



# Survival benefit of immune checkpoint inhibitor monotherapy in patients with non-small cell lung cancer recurrence after completely pulmonary resection

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**Background:** Selected patients in non-small cell lung cancer (NSCLC) responded to the treatment of immune checkpoint inhibitors (ICIs) have the survival benefit for advanced stages or metastatic status.

**Methods:** We investigated whether a response to ICI monotherapy since 2016 influences the survival of NSCLC patients with recurrence after completely pulmonary resection between 2009 and 2017. Disease control rate (DCR) was calculated as complete plus partial response plus stable disease during more than 6 months.

**Results:** Thirty-five patients (mean age 67 years, range 46–79 years, 60% male) were included in the study. The most frequent histology and pathological stage were adenocarcinoma (60%) and IIB (45.7%), respectively. ICI was used at a median of second-line treatment. The DCR and median progression-free survival were 42.8% and 2.5 (95% CI: 1.6–3.4) months, respectively. The therapeutic outcome from recurrence was 47.5%. Multivariate analysis revealed a significant impact of DCR on favorable therapeutic outcome ( $P=0.04$ ). A serial increase (pre- to post-surgery to ICI initiation) of C-reactive protein (CRP) and prognostic nutritional index (PNI) was associated with treatment response (both  $P=0.01$ ).

**Conclusions:** These results suggest that a response to ICI monotherapy significantly contributes to a survival benefit regardless of therapeutic lines in NSCLC patients with recurrence after completely pulmonary resection, and the therapeutic response is strongly associated with a serial increase in CRP or decrease in prognostic nutritional index.

**Keywords:** Immune checkpoint inhibitor (ICI); non-small cell lung cancer (NSCLC); C-reactive protein (CRP); prognostic nutritional index

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## Introduction

Pulmonary resection is of strategic importance for the treatment of clinical stage IA–IIIA non-small cell lung cancer (NSCLC). Although completely resection is crucial for improving the prognosis of patients with NSCLC, a

completely cure cannot be attained even with nearly perfect resection or in cases of early-staged NSCLC (1,2). A recent trial of MAGE-A3 immunotherapy as an adjuvant NSCLC treatment revealed that 36.2% of surgically resected stage IB–IIIA NSCLCs were cancer-specific events, including

35.8% cases of loco-regional relapse, 58.8% cases of distant metastases, and 5.4% cases of a second primary lung cancer, during the median 40 months of follow-up (3). In addition, the recurrence rate was the highest in the first 18 months after surgery, with a peak between 6 and 12 months, and decreased thereafter (1). The consequent treatment following diagnosis of a recurrence is based on the therapeutic guideline for advanced NSCLC (4).

Since the early 2000s, new treatment strategies for NSCLC have emerged, including molecular target drugs or immune checkpoint inhibitors (ICIs), based on sufficient evidence of their effectiveness compared with conventional cytotoxic anticancer agents. Over the last decade, the treatment of advanced NSCLC has ameliorated dramatically in selected patients, especially with the introduction of ICIs. ICIs can restore the immune system through the disruption of intrinsic ligand-receptor interactions. However, responses to ICI monotherapy are clinically scattered, and the prognostic factors are still not well defined. We previously reported that ICI monotherapy was significantly less efficacious in patients with anaplastic lymphoma kinase (*ALK*) gene rearrangement than in patients with epidermal growth factor receptor (*EGFR*) mutations, and that programmed death-ligand 1 (PD-L1) expression was not a critical biomarker to predict the therapeutic response for patients with one of these mutations (5). However, elucidation of the detailed molecular mechanisms underlying treatment resistance and the development of drug or combination therapy to overcome resistance is challenging.

In particular, a definitive biomarker for prediction of the therapeutic efficacy of ICI is still required. To date, tumor signatures such as PD-L1 expression and the tumor mutational burden have been most widely used as predictive biomarkers (6). Systemic inflammation is strongly associated with a poor prognosis of patients with advanced NSCLC; however, there is insufficient evidence about the responses to ICI therapies under an inflammatory status. We previously reported that elevated C-reactive protein (CRP) levels (>1 mg/dL) and a lactate dehydrogenase (LDH) level greater than the normal upper range were significantly associated with the response duration and survival in patients treated with nivolumab (7). Perioperatively, the blood works were performed two days before surgery, and 1 month after surgery. Recently, numerous studies have demonstrated that a high neutrophil-to-lymphocyte ratio (NLR) is a useful marker of host inflammation and is also associated with poorer survival in patients with

various cancers, including NSCLC (8). In addition, we previously reported that prognostic nutritional index (PNI) was significant prognostic factor in stage I NSCLCs after completely pulmonary resection (9).

