



Comprehensive genomic profiling and PD-L1 expression of primary lymphoepithelioma-like carcinoma of the stomach and parotid gland

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Background: To investigate the comprehensive genomic profiling and programmed cell death ligand-1 (PD-L1) expression of primary lymphoepithelioma-like carcinoma (LELC) of different anatomical sites in the Chinese population and explore potential therapeutic strategies.

Methods: Capture-based targeted sequencing was performed on tumor tissue samples collected from 35 patients with LELC. Tumor tissues were stained by immunohistochemistry (IHC) for PD-L1. The molecular features of LELC of the stomach/parotid gland and associations between somatic alterations and survival outcomes in LELC of the stomach were explored.

Results: All patients with LELC of the stomach/parotid gland were microsatellite-stable with Epstein-Barr virus infection. A total of 215 somatic alterations spanning 126 genes were identified from 18 patients with LELC of the stomach. The most frequently mutated genes included *PIK3CA*, *ARID1A*, *SMAD4*, and *KMT2D*. In addition, 37 somatic alterations spanning 30 genes were identified from seven patients with LELC of the parotid gland. *TP53*, *GNAS*, and *BCOR* were the most frequently mutated genes. All cases of LELC of the stomach/parotid gland had a low tumor mutational burden (TMB) level, but a high PD-L1 expression level. Compared with LELC of the parotid gland, LELC of the stomach had a significantly higher TMB (1.0 vs. 5.0 mutations/Mb, $P=0.0047$) and a lower PD-L1 expression level (combined positive score: 90.0 vs. 47.5, $P=0.0058$). In addition, the presence of alterations in the p53 signaling pathway, homologous recombination pathway, and deoxyribonucleic acid (DNA) damage response pathway predicted unfavorable overall survival in patients with LELC of the stomach.

Conclusions: This study is the first to elucidate the comprehensive genomic profiling of LELC of the stomach in the Chinese population, and the first to demonstrate the molecular features of LELC of the parotid gland. The detection of high PD-L1 expression raises the potential of checkpoint immunotherapy for LELC of the stomach/parotid gland.

Keywords: Lymphoepithelioma-like carcinoma of the stomach (LELC of the stomach), lymphoepithelioma-like carcinoma of the parotid gland (LELC of the parotid gland), programmed cell death ligand-1 (PD-L1), genomic profiling, immunotherapy

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Introduction

Lymphoepithelioma-like carcinoma (LELC) as an uncommon epithelial tumor has unique morphology and is characterized by irregular sheets, trabeculae, ill-defined tubules, or syncytia of polygonal cells embedded within a prominent lymphocytic infiltrate, with intraepithelial lymphocytes (1). It has been found in various anatomical sites, including the nasopharynx, larynx, tonsil, salivary gland, parotid gland, lung, breast, thymus, stomach, liver, duodenum, renal pelvis, urinary bladder, uterine cervix, endometrium, ovary, vulva, and vagina (2,3).

LELC of the stomach is a rare type of gastric cancer (GC), accounting for 1–4% of all GCs (4). It consists of two subsets, Epstein-Barr virus-positive (EBV+) and microsatellite instability-high (MSI-H) carcinomas (1,5). LELC of the stomach is closely associated with EBV, with 80–100% of cases being EBV-positive (6). The prevalence of MSI-H carcinoma in LELC of the stomach ranges from 7% to 39% (1,5). Previous studies have demonstrated that LELC of the stomach has a better prognosis than EBV+ and conventional GC (4,6,7). Radical resection is the standard of care for early-stage GC patients and advanced-stage GC patients frequently receive palliative management, including programmed cell death-1 (PD-1)/programmed cell death ligand-1 (PD-L1) inhibitor and best supportive care (8). To date, there is no established agent for patients with advanced-stage LELC of the stomach due to the lack of genomic data. A number of studies on LELC of the stomach have been reported. However, it is commonly reported as case reports due to its rarity. In addition, the literature on its comprehensive genomic profiling is scant. To the knowledge, only one study has explored the molecular features of LELC of the stomach, which was performed in the Western population (9). However, its molecular features in the Chinese population have not been documented. In addition, the molecular features and clinicopathological characteristics of LELC of the parotid gland, which is a very rare malignancy, remain largely unknown. Surgical resection is the standard of care for patients with resectable salivary gland tumor. Definitive radiotherapy (RT) or concurrent systemic therapy and RT is the standard of care for patients with unresectable salivary gland tumor (10). Immune checkpoint inhibitors (ICIs) have promising efficacy and manageable safety in a variety of malignancies (11–13). Whether patients with LELC of the parotid gland have potential of utilizing ICI as a treatment regimen remains elusive. Moreover, the genomic

profiling and the programmed cell death ligand-1 (PD-L1) expression status in LELC of the parotid gland has not been reported yet.

In the present study, we performed comprehensive genomic profiling and PD-L1 expression analysis in primary LELC of the stomach and parotid gland to investigate the molecular mechanisms of tumorigenesis and explore potential therapeutic strategies.

We present the following article in accordance with the MDAR reporting checklist (available at <https://dx.doi.org/10.21037/atm-21-5908>).

Methods

Patients

Thirty-five patients diagnosed with LELC who underwent surgical resection in Guangdong Provincial People's Hospital between April 2015 and May 2020 were enrolled in this study. In the present work, LELC was defined as an epithelial tumor having irregular sheets, trabeculae, ill-defined tubules, or syncytia of polygonal cells embedded within a prominent lymphocytic infiltrate, with intraepithelial lymphocytes. All tumors were evaluated by two independent pathologists based on histopathological diagnosis from resected stomach lesion. Disease-free survival (DFS) was defined as the interval from the surgery date to the date of first tumor recurrence, distant metastasis, or death. Overall survival (OS) was defined as the interval from the surgery date to the date of death. Patients without an event were censored at the last follow-up visit. This study was approved by the Ethics Committee of Guangdong Provincial People's Hospital (No. GDREC2018432A). All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). Informed consent was obtained from each patient for the use of their tumor samples.

Tissue DNA isolation and library preparation

Tissue deoxyribonucleic acid (DNA) was extracted from formalin-fixed, paraffin-embedded tumor tissues (FFPE) with QIAamp DNA FFPE tissue kit (Qiagen, Hilden, Germany) according to the manufacturer's instructions. The concentration of DNA was measured using the Qubit 2.0 Fluorometer with Qubit double-stranded DNA assay kit (Life Technologies, Carlsbad, CA, USA). A minimum of

50 ng of DNA is required for next-generation sequencing (NGS) library construction. DNA extracted from tumors was fragmented using the Covaris M220 focused ultrasonicator (Covaris, Woburn, MA, USA), followed by end repair, phosphorylation, Diels-Alder addition, and adaptor ligation.

