CD73 expression in myeloid-derived suppressor cells is correlated with clinical stages in head and neck squamous cell carcinomas

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Background: Ecto-5'-nucleotidase (cluster of differentiation 73/CD73) is an ectonucleotidase that is being evaluated as a biomarker for the diagnosis and prognosis of various types of cancer. However, the clinicopathological relationship between CD73 expression in monocytic MDSCs (M-MDSCs) and polymorphonuclear MDSC (PMN-MDSCs) in head and neck squamous cell carcinomas (HNSCCs) is not clear. Understanding the phenotypic and functional characteristics of human CD73⁺ MDSCs in the tumor microenvironment could help elucidate the roles of these cells in the ontogeny, spread, and treatment of solid cancer.

Methods: In the present study, we first analyzed the expression percentage of human M-MDSCs and PMN-MDSCs subsets circulating in peripheral blood of patients with head and neck tumors originated in nasopharynx, oropharynx and larynx. To identify the correlation between phenotypic characteristics of MDSCs and clinical stages in HNSCC, we extended the study by analyzing the percentage, CD73 phenotype and immunosuppressive function of MDSCs and the correlation with the clinical parameters. Moreover, we compare the functions of both M-MDSCs and PMN-MDSCs blunts T-cell function in an ectonucleotidase-dependent manner.

Results: Our study revealed that PMN-MDSCs were significantly increased in HNSCC patients, contributing to MDSC-mediated T cell immune suppression. Our results indicated that PMN-MDSCs comprised the majority of MDSCs participating in anticancer immunosuppression. The increase in PMN-MDSCs was directly correlated with the clinical stages of HNSCC. Levels of CD73 were increased in PMN-MDSCs and were correlated with the clinical stages of HNSCC. The ectonucleotidase inhibitor adenosine 5'-(α , β -methylene)diphosphate (APCP) decreased its suppression towards T cell proliferation. Ectonucleotidase inhibitors are promising candidates for the treatment of HNSCC.

Conclusions: These studies demonstrate the expansion of PMN-MDSCs correlated with expression of CD73 and increasing clinical stages in HNSCC. These CD73⁺ PMN-MDSCs contributes to T cell immune suppression activity in HNSCC patients. Using ectonucleotidase inhibitors is a promising rationale for PMN-MDSCs in future clinical development of immunotherapy in human HNSCC cancer.

Keywords: Head and neck squamous cell carcinoma (HNSCC); polymorphonuclear myeloid-derived suppressor cells (PMN-MDSCs); CD73; tumor immunoescape

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Introduction

Head and neck squamous cell carcinoma (HNSCC) affects several regions of the human throat. By incidence, it is one of the most common cancers worldwide and has resulted in 14,620 deaths in United States (1,2). Currently, a combination of surgery, chemotherapy, and radiotherapy is used for the treatment of HNSCC patients. Despite the multimodality approach to its treatment, the survival rate of HNSCC patients has not improved (3,4). As a promising adjunct treatment, immunotherapy may help reduce longterm toxicities of the standard treatments and improve survival rates.

An increase in myeloid-derived suppressor cells (MDSCs) is caused by HNSCC, which results in malfunction of T cells and dendritic cells. The increase in levels of immunosuppression cells has been widely investigated (5-7). MDSCs are of a heterogeneous population of immature myeloid cells consisting of immature dendritic cell, macrophage, and granulocyte progenitors that blocked at several stages of differentiation (8,9). There are 2 major subtypes of MDSCs: monocytic MDSCs (M-MDSCs) and polymorphonuclear (PMN-MDSCs) which typically express the common myeloid markers, CD33 and CD11b, but lack markers of mature myeloid cells, such as HLA-DR (10,11). Various factors of different cancer types enable a high degree of plasticity in the phenotypes of MDSCs present in cancer patients (12-15).

