microenvironment disorder, and oxidative stress. The postoperative delayed recovery of liver function can lead to an increased risk of recurrence of HCC (27,28). ALT has been widely used to assess chronic hepatitis activity and has been found to be closely related to the prognosis of HCC (29-32).

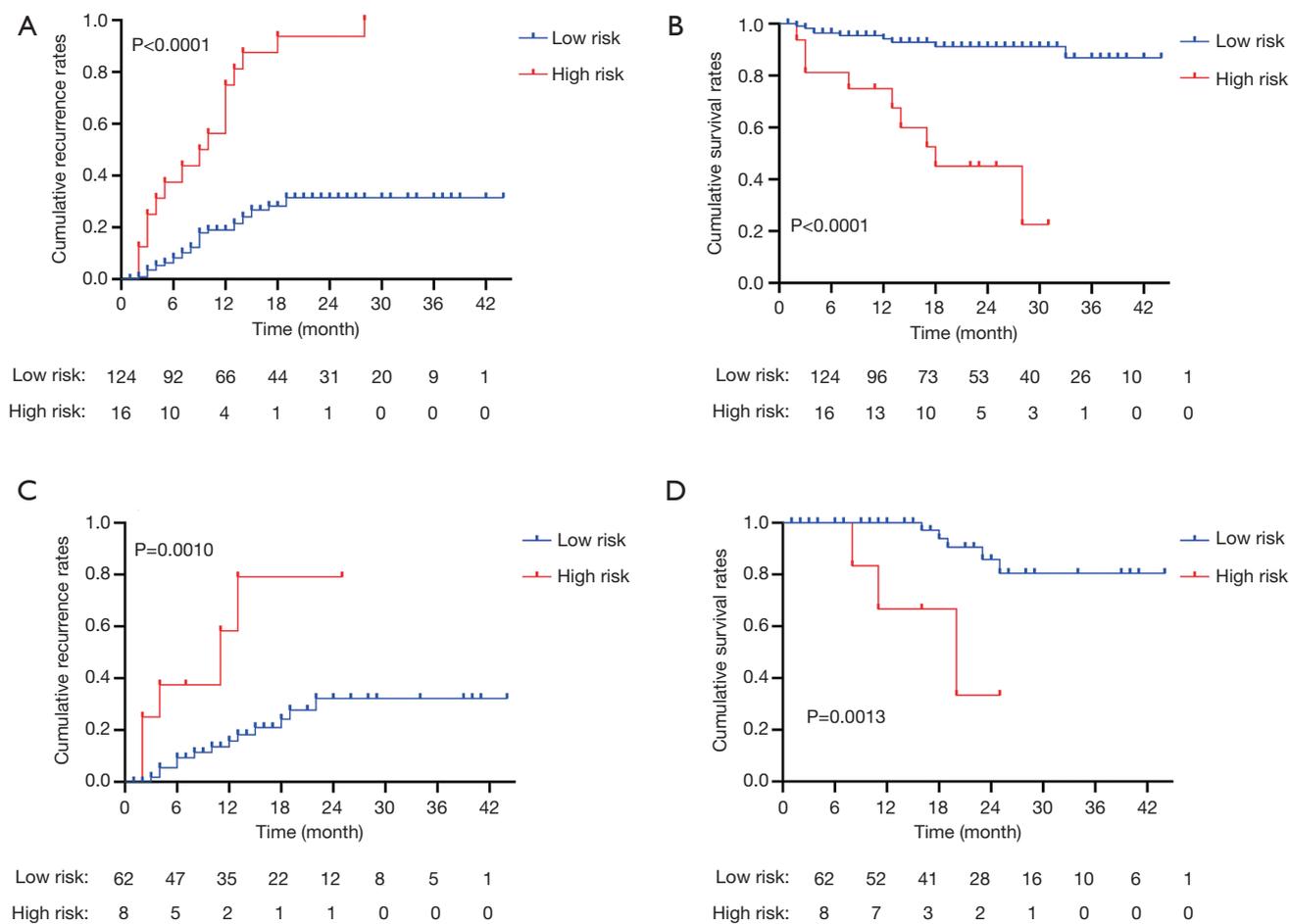
The tumor thrombus is a distinct predictor of mortality related to early recurrence, and also a significant adverse factor of prognosis (33).

The present study included patients met and did not meet the LT criteria. The predictive nomogram model was based on multivariate analysis. This nomogram chart accurately estimated the risk of recurrence post-LT and can be used to determine enhanced surveillance and

postoperative treatment of patients who have a high risk of recurrence. The model combines serum biomarkers (AFP, ALT) and pathological information (MVI, cancer thrombus), which significantly improve the potential to estimate recurrence after LT compared with individually determined imaging criteria. We produced a web calculator that could efficiently predict recurrence post-LT and serve as a guide to the frequency of post-LT monitoring and help the surgeon determine individual treatment strategies for patients with a high risk of relapse post-LT.

### Limitations

The nomogram is expected to be a valuable tool for detecting patients at high risk of relapse and metastasis after HCC LT. However, the present study has some limitations.



