1	
2	Peer Review File
3	Article information: http://dx.doi.org/10.21037/atm-21-354
4	
5	Comment 1:
6	Line 94: The authors conducted a new rotator cuff model try to mimic the natural history
7	of rotator cuff tear in human by performing partial sharp transection of the tendon bone
8	enthesis. The author stated that this model will be more close to the rotator cuff model in
9	human, however it was not clear which model was the author implying to >> acute or
10	chronic model. Either of these two, what is the scientific background to support this idea?
11	Previous study? Pilot study?
12	Reply 1:
13	The goal of the sharp partial transection model was to replicate the chronic degeneration
14	cascade commonly observed within the human rotator cuff tendons. It was hypothesized
15	that surgically induced damage at the tendon-bone enthesis (i.e., sharp surgical transection
16	through 50% of the enthesis; akin to a partial tear), while still leaving the entire width of
17	the tendon attached, would initiate a degree of degeneration which parallels that observed
18	in degenerated human tendons clinically, as evidenced by comprehensive biomechanical,
19	histopathological, histomorphological, and gene expression analyses. The rational for this
20	idea came in part from previous work(1) by our group which transected one half of the
21	width of the tendon. This work resulted in pathologic changes in the non-transected side
22	that were similar to has been documented in humans with chronically degenerated rotator
23	cuff tendons clinically (this work is mentioned on P5 L83-85).
24	To overcome this shortcoming, a partial transection model in which half of
25	the infraspinatus' width was transected from the enthesis, followed by a
26	delayed repair was created.
27	The results of this work led us to hypothesize that by transecting half of the thickness of
28	the tendon, that similar changes would be introduced throughout the entire tendon. L88-92
29	described the inability of current large-animal translational models to accurately replicate
30	the chronic, degenerated human condition. For completeness, the following text was added
31	to the manuscript (P2 L30-31):

1	Therefore, the objective of this study was to develop a large-animal
2	translational model of enthesis damage to the rotator cuff tendons to mimic
3	the chronic degenerative changes that occur in patients that demonstrate
4	clinical manifestations of tendinopathy and/or tendinosis.
5	
6	And (P5 L92-93):
7	Therefore, the purpose of this study was to investigate a novel ovine model
8	of chronic rotator cuff degeneration.
9	
10	Comment 2:
11	Specimen of the supraspinatus tendon was taken from the control group with 60s years of
12	mean age >> representing the "true rotator cuff tendinopathy" however I am skeptical on
13	how the study will represent the true nature of the disease.
14	Reply 2:
15	The authors acknowledge that by collecting tissue from a limited subset of patients, it is
16	difficult to fully capture all aspects of the disease. However, previous researchers have
17	used similar tissues from these age ranges to represent a population as a positive control of
18	chronically degenerated rotator cuff tendon tissue (1, 2). To address this concern the
19	following text is included in the manuscript (Page 6, Lines 113 -116).
20	PRSA was performed on arthritic patients with well documented chronic
21	rotator cuff injuries. Succinctly, the rotator cuff injuries in these patients
22	are often severe and the tendons exhibit symptoms of chronic degeneration
23	that would prohibit normal shoulder motion if a normal arthroplasty were
24	performed (33, 34).
25	
26	Comment 3:
27	Line 131 >> why data is not shown for model 2?
28	Reply 3:
29	The data for the second model was intentionally omitted. We believe that as the surgical
30	insults are created at different regions of the tendon, each model represents a different
31	injury cascade/disease state. As such, discussing both models would confound, and

ultimately dilute, the findings. All analyses performed within this manuscript have also
 been performed on the second model. The authors are in the process of finalizing a
 manuscript detailing these findings. It is our goal to submit this manuscript to Annals of
 Translational Medicine in Q2 of 2021, such that both models are available to the readership.
 For completeness, the following text was added to the manuscript (P7 L133-134):
 The surgical models (model 1: sharp partial transection of the entheses

(n=20 treated shoulders); model 2: combed fenestration of the enthesis and

tendon mid-substance (n=20 treated shoulders – data intentionally omitted

from this manuscript so that it can be compiled into a standalone

publication)) were alternated between shoulders to eliminate the potential

7 8

9

10

11

12

13 Comment 4:

How to prevent spontaneous healing of the rotator cuff tendon since the tendon was onlyseparated partially from the humerus?

of a left/right side bias.