Capture-based targeted sequencing

Fragments with the size of 200–400 bp were selected using AMPure bead (Beckman Coulter Inc., Brea, CA, USA), followed by hybridization, hybrid selection, and polymerase chain reaction amplification. Samples were subsequently subjected to capture-based targeted sequencing using a panel consisting of 520 cancer-related genes (Burning Rock Biotech, Guangzhou, China). High sensitivity DNA electrophoresis was then performed using the Bioanalyzer system (Agilent Technologies Inc., Santa Clara, CA, USA) to assess the quality and size of the fragments. Indexed samples were sequenced on a Nextseq500 sequencer (Illumina, Inc., San Diego, CA, USA) with pair-end reads.

Sequence data analysis

The raw sequencing data were preprocessed using Trimmomatic 0.36 (14). Preprocessed sequencing data were then mapped to the human genome (hg19) using Burrows-Wheeler Aligner 0.7.10 (15). Variant calling and annotation were performed using GATK 3.2 (16), MuTect (17), and VarScan (18). According to the Exome Aggregation Consortium (ExAC, <http://exac.broadinstitute.org>), 1,000 Genomes, The Single Nucleotide Polymorphism Database (dbSNP, <https://www.ncbi.nlm.nih.gov/snp/?term=>), ESP6500SI-V2 database, variants with a population frequency >0.1% were defined as single nucleotide polymorphisms and excluded. The remaining variants were annotated with ANNOVAR (19) and SnpEff v3.6 (20). Copy number variations (CNVs) (including copy number amplification and deletion) and large genomic rearrangements (LGRs) were detected by in-house analysis scripts as previously described (21,22). DNA translocation analysis was performed using Tophat2 (23) and Factera 1.4.3 (24). The allele frequency (AF) of mutation was calculated. The MSI status of tumor sample was determined as previously described (25). Additionally, the tumor mutational burden (TMB) was analyzed, which was defined as the somatic single nucleotide variants (SNVs) and small insertions and deletions (InDels) located at the coding

region and its 20 bp upstream/downstream region (26). TMB was calculated according to the following equation:

$$\text{TMB} = \frac{\text{mutation count (except for CNVs and fusions)}}{\text{coding region size}} \quad [1]$$

Immunohistochemistry staining analysis

Immunohistochemistry (IHC) staining analysis was conducted on FFPE tumor samples. All samples were pretreated and stained with the monoclonal mouse anti-PD-L1 antibody (clone number: 22C3, Dako, Agilent Technologies Inc., Santa Clara, CA, USA) and monoclonal mouse anti-cell differentiation (CD) 8 antibody (clone number: C8/144B, Gene tech, Shanghai, China) according to the manufacturer's instruction. PD-L1 expression level was determined by combined positive score (CPS), which was computed as a ratio between the number of PD-L1-stained cells (tumor cells, lymphocytes, macrophages) and all viable cancer cells, multiplied by 100.

Statistical analysis

Differences in the groups were calculated and presented using Fisher's exact test, paired two-tailed Student's *t*-test, or analysis of variance (as appropriate). $P < 0.05$ indicated statistical significance. All data were analyzed using R statistics package version 3.4.0 (Vienna, Austria). Correlations between somatic alterations and clinical outcomes were only investigated in patients with LELC of the stomach. Data used to support the results of this study can be obtained from the corresponding author.

Results

Patient characteristics

From April 2015 to May 2020, 35 patients consecutively diagnosed with LELC in Guangdong Provincial People's Hospital were enrolled in our study, including 20 patients with LELC of the stomach, eight patients with LELC of the parotid gland, three patients with LELC of the liver, two patients with LELC of the salivary gland, one patient with LELC of the palate, and one patient with LELC of the orbit. Among the patients with LELC of the stomach, 17 (85.0%, 17/20) were males, 16 (80.0%, 16/20) had no smoking history, and the median age at diagnosis was 54.5 years, ranging from 25.0 to 81.0 years. The majority of patients with LELC of the stomach (80.0%, 16/20) were

Table 1 Clinicopathological characteristics of patients with LELC of the stomach and parotid gland

Characteristics	LELC of the stomach	LELC of the parotid gland
Median age (range), years	54.5 (25.0–81.0)	31.0 (16.0–54.0)
Gender, n (%)		
Female	3 (15.0)	1 (12.5)
Male	17 (85.0)	7 (87.5)
Smoking, n (%)		
No	16 (80.0)	8 (100.0)
Yes	2 (10.0)	0 (0.0)
Unknown	2 (10.0)	0 (0.0)
Clinical stages, n (%)		
Stage I	3 (15.0)	0 (0.0)
Stage II	3 (15.0)	4 (50.0)
Stage III	10 (50.0)	4 (50.0)
Stage IV	3 (15.0)	0 (0.0)
Unknown	1 (5.0)	0 (0.0)
Total	20	8

LELC, lymphoepithelioma-like carcinoma.

diagnosed at stage I–III. Among the patients with LELC of the parotid gland, seven (87.5%, 7/8) were males, all patients had no smoking history, and the median age at diagnosis was 31.0 years (ranging from 16.0 to 54.0 years). All cases were diagnosed at stage I–III. The clinical characteristics of patients are summarized in *Table 1*. All tumor samples collected from patients were EBV+.

Somatic alterations of LELC of the stomach

Capture-based targeted sequencing was performed on tumor tissue samples from 20 patients to elucidate the comprehensive mutational profiling of LELC of the stomach. Among the patients with LELC of the stomach, two had no somatic alterations detected from this panel (*Figure 1*). Collectively, 215 somatic alterations spanning 126 genes were identified from 18 patients with LELC of the stomach, including 183 SNVs, 23 InDels, two fusions, six CNVs, and one LGR. Each patient with LELC of the stomach harbored an average of 11.94 somatic alterations. The most frequently mutated genes included *PIK3CA*, *ARID1A*, *SMAD4*, and *KMT2D*, occurring in

65.0% (13/20), 55.0% (11/20), 30.0% (6/20), and 25.0% (5/20) of patients with LELC of the stomach, respectively (*Figure 1*). In addition, one patient harbored 9p24.1 region amplification (amplification of *CD274*, *PDCD1LG2* [*PD-L2*], and *JAK2*) and two patients harbored *CD274* fusion, including one patient with *CD274-PLGRKT* fusion and another patient with *CD274*-intergenic (*CD274*, *PDCD1LG2*) fusion.

Somatic alterations of LELC of parotid gland and other anatomical sites

Capture-based targeted sequencing was also performed on the tumor tissue samples from another 15 patients with LELC of different anatomical sites. Somatic alterations were detected in 7 patients with LELC of the parotid gland. A total of 37 somatic alterations spanning 30 genes, including 24 SNVs, five InDels, seven CNVs, and one LGR (*Figure 1*), were identified in seven patients with LELC of the parotid gland. Each patient with LELC of the parotid gland harbored an average of 5.29 somatic mutations. The most frequently mutated genes included *TP53*, *GNAS*, and *BCOR*, occurring in 37.5% (3/8), 25.0% (2/8), and 25.0% (2/8) of patients with LELC of the parotid gland, respectively (*Figure 1*).

