



# Advances in stem cell therapy in Alzheimer's disease: a comprehensive clinical trial review

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**Abstract:** Alzheimer's disease (AD) is the most common type of dementia responsible for more than 121,499 deaths from AD in 2019 making AD the sixth-leading cause in the United States. AD is a progressive neurodegenerative disorder characterized by decline of memory, behavioral impairments that affects a person's ability to function independently ultimately leading to death. The current pressing need for a treatment for (AD) and advances in the field of cell therapy, has rendered stem cell therapeutics a promising field of research. Despite advancements in stem cell technology, confirmed by encouraging pre-clinical utilization of stem cells in AD animal models, the number of clinical trials evaluating the efficacy of stem cell therapy is limited, with the results of many ongoing clinical trials on cell therapy for AD still pending. Mesenchymal stem cells (MSCs) have been the main focus in these studies, reporting encouraging results concerning safety profile, however their efficacy remains unproven. In the current article we review the latest advances regarding different sources of stem cell therapy and present a comprehensive list of every available clinical trial in national and international registries. Finally, we discuss drawbacks arising from AD pathology and technical limitations that hinder the transition of stem cell technology from bench to bedside. Our findings emphasize the need to increase clinical trials towards uncovering the mode of action and the underlying therapeutic mechanisms of transplanted cells as well as the molecular mechanisms controlling regeneration and neuronal microenvironment.

**Keywords:** Alzheimer's disease (AD); stem cell therapy; embryonic stem cells (ESCs); mesenchymal stem cells (MSCs); induced pluripotent stem cells (iPSCs)

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## Introduction

Dementia affects more than 25 million people worldwide, 70% of whom suffer from Alzheimer's disease (AD); a number which is increasing every year (1). In 2019, more than 121,499 deaths from AD were reported, making AD the sixth-leading cause in the United States (2) and

dementia the seventh-leading cause of death globally (3). AD is a neurodegenerative disorder characterized clinically by progressive impairment of short-term memory and cognitive disabilities such as personality changes in early stages, which ultimately lead to complete dependence on others in later stages of the disease (4,5). The pathophysiology of AD is complex and still not completely

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understood. However, molecular, cellular and genetic evidence supports that at early stages sequential  $\beta$ - and  $\gamma$ -secretase cleavage of the amyloid protein produces A $\beta$ -peptides aggregates, especially A $\beta$ 42 deposits in the brain, in addition to tau hyperphosphorylation and production of reactive oxygen species. These events lead to synapse loss and neuronal death (6-10). Current knowledge suggests that A $\beta$  generation and accumulation induce changes in synaptic function that may affect fundamental mechanisms of synaptic plasticity (11). Exposure to soluble and fibrillary forms of A $\beta$  is associated with synapse loss (10,12), while exogenous application of oligomeric human A $\beta$  to organotypic hippocampal cultures induces loss of long-term potentiation (LTP), a form of synaptic plasticity that is believed to be the cellular correlate of learning and memory (13,14). Exogenous application of oligomeric human A $\beta$  also increases long-term depression (LTD), an activity-dependent reduction in the efficacy of neuronal synapses lasting hours or longer following a long-patterned stimulus (15). These events lead to decreased dendritic spine density and impaired spatial memory (16-18). Further support to this is that synapse loss seems to be higher near the A $\beta$ -aggregates and diminishes with distance (10), suggesting that elevation of A $\beta$  levels in humans could directly perturb synaptic function independently of the aggregation of protein in amyloid plaques. Moreover, A $\beta$  oligomers may exert some of their adverse effects on synaptic plasticity and memory by binding to receptors (19-21), thereby perturbing or engaging downstream signaling. A $\beta$  oligomers are also known to engage other signaling pathways, such as the actin-severing protein cofilin and protein phosphatases PP2A and PP2B/calcineurin, thereby mediating spine loss and synaptic defects (12,22).

