



# A narrative review of the emerging role of lymphocyte antigen 6 complex locus K in cancer: from basic research to clinical practice

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**Objective:** In this paper, we will discuss the structure, function and role of lymphocyte antigen 6 complex locus K (LY6K) in disease model, and highlight the new progress of LY6K in current clinical trials. It provides reference value for future basic research.

**Background:** Cancer is a global public health problem that must be solved. The ability of tumor cells to evade the antitumor immune response makes cancer research and treatment more difficult. LY6K is highly expressed in a variety of cancers, can stimulate the immune system, and has tumor specificity. At present, a large number of vaccines have entered clinical trials.

**Methods:** The PubMed, umin.ac.jp/ctr and Clinical Trials.gov databases were searched for LY6K published literature and clinical trials. The structure and function of LY6K and the current state of research on LY6K in human cancers were discussed to provide reference for further research.

**Conclusions:** The *LY6K* gene has been shown to be highly expressed in a variety of tumor cells, driving tumorigenesis and progression, and is negatively correlated with poor prognosis in patients. At the same time, LY6K can stimulate the immune response of the body's immune cells. The study of LY6K-related vaccines in clinical trials also suggests that targeting LY6K may be a new direction for tumor immunotherapy.

**Keywords:** Lymphocyte antigen 6 complex locus K (LY6K); cancer; immunotherapy; vaccines

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## Introduction

The *Ly6* gene family is at the interface of stem cell biology and cancer biology. The *Ly6* complex was first described in 1977 (1,2). More than 20 structurally related genes have been identified in the *Ly6* complex on chromosome 15 (3). *Ly6* proteins, most of which are membrane-bound molecules attached to the cell surface through c-terminal

glycosylphosphatidylinositol (GPI) anchoring, share a conserved motif called the LU domain (3). It has been reported that members of the *Ly6/uPAR* superfamily, *LY6D*, *LY6E*, *LY6H*, *LY6K*, *PSCA*, *LYPD2*, *SLURP1*, *GML*, *GPIHBP1* and *LYNX1*, play roles in cell proliferation, migration, cell-cell interaction, immune regulation, macrophage activation, and cytokine secretion. Some

members of the Ly6/uPAR superfamily are abnormally expressed in cancer and negatively correlated with patient prognosis (4). Furthermore, the expression of members of Ly6/uPAR superfamily in immune cells has been confirmed, indicating that Ly6/uPAR superfamily plays an important role in tumor immune regulation and may be a potential target for tumor diagnosis and treatment (4,5).

A novel member of the Ly6/uPAR superfamily-LY6K, also known as cancer-testis antigen (6), is related to the murine stem cell antigen-1 gene. LY6K is overexpressed in a variety of human malignancies, including lung cancer, breast cancer, colorectal cancer, bladder cancer, and esophageal carcinoma (7-12), whereas its expression is low or difficult to detect in corresponding normal tissues. This overexpression of LY6K is closely related to invasive growth and enhanced mobility in several tumor types, as well as poor prognosis and recurrence (10,12). Several studies have confirmed that high LY6K expression is associated with poor prognosis in esophageal squamous cell carcinoma, non-small cell lung cancer, and breast cancer (8,12,13). This suggests that LY6K may play a role in tumor recurrence and metastasis (12). In addition, the role of LY6K in tumor immunity is also widely favored. For more than a century, researchers have been studying how to use the immune response to eliminate tumors (14). For example, depletion of dendritic cells (DC) can inhibit tumor growth (15,16); meanwhile, a part of immune cells can also recognize viral infection and tumor cell targets by activating or inhibiting cell surface receptors; and NK cells can also secrete a large number of cytokines that directly or indirectly kill tumor cells (17,18). A recent study showed that LY6K can induce an immune response that is involved in human malignancies. Overexpression of LY6K can inhibit the maturity and development of T cells (19). In other words, knocking out LY6K can induce T cell activation and stimulate cellular immunity in the body. Additionally, its induced immune response is tumor specific. According to the above analysis, LY6K is a potential therapeutic target for cancer immunotherapy (9,12,20-22).