In addition, somatic alterations were detected from this panel in three patients with LELC of the liver (average: 4.7 mutations), two patients with LELC of the salivary gland (average: 3.0 mutations), and one patient with LELC of the orbit (2.0 mutations). No somatic mutations were detected from this panel in the patient with LELC of the palate. Collectively, these findings indicated that patients with LELC of the stomach might carry a high burden of somatic alterations compared to those with LELC of other anatomical sites.

Comparison of genomic profiling between LELC of the stomach and parotid gland

Due to the limited cases of LELC of the liver, salivary gland, palate, and orbit, the genomic profiling of LELC of the stomach was only compared with that of LELC of the parotid gland in the present study. All LELC of the stomach/parotid gland samples were microsatellite-stable (MSS). Patients with LELC of the stomach had a higher mutation frequency in *PIK3CA* (65.0% *vs.* 0%, $P=0.0025$) and *ARID1A* (55.0% *vs.* 0%, $P=0.0097$), as well as a trend of lower mutation frequency in *TP53* (5.0% *vs.* 38.0%,

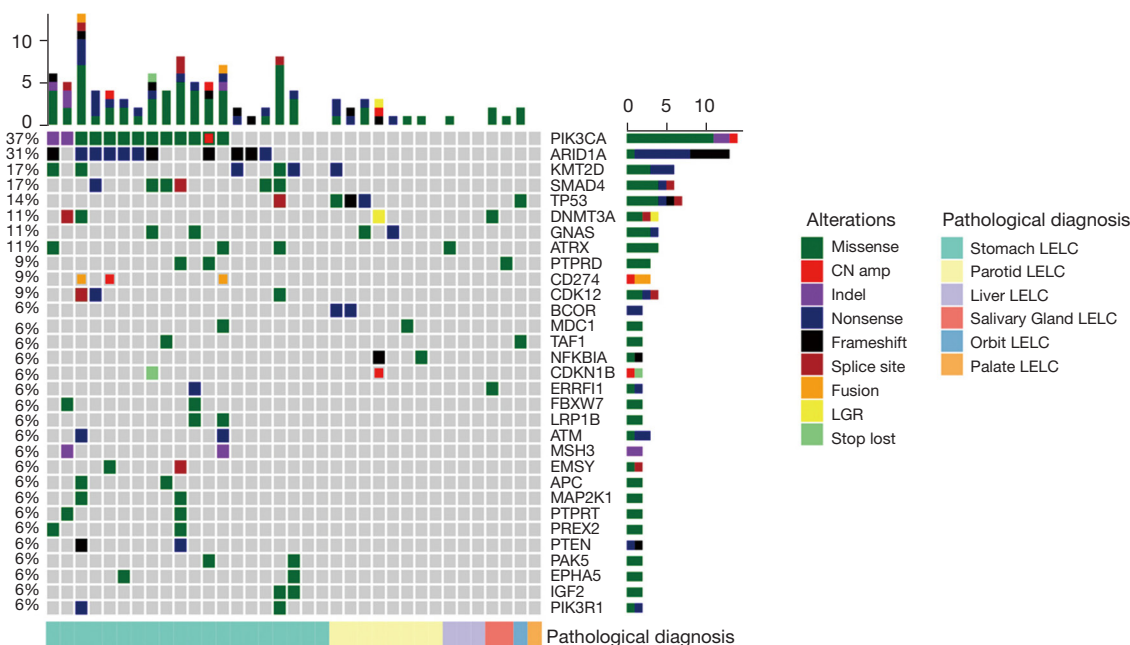


Figure 1 Distribution of somatic alterations in LELC. LELC, lymphoepithelioma-like carcinoma.

$P=0.058$) compared to those with LELC of the parotid gland (*Figure 2A*). Patients with LELC of the stomach also had a notably higher mutation frequency in the mechanistic target of rapamycin kinase (mTOR) (70.0% *vs.* 0%, $P=0.0019$) and vascular endothelial growth factor (VEGF) signaling pathway (70.0% *vs.* 0%, $P=0.0019$) compared to those with LELC of the parotid gland, respectively (*Figure 2B*).

TMB of LELC of the stomach and parotid gland

The TMB level of patients with LELC of the stomach/parotid gland who underwent NGS was estimated. Eighteen and seven patients with LELC of the stomach and parotid gland had available TMB, respectively. The median TMB of patients with LELC of the stomach was 5.0 mutations/Mb (ranging from 1.0 to 21.9 mutations/Mb), which was 1.0 mutations/Mb (ranging from 1.0 to 6.0 mutations/Mb) in those with LELC of the parotid gland. LELC of the stomach showed a significantly increased TMB compared with LELC of the parotid gland ($P=0.0047$) (*Figure 3*).

Immune microenvironment in patients with LELC of the stomach and parotid gland

We also assessed the immune microenvironment in patients with LELC of the stomach/parotid gland by IHC staining

for PD-L1 and CD8 who had adequate tumor samples, including 18 patients with LELC of the stomach and seven patients with LELC of the parotid gland. We restricted our analysis to CD8 positive (CD8⁺) tumor-infiltrating lymphocytes due to the fact that these cells are generally considered to be the main effector population following treatment with ICIs. Representative PD-L1 and CD8 staining is shown in *Figure 4A,4B*. PD-L1 expression level was assessed using the Dako 22C3 immunostaining assay. CPS was used to measure the PD-L1 expression level. Analysis revealed that all tumors had PD-L1 expression (CPS \geq 1). The median CPS of patients with LELC of the stomach was 47.5 (ranging from 1 to 100), which was 90 in patients with LELC of the parotid gland (ranging from 90 to 100). Compared with LELC of the parotid gland, LELC of the stomach showed a significantly decreased CPS (90.0 *vs.* 47.5, $P=0.0058$, *Figure 5A*). Moreover, CD8 immunostaining assay was performed in 19 and 8 patients with LELC of stomach and parotid gland, respectively. The density of CD8⁺ tumor infiltrating lymphocytes (TILs) in LELC of the stomach and parotid gland were comparable (717.9 *vs.* 707.1/mm², $P=0.116$, *Figure 5B*).