16 Reply 4:

17 The reviewer raises a justifiable concern. The authors did not try to prevent spontaneous 18 healing of the tendon in this model, instead allowing the natural injury response cascade to 19 progress naturally at the damage site. Our rational was based on previous work that has 20 shown that injuries in one area of the tendon can cause cellular and microstructural changes throughout both the tendon thickness and length(1, 3-7). These models in which a partial 21 22 width injury was imposed on a tendon have produced inconsistent degenerative 23 characteristics between the two halves of the tendon (i.e., released vs. non-released halves). 24 Specifically, on the released side, severe degenerative changes have been noted that exceed 25 what has been documented clinically in humans, with large degrees of tendon retraction 26 precluding and/or significantly limiting the ability to test surgical strategies to repair the 27 damaged tendon as the tissue has retracted to an extent that makes it intractable to reattach. 28 On the intact side, more mild degenerative changes have been noted that tend to better 29 correlate with overuse tendinopathy, not necessarily chronic degeneration. Our model 30 differentiates itself from these previous models in that the entire width of the tendon was 31 still attached, yet the top half of the thickness of the fibers were released (analogous to a

1	partial tear). Through shear loading and the addition of fibrous tissue overlaying the insult,
2	our hypothesis was that the entire tendon would still see mechanical loading, while
3	simultaneously undergoing cellular/microstructural changes due to the injury. For these
4	reasons, we did not wish to prevent "spontaneous healing" of the injury. This strategy is
5	outlined on P8 L141-144:
6	This was done to simulate damage at the tendon insertion that does not
7	result in a complete separation of the tendon from the humerus, similar to
8	what is hypothesized to occur in humans that have suffered a partial
9	thickness tear at the enthesis.
10	
11	Comment 5:
12	Line 147: Why choose 6, 12, 18 weeks for time frame?
13	Reply 5:
14	The goal of choosing multiple sacrifice time points was to capture the time-mediated injury
15	response in the ovine model. Previous research in ovine models have frequently used 6 and
16	12-week timepoints(8), with some studies including longer timepoints to indicate long-
17	term effects(9). We chose to adopt the 6, 12, and 18-week timepoints to capture the
18	standard 6 and 12-week ovine model timepoints with the addition of an equally spaced
19	longer term timepoint at 18-weeks. For completeness, the following text has been added
20	(P8 L148-149):
21	Animals were humanely euthanized at 6, 12, and 18-weeks to capture the
22	time-mediated tissue response to injury and degeneration.
23	
24	Comment 6:
25	Line 156: how to measure the cross-sectional area of an intact tendon?
26	Reply 6:
27	The cross-sectional area measurement protocol is detailed in the biomechanical testing
28	methods section of the manuscript. This procedure has been outlined and used in previous
29	publications(10-13). For clarity, the following text has been added (P8 L158-160):
30	Three cross-sectional area (CSA) measurements of all tendons were taken
31	proximal to the insertion using previously validated techniques in which an