In this review, we will focus on the role of LY6K. We know that LY6K is overexpressed in tumors and is associated with poor prognosis of patients. However, the molecular mechanism of its action remains unclear. Its relationship with tumor immune escape has not been clearly elucidated. Therefore, we will discuss the latest research progress, current research status and future development possibilities of LY6K. It will lay a theoretical foundation for future research and provide new research ideas. We are

committed to exploring new targets for the treatment of cancer and providing new choices for the clinical treatment of cancer patients. We present the following article in accordance with the Narrative Review reporting checklist (available at <https://dx.doi.org/10.21037/atm-21-5831>).

## LY6K regulation and function

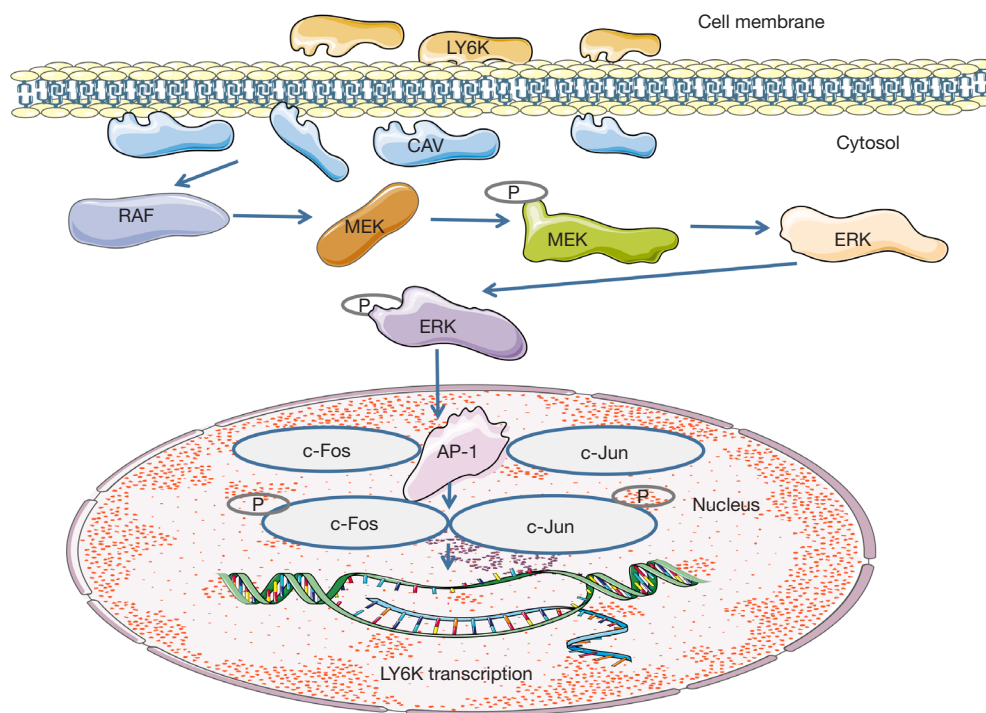
### *Structure and function*

LY6K was initially identified as an unannotated transcript (12,23) and eventually identified as a member of the Ly6/uPAR protein superfamily by bioinformatics (24). LY6K is located on chromosome 8q24.3, and is about 1735 bp in size. Its transcript encompasses an open reading frame of 5,261 bases that encodes 4 homologous proteins (23,25-27). One of these proteins is a 165-amino acid transmembrane protein. It consists of 3 exons, of which the third is the largest, and, like other Ly6 antigens, there are a total of 10 cysteine residues in a shared motif (6). LY6K contains sequences that encode GPI anchoring that should result in its localization to the cell membrane, although whether this occurs is unknown (9,28).

### *Regulation of LY6K expression*

LY6K is a cancer/testicular antigen that is highly expressed in cancer cells and tissues but is not expressed in normal tissues other than the testis. Several groups have shown that the expression of LY6K is increased in cancer, including cervical cancer and head and neck squamous cell cancer (29,30).