Next, the correlation between PD-L1 expression and TMB was investigated in patients with LELC of the stomach/parotid gland. Our analysis showed no correlation between PD-L1 expression and TMB level with a Pearson

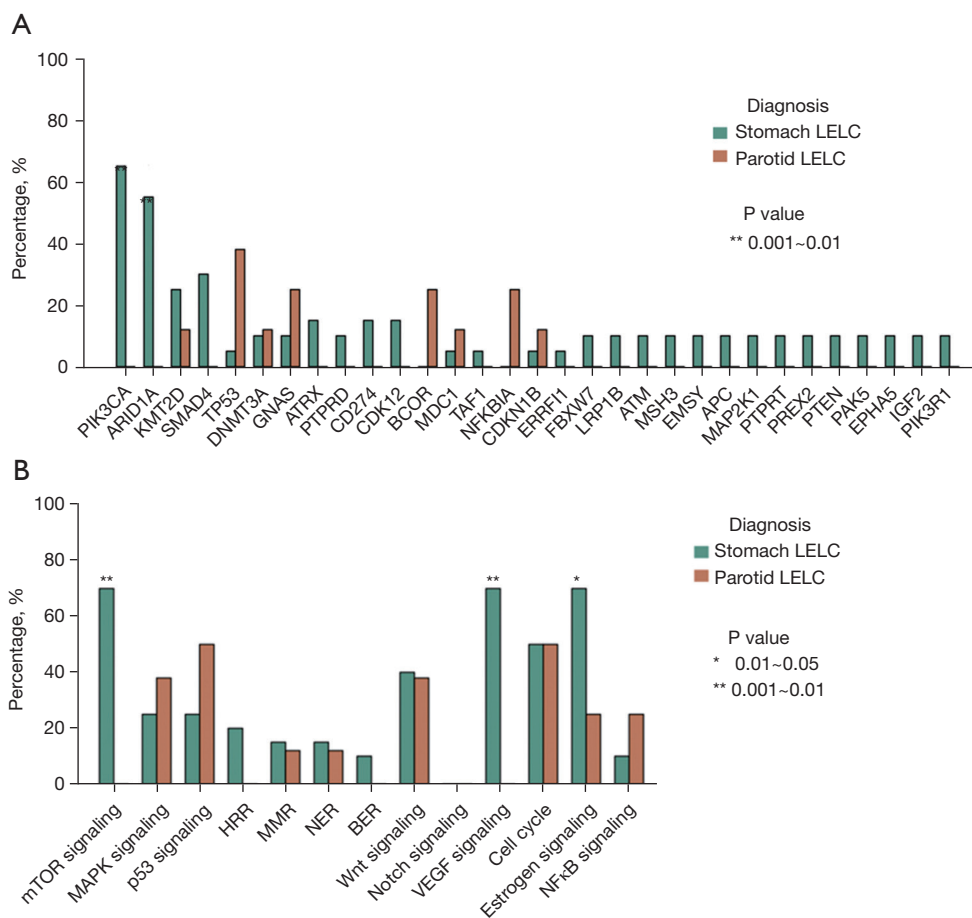


Figure 2 Differences in somatic alterations between LELC of the stomach and parotid gland. (A) Differences in recurrent alterations between LELC of the stomach and parotid gland; (B) differences in somatic alterations in the key signaling pathways implicated in tumorigenesis between LELC of the stomach and parotid gland. LELC, lymphoepithelioma-like carcinoma.

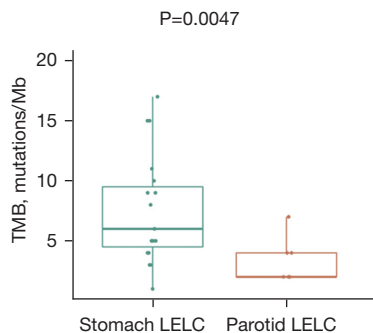


Figure 3 Differences in the TMB level between LELC of the stomach and parotid gland. TMB, tumor mutational burden; LELC, lymphoepithelioma-like carcinoma.

correlation coefficient of -0.201 ($P=0.334$, Figure S1).

Correlations between somatic alterations and clinical outcomes in patients with LELC of the stomach

Due to the limited cases ($n=5$) of LELC of the parotid gland who had available survival data, the associations between genomic alterations and survival outcomes, including DFS and OS, were only evaluated in patients with LELC of the stomach. We found that the presence of recurrent *PIK3CA*, *SMAD4*, or *KMT2D* alterations was not associated with DFS or OS (Figure S2). Patients with *SMAD4* alterations had a trend of shorter DFS than those without *SMAD4*

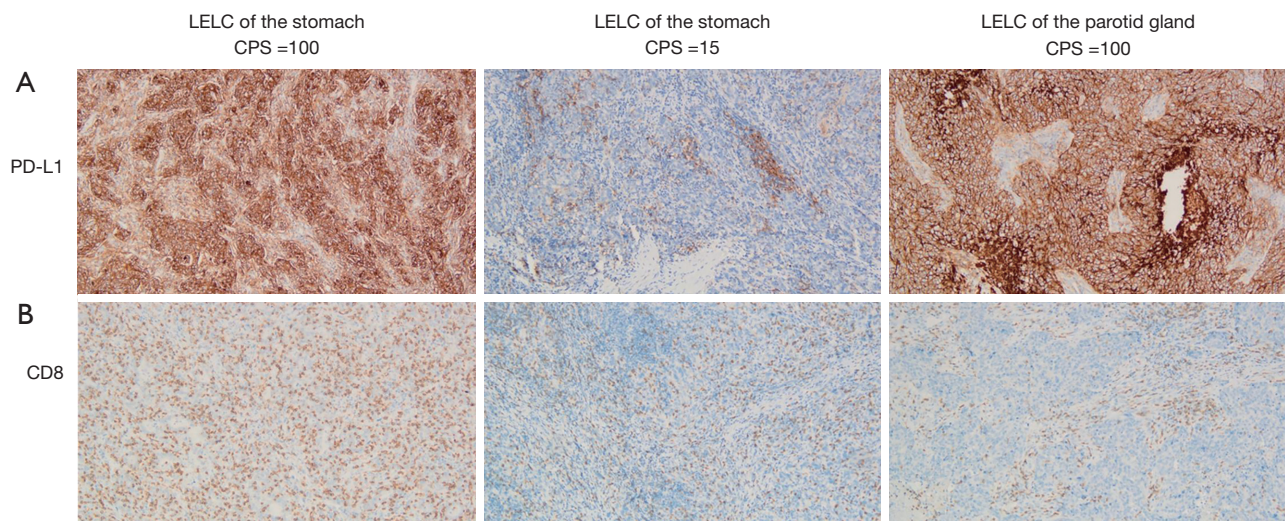


Figure 4 Representative PD-L1 and CD8 staining. (A) PD-L1 staining in two patients with LELC of the stomach and one patient with LELC of the parotid gland (original magnification, 100×); (B) CD8 staining in two patients with LELC of the stomach and one patient with LELC of the parotid gland (original magnification, 100×). PD-L1, programmed cell death ligand-1; CD8, cluster of differentiation 8; CPS, combined positive score; LELC, lymphoepithelioma-like carcinoma.