The aim of this retrospective study was to investigate whether the response to ICI monotherapy had an influence on the survival of NSCLC patients with recurrence after completely pulmonary resection. We also evaluated whether the inflammatory status in the peripheral blood was associated with a response [disease control rate (DCR)] to ICI monotherapy. As a radiological biomarker, <sup>18</sup>F-fluorodeoxyglucose positron emission tomography/computed tomography (<sup>18</sup>F-FDG PET/CT) reflects the interaction between the metabolic tumor burden and immune pathways (10). Therefore, we also evaluated the relationship between the parameters of <sup>18</sup>F-FDG PET/CT, such as the maximum standard uptake value (SUVmax) and tumor lesion glycolysis (TLG), and the response to ICI monotherapy after recurrence. We present the following article in accordance with the STROBE reporting checklist (available at <https://dx.doi.org/10.21037/atm-21-1492>).

## Methods

### Patients

We retrospectively analyzed the clinical data of 51 patients diagnosed with recurrence after completely pulmonary resection for NSCLC who received ICI monotherapy since January 2016 during the therapeutic course, including nivolumab, pembrolizumab, atezolizumab, and ipilimumab, at Aichi Cancer Center Hospital. One hundred eight hundred twenty-five patients underwent pulmonary completely resection between December 2009 and October 2017, and 381 patients (20.9%) were diagnosed as recurrence. Pre-operative and post-operative laboratory measurements at 1 month, and data on pretreatment with ICI and PET/CT results before ICI initiation after recurrence were available for 35 (68.6%) patients. The database was closed on October 1, 2020; at that time, 62.9% (22/35) of the patients included in the study had died. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Institutional Review Board of Aichi Cancer Center Hospital (ACC-2019-1-003). The requirement of informed consent was waived because of the retrospective nature of this study. CRP, LDH, and NLR, and the PNI (10× serum albumin+lymphocyte counts ×0.05) were measured before

surgery, at 1 month after the operation, and before the initiation of ICI. Data on patient characteristics, genetic status [*EGFR*, -*Ki-ras2* Kirsten rat sarcoma viral oncogene homolog (*KRAS*), *ALK*], tumor proportion score (TPS), ICI response, PFS, and therapeutic outcomes were obtained from clinical records. LDH and CRP levels were measured just before the initiation of treatment with ICI. We used the following cut-off levels for comparison: LDH, <245 *vs.*  $\geq$ 245 IU/L; CRP, <1.0 *vs.*  $\geq$ 1.0 mg/dL; PNI, <50 *vs.*  $\geq$ 50 mg/dL; and NLR, <3 *vs.*  $\geq$ 3, as previously reported (5).

The TPS was evaluated using surgically resected specimens with an automated immunohistochemistry assay as reported previously (5). *EGFR* mutations (exons 18–21) were identified using polymerase chain reaction. *ALK* fusions were examined by reverse transcription-polymerase chain reaction or fluorescence *in situ* hybridization (Vysis *ALK* Break Apart FISH Probe Kit; Vysis, Inc., Downers Grove, IL, USA) after immunohistochemistry screening, as previously reported (5).

In the follow-up duration, chest to upper abdominal computed tomography was routinely performed on a semi-annual basis. Brain magnetic resonance imaging and positron emission tomography were added 1, 2 and 5 years after surgery.

### ***Evaluation of the efficacy of ICI monotherapy***

The context of ICIs including the registration of clinical trials at the initial administration after the diagnosis of recurrence is decided by our institutional cancer board comprising thoracic surgeons, oncologists, and radiologists. The patients were treated with an ICI until they showed disease progression or experienced unacceptable adverse events. In general, the patients underwent radiographic imaging every 2 months during treatment and were evaluated for tumor response according to the Response Evaluation Criteria in Solid Tumors, version 1.1. The DCR was calculated as complete plus partial response plus stable disease during more than 6 months. We used DCR as a response to ICI therapy in this study.

### ***Statistical analysis***

All data were analyzed using Statistical Package for the Social Sciences (SPSS) software (version 25.0, SPSS Institute Inc., Chicago, IL, USA). Categorical variables were compared using the chi-square test. Differences among two and three groups were evaluated using the Mann-Whitney

and the Kruskal-Wallis test. Survival rates were analyzed using the Kaplan-Meier method and compared between patient groups using the log-rank test. Cox proportional hazards regression analysis was performed to investigate the factors related to survival after recurrence. Covariates with a P value of <0.10 in the univariate analysis were included in the multivariate model. Statistical significance was set at  $P < 0.05$ .