High levels of ecto-5'-nucleotidase (CD73) are found in the MDSCs in patients with various cancers, promoting the progression of cancer by converting adenosine triphosphate (ATP) and adenosine diphosphate (ADP) into adenosine (16). Adenosine inhibits effector T cell function and enhances regulatory T cell function, which results in the reduction of T cell proliferation and increases cancer growth (17,18). Increased CD73 expression occurs in numerous tumor types, and is not only correlated with the clinical stage of the cancers (19,20) but also serves as a prognostic and diagnostic marker in malignancies, including HNSCC, prostate cancer, and ovarian cancer (21-23). In HNSCC, CD73 helps mediate the immune escape mechanisms of cancer, promoting tumor growth and progression (24,25). Investigation of the functional characteristics and phenotypic characteristics of MDSCs may reveal the progression of HNSCC, how it spreads, and how to treat it (16). Although the expression of CD73 in MDSCs in several cancers such as ovarian and non-small cell lung cancer have been reported (23,26). However,

there is little information about CD73 expression in MDSC subsets from HNSCC and how these cells are participating in anticancer immunosuppression, especially the relationship between suppression of PMN-MDSCs subtype towards T cell suppression is unclear. Here, the percentage, CD73 phenotype and immunosuppression down-regulation of human M-MDSCs and PMN-MDSCs subsets circulating in peripheral blood of patients with head and neck tumors were investigated in regard to HNSCC severity and clinicopathological characteristics. We present the following article in accordance with the MDAR reporting checklist (available at https://dx.doi. org/10.21037/atm-21-2589).

Methods

Participant recruitment

A total of 53 test patients with HCSCC [42 males and 11 females; mean age, 57; standard deviation (SD), 12 years; range, 28 to 78 years] and 12 control (non-cancer) patients were recruited at the Zhejiang Cancer Hospital between 1 January 2017 and 31 December 2019. All test patients were pathologically confirmed with HNSCC and had not undergone any single or multimodal treatments before phlebotomy. The cohort included 23 stage I patients, 10 stage II patients, and 20 stage III patients. A total of 12 carcinomas had originated in the oral cavity (n=12), 28 were from the larynx, and 13 from the oropharynx. Approval of the study was granted by the Institutional Review Board of the Zhejiang Cancer Hospital. Signed informed consent forms were provided by all participants. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Clinical characteristics of the test and control participants were determined and recorded (Table 1).

Flow cytometry analysis of MDSC subsets

Cell analysis was performed using an ACEA NovoCyte Flow Cytometer (Agilent, Santa Clara, CA, USA) to establish the percentages of M-MDSCs and PMN-MDSCs in CD11b⁺CD33⁺ MDSCs. Fluorescence-conjugated monoclonal antibodies were purchased from BioLegend (San Diego, CA, USA). Gating was restricted based on the cell properties in the forward and side scatters. Linear scaling was used for plotting the scatters and at least 1×10⁵ cells were assessed. The CD11b⁺CD33⁺ myeloidderived cell populations were first gated using FITC-anti-

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Table 1 Clinicopathological characteristics of the patients enrolled in this study (n=53)

Characteristic	Value
Total No. patients (male/female)	53 (42/11)
Age, mean [range] (years)	57.3 [28–78]
Tumor site	
Oral cavity	12
Oropharynx	13
Larynx	28
Tumor differentiation, n (%)	
G1	38 (71.7)
G2	9 (17.0)
G3	6 (11.3)
T stage, n (%)	
T1	17 (32.1)
T2	21 (39.7)
ТЗ	6 (11.3)
Τ4	9 (16.9)
Clinical stage, n (%)	
I	23 (43.4)
II	10 (18.9)
III	20 (37.7)

CD33 (Cat#303303) and PE-anti-CD11b (Cat#393111) antibodies. Antibodies of PE-cy7-CD14 (Cat# 367111) and APC-HLA-DR (Cat# 327021) were used to stain the M-MDSCs and PE-cy7-CD14 and APC-CD15 (Cat#301907) antibodies were used to stain the PMN-MDSCs. The expression levels of CD73 in MDSCs were assessed with PerCP-Cy5.5-CD73 (Cat#344013) antibodies. The controls were isotype-matched antibodies. Data analysis was carried out using NovoExpress software (Agilent, USA).