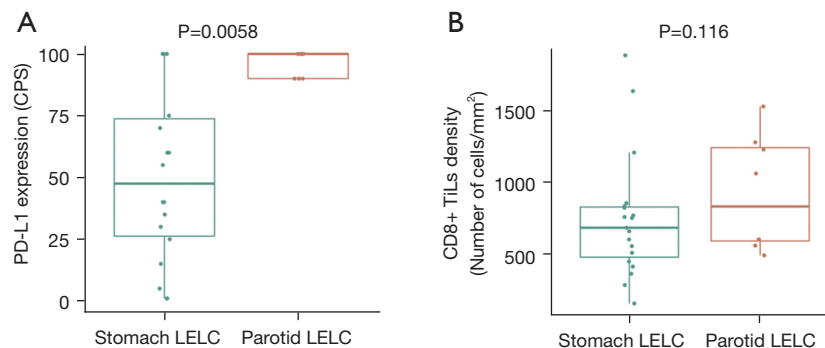


Figure 5 Differences in the immune microenvironment between LELC of the stomach and parotid gland. (A) Differences in PD-L1 expression level between LELC of the stomach and parotid gland; (B) differences in the density of CD8⁺ TILs between LELC of the stomach and parotid gland. PD-L1, programmed cell death ligand-1; CD8, cluster of differentiation 8; TILs, tumor infiltrating lymphocytes; LELC, lymphoepithelioma-like carcinoma.

alterations. A comparable OS was also observed between patients with and without *SMAD4* alterations.

The associations between survival outcomes and 16 classic signaling pathways implicated in tumorigenesis were subsequently investigated (Table S1). Only those signaling pathways with somatic alterations detected in at least three patients were included for further analyses (Table S1). Six and seven signaling pathways were eventually included for DFS and OS analysis, respectively. We found that no signaling pathways were associated with DFS. However,

patients with alterations in the p53 signaling pathway [28.5 months *vs.* not reached (NR), $P=0.030$, Figure 6A] and the DNA-damage response pathway (31.5 months *vs.* NR, $P=0.026$, Figure 6B) had a significantly shorter OS than those without alterations, respectively. Patients with alterations in the homologous recombination pathway showed a marginally shorter OS than those without alterations in this pathway (31.5 months *vs.* NR, $P=0.092$, Figure 6C).

Next, we explored the associations between the presence

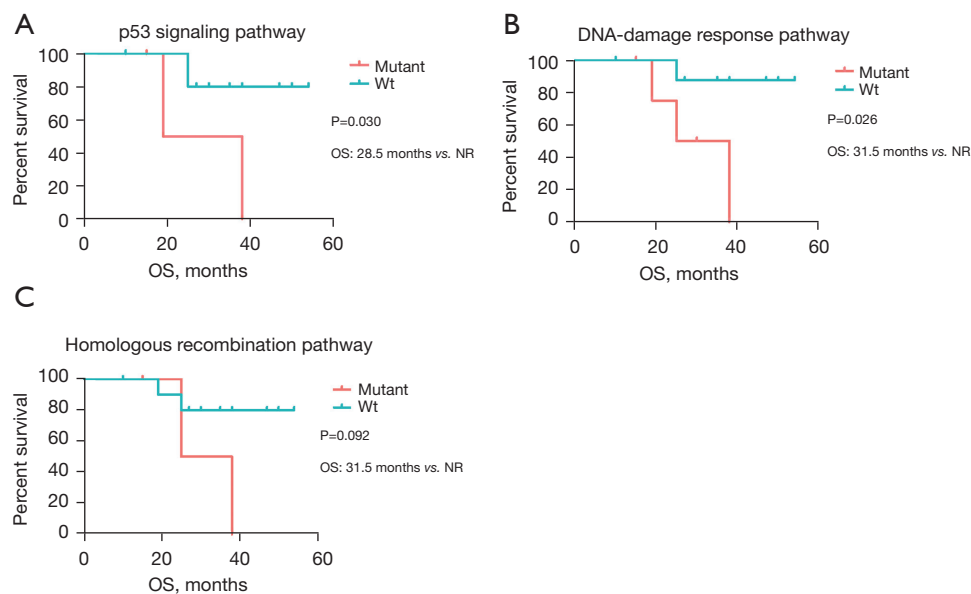


Figure 6 Overall survival by the status of alterations in certain signaling pathways. (A) Overall survival by the status of alterations in the p53 signaling pathway; (B) overall survival by the status of alterations in the homologous recombination pathway; (C) overall survival by the status of alterations in the DNA-damage response pathway.

of alterations in the p53 signaling pathway/homologous recombination pathway and the stage distribution in patients with LELC of the stomach. Our work revealed that patients with alterations in the p53 signaling pathway exhibited a trend of significant association with node metastasis ($P=0.071$, Figure 7A), and alterations in the homologous recombination pathway were marginally associated with the presence of distant metastasis ($P=0.097$, Figure 7B).

Discussion

To the best of our knowledge, this is the first study to comprehensively analyze the molecular features of LELC of the stomach in the Chinese population, and is the first to demonstrate the molecular features of LELC of the parotid gland. Our work might shed light on the molecular mechanism of tumorigenesis of these two rare malignancies.

LELC of the stomach is a rare histological type of GC, which is a heterogeneous disease with diverse molecular and histological subtypes. It has been documented that LELC of the stomach is male predominant (1). Similar results were observed in this work; the majority of patients (85%, 17/20) were males. We also found that LELC of the parotid gland was male predominant (87.5%, 7/8). Although a number of

genomic analyses have been published on GC (27-32), very little consistent genomic alterations were observed across different individuals due to the high degree of heterogeneity among the GC of different subtypes. The Cancer Genome Atlas (TCGA) Research Network proposed a molecular classification that divides GC into four subtypes, one of which is EBV+ GC, displaying recurrent *PIK3CA* mutations, rare *TP53* mutations, DNA hypermethylation, and amplification of *JAK2*, *CD274*, and *PDCD1LG2* (32).

Consistent with previous studies (32,33), the presence of recurrent alterations in *PIK3CA/CD274* and rare *TP53* mutations in EBV+ GC was also observed in LELC of the stomach in this work (65%/15% and 5%). However, recurrent amplification at 9p24.1 (15%) containing *JAK2*, *CD274*, and *PDCD1LG2* identified in EBV+ GC (32) was less commonly seen in EBV+ LELC of the stomach in this work (5%). In addition, tumor suppressors *ARID1A*, *SMAD4*, and *KMT2D* were also identified as recurrently mutated genes in LELC of the stomach.