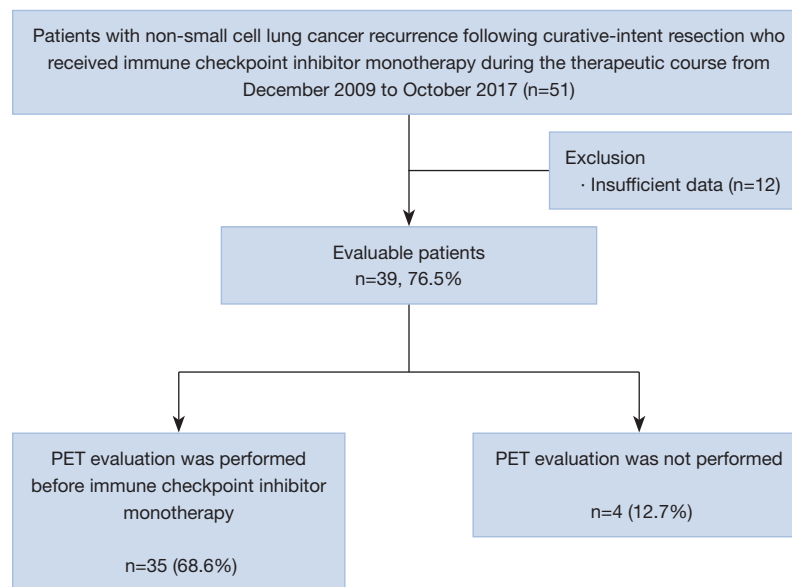
## **Results**

### ***Patient characteristics and treatment***

Fifty-One patients diagnosed with recurrence after completely pulmonary resection for NSCLC who received ICI monotherapy during the therapeutic course. The CONSORT flowchart for patient selection and inclusion is shown in *Figure 1*. Thirty-five patients (68.6%) were candidates in this retrospective and single-institutional study. The clinical and demographic characteristics of the patients are summarized in *Table 1*. The median age was 67 years, and 30 of the 35 patients (85.7%) underwent lobectomy, with a median duration of 15.0 months between pulmonary resection and recurrence. Sixteen patients (45.7%) experienced distant metastases. The most frequent histology and pathological stage was adenocarcinoma (60.0%) and p-IIB (45.7%), respectively, and the majority of patients were ex-smokers. The median time from surgery to recurrence was 15.0 months (interquartile range; 8.9 to 21.9). The recurrence sites were locoregional in 19 (54.3%) and distant in 16 (45.7%). The Eastern Cooperative Oncology Group Performance Status score before starting with ICIs was 0 for 10 patients (28.6%), 1 for 22 patients (62.9%), and 2 for 3 patients (8.5%). ICI was used as the second-line or higher treatment for the majority of patients. Most of the patients were treated with nivolumab (65.7%), followed by pembrolizumab (25.7%). *EGFR* mutations were the most common, followed by *KRAS* mutations, and one patient had *ALK* rearrangement. The PD-L1 expression status could be evaluated in 28 (80%) patients, seven of whom showed high PD-L1 expression (>50%), 10 had low PD-L1 expression (1–49%), and 11 were PD-L1-negative.

### ***Clinical outcomes following ICI monotherapy or according to mutational status***

According to the Immune Response Evaluation Criteria in Solid Tumors, 9 patients (25.7%) showed a partial



**Figure 1** Patient flowchart.

response, 6 (17.1%) showed a stable disease, and 20 (57.2%) had progressive disease. With respect to efficacy measurements, DCR and median progression-free survival (PFS) were 42.8% and 2.5 (95% CI: 1.6–3.4) months, respectively (Figure 2A). The proportion of response for ICI was increased according to the TPS score ( $P < 0.01$ ) (Figure S1). In addition, the 5-year therapeutic outcome from recurrence was equivalent between 1<sup>st</sup> and 2<sup>nd</sup> lines and more than 2<sup>nd</sup> line [46.6% (n=19) vs. 49.2% (N=16); ( $P = 0.08$ )].