Some studies have demonstrated that the JunD and Fra1 transcription factors bind to the LY6K promoter to regulate its transcription *in vitro* (31). The regulatory mechanism of LY6K is shown in *Figure 1*. Activated JunD and Fra1 promote LY6K expression at the mRNA and protein levels. Furthermore, the methylation of the SNP242c allele and a CpG site near the activating protein-1 (AP-1) binding site reduce the binding of AP-1 to the promoter by attracting PAX3 and interfering with AP-1 binding, leading to the downregulation of LY6K (31). Meanwhile, the interaction between LY6K and AP-1 enhances extracellular signal-regulated kinase (ERK) signaling, which ultimately promotes the occurrence of tumors. The occurrence of tumors can enhance the expression of the AP-1 transcription factor, further affecting the expression regulation of the Jun family, ultimately impacting the biological function.



**Figure 1** The downstream regulatory mechanisms of lymphocyte antigen 6 complex locus K (LY6K). LY6K regulates the occurrence and development of cancers by regulating Caveolin 1 (CAV-1), extracellular signal-regulated kinase (ERK), and activating protein-1 (AP-1).

### The role of LY6K in cancers

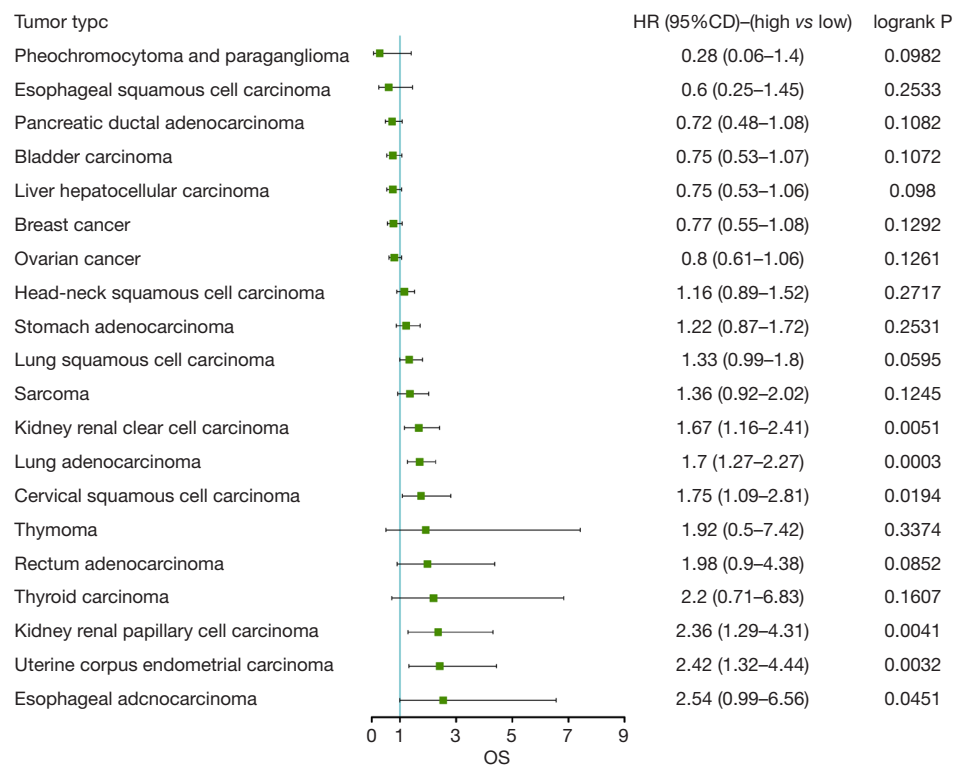
Little is known about the function of LY6K in the development of cancer. Most current studies on LY6K in human diseases have explored its prognostic ability in cancer. As shown in *Figure 2*, the expression of LY6K is closely related to overall survival in various cancers (data from <http://kmplot.com>). LY6K is regulated downstream of ERK signaling to increase the tumorigenicity of glioblastoma *in vitro* and *in vivo* (32). In addition, LY6K has been reported to be upregulated in metastatic ER-positive breast cancer (31,33,34), lung cancer (12,13), esophageal squamous cell carcinoma (35), gingival and buccal carcinoma (30), and bladder cancer (11) in preclinical and clinical studies.

Some studies have used the double antibody sandwich enzyme-linked immunosorbent assay (DAS-ELISA) method to detect the expression of LY6K in the serum of patients with early non-small cell lung cancer. LY6K is significantly upregulated in these patients compared to healthy individuals. Therefore, LY6K may be an important biomarker for the early diagnosis of non-small cell lung

cancer (24). Another study found a significant difference in the expression of LY6K mRNA between bladder cancer (n=91) and normal control (n=37) samples (11,23).