The chromatin remodeling gene, *ARID1A*, has been reported to be a MSI- and EBV-associated putative driver gene (29,31), which is detected in 73% of EBV+ and 83% of MSI GCs (29). Genes in the transforming growth factor (TGF)- β pathway (*SMAD2*, *SMAD4*) are predicted to be key drivers in both MSI and MSS tumors (28). *KMT2D*,

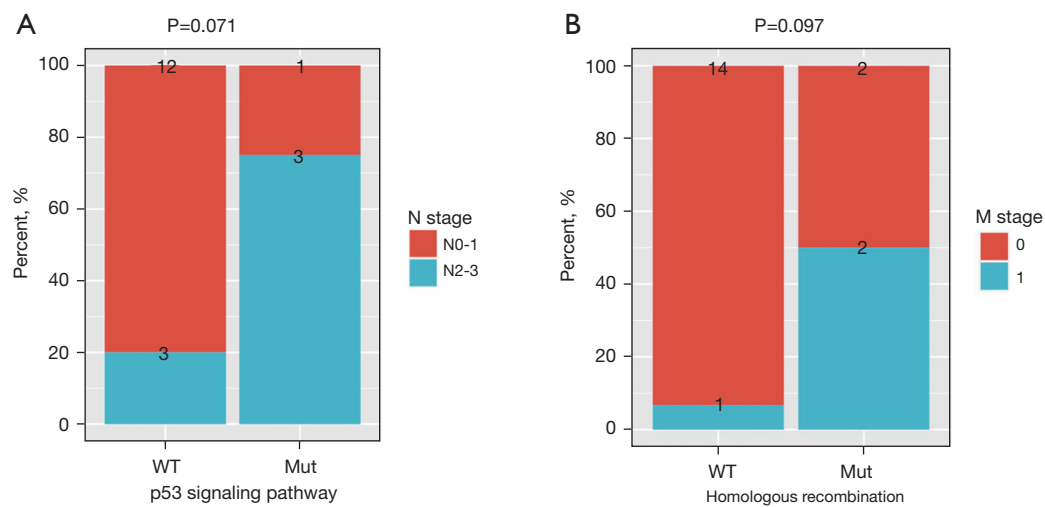


Figure 7 The associations between the presence of metastasis and alterations in signaling pathways in LELC of the stomach. (A) The associations between the presence of node metastasis and the alterations in the p53 signaling pathway in LELC of the stomach; (B) the associations between the presence of distant metastasis and the alterations in the homologous recombination pathway in LELC of the stomach. LELC, lymphoepithelioma-like carcinoma.

which encodes lysine methyltransferase 2D and has been reported as one of driver genes in GC, was identified as a frequently mutated gene in LELC of the stomach in the present work. We found that the frequency of *ARID1A* (55.0% vs. 53.8%, $p=1.000$), *KMT2D* (25.0% vs. 19.2%, $P=0.726$), and *SMAD4* alterations (30.0% vs. 11.5%, $P=0.149$) between EBV+ LELC of the stomach and EBV+ GC from TCGA (32) was comparable, respectively. However, we did fail to detect *ERBB2* amplification in any of our cases, although this feature is present in approximately 11.5% of EBV+ GC cases from TCGA and up to 34% of conventional GCs (34-36).

We also compared the mutation profiling of LELC of the stomach tumors from our cohort to the mutation landscape of EBV+ pulmonary LELC (PLELC) and EBV+ nasopharyngeal carcinoma (NPC) obtained from TCGA, which has been previously documented in the literature (3). *PIK3CA* and *SMAD4* alterations were not observed in EBV+ PLELC/NPC. *ARID1A* (55.0% vs. 0% vs. 3.6%) and *KMT2D*-mutant frequency (25.0% vs. 0% vs. 5.4%) of LELC of the stomach was significantly higher than that of EBV+ PLELC and EBV+ NPC. These findings suggest that the genomic profiling of EBV+ LELC of the stomach differs to EBV+ PLELC/NPC.

LELC of the parotid gland is much rarer than LELC of the stomach. To the best of our knowledge, it has been documented only in a few case reports (37,38). This study

is the first to report the comprehensive genomic profiling of LELC of the parotid gland, and we found that *TP53*, *GNAS*, and *BCOR* were the most frequently mutated genes. We also compared the difference of recurrently mutated genes between LELC of the stomach and parotid gland; *PIK3CA* and *ARID1A* were identified as recurrently mutated genes in LELC of the stomach, but not in LELC of the parotid gland. Also, *TP53* alterations were rare in LELC of the stomach, but were commonly seen in LELC of the parotid gland. These data revealed that the genomic profiling of LELC of the stomach was distinct from LELC of the parotid gland.

Due to its rarity, only one literature has reported on the molecular features of LELC of the stomach in a Western population (9), which demonstrates that LELC of the stomach is mutually exclusive EBV+ and mismatch repair deficiency (dMMR). However, it was closely associated with EBV in this work; all LELC of the stomach cases were EBV+ with MSS. A high *ARID1A*-mutant frequency and low *TP53*-mutant frequency were observed both in Chinese and Western LELC patients. In contrast, we detected *PIK3CA* alterations in 65% of LELC of the stomach cases in this study compared with only 25% of such cases in the Western population (9), and alterations in *PRKDC*, which encodes the catalytic subunit of DNA-dependent protein kinase involved in DNA double-strand break repair and recombination, were identified in 75% of cases in the

Western population (9), but were not observed in this study. These data suggest that the genomic profiling of LELC of the stomach differs between Chinese and Western populations.

Several previous studies have documented that the prognosis of LELC of the stomach is better than that of EBV+ and conventional GC (4,6,7). Surgery is an important treatment option for early-stage LELC of the stomach. However, there is no established agent to treat patients with metastatic or recurrent LELC of the stomach. ICIs targeting the PD-/PD-L1 axis elicit promising efficacy in a variety of malignancies (39-41). Although the PD-L1 inhibitor pembrolizumab has been approved for the treatment of patients with recurrent locally advanced or metastatic GC whose tumors expressing PD-L1 with a CPS ≥ 1 [as determined by a Food and Drug Administration (FDA)-approved companion diagnostic test], the efficacy of pembrolizumab or other PD-1/PD-L1 inhibitor in LELC of the stomach remains elusive. EBV+ malignancies, including EBV+ GC and EBV+ NPC, have been demonstrated to be responsive to checkpoint immunotherapy (42,43). Several biomarkers have been reported to predict the efficacy of ICI, including the status of MSI/MMR, TMB, and PD-L1 expression (44,45). MSI status, TMB level, and PD-L1 expression level is generally believed to be independent of each other in solid tumors (46). In this context, we detected the MSI status, TMB level, and PD-L1 expression level in LELC of the stomach/parotid gland to preliminarily investigate whether PD-1/PD-L1 inhibitors could be treatment options for patients with LELC of the stomach or parotid gland. Vanderwalde and colleagues (47) have revealed that thirty percent of MSI-H cases were TMB-low, and only 26% of MSI-H are PD-L1 positive across cancer types. The similar results have been observed in the present work that all LELC of the stomach (n=18) or parotid gland (n=7) cases had an MSS tumor with PD-L1 expression, but only 6 LELC of the stomach cases had high TMB level (>7 mutations/Mb) and no LELC of the parotid gland cases had high TMB level. In the present work, we found no correlation between PD-L1 expression and TMB level in LELC patients (Pearson correlation coefficient = -0.201, $P=0.334$, Figure S1), which was consistent with previous studies indicating that PD-L1 expression level is not associated with TMB level in cancer patients (48-50). These findings suggest that MSI status, TMB level, and PD-L1 expression level were independent of each other in LELC of the stomach or parotid gland. Compared with LELC of

the stomach, LELC of the parotid gland had a significantly higher expression level of PD-L1. Despite the low TMB and the presence of MSS in LELC of the stomach/parotid gland, the PD-L1 positivity of all tumors raises the potential of utilizing PD-1/PD-L1 inhibitor as a treatment regimen that could benefit these patients.