#### ***Clinical outcomes according to the mutational status or preradiotherapy before ICIs***

While there is no significant difference in 5-year therapeutic outcomes from recurrence with or without ALK or EGFR [75.0% (n=8) vs. 37.1% (N=27); ( $P = 0.08$ )] (Figure S2A). Eight patients (8/35, 22.9%) received local radiotherapy before ICI. There was no significant difference in the therapeutic outcomes after recurrence with or without radiotherapy (40.0% vs. 48.2%,  $P = 0.98$ ) (Figure S2B).

#### ***Impact of response to ICI monotherapy on survival after recurrence***

We next investigated whether the response (DCR) to ICI monotherapy influences the clinical outcomes after

recurrence using univariate and multivariate regression analyses. The median follow-up duration was 58.1 months [interquartile range (IQR) 15.5–105.8 months] and 39.1 (IQR 16.0–56.8 months) after pulmonary resection and from diagnosis of recurrence, respectively. The 2-year and 5-year therapeutic outcome rates from recurrence after pulmonary resection and from diagnosis of recurrence were 68.3% and 47.5%, respectively (Figure 2B). Univariate and multivariate analyses revealed that only the response (DCR) to ICI monotherapy had a statistically significant association with a favorable PFS ( $P = 0.03$  and  $P = 0.04$ , respectively) (Table 2). The therapeutic outcomes after recurrence in DCR was better than those in no DCR (62.9% vs. 35.0%,  $P = 0.02$ ) (Figure S2C).

#### ***Impact of laboratory parameters on the response (DCR) to ICI monotherapy***

We next evaluated the influence of laboratory parameters on the response to ICI monotherapy, including CRP, LDH, PNI, and NLR. As shown in Table 3, none of these parameters significantly changed from the pre- to post-pulmonary resection stage. However, an increase in the CRP level to  $> 1.0$  mg/dL and a decrease in the PNI to  $< 50$  from post-surgical resection to the initiation of ICI were significantly associated with a response to ICI monotherapy. In addition, serial increases (pre- to post-

**Table 1** Clinicopathological characteristics of the included patients (N=35)

Characteristics	Value
Age (years), median [range]	67 [46–79]
Sex, male (%)	21 (60.0)
Smoking history, n (%), pack-years (mean $\pm$ SD, range)	28 (80.0), 51.9 $\pm$ 25.5 (42.0–61.8)
Histology	
Adenocarcinoma/squamous/other (n)	21/7/7
Type of procedures	
Pneumonectomy/lobectomy/sublobar (n)	2/30/3
Adjuvant chemotherapy, n (%)	19 (54.3)
Pathological stage (n)	
IA1/IA2/IA3/IB	2/3/0/3
IIA/IIB	2/16
IIIA/IIIB	8/1
Genomic mutations, n (%)	
<i>EGFR</i>	7 (20.0)
<i>KRAS</i>	6 (17.1)
<i>ALK</i>	1 (2.9)
No mutations	21 (80.0)
Treatment, n (%)	
Nivolumab (anti-PD-1)	23 (65.7)
Pembrolizumab (anti-PD-1)	9 (25.7)
Atezolizumab (anti-PD-L1)	2 (5.7)
Ipilimumab (anti-CTLA-4)	1 (2.9)
Performance status (0/1/2)	10/22/3
Line of ICI treatment (n) (1 <sup>st</sup> /2 <sup>nd</sup> / $\geq$ 3 <sup>rd</sup> )	4/15/16

SD, standard deviation; *EGFR*, epidermal growth factor receptor; *ALK*, anaplastic lymphoma kinase; *KRAS*, v-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog; PDL1, program death-ligand 1; CTLA, cytotoxic T-lymphocyte antigen 4.

surgery to ICI initiation) of CRP and serial decreases of NLR were significantly associated with a treatment response.

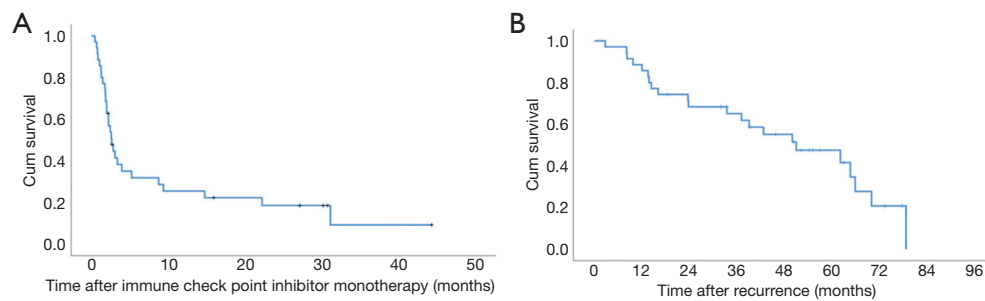
#### ***Influence of PET parameters on the response to ICI monotherapy and survival after recurrence***

Representative results are shown in *Figure 3A*. The median SUVmax and TLG were higher among ICI responders than non-responders (*Figure 3B,3C*), but the differences were not statistically significant [SUVmax median (IQR): 16.4 (7.9–21.9) *vs.* 10.8 (5.7–16.5), *P*=0.12; TLG median (IQR):

26.7 (9.6–86.3) *vs.* 25.3 (7.3–59.5); *P*=0.53].