Cell isolation and sorting

Density gradient centrifugation was performed using the Ficoll-Hypaque separation method to purify peripheral blood mononuclear cells (PBMCs). Briefly, participant blood samples (30 mL) were drawn into heparinized blood collection tubes and diluted with 1:1 volume 1× phosphate-buffered saline (PBS). The cells were added to a Ficoll-Hypaque

column (GE Healthcare, Chicago, IL, USA) and centrifuged. The PBMCs were collected and washed twice with 1× PBS buffer. Trypan blue dye was used to assess cell viability.

Using a magnetic-activated cell sorting (MACS) magnetic sorting system (Miltenyi Biotec, Bergisch Gladbach, Germany), and the CD14⁺CD11b⁺ (M-MDSCs) and CD14-CD11b⁺ (PMN-MDSCs) cells were enriched. The phenotype and purity of the cells in each subset were confirmed: M-MDSCs (CD14⁺CD11b⁺) were >88% pure and PMN-MDSCs (CD14⁻ CD11b⁺) were >87% pure. A CD73⁺ MDSC subset was isolated using BD FACSMelodyTM Cell Sorter (Becton, Dickinson, and Co., Franklin Lakes, NJ, USA) with a purity >90%. We also isolated CD3⁺ T cells using CD3⁺ immunomagnetic beads with a purity of >95%.

In vitro T cell suppression assay

Carboxyfluorescein succinimidyl ester (CFSE) at 2 mM (Invitrogen Molecular Probes, Carlsbad, CA, USA) was used to label purified T cells. Approximately 1×10^5 labeled T cells were incubated at 1:2 and 1:4 ratios with CD73⁺ MDSC subsets including 1 µg/mL each of anti-CD3/anti-CD28 antibodies. To examine CD73 suppression, CFSE-CD3⁺ T cells were added to MDSCs pretreated with 200 mM adenosine 5'-(α , β -methylene)diphosphate (APCP) (AMP, Sigma-Aldrich, St. Louis, MO, USA) and incubated for 2 h at 37 °C. After 72 h, CD3⁺CD28⁺ T cell proliferation was determined using flow cytometry. Enzymelinked immunosorbent assay (ELISA) (R&D Systems, Minneapolis, MN, USA) was used to analyze supernatants for interferon- γ (IFN- γ) levels.

Statistical analysis

The software GraphPad Prism 8.0 (GraphPad Software, La Jolla, CA, USA) was used to perform statistical analysis. Either the Student's *t*-test or the Mann-Whitney U-test was used to analyze the flow cytometry data. An analysis of correlations was assessed using Spearman's correlation coefficient. Statistical significance was set to P<0.05.

Results

PMN-MDSCs are highly enriched in patients with HNSCC and associated with clinical progress

The PMN-MDSCs had a CD11b⁺CD33⁺CD15⁺CD14⁻

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Figure 1 Graphical representation of MDSCs definition by flow cytometry. To determine the percentage of MDSC subsets in patients, CD11b*CD33* myeloid-derived cell populations were first gated using FITC-anti-CD33 and PE-anti-CD11b antibodies. The M-MDSCs were stained with PE-cy7-CD14 and APC-HLA-DR antibodies while PE-cy7-CD14 and APC-CD15 antibodies for PMN-MDSCs. MDSCs, myeloid-derived suppressor cells; M-MDSCs, monocytic myeloid-derived suppressor cells; PMN-MDSCs, polymorphonuclear myeloid-derived suppressor cells.

phenotype and M-MDSCs had a CD11b⁺CD33⁺CD14⁺HLA-DR⁻ phenotype. Representative flow cytometry results are shown in Figure 1. The M-MDSCs were significantly elevated in HNSCC patients (mean, 9.01%; P<0.001) relative to healthy donors (mean 2.63%) (Figure 2A). The MDSC subtypes were compared with clinical cancer stages, tumor, node, metastasis (TNM) stages, and tumor differentiation. And the levels of M-MDSCs was observed a significant positive correlation between healthy donors (mean, 2.06%) and patients at stage I (mean, 7.55%; P<0.001), stage II (mean, 9.97%; P<0.001), and stage III (mean, 10.17%; P<0.001) (Figure 2B). Stage III HNSCC patients demonstrated a significantly higher percentage of M-MDSCs compared with those at stages I/II (P=0.0149). However, no significant associations of M-MDSCs were observed with TMN stage or tumor differentiation (*Figure 2C*,*D*) due to the large variations in these samples.