Generally believed, high PD-L1 expression is related to increased response rate and clinical benefit from PD-1/PD-L1 inhibitors (13,51). In the KYNOTE-062, pembrolizumab as monotherapy is not superior to chemotherapy in first-line, advanced gastric cancer patients with CPS of 1 or greater, but it prolongs OS *vs.* chemotherapy in patients with CPS of 10 or greater (52). Besides MSI status/TMB level/PD-L1 expression level, the presence of genomic alterations has been reported to be implicated in the response to PD-1/PD-L1 inhibitors. Alterations in *POLE*, *EPHA*, and *NOTCH* genes have been documented to be associated with favorable survival outcome in cancer patients who received PD-1/PD-L1 inhibitors. In the present work, 1, 2, and 0 LELC of the stomach patient(s) harbored alterations in *POLE*, *EPHA*, and *NOTCH*, respectively. No patients with LELC of the parotid gland were found to harbor alterations in *POLE*, *EPHA*, or *NOTCH* (53-55). The efficacy of PD-1/PD-L1 inhibitor has also been documented to be associated with the presence of driver mutations. In patients with oncogene-driven non-small cell lung cancer (NSCLC), PD-L1 expression level is significantly increased in the *KRAS* subgroup than in the non-*KRAS* subgroup and *KRAS* subgroup have a significantly higher objective response rate (ORR) than non-*KRAS* subgroup (16.1% *vs.* 5.7%) in those patients who received PD-1 inhibitor as monotherapy. Moreover, *EGFR/ALK/ROS1*-negative patients had a higher ORR than *EGFR/ALK/ROS1*-positive patients in non-*KRAS* subgroup (10.5% *vs.* 0%) (56). Different ICIs display different efficacies in advanced cancer patients. For lung cancer, PD-1 inhibitor nivolumab (11,57) as monotherapy has been approved by both U.S. FDA and China National Medical Products Administration (NMPA) for the second-line rather than first-line treatment of the patients with advanced NSCLC. However, another PD-1 inhibitor pembrolizumab (58) as monotherapy has been approved by both FDA and NMPA for first-line treatment of patients with advanced NSCLC expressing PD-L1 (tumor proportion score $\geq 1\%$), with no *EGFR* or *ALK* genomic tumor aberrations. Clinical trials are needed to investigate the efficacy of each PD-1/PD-L1 inhibitor in LELC patients. In addition, clinical

trials are warranted to determine whether the presence of alterations in *POLE/EPHA/NOTCH* or frequently mutated genes (such as *PIK3CA*, *ARID1A*) impacts on the efficacies of PD-1/PD-L1 inhibitors in LELC patients.

The p53 signaling pathway has been well-documented to contribute in cell cycle regulation, metabolism, aging and development, reproduction, suppression of tumor expression, and so on (59-61). Homologous recombination is essential for the accurate repair of DNA double-strand breaks (62,63). DNA-damage response is a complex signal transduction pathway that has the ability to sense DNA damage to influence cellular responses to DNA damage (64). Targeted therapy based on this principle, such as olaparib and the poly (ADP-ribose) polymerase (PARP) inhibitor, has been approved for treating patients with tumors lacking specific DNA-damage response functions, such as ovarian, breast, or prostate cancers with homologous recombination deficiencies (65). We found that alterations in the p53 signaling pathway and homologous recombination pathway were related to node and distant metastasis, respectively. In addition, alterations in these two signaling pathways and DNA-damage response predicted unfavorable prognosis in LELC of the stomach. These findings suggest that the p53 signaling pathway, homologous recombination pathway, and DNA-damage response pathway might play vital roles in tumorigenesis and serve as targets in the treatment of LELC of the stomach.

There are several limitations in this study that should be noted. Firstly, the associations between somatic alterations in signaling pathways and survival outcomes in patients with LELC of stomach were investigated. However, the censoring issue that the majority of patients were still alive at the end of follow-up might result in a bias in our conclusions. Secondly, there was a small sample size in this work, therefore, the molecular features of LELC of the stomach and parotid gland are needed to be investigated in a larger-scale, multicenter study.

Taken together, our findings reveal that the genomic landscape of LELC of the stomach is distinct to that of LELC of the parotid gland, and these two malignancies exhibit high PD-L1 expression. This study is the first to report the molecular features of LELC of the parotid gland, and is also the first to demonstrate the molecular features of LELC of the stomach in the Chinese patients, which were distinct from those in the Western population. The observation of high PD-L1 expression raises the potential of utilizing checkpoint immunotherapy for LELC of the stomach/parotid gland. A large, multicenter clinical trial

study is needed to evaluate the treatment response of LELC of the stomach/parotid gland to PD-1/PD-L1 inhibitors.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Ethics Committee of Guangdong Provincial People's Hospital (No. GDREC2018432A). All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). Informed consent was obtained from each patient for the use of their tumor tissue samples.

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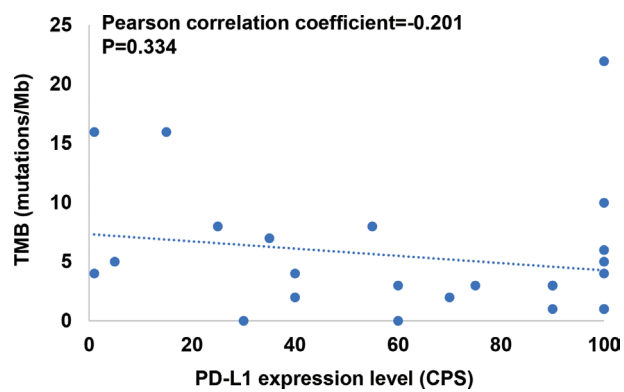


Figure S1 Correlation between PD-L1 expression and TMB level in patients with LELC of the stomach/parotid gland. PD-L1, programmed cell death ligand-1; CPS, combined positive score; TMB: tumor mutational burden. LELC, lymphoepithelioma-like carcinoma.