## **Discussion**

The present study was designed to determine the impact of the response to ICI monotherapy on the survival of NSCLC patients with recurrence after completely pulmonary resection. In addition, we conducted a retrospective analysis of laboratory or radiological parameters prior to ICI monotherapy that may influence the therapeutic response in the same period. The DCR and median PFS were 42.8% and 2.5 months, respectively. The



**Figure 2** Clinical outcomes in patients with recurrence after completely pulmonary resection. (A) Progression-free survival after immune checkpoint inhibitor monotherapy. And (B) overall survival after diagnosis of recurrence.

**Table 2** Univariate and multivariate analyses according to the Cox proportional hazard model for the impact of ICI response on progression-free survival

	Univariate model		Multivariate model		
	Reference	P	Hazard ratio	95% CI	P
Male	Female	0.51			
Age ( $\geq 70$ )	<70	0.62			
Smoker	Never	0.72			
DFI ( $\leq 1$ year)	>1 year	0.27			
p-IIA/IIB/IIIA/IIIB	pIA or IB	0.87			
Adjuvant (yes)	No	0.50			
<i>EGFR</i> or <i>ALK</i> positive	No mutation	0.09	1.32	0.53–3.29	0.55
Distant metastasis	No metastasis	0.27			
Treatment line (1 or 2)	$\geq 3$	0.88			
DCR	No	0.03	2.95	1.07–8.14	0.04

DFI, disease-free intervals; *ALK*, anaplastic lymphoma kinase; *EGFR*, epidermal growth factor receptor; DCR, disease control rate; CI, confidence intervals.

PFS found in this study is compatible with that reported in previously published clinical trials of ICI monotherapy (11–13). Multivariate analysis including variables that were significantly associated with survival in univariate analysis showed that only the response to ICI monotherapy was independently statistically associated with a survival benefit ( $P=0.04$ ). Although no significant difference was found in factors closely associated with the response to ICI monotherapy, laboratory parameters changed from pre- to post-pulmonary resection and from post-surgical resection to the initiation of ICI. There was no significant effect of PET parameters on the response to ICI monotherapy. In addition, there is no radiological modality or tracer being widely in the clinical practice than can determine

the immune tumor microenvironment. In other words, the use of  $^{18}\text{F}$ -FDG PET for effect measurement is still controversial. However, the results of this study could not identify the factors such as CRP or PNI that are most closely associated with the response to ICI monotherapy in patients that experience a recurrence following pulmonary resection for NSCLC, necessitating further investigation and accumulation of clinical data to resolve this question. Nevertheless, favorable survival was strongly associated with a response to ICI monotherapy after recurrence.

This study suggests that the response to ICI monotherapy plays a key role in prolonging the lifetime from the diagnosis of recurrence, regardless of the therapeutic line. In the KEYNOTE-042 trial, pembrolizumab monotherapy