**Figure 6** Comparison of cumulative survival and recurrence rates in the validation and development sets. (A) Cumulative recurrence rate in the development set; (B) Cumulative survival rate in the development set; (C) Cumulative recurrence rate in the validation set; (D) Cumulative survival rate in the validation set.

The present study was a retrospective study with limited samples and required external verification. While predictive models are not able to replace the evidence collected from prospective randomized clinical trials, they can be useful aids in clinical decisions-making, where the availability of clinical trial data and the best treatment management remain controversial.

### Conclusions

The nomogram, which was based on clinical-pathological factors, showed good accuracy in the prediction of prognostic recurrence, and can guide individual follow-up and treatment.

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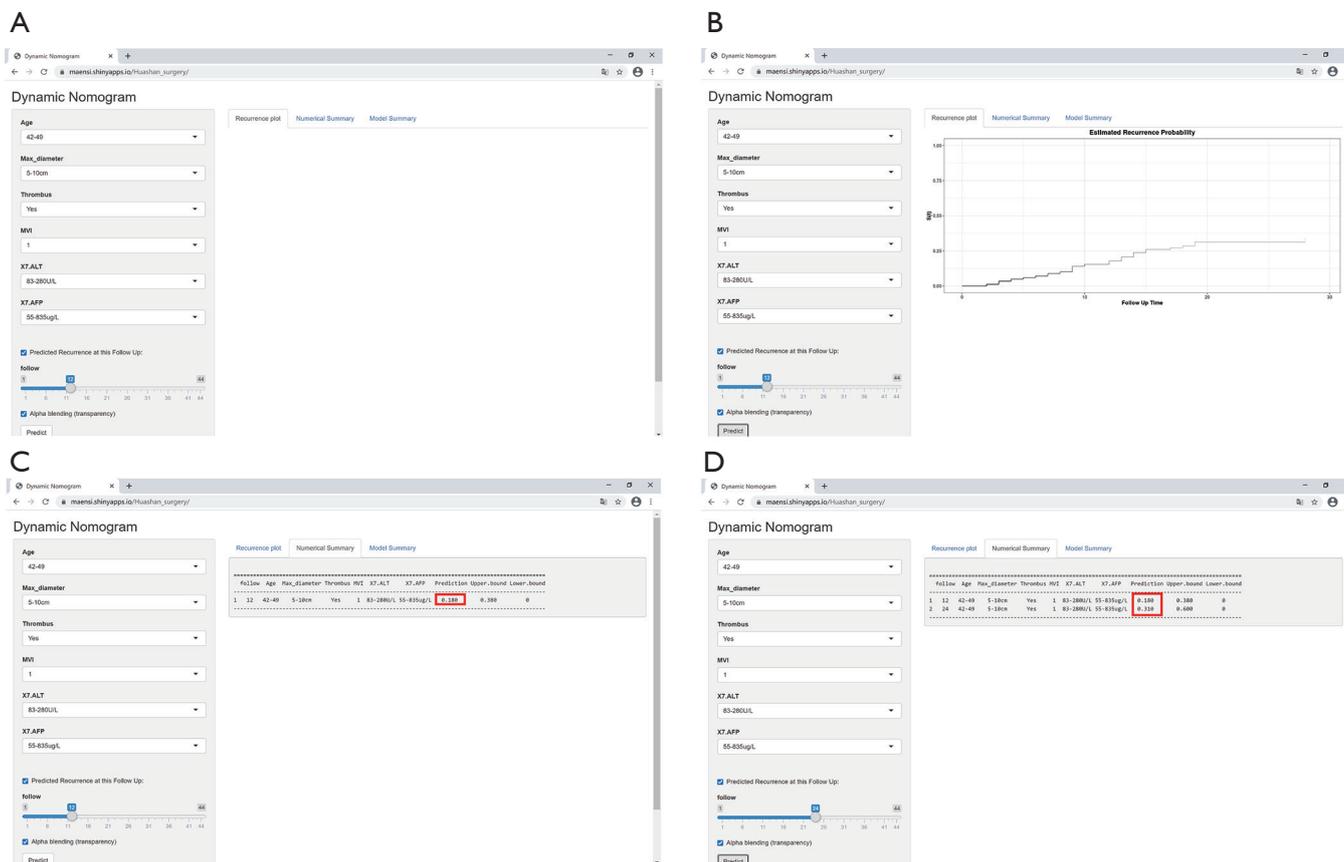


Figure 7 Web calculator case presentation.

## Footnote

**Reporting Checklist:** The authors have completed the TRIPOD reporting checklist. Available at <http://dx.doi.org/10.21037/atm-21-334>

**Data Sharing Statement:** Available at <http://dx.doi.org/10.21037/atm-21-334>

**Conflicts of Interest:** All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/atm-21-334>). The authors have no conflicts of interest to declare.

**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The present, retrospective study was performed according to the Declaration of Helsinki (as revised in 2013). This study was

approved by the Ethics Committee of Huashan Hospital Affiliated to Fudan University (No. KY-2019-511). Written consent to publish this information has been obtained from all participants.

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