1	area micrometer that applied 0.12 MPa of pressure parallel to the cross
2	section of the tendon(23, 37-39).
3	Comment 7:
4	It will be better if figures show the biomechanical testing.
5	Reply 7:
6	Figure 1 has been modified to include a picture of the biomechanical testing. The figure
7	caption has been modified (P26 L595-598):
8	Intra-operative image illustrating location of surgical insult at the midpoint
9	of the tendon insertion (left) and digital illustration of surgical insult and
10	relevant tissue (center). Rectangular box outlines the tendon insertion,
11	arrow highlights the surgical cut. Image of biomechanical testing (right).
12	
13	The biomechanical testing methods section has been modified to add in a reference to the
14	image (P9 L165):
15	The humeri were subsequently mounted in polyvinyl chloride (PVC) sleeves
16	using a strong two-part hard casting resin (SmoothCast 321, Smooth-On,
17	Macungie, PA) and mounted in a custom fixture attached to a servo-
18	hydraulic load frame (Model 805, MTS Corp., Eden Prairie, MN) which
19	allowed anatomically accurate loading of the tendon (Figure 1) (23, 25).
20	
21	Comment 8:
22	Line 179 >> does it mean not all specimen underwent both staining?
23	
24	Reply 8:
25	The reviewer comment is justified, and the text has been updated to clarify the methods
26	used. Two slides were prepared from each specimen. One slide was stained with
27	hematoxylin and eosin (H&E), and one slide was stained with Picro-Sirius red. The
28	manuscript text has been modified to clarify this point (P9 L180-183):
29	These humerus-infraspinatus tendon constructs were then fixed in 10%
30	neutral buffered formalin (\geq 7 days), demineralized in 8% trifluoroacetic
31	acid, embedded in paraffin, sectioned at the mid-tendon-body, and mounted

1	on a glass slide. Two 5 μ m thick slides were cut from each sample; one slide
2	was stained with hematoxylin and eosin ($H\&E$) and the other slide was
3	stained with Picro-Sirius red.
4	
5	Comment 9:
6	In general, supraspinatus tendon tear is more commonly found compare to infraspinatus
7	tendon tear. What was the reason to have an infraspinatus tear model?
8	
9	Reply 9:
10	This is an interesting topic of debate when choosing the animal model. Considerations
11	typically include the surgical access, functionality of the tendon, motion of the tendon, and
12	the size of the tendon. Previous work has shown that within a sheep, the infraspinatus
13	tendon is most appropriate due to similar sizing and morphology to human tendons,
14	allowing testing of devices intended for human use without the need for device
15	"scaling"(14). The ovine infraspinatus is accepted as a translational model for human
16	rotator cuff tendon tears(1, 10, 13-18).
17	
18	Comment 10:
19	Line 194: this algorithm from the machine learning >> was it validated before? It seems
20	like the machine learnt it from the resident.
21	
22	Reply 10:
23	The selected software (Image-Pro Plus) is a commercially available platform that has been
24	widely accepted for histomorphometry analyses(19-21). Data collection approach for this
25	study using this software was consistent with previous studies published by our group(19-
26	21). To ensure consistence and accuracy a veterinary pathology resident verified the
27	program was able to accurately segment the areas of organized and disorganized collagen.
28	The following text within the manuscript has been updated to reflect that a commercially
29	available software was used for this analysis (P10 L197-200):

1	Commercially available software (Image-Pro Plus, RRID:SCR_007369)
2	was used to calculate percent area of the organized / disorganized collagen
3	seen within the injury region of the tendon.
4	
5	Comment 11:
6	Line 223: why 20 shoulders? Not 40?
7	Reply 11:
8	This study included a total of 20 animals, yielding a total of 40 possible shoulder samples.
9	Only 20 shoulders were included in this study as only 20 of the shoulders were operated
10	on with the sharp partial transection model (see Response 3 above). The other 20 shoulders
11	were operated on with a different surgical insult; the data for these shoulders will be
12	included in a separate manuscript (see Response 3 above). For completeness, P7 L132
13	states that there were 20 treated shoulders in the sharp partial transection model.
14	
15	Comment 12:
16	Figure 4 >> collagen organization>> does it means 12-week group is similar to degenerated
17	human? and 18-week group resemble control group?
18	
19	Reply 12:
20	Confusion on this topic was inadvertent. As indicated by Figure 4, the collagen
21	organization in the 18-week group was statistically greater than the degenerated human
22	group ($P=0.002$). The collagen organization in the 12-week group was most similar to the
23	degenerated human group. To better clarify this within the manuscript, the following text
24	was added (P20 L 422-423):
25	Specifically, the 12-week timepoint appears to most accurately embody the
26	characteristics of chronic tendon degeneration seen clinically in humans.
27	
28	Comment 13:
29	How did you define several subjective statements such as "thick", "always most dense" at
30	the histologic analysis?
31	Reply 13:

- 1 The term "thick" was used on P13 L258 in the histopathology results section of the paper: 2 Arising from the superficial, adjacent humeral head and overlying this area 3 of the tendon was a variably **thick** band of dense granulation tissue with 4 prominent angiogenesis that extended into the tendon body. 5 Here, the word was used subjectively to describe the changes in thickness of the granulation 6 7 tissue that was overlaying the tendon within and across specimens. The intention was to 8 describe that the granulation tissue was not of uniform thickness. 9 Similarly, the statement "always most dense" was used to subjectively describe the 10 11 observation that capillary clusters were most prominent in the injury area and overlying 12 granulation tissue. This statement was included as a subjective description of the locations 13 of angiogenesis. Both terms were not further detailed with unit measurements as there was 14 not a clinical correlate for comparison and thus was outside the scope of the manuscript. 15 The inclusion of these subjective terms is meant to serve as a descriptive aid for the readers. 16 17 Comment 14: 18 Of all time frame, which time frame indicate the point of no return following rotator cuff 19 tear model? 20 Reply 14: 21 This is an interesting comment, and holistically we do not have a specific answer to this 22 question yet. While this study was designed to investigate the temporal changes in tendon 23 biomechanics, collagen organization, pathological characteristics, and gene expression 24 following an enthesis injury at 6, 12, and 18-weeks post-surgery, it was impossible to 25 determine a "point of no return" as in this study there was no attempt at repair or apply a 26 therapy at any point in this study. As such, the authors have not collected adequate data to 27 make scientific conclusions regarding "the point of no return" in this model. 28 29 **REFERNECE** (for this document only)
- Easley J, Johnson J, Regan D, Hackett E, Romeo AA, Schlegel T, et al. Partial Infraspinatus
 Tendon Transection as a Means for the Development of a Translational Ovine Chronic Rotator
 Cuff Disease Model. Veterinary and Comparative Orthopaedics and Traumatology. 2020.