Despite advances in the understanding of the pathophysiology of AD, treatment options for the disease are limited. In recent years, breakthroughs in pre-clinical investigations and clinical trials using stem cells have paved the way for new perspectives on studying and treating AD patients (23-25). Stem cells have the unique capacity to self-renew, proliferate, differentiate and to give rise to differentiated cells throughout the lifetime of the organism (26). Due to these properties, these cells are characterized as the most appropriate choice for cell replacement therapies. Several sources of stem cells with different differential capacities have been described so far (27). Totipotent cells, which originate from blastomeres after one or two zygotic divisions, have the ability to give rise to all tissues, ultimately forming the entire organism,

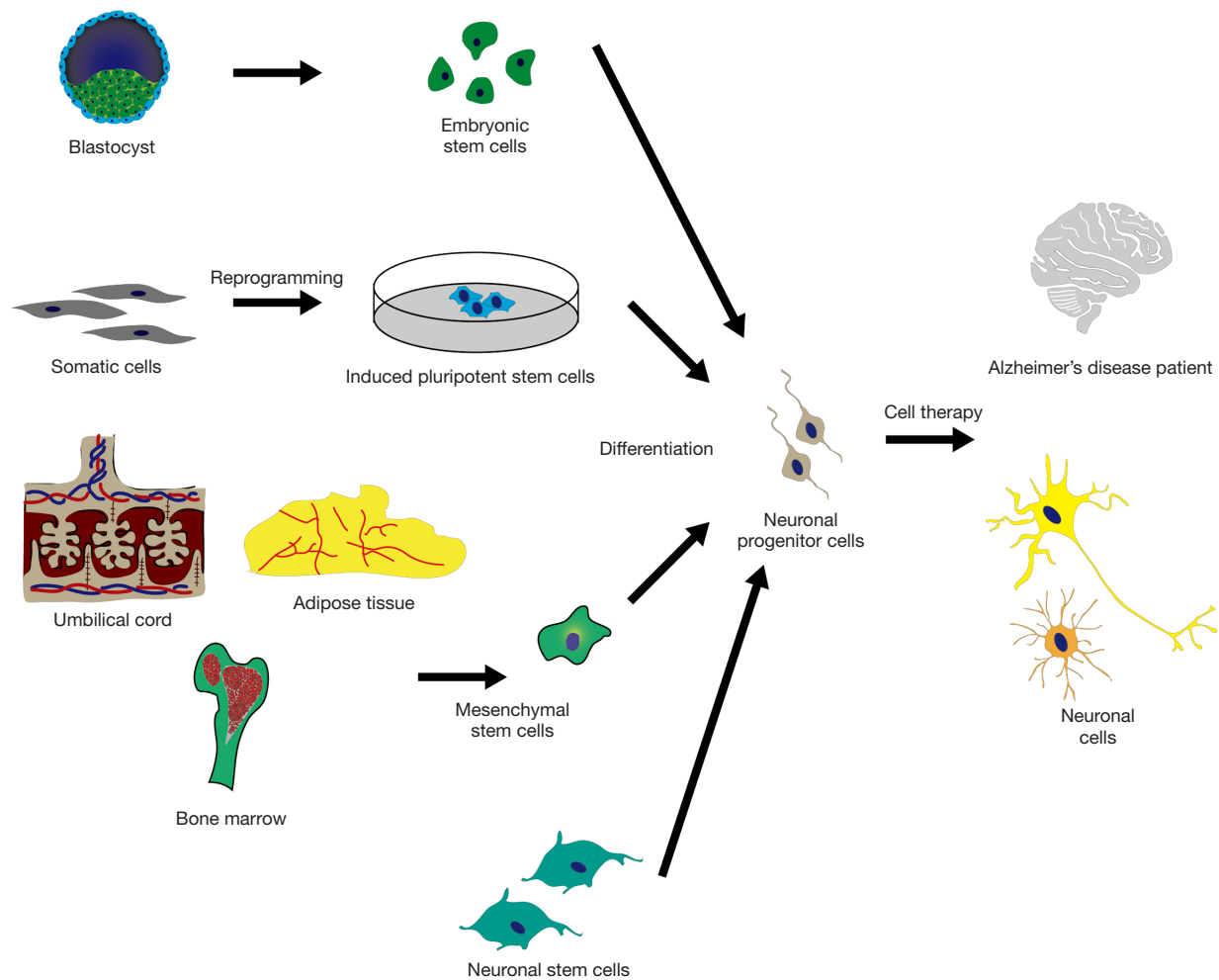
including extra embryonic tissues (28). Pluripotent stem cells can give rise to all three germ layers, the endoderm, ectoderm and mesoderm. Embryonic stem cells (ESCs), originating from the inner cell mass (ICM) (29), and induced pluripotent stem cells (iPSCs), which can be generated *in vitro* through nuclear reprogramming of somatic cells (Figure 1), are notable examples of pluripotent stem cells (30). Adult stem cells (ASCs) are undifferentiated cells, characterized as multipotent due to their capacity to give rise only to cells in specific differentiated tissues as a way to replenish dying cells or damaged tissue (31,32). Among the different ASC populations include mesenchymal stem cells (MSC) originating from adipose tissue, umbilical cord and bone marrow (Figure 1) (33-35), and neural stem cells/neural progenitor cells (NSCs/NPCs) (Figure 1) (36). Fetal tissues share similar characteristics with ESCs, although they are not as potent, and, compared to ASCs, exhibit greater proliferation potential and lack of immunogenicity (37). Giving the unique ability of stem cells to develop into any cell type, generation of pluripotent stem cells has offered the possibility of using these cells as a donor source for transplantation therapies. In the present work, we present the latest advances and limiting drawbacks of different sources of stem cell therapy in AD and offer a comprehensive review of all available registered clinical studies, as documented in clinical trial registries that have been recognized by the International Committee of Medical Journal Editors (ICMJE).