The PMN-MDSCs were also significantly increased (mean 13.2; P<0.001) compared with those in normal donors (mean 1.93%) (*Figure 3A*). Analysis of the percentage of PMN-MDSCs at different clinical stages revealed similar results. A significant positive correlation of PMN-MDSC levels was observed between healthy donors (mean, 1.88%) and patients at stage I (mean, 7.55%; P<0.001), stage II (mean, 10.55%; P<0.001), and stage III

(mean, 10.28%; P<0.001) (*Figure 3B*). Direct correlations among PMN-MDSC percentages and clinical cancer stages were determined for stage I vs. stage II (P=0.0364), stage I vs. stage III (P<0.001), and stage II vs. stage III (P=0.0167). But no significant associations of PMN-MDSCs were observed with TMN stage or tumor differentiation (*Figure 3C*,D).

CD73 expression in PMN-MDSCs correlates with clinical cancer stage

We investigated MDSCs from patients and healthy volunteers in order to determine the role of CD73 in tumor immunopathology. In HNSCC patients, levels of M-MDSCs (mean, 8.19%; P<0.001) and PMN-MDSCs (10.76%; P<0.001) had significantly higher levels of CD73 compared to those of healthy donors (mean, 2.16% and 1.19%, respectively) (*Figure 4A*,*B*). However, no correlation was observed between CD73⁺ M-MDSC and clinical stage (*Figure 4C*). The CD73⁺ PMN-MDSCs demonstrated significant correlations with stage I (mean, 7.74%), stage II (mean, 10.32%), and stage III (mean, 14.38%); stage I *vs*. III P<0.029, respectively) (*Figure 4D*). No associations were observed between the percentages of MDSCs and TNM stage or tumor differentiation (data not shown).



Figure 2 The frequency of M-MDSCs in peripheral blood of cancer patients and healthy donors. (A) CD14*HLA-DR⁻ M-type MDSCs were significantly elevated in patients relative to healthy donors (mean 9.01% *vs.* 2.63%, P<0.0001). (B) A significant positive correlation was observed between healthy donors and patients with different stage (mean 2.06% *vs.* 7.55%, 9.97% and 10.17% for healthy *vs.* stage I, II and III; P<0.0001, P<0.0001, P<0.0001 respectively). No correlation between the M-MDSCs and TNM stage (C) or tumor differentiation (D) stage. * indicates a P value of <0.05; *** indicates a P value of <0.001. MDSCs, myeloid-derived suppressor cells; M-MDSCs, monocytic myeloid-derived suppressor cells; TNM, tumor, node, metastasis; Health, healthy volunteers; Patients, HNSCC patients.

CD73 activity contributes to PMN-MDSC-mediated T cell immune suppression

To understand the suppression effect of CD73 in MDSCs on T cell activation, CFSE-labeled CD3⁺ T cells were co-cultured with CD73⁺ M- or PMN-MDSCs both in the absence and presence of the CD73 inhibitor APCP. Inhibition of the division of T cells was performed in a dose-dependent manner using CD73⁺ PMN-MDSCs (*Figure 5A*). The CD73⁺ M-MDSCs also demonstrated dose-dependent inhibition of autologous T cell division, but to a lesser extent (*Figure 5A*). Similar results were obtained using an IFN- γ ELISA assay (*Figure 5B*). Suppression of T cells by CD73⁺ PMN-MDSCs was attenuated by APCP; however, there was much less attenuation of M-MDSC activity by APCP. The expression of IFN- γ in T cells was inhibited by CD73⁺ MDSCs. However, IFN- γ expression was restored by the addition of APCP. These results indicate that CD73 activity contributes to MDSC-mediated immune suppression.