**Table 3** Relationship of laboratory parameters with the disease control rate to ICI monotherapy

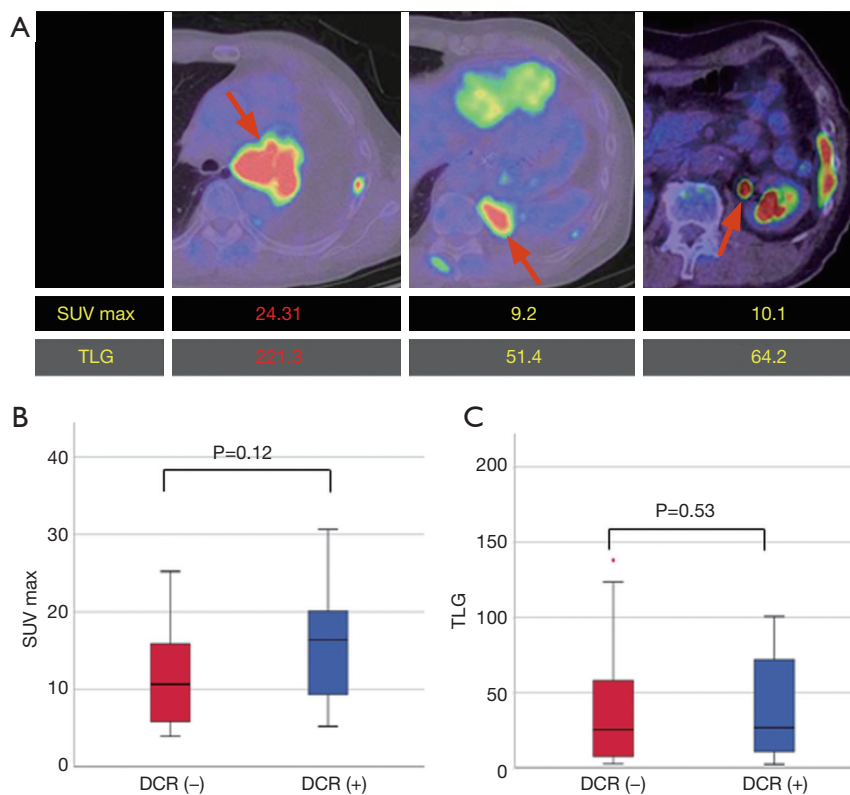
Variables	Value	HR	95% CI	P
Pre- to post-operation				
CRP	Increase	1.65	0.26–10.3	0.61
	Increase +1.0 mg/dL	1.63	0.41–6.39	0.49
	Increase +2.0 mg/dL	2.06	0.39–11.0	0.40
LDH	Increase + upper limit of normal	–	–	0.99
PNI	Decrease +<50	2.75	0.65–11.6	0.17
NLR	Increase +>3	1.56	0.38–2.63	0.64
Post-operation to initiation of ICI				
CRP	Increase	11.0	2.3–53.6	<0.01*
	Increase +1.0 mg/dL	0.71	0.08–0.65	0.02*
	Increase +2.0 mg/dL	0.13	0.02–1.23	0.08
LDH	Increase + upper limit of normal	1.09	0.24–5.03	0.91
PNI	Decrease +<50	0.12	0.26–0.56	<0.01*
NLR	Increase +>3	0.33	0.08–1.35	0.12
Pre- to post-operation and post-operation to initiation of ICI				
CRP	Both increase	0.10	0.02–0.58	0.01*
LDH	Both increase	0.87	0.13–6.00	0.89
PNI	Both decrease	0.10	0.02–0.58	0.01*
NLR	Both increase	0.69	0.14–3.35	0.64

\*P<0.05. CRP, C-reactive protein; LDH, lactate dehydrogenase; PNI, prognostic nutritional index; NLR, neutrophil-to-lymphocyte ratio; ICI, immune checkpoint inhibitor; HR, hazard ratio; CI, confidence intervals.

was associated with significantly improved PFS with a hazard ratio of 0.50 (95% CI: 0.37–0.68, P<0.001) compared with platinum-based chemotherapy in patients with advanced NSCLC with a TPS of  $\geq 50\%$  and without *EGFR* mutation or *ALK* rearrangement (14). This trial also showed that a TPS  $\geq 50\%$  contributed to a favorable prognostic profile with first-line ICI monotherapy (14). Several recent clinical trials have postulated that the synergistic efficacy of a combination of platinum-doublet chemotherapy could provide a survival benefit and high treatment response rate (15,16). However, there can also be indications to ease concern over the loss of regional lymph nodes rather than the removal of metastases by surgical lymph node dissection, which might accelerate the loss of offensive troops with immunological sensitization closest to cancers, such as cytotoxic T lymphocytes, dendritic cells, and  $\gamma$ -globulin. A study in a small Japanese cohort reported no significant difference in outcomes with or without

surgery (17).