- Gibbons MC, Singh A, Anakwenze O, Cheng T, Pomerantz M, Schenk S, et al.
 Histological Evidence of Muscle Degeneration in Advanced Human Rotator Cuff Disease. J Bone
 Joint Surg Am. 2017;99(3):190-9.
- Jacobsen E, Dart AJ, Mondori T, Horadogoda N, Jeffcott LB, Little CB, et al. Focal
 Experimental Injury Leads to Widespread Gene Expression and Histologic Changes in Equine
 Flexor Tendons. PloS one. 2015;10(4):e0122220.
- 4. Lemmon EA, Locke RC, Szostek AK, Ganji E, Killian ML. Partial-width injuries of the
 rat rotator cuff heal with fibrosis. Connective Tissue Research. 2018;59(5):437-46.
- 5. Smith MM, Sakurai G, Smith SM, Young AA, Melrose J, Stewart CM, et al. Modulation
 of aggrecan and ADAMTS expression in ovine tendinopathy induced by altered strain. Arthritis
 and rheumatism. 2008;58(4):1055-66.
- Smith MJ, Pfeiffer FM, Cook CR, Kuroki K, Cook JL. Rotator cuff healing using
 demineralized cancellous bone matrix sponge interposition compared to standard repair in a
 preclinical canine model. Journal of Orthopaedic Research. 2018;36(3):906-12.
- Derwin KA, Codsi MJ, Milks RA, Baker AR, McCarron JA, Iannotti JP. Rotator cuff repair
 augmentation in a canine model with use of a woven poly-L-lactide device. J Bone Joint Surg Am.
 2009;91(5):1159-71.
- 18 8. . !!! INVALID CITATION !!! (1, 8, 9).
- 19 9. . !!! INVALID CITATION !!! (10).
- Easley J, Puttlitz C, Hackett E, Broomfield C, Nakamura L, Hawes M, et al. A prospective
 study comparing tendon-to-bone interface healing using an interposition bioresorbable scaffold
 with a vented anchor for primary rotator cuff repair in sheep. Journal of Shoulder and Elbow
 Surgery. 2019.
- 11. Noyes FR, Butler DL, Grood ES, Zernicke RF, Hefzy MS. Biomechanical analysis of
 human ligament grafts used in knee-ligament repairs and reconstructions. J Bone Joint Surg Am.
 1984;66(3):344-52.
- McGilvray KC, Santoni BG, Turner AS, Bogdansky S, Wheeler DL, Puttlitz CM. Effects
 of 60Co gamma radiation dose on initial structural biomechanical properties of ovine bone—
 patellar tendon—bone allografts. Cell and Tissue Banking. 2011;12(2):89-98.
- McGilvray KC, Lyons AS, Turner AS, MacGillivray JD, Coleman SH, Puttlitz CM.
 Shoulder Tendon Repair Biomechanics Using a Polyurethane Patch in a Chronic Ovine Defect
 Model. 2007(47985):853-4.
- Turner AS. Experiences with sheep as an animal model for shoulder surgery: Strengths and
 shortcomings. Journal of Shoulder and Elbow Surgery. 2007;16:S158-S63.
- Santoni BG, McGilvray KC, Lyons AS, Bansal M, Turner AS, Macgillivray JD, et al.
 Biomechanical analysis of an ovine rotator cuff repair via porous patch augmentation in a chronic
 rupture model. Am J Sports Med. 2010;38(4):679-86.
- 38 16. Luan T, Liu X, Easley JT, Ravishankar B, Puttlitz C, Feeley BT. Muscle atrophy and fatty
- infiltration after an acute rotator cuff repair in a sheep model. Muscles, ligaments and tendonsjournal. 2015;5(2):106-12.
- 41 17. Hee CK, Dines JS, Dines DM, Roden CM, Wisner-Lynch LA, Turner AS, et al.
 42 Augmentation of a Rotator Cuff Suture Repair Using rhPDGF-BB and a Type I Bovine Collagen
 43 Matrix in an Ovine Model. The American Journal of Sports Medicine. 2011;39:1630-40.
- 44 18. Coleman SH, Fealy S, Ehteshami JR, MacGillivray JD, Altchek DW, Warren RF, et al.
- 45 Chronic rotator cuff injury and repair model in sheep. The Journal of bone and joint surgery
- 46 American volume. 2003;85-A:2391-402.

- Gadomski BC, McGilvray KC, Easley JT, Palmer RH, Santoni BG, Puttlitz CM. Partial
 gravity unloading inhibits bone healing responses in a large animal model. J Biomech.
 2014;47(12):2836-42.
- 4 20. McGilvray KC, Easley J, Seim HB, Regan D, Berven SH, Hsu WK, et al. Bony ingrowth 5 potential of 3D-printed porous titanium alloy: a direct comparison of interbody cage materials in 6 an in vivo ovine lumbar fusion model. The Spine Journal. 2018;18(7):1250-60.
- 7 21. Easley J, Puttlitz C, Broomfield C, Palmer R, Jones A, McGilvray KC. Biomechanical and
- Bistological Assessment of a Polyethylene Terephthalate Screw Retention Technology in an Ovine
- 9 Metatarsal Fracture Model. Vet Comp Orthop Traumatol. 2020;33(3):153-60.

10