Discussion

The progression of various cancers, including HNSCC, is promoted by MDSCs, which consist of immunosuppressive progenitors and immature cells. However, the subsets of



Figure 3 The frequency of PMN-MDSCs in the peripheral blood obtained from cancer patients and healthy donors. (A) CD15⁺CD14⁻ PMN-MDSCs were also significantly increased compared with those in normal donors (mean 13.19% *vs.* 1.93%, P<0.0001). (B) Compared to normal donors, the number of PMN-MDSCs in cancer patients was (mean 1.88% *vs.* 7.55%, 10.28%, and 15.47% for healthy *vs.* stage I, II, and III; P<0.0001, P<0.0001, P<0.0001, respectively). A direct correlation between clinical cancer stage and PMN-MDSCs percentage was observed for stage I *vs.* II (P=0.0364), stage I *vs.* III (P<0.0001) and stage II *vs.* III (P=0.0167), respectively. No correlation was observed between the PMN-MDSCs and a TNM stage (C) or tumor differentiation (D) stage. * indicates a P value of <0.05; *** indicates a P value of <0.0001. PMN-MDSCs, polymorphonuclear myeloid-derived suppressor cells; TNM, tumor, node, metastasis; Health, healthy volunteers; Patients, HNSCC patients.

myeloid cells have been poorly characterized (11). High levels of the ectonucleotidase CD73 can be expressed by MDSCs, resulting in the production of high levels of adenosine, which in turn promotes the progression of various cancers (22,24,27-30). It appears that MDSCs play a crucial role in regulating antitumor immune responses in HNSCC (31). Our study found that CD14⁺HLA-DR⁻ M-MDSCs and CD15⁺CD14⁻ PMN-MDSCs in CD11b⁺CD33⁺ myeloid cells of HNSCC patients were significantly increased compared to those of healthy donors, which was consistent with prior observations (8,9,32,33). No correlation between the percentages of M-MDSCs and PMN-MDSCs was observed, suggesting that the accumulation of these subtypes was independent of each other in HNSCC patients. The percentage of M-MDSCs was not correlated with tumor stage, TNM stage, or tumor

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Figure 4 The percentage of CD73 expression on peripheral blood MDSCs. Both M-MDSCs (A) and PMN-MDSCs (B) from HNSCC patients expressed significant high level of CD73 than those from healthy donors with mean 8.19% *vs.* 2.16% (P<0.0001) and 10.76% *vs.* 1.19% (P<0.0001). (C) No correlation was observed between CD73⁺ M-MDSCs and the clinical stage. (D) The CD73⁺ PMN-MDSCs was specifically correlated with the clinical stage (mean 7.74%, 10.32% and 14.38% for stage I, II and III; stage I *vs.* III P<0.0001, stage II *vs.* III, P=0.029 respectively). * indicates a P value of <0.05; *** indicates a P value of <0.0001. MDSCs, myeloid-derived suppressor cells; PMN-MDSCs, polymorphonuclear myeloid-derived suppressor cells; HNSCC, head and neck squamous cell carcinoma; Health, healthy volunteers; Patients, HNSCC patients.

differentiation. There was a significant correlation between PMN-MDSC percentages and tumor stage, but not with TNM stage or tumor differentiation. A variety of studies have characterized MDSCs as Lin-HLA-DR⁻CD33⁺CD11b⁺. Correlations between Lin-HLA-DR-CD33⁺CD11b⁺ MDSCs and tumor stages in HNSCC and various other tumors have been reported (9). This correlation is useful to characterize the overall changes in myeloid cells in cancer patients. However, it does not accurately and unequivocally define MDSCs subsets. Other studies have shown that the levels of CD14⁺HLA-DR⁻ M-MDSC cells do not correlate with the stages of HNSCC (33). However, correlations between the percentage of M-MDSCs and the clinical status in tumor-infiltrating mononuclear cells are significant. Proinflammatory cytokines and angiogenic factors can also recruit M-MDSCs cells to tumor sites (31). Our results showed that significant differences were observed only between healthy patients and those with advanced tumors. Stages I-III of HNSCC showed moderately increased percentages without significant differences. We did not observe significant correlations between M- and PMN-MDSC percentages and TMN stage or tumor differentiation, which may have been because the