Lung adenocarcinoma in East Asia is regionally characterized by predominance of *EGFR* mutations with a high proportion of never-smokers (18). We previously reported distinct recurrence patterns after completely pulmonary resection according to oncogenic mutation status and mutational *EGFR* subtypes, in which *EGFR*-positive patients mostly experienced pleural recurrence, with a significantly higher incidence in patients with triple-negative mutations (19). In addition, the presence of *EGFR* mutation or *ALK* rearrangement was a significant favorable prognostic factor for time to recurrence (18). Another cohort study showed that the most common recurrence site after completely resection of *EGFR*-mutant NSCLC was thoracic recurrence (20), which is compatible with the findings of our cohort. Although the effect of oncogenic driver mutation on the efficacy of ICI treatment remains unclear, several studies have demonstrated that oncogenic signals



**Figure 3** PET findings. (A) Representative maximum accumulation (red arrow). (Right) mediastinal lymph node; (central) abdominal lymph node; and (left) left adrenal. (B) Standard uptake value (SUVmax) based on disease control rate (DCR). And (C) tumor lesion glycolysis (TLG).

derived from mutations or loss of tumor suppressor genes upregulate the expression of immune checkpoint molecules in cancer cells as a mechanism of immune escape (5). In this study, the presence of *EGFR* mutation or *ALK* rearrangement did not significantly influence the survival of patients with recurrence after completely pulmonary resection compared with ICI monotherapy. The results for the Japan subset in the KEYNOTE-024 trial indicated that first-line pembrolizumab improved the PFS and overall survival compared to chemotherapy, with manageable safety found among Japanese patients with metastatic NSCLC without *EGFR/ALK* alterations and a TPS of  $\geq 50\%$  (21). Our previous study also revealed that PD-L1 expression may not be an effective predictor in patients with lung cancer who have *ALK* or *EGFR* mutations. According to the National Comprehensive Cancer Network (NCCN) guideline, osimertinib or initial cytotoxic chemotherapy as subsequent therapy following tyrosine kinase inhibitor (TKI) failure is recommended depending on the presence of the T790M mutation.

In this study, we could not identify a novel biomarker for

predicting ICI response from radiological findings. However, an increase of CRP above 1.0 mg/dL and a decrease in the PNI to  $< 50$  from the post-operative period to the initiation of ICI were closely associated with the response to ICI monotherapy ( $P=0.02$  and  $P<0.01$ , respectively). In addition, the serial increases in CRP and a serial decrease of PNI from pre- to post-operation to the initiation of ICI were also closely associated with the treatment response ( $P=0.01$  and  $P=0.01$ , respectively). Although the efficacy of ICI therapy has been discussed in terms of the cancer inflammation status, it remains poorly understood. We previously reported that elevated CRP ( $> 1$  mg/dL) and LDH levels above the normal upper range were significantly associated with the response duration and survival in patients treated with nivolumab (7). Numerous inflammatory-related parameters such as CRP, LDH, albumin level, NLR, and platelet-to-lymphocyte ratio, which are all associated with cancer prognosis, have also been reported as potential biomarkers for the ICI treatment response (22). However, few studies have focused on the association of serial changes in inflammation-related parameters from the pre-operative stage to initial



ICI treatment after recurrence with therapeutic efficacy. Although we did not find a significant association of the parameter change from pre- to post-operation with the ICI therapy response, significant differences were found in terms of the serial increase of CRP. Similar to our previous report, the change in CRP from the post-operative period to the initiation of ICI monotherapy and a CRP level >1 mg/dL were significantly related to the therapeutic response (7).

Moreover, the change in PNI from the post-operative period to the initiation of ICI monotherapy and a serial decrease in PNI were closely associated with the response to treatment. We previously reported that the PNI is an independent prognostic factor for patient survival in NSCLC patients (9). Another study showed that sarcopenia or a poor performance status was strongly associated with PFS or the therapeutic effect of ICIs in NSCLC patients (23). Therefore, further research is needed to validate the biomarkers involved in the response to ICI therapies.

This study has several limitations. First, the retrospective nature with a small sample size conducted at a single institution introduce potential bias. Second, the greater prevalence of *EGFR* mutations in East Asian patients with NSCLC is well known, whereas the proportion of *EGFR* or *ALK* mutations was only 22.9% (8/35) in our study, which is relatively lower than that of the general population. TKIs are firmly positioned for treatment following a diagnosis of recurrence given evidence of their high efficacy, and therefore it is difficult to verify the effect of ICIs with or without a treatment history of TKI. Accordingly, patients with *EGFR* or *ALK* mutations tend to receive ICIs as a late-line treatment because other candidates are preferred. Third, more than half of the patients (51.4%) did not undergo PD-L1 testing (n=7) or were negative for PD-L1 expression (n=11). Therefore, we could not consider the effect of PD-L1 expression on the treatment response. Finally, we were only able to evaluate data for 68.6% (35/51) of all eligible patients during the study period. Most patients could not undergo PET evaluation before the initiation of ICI treatment. To overcome these limitations, prospective trials will be required to confirm the relative effect of the response to ICI or driver oncogenes on survival prolongation to gain better understanding of the efficacy of ICI treatment recurrence after completely pulmonary resection.

