TRIPOD Checklist: Prediction Model Development and Validation

Section	Item		Checklist description	Reported on Page Number/Line Number	Reported on Section/Paragraph
Title and abstract					
Title	1	D;V	Identify the study as developing and/or validating a multivariable prediction model, the target population, and the outcome to be predicted.	Page1, Line1-3	Title page
Abstract	2	D;V	Provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions.	Page3-4	Title page/Abstract
Introduction					
Background and objectives	3a	D;V	Explain the medical context (including whether diagnostic or prognostic) and rationale for developing or validating the multivariable prediction model, including references to existing models.	Page5-6	Introduction/Paragraph1-3
	3b	D;V	Specify the objectives, including whether the study describes the development or validation of the model or both.	Page6	Introduction/Paragraph3
Methods					
Source of data	4a	D;V	Describe the study design or source of data (e.g., randomized trial, cohort, or registry data), separately for the development and validation data sets, ifapplicable.	Page7, Line 5-18	Methods/Paragraph2
	4b	D;V	Specify the key study dates, including start of accrual; end of accrual; and, if applicable, end of follow-up.	Page7, Line 7	Methods/Paragraph2
Participants	5a	D;V	Specify key elements of the study setting (e.g., primary care, secondary care, general population) including number and location of centres.	Page7, Line 7	Methods/Paragraph2
	5b	D;V	Describe eligibility criteria for participants.	Page7, Line 8-14	Methods/Paragraph2
	5c	D;V	Give details of treatments received, if relevant.	N/A no treatments	N/A no treatments
Outcome	6a	D;V	Clearly define the outcome that is predicted by the prediction model, including how and when assessed.	Page7, Line 12-14	Methods/Paragraph2
	6b	D;V	Report any actions to blind assessment of the outcome to be predicted.	Page8, Line 11-13	Methods/Paragraph4
Predictors	7a	D;V	Clearly define all predictors used in developing or validating the multivariable prediction model, including how and when they were measured.	Page10, Line 8-11	Methods/Paragraph8
	7b	D;V	Report any actions to blind assessment of predictors for the outcome and other predictors.	Page8, Line 11-13	Methods/Paragraph2
Sample size	8	D;V	Explain how the study size was arrived at.	Page7, Line7-15	Methods/Paragraph2

Missing data	9	D;V	Describe how missing data were handled (e.g., complete-case analysis, single imputation, multiple imputation) with details of any imputation method.	Page7, Line 13	Methods/Paragraph2
Statistical analysis methods	10a	D	Describe how predictors were handled in the analyses.	Page10, Line 8-12	Methods/Paragraph12
	10b	D	Specify type of model, all model-building procedures (including any predictor selection), and method for internal validation.	Page10, Line 12-22	Methods/Paragraph9
	10c	V	For validation, describe how the predictions were calculated.	Page11, Line 5-15	Methods/Paragraph10
	10d	D;V	Specify all measures used to assess model performance and, if relevant, to compare multiple models.	Page10, Line 10-15	Methods/Paragraph10
	10e	V	Describe any model updating (e.g., recalibration) arising from the validation, if done.	Page11, Line 7-10	Methods/Paragraph11
Risk groups	11	D;V	Provide details on how risk groups were created, if done.	Page10, Line 9-11	Methods/Paragraph8
Development vs. validation	12	V	For validation, identify any differences from the development data in setting, eligibility criteria, outcome, and predictors.	Page11, Line 20-22	Methods/Paragraph11
Results					
Participants	13a	D;V	Describe the flow of participants through the study, including the number of participants with and without the outcome and, if applicable, a summary of the follow-up time. A diagram may be helpful.	Page12, Line 17-20	Results/Paragraph1
	13b	D;V	Describe the characteristics of the participants (basic demographics, clinical features, available predictors), including the number of participants with missing data for predictors and outcome.	Page12, Line 21-22	Results/Paragraph1
	13c	V	For validation, show a comparison with the development data of the distribution of important variables (demographics, predictors and outcome).	Page13, Line 6-13	Results/Paragraph2
Model development	14a	D	Specify the number of participants and outcome events in each analysis.	Page12, Line 17-19	Results/Paragraph1
	14b	D	If done, report the unadjusted association between each candidate predictor and outcome.	Page13, Line 14-16	Results/Paragraph3
Model specification	15a	D	Present the full prediction model to allow predictions for individuals (i.e., all regression coefficients, and model intercept or baseline survival at a given time point).	Page14, Line 4-6	Results/Paragraph4
	15b	D	Explain how to the use the prediction model.	Page14, Line 13-14	Results/Paragraph6
Model performance	16	D;V	Report performance measures (with CIs) for the prediction model.	Page14, Line 6-11	Results/Paragraph4,Table4
Model-updating	17	V	If done, report the results from any model updating (i.e., model specification, model performance).	Page14-15	Results/Paragraph5
Discussion					
Limitations	18	D;V	Discuss any limitations of the study (such as nonrepresentative sample, few events per predictor, missing data).	Page18-19	Discussion/Paragraph7

Interpretation	19a	V	For validation, discuss the results with reference to performance in the development data, and any other validation data.	Page16, Line6-11	Discussion/Paragraph4
	19b	D;V	Give an overall interpretation of the results, considering objectives, limitations, and results from similar studies, and other relevant evidence.	Page15, Line8-17	Discussion/Paragraph1
Implications	20	D;V	Discuss the potential clinical use of the model and implications for future research.	Page19, Line14-15	Conclusions/Paragraph1
Other information					
Supplementary information	21	D;V	Provide information about the availability of supplementary resources, such as study protocol, Web calculator, and data sets.	Supplementary Appendix	Supplementary Appendix
Funding	22	D;V	Give the source of funding and the role of the funders for the present study.	Page19-20	Acknoeledgement/Paragra

* Items relevant only to the development of a prediction model are denoted by D, items relating solely to a validation of a prediction model are denoted by V, and items relating to both are denoted D;V. We recommend using the TRIPOD Checklist in conjunction with the TRIPOD Explanation and Elaboration document.

Article information: https://dx.doi.org/10.21037/atm-21-4980
*As the checklist was provided upon initial submission, the page number/line number reported may be changed due to copyediting and may not be referable in the published version. In this case, the section/paragraph may be used as an alternative reference.