Kono *et al.* suggest that LY6K can induce T cells to produce specific immune responses (36). Patient killer T cells have been shown to kill cancer cells with specific antigens *in vitro* (36). Knockout of LY6K in overexpressed tumor cell lines could inhibit the directed migration of a variety of tumor cell lines, and this phenomenon shows that LY6K plays an important role in tumor immunotherapy and may be a potential therapeutic target for small-molecule inhibitors (36). The role of LY6K in tumor immune escape is related to NK cell binding, cytokine release, and the programmed cell death ligand 1/programmed death-1 (PD-L1/PD-1) checkpoint. Its potential mechanism involves constitutive TGF signal transduction and the interaction between tumor cells and the tumor microenvironment, especially tumor immune surveillance (8).

Based on the above recent studies, it can be concluded that LY6K is a potential tumor-related marker. Meanwhile, it also suggests that LY6K is a potential target for cancer immunotherapy.



**Figure 2** The overall survival risk ratio of lymphocyte antigen 6 complex locus K (LY6K) in a pan-cancer series.

### Clinical development of therapeutic agents to target LY6K in cancer

Current treatment measures mainly include surgical treatment, chemotherapy, radiotherapy, traditional Chinese medicine, cryoablation, and immunotherapy for cancer. At present, there are several experimental drugs for LY6K in clinical trials for a variety of human cancers and other diseases (*Table 1*; <https://clinicaltrials.gov/> and <https://www.umin.ac.jp/ctr>). This section will focus on LY6K-related drugs developed to take advantage of high LY6K expression in tumors.

The main types of cancer vaccines include protein or synthetic peptides of cancer antigens, tumor antigens, DNA/RNA encoding cancer antigens, and other types (55). Cancer vaccines have been widely used in preclinical and clinical research in various malignant tumors, and have also made some achievements. For example, *Mycobacterium bovis* Calmette-Guérin (BCG) vaccination improved the activation and failure of tumor specific T cells, so as to achieve the purpose of treating certain types of bladder cancer (56). In a phase I clinical study of melanoma, the results of a clinical trial of an RNA cancer vaccine showed

metastatic regression or stable disease in patients, indicating the vaccine's inhibitory effect on the tumor (57). Gp100 peptide vaccine has also been studied in combination with high doses of the cytokine interleukin-2 (IL-2) in melanoma patients. Results from this trial showed significant improvements in objective response rates and progression-free survival (PFS) in patients treated with the combination compared to patients treated with IL-2 alone (58).

In patients with advanced esophageal squamous cell carcinoma, the pDC and NK cell populations increased and the antigen-specific CD8<sup>+</sup> T cell response was successfully activated after administration of the epitope peptide (level 1) combined with the CPG-7909 (level 2/3) vaccine (22). In addition, interferon-alpha (IFN- $\alpha$ ) and related chemokines were upregulated, and the corresponding NK cells were activated (22). Therefore, the vaccine enhanced not only tumor-specific acquired immunity but also innate immunity (22).

With regard to HLA-A24 and HLA-A24-related vaccines, in a phase I trial, an immune response was observed in 13 of 15 lung cancer patients, and some patients had stable disease for at least 2 months (7). In a phase I

**Table 1** Clinical trials of lymphocyte antigen 6 complex locus K (LY6K)-related interventions

Trial number	Study population	Intervention	Sex	Age (years)	Phase	Reference
NCT00669292	Esophageal cancer	URLC10-177, TTK-567, and CpG-7909	All	20–80	II	(22)
NCT00845611	Gastric cancer	Peptide vaccine	All	20–80	I	(20)
NCT00753844	Esophageal cancer	URLC10	All	20–80	I	(37)
NCT01069640	Non-small cell lung cancer	HLA-A*0201 or HLA-A*0206-restricted URLC10 peptides	All	20–85	I	(38)
NCT01069575	Non-small cell lung cancer	HLA-A*2402-restricted URLC10, CDCA1, and KIF20A peptides	All	22–80	I	(39)
NCT01227772	Gastric Cancer	OTSGC-A24	All	21–99	II	(40)
NCT01949701	Non-small cell lung cancer	HLA-A*0201-restricted URLC10 peptides with adjuvant	All	20–85	II	(38)
NCT01950156	Non-small cell lung cancer	HLA-A*2402-restricted URLC10, CDCA1, and KIF20A peptides with adjuvant	All	20–85	II	(39)
NCT00624182	Bile duct cancer	Peptide vaccine for URLC10 and gemcitabine	All	20–80	I	(41)
NCT00681421	Esophageal cancer	URLC10, VEGFR1, and VEGFR2	All	20–85	II	(42)
NCT00681330	Esophageal cancer	URC10, TTK, and KOC1	All	20–85	II	(42)
UMIN000003207	Biliary Tract Cancer	Four-peptide vaccination	All	20–80	I	(43)
NCT00681577	Gastric cancer	URLC10, KOC1, VEGFR1, and VEGFR2	All	20–85	II	(44)
NCT00674258	Non-small cell lung cancer	URLC10, TTK, and KOC1	All	20–85	II	(45)
NCT00673777	Non-small cell lung cancer	URLC10, VEGFR1, and VEGFR2	All	20–85	II	(45)
UMIN000004389	Gastric cancer	DEPDC1, URLC10, FoxM1, Kif20A and VEGFR1	All	18–84	II	(46)
UMIN000002409	Gastric cancer	vaccination	All	20–85	I	(47)
NCT00682227	Esophageal cancer	TTK, LY6K, and IMP-3 peptides	All	20–80	I	(36)
NCT00632333	Esophageal cancer	URLC10, TTK, KOC1, VEGFR1, VEGFR2, cisplatin, and fluorouracil	All	20–80	I	(48)
NCT00874588	Non-small cell lung cancer	HLA-A*2402-restricted URLC10, CDCA1, VEGFR1, and VEGFR2	All	≥20	I	(7)
NCT00633724	Non-small cell lung cancer	HLA-A*2402-restricted URLC10, TTK, VEGFR1, and VEGFR2	All	≥20	I	(7)
NCT00676949	Metastatic tumors	Five peptide vaccines of KOC1, TTK, URLC10, DEPDC1, and MPHOSPH1	All	20–80	I	(49)
NCT03135405	Hypertension	Automated messages; Home BP monitors; care partner reminders	All	21–79		(50,51)
UMIN000003860	Uterine cervical cancer/ ovarian cancer	peptides vaccination	Female	20-80	II	(52)
NCT01267578	Esophageal cancer	Vaccination	All	20–80	II	(53)
NCT00995358	Esophageal cancer	Peptide	All	20–80	II	(54)
UMIN000008379	Oral cancer	Vaccination	All	20–85	II	(21)

clinical trial in which 6 patients with advanced gastric cancer were administered an HLA-A24-related LY6K-177 peptide vaccine, 3 patients had stable disease and 1 patient had a partial response, and the average total survival time reached 7.9 months. This greatly improved the overall survival rate of gastric cancer patients. Furthermore, the vaccine had few toxic side effects and was well tolerated (20). A clinical phase II trial of the LY6K-177 polypeptide vaccine for head and neck squamous cell carcinoma showed that the vaccine induced an immune response and improved prognosis in patients with advanced HNSCC and was well tolerated (21).

According to the above findings, with the increasing variety of diseases, people do not have safer and more effective treatment means to actively treat. The advent of vaccines has greatly reduced the fear of cancer and other diseases. At present, tumor vaccines have received more and more attention, and a large number of vaccines are in the clinical phase II or phase III trials stage, which can prolong the PFS time and improve the quality of life of patients.

## Conclusions

LY6K is a potential target for the treatment of various cancers, and explorations of its biological function have only just begun. The best method for optimizing LY6K in tumor therapy and tumor immunotherapy is still an urgent problem to be solved. It is necessary to better understand the working mechanism of LY6K as a secreted membrane protein to enhance the progress of tumor-related vaccine clinical work, which may provide important information for the future development of cancer treatment. We must also clarify the interaction between LY6K and immune cells and characterize the interaction between LY6K and immune checkpoints (PD-L1). This will provide a solid foundation for further research.

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## Footnote

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**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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