In conclusion, the response to ICI monotherapy significantly contributes to a survival benefit for patients with recurrent NSCLC following completely pulmonary resection, regardless of therapeutic lines. The response

to ICI monotherapy was strongly associated with a serial increase of CRP or a serial decrease of the PNI before the operation, at 1 month after operation, and before the initiation of ICI. In addition, an increase in CRP to >1 mg/dL and a decrease in the PNI to <50 before the initiation of ICI compared with the respective values 1 month after the operation were also closely associated with the response to treatment. Further investigation is needed to elucidate the efficacy or role of ICI treatment during the therapeutic course following post-surgical recurrence.

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## Footnote

*Reporting Checklist:* The authors have completed the STROBE reporting checklist. Available at <https://dx.doi.org/10.21037/atm-21-1492>

*Data Sharing Statement:* Available at <https://dx.doi.org/10.21037/atm-21-1492>

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*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://dx.doi.org/10.21037/atm-21-1492>). The authors have no conflict of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Institutional Review Board of Aichi Cancer Center Hospital (ACC-2019-1-003). The requirement of informed consent was waived because of the retrospective nature of this study.

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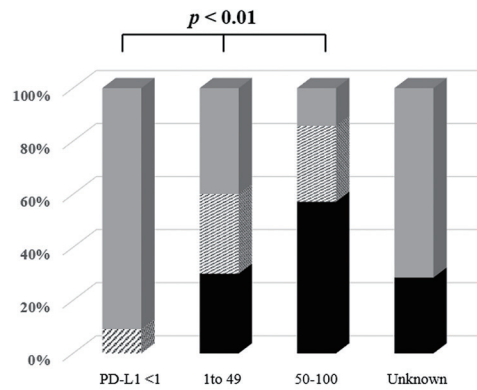
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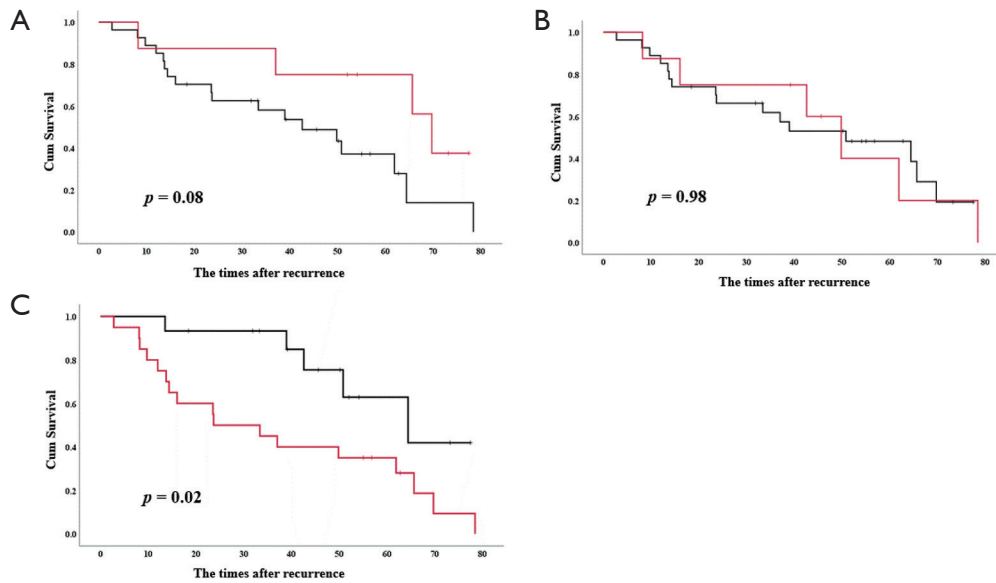
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**Figure S1** The proportion of the efficacious evaluation of immune checkpoint inhibitors Gray: Progressive Disease; Lattice: Stable Disease; and Black: Partial Response.



**Figure S2** Kaplan-Meier curves. (A) The therapeutic outcomes after recurrence stratified by with (Red) and without (Black) *EGFR* or *ALK*. (B) those stratified by with (Red) and without (Black) radiation therapy before immune checkpoint inhibitors. *EGFR*: Epidermal growth factor receptor, and *ALK*, Anaplastic lymphoma kinase. (C) those stratified by DCR (Red) and no DCR (Black). The  $P < 0.05$  means a significance.