Figure 5 Isolated CD73⁺ MDSCs from cancer patients inhibits CD3⁺ T-cell proliferation. (A) Purified CD3⁺ T cells (T) were stimulated by anti-CD3/anti-CD28 in the presence of indicated MDSCs subsets (M for M-MDSCs and P for PMN-MDSCs) from peripheral blood of HNSCC patients at M:T ratios of 2:1 and 4:1 for 3 d (n=4). (B) Purified MDSCs subsets were incubated at a ratio of 2:1 or 4:1 with autologous CD3⁺ T cells in the presence of CD73 inhibitors APCP (A) for 3 d. The production of IFN- γ by CD8⁺ T cells were determined and summarized by flow cytometry (n=4). * indicates a P value of <0.05; ** indicates a P value of <0.01; *** indicates a P value of <0.001. MDSCs, myeloid-derived suppressor cells; M-MDSCs, monocytic myeloid-derived suppressor cells; PMN-MDSCs, polymorphonuclear myeloid-derived suppressor cells; HNSCC, head and neck squamous cell carcinoma; APCP, adenosine 5'-(α , β -methylene)diphosphate; IFN- γ ; interferon- γ .

production of MDSCs originated at the tumor site (34). Infiltration of the tumors by MDSCs was not determined in this study; because of the low number of cases at TNM stage II and TNM stage III, significant increases in MDSC levels may be detected using a larger sample of patients with later-stage HNSCC cancer.

Analysis of the percentages of PMN-MDSCs at different clinical cancer stages revealed that they were directly correlated. It is common for PMN-MDSCs to express CD15, while other markers, such as CD66b and IL4R α , vary between different studies (35). Although direct evidence for the correlation between PMN-MDSCs and clinical characteristics is not strong, PNM-MDSCs play a crucial part in the progression of cancer (36). In renal carcinoma patients, PMN-MDSCs are significantly increased compared to in healthy donors (37). A subpopulation of PMN-MDSCs with reduced migration and oxidative burst has been identified in HNSCC (38). In metastatic colorectal cancer patients, levels of T regulatory cells, Th17, and PMN-MDSCs were significantly increased, but only high levels of PMN-MDSCs were associated with poor prognoses (39). These results indicate that PMN-MDSCs may represent an MDSC subpopulation with clinical signatures for cancer.

It has been observed that MDSCs can suppress cancer

immunity using CD39 (19). The activity of CD73 is critical in immunosuppressive pathways in several cancer types, including HNSCC, thyroid, ovarian, and colorectal cancer (23,25,29,30,39). In the heterogeneous population of MDSCs, CD73 expression displayed considerable heterogeneity. We found that CD73 was expressed at higher levels in M-MDSCs and PMN-MDSCs from HNSCC patients than those of healthy donors. The PMN-MDSCs had high level of CD73 and correlated with increasing clinical stages while no such correlation was observed between M-MDSCs and clinical stages. This observation is consistent with murine studies that high levels of CD73 are expressed in granulocytic-type MDSCs (40,41). Increased percentages of PD-L1, CD39, and CD73 were found to be expressed in PMN-MDSCs from 25 metastatic colorectal cancer patients, which had a high level of immunosuppression compared to other myeloid cell types (39). Several groups (24,29) reported CD73 expression in HNSCC by immunohistochemical analysis of tumor specimens that positively correlated with tumor stage and were associated with poor prognoses. Immunosuppression of T cell function by M-MDSCs and PMN-MDSCs is inhibited in an ectonucleotidase-dependent manner. Although both M-MDSCs and PMN-MDSCs immuno-suppress T cells, PMN-MDSCs exert a greater immunosuppressive

effect. Similar results were obtained in an IFN- γ ELISA assay. A greater effect was exerted by APCP on the immunosuppressive ability of PMN-MDSCs compared to M-MDSCs. Our results indicate that PMN-MDSCs play a greater role in immunosuppression than M-MDSCs.

Our data revealed that levels of MDSC are significantly higher in HNSCC patients than in cancer-free volunteers. Increasing PMN-MDSC levels correlated with increasing clinical stages, indicating that PMN-MDSCs are a clinically significant factor in the progression of HNSCC. Our results demonstrated that CD73⁺ PMN-MDSCs contribute to T cell immune suppression in HNSCC patients. Ectonucleotidase inhibitors are promising drugs in the clinical development of immunotherapy of HNSCC.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Approval of the study was granted by the Institutional Review Board of the Zhejiang Cancer Hospital (No. IRB-2015-215). Signed informed consent forms were

provided by all participants.

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