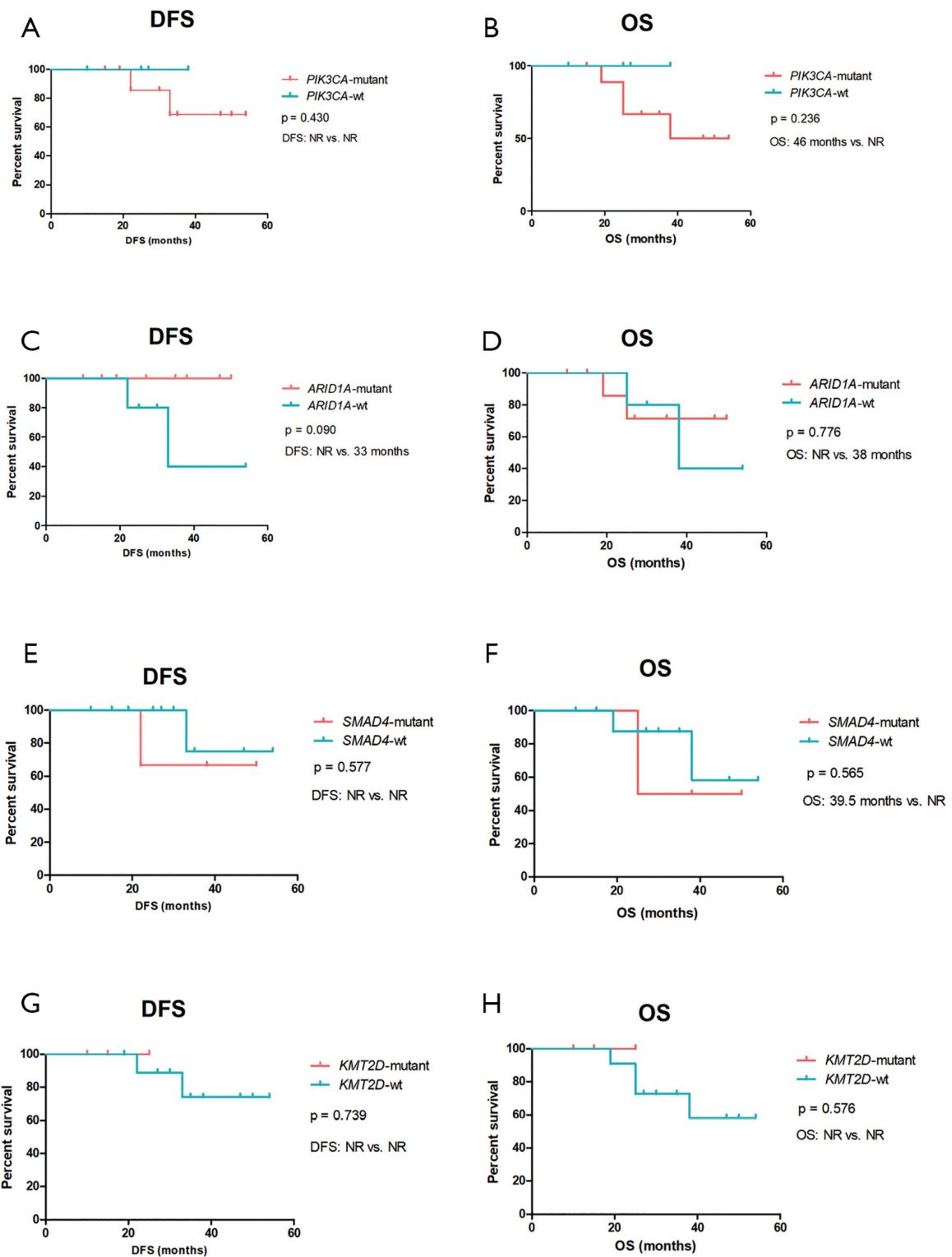


Figure S2 Disease-free survival or overall survival by the status of somatic alterations. DFS (A) and OS (B) by the status of *PIK3CA* alteration; DFS (C) and OS (D) by the status of *ARID1A* alteration; DFS (E) and OS (F) by the status of *SMAD4* alteration; DFS (G) and OS (H) by the status of *KMT2D* alteration. DFS, disease-free survival; OS, overall survival.

Table S1 Classical signaling pathways implicated in tumorigenesis

Pathway	Genes
Base excision repair	<i>MUTYH, NTHL1, PARP1, PARP2, PARP3, PARP4, POLD1, POLE</i>
Checkpoint factors	<i>ATM, ATR, TP53, MDC1</i>
Fanconi anemia	<i>BLM, FANCA, FANCC, FANCD2, FANCE, FANCF, FANCG, FANCM, RAD51</i>
Homologous recombination	<i>ATM, BRIP1, BRCA1, BRCA2, BARD1, CDK12, CHEK1, CHEK2, FANCL, FANCI, PPP2R2A, PALB2, RAD51B, RAD51D, RAD54L, RAD51C</i>
Mismatch repair	<i>MLH1, MLH3, MSH2, MSH3, MSH6, PMS1, PMS2, RPA1</i>
Nucleotide excision repair	<i>CUL3, CUL4A, ERCC1, ERCC2, ERCC3, ERCC4, RPA1, ELOC, ERCC5</i>
Non_homologous end joining	<i>MRE11A, PRKDC, RAD50</i>
Damage response pathway	<i>MUTYH, NTHL1, PARP1, PARP2, PARP3, PARP4, POLD1, POLE, ATM, ATR, TP53, MDC1, BLM, FANCA, FANCC, FANCD2, FANCE, FANCF, FANCG, FANCM, RAD51, BRIP1, BRCA1, BRCA2, BARD1, CDK12, CHEK1, CHEK2, FANCL, FANCI, PPP2R2A, PALB2, RAD51B, RAD51D, RAD54L, RAD51C, MLH1, MLH3, MSH2, MSH3, MSH6, PMS1, PMS2, RPA1, CUL3, CUL4A, ERCC1, ERCC2, ERCC3, ERCC4, ELOC, ERCC5, MRE11A, PRKDC, RAD50</i>
PI3K_Akt signaling pathway	<i>PTEN, AKT1, PIK3CA, AKT3, AKT2, PIK3CG, PIK3CB, PIK3CD, PIK3R3, PIK3R1, PIK3R2</i>
mTOR signaling pathway	<i>RICTOR, RPTOR, TSC1, TSC2, RHEB, MTOR</i>
ErbB signaling pathway	<i>ERBB4, ERBB3, ERBB2, NRG1, EGFR</i>
Ras signaling pathway	<i>NRAS, KRAS, HRAS, KDR, KIT</i>
MAPK signaling pathway	<i>HRAS, KRAS, NRAS, MYC, ARAF, BRAF, RAF1, NF1, MAPK1, MAPK3, MAP3K14, MAP3K13, MAP3K1, MAP2K1, MAP2K2, MAP2K4PDGFRA</i>
Wnt signaling pathway	<i>TCF7L2, CTNNB1, GSK3B, AXIN2, AXIN1, APC, SMAD4, RNF43</i>
Notch signaling pathway	<i>NOTCH3, NOTCH2, NOTCH1, HDAC2, EP300, HDAC1, NOTCH4, CREBBP</i>
p53 signaling pathway	<i>TP53, RB1, CHEK1, CDK6, ATR, CHEK2, CDK4, ATM, CCNE1, CCND1, CDKN1A, CDKN2A, CCND3, CCND2, MDM2, MDM4, MDC1</i>
Receptor tyrosine kinase pathway	<i>EGFR, ERBB2, ERBB3, ERBB4, FLT3, FGFR1, FGFR2, FGFR3, ALK, ROS1, RET, MET, FGF3, FGF4, FGF19, IGF1R, NTRK1, NTRK2, NTRK3</i>