## Stem cell types used for AD treatment

### ESCs

ESCs have an indefinite proliferative life span *in vitro* while also possessing the ability to give rise to any adult cell in the body (38). Obstacles that limit the use of ESCs in clinical trials include their purification and preservation processes, verification of the pluripotency of the isolated cells, embryonic body formation (38), their tumorigenic capacity and the ethical implications arising from the use of human ESCs (hESCs) stemming from pre-implantation embryos (39). However, their role as source for cellular transplantation in AD rodent models has been extensively studied.

Pre-clinical studies have successfully generated NPCs from hESCs, using various growth factors and compounds *in-vitro* (40-44). Regarding their efficacy, several preclinical studies in animal AD models have shown promising results. Degeneration of neurons and synapses of basal forebrain



**Figure 1** Stem cells therapy originated from various sources currently applied for the treatment of Alzheimer's disease.

cholinergic neurons (BFCNs) throughout the nucleus basalis of Meynert (NBM) causes a pathological cholinergic insufficiency. NBM is a large source of cholinergic innervation to the neocortex and is affected in AD (45,46), therefore, repopulation of the degenerated BFCNs could be a significant step in improving the cognitive symptoms in AD patients. BFCNs originating either from mouse or hESCs engrafted successfully to mouse hippocampal slices *ex vivo* (44,47), and, when transplanted in the brain of 5XFAD and APP/PS1 transgenic mice, improved their learning and memory performances (44,47). The majority of the transplanted cells differentiated into NEUN<sup>+</sup> mature neurons and integrated into the cholinergic projection system, and the grafted mice exhibited increased spatial memory when subjected to the Morris Water Maze (MWM) test (47). Moreover, transplantation of hESC-derived medial

ganglionic eminence (MGE)-like progenitor cells has been shown to generate adult BFCNs and  $\gamma$ -aminobutyric acid (GABA) interneurons, resulting in cholinergic innervation in the basal forebrain of AD mouse model and corrected cognitive impairments (48). Mouse ESCs have also effectively been differentiated into functional cholinergic neuron cell progenitors, which, after transplantation, resulted in increased synapse density and prominent improvement in memory deficits, especially spatial learning assessed via the MWM test, when transplanted into the hippocampus of a Sprague-Dawley rat model with ibotenic acid-lesioned NBM (49).

Embryonic NSCs have also been studied as another possible source of therapeutic cells in AD mouse models. NSCs are multipotent neural precursors that can differentiate into neurons, astrocytes and oligodendrocytes,

**Table 1** Clinical trials of neural stem cells in patients with AD

Intervention	Dosage [n]/route	Clinical phase/ identifier	N°/condition	Status	Main findings	Ref.
hNSCs	(I) Low dose [10]/intranasal; (II) medium dose [10]/intranasal; (III) high dose [10]/intranasal; (IV) placebo [10]/intranasal	NA/ ChiCTR2000028744	40/AD diagnosis; 50–75 yo; MMSE 10–20; Improved Hachinski ischemic score $\leq 4$ ; Hamilton Psychiatric Rating Scale for Depression $\leq 10$ ; Fazekas scale $\leq 2$	Not yet recruiting	NA	(61)
hNSCs	(I) Low dose [9]/intranasal; (II) medium dose [9]/intranasal; (III) high dose [9]/intranasal; (IV) placebo—normal saline [9]/ intranasal	NA/ ChiCTR2000039011	36/probable AD; 50–75 yo; MMSE 5–20; Hachinski ischemic score $\leq 4$ ; GDS $\leq 10$ ; Fazekas scale $\leq 2$ ; MTA score $\geq 2$	Not yet recruiting	NA	(62)
Autologous hNSCs	NA	NA/ChiCTR- ONC-17014171	30/AD diagnosis; 40–80 yo; no blood, liver, renal or chest pathology	Not approved by ethics committee	NA	(63)

AD, Alzheimer's disease; hNSCs, human Neural Stem Cells; MMSE, Mini-Mental State Evaluation; GDS, Geriatric Depression Scale; MTA, medial temporal lobe atrophy; yo, years old; NA, not applicable.

both *in vitro* and *in vivo* (49,50). Different mechanisms explaining the possible disease ameliorating effect of NSCs have been proposed, including neurovascular damage repair (51), immunomodulation via interactions with microglia (52), neuronal replacement, neurotransmitter replenishment, neurotrophic support that promotes synaptic plasticity and cell survival (53). NSCs can either differentiate and generate a mature neuron (direct neurogenesis) or first differentiate into neural NPCs (indirect neurogenesis) (54). Reinforcement of synaptic repair and protection of cholinergic neurons in the basal forebrain were induced after transplantation of embryonic mouse NSCs into APP/PS1 mice hippocampi (55). In a similar study, embryonic mouse NSC implantation significantly reduced microgliosis, astrogliosis, and decreased glial markers, toll-like receptor 4 (TLR4) and proinflammatory cytokine (IL-1, IL-6, TNF- $\alpha$  and PGE2) expression (56). Despite the encouraging results in preclinical studies, the use of hESCs as the primary source of stem cells in clinical trials has not been documented.

### Fetal and adult NSCs

Fetal-originating human CNS-derived NSCs (huCNS-SC) have been the main source of stem cells in only a few studies. They have demonstrated successful engraftment, migration and differentiation properties when transplanted in the lateral ventricles of NSE/APP<sup>sw</sup> transgenic AD model mice (57). Reduction of tau phosphorylation and A $\beta$  production via BASE1 expression, deactivation of microglia

and consequent decrease of neuroinflammation, and attenuation of apoptotic signals, which induced synaptic plasticity were observed. Furthermore, transplanted mice exhibited spatial memory improvement compared to the control group (57). The effects of fetal huCNS-SCs in AD pathology have also been reported by a different group, which showed an increase of synaptic connectivity markers synaptophysin, synapsin, and growth-associated protein-43 (GAP-43) in AD mice hippocampi, but with no changes in A $\beta$  and tau pathology (58). The transplantation of an engineered human cortex-derived NSC line (NSI-HK532-IGF-1) in the fimbria fornix of an APP/PS1 mouse model provided proof-of-concept for efficient production of neurotrophic factors and activation of microglia. Significant improvement was noticed in regard to spatial learning, short-term non-associative and long-term memory (59). However, in a study examining long-term behavior of huCNS-SC in the Rag-5xfAD mice hippocampus, the NSCs, despite successful engraftment, had failed to differentiate into mature cells 5 months post-transplantation. Furthermore, non-neoplastic ectopic clusters were discovered in the lateral ventricle, and no improvement in cognitive performance was observed (60).

Clinical trials testing these types of stem cells have been documented only in the Chinese Clinical Trial Registry (Table 1). A single center randomized, double-blind trial will evaluate safety and efficacy of the ANGE004 human neural stem cells (hNSCs) (ChiCTR2000028744). Three groups, each consisting of 10 patients, will receive a low, medium or high dose of hNSCs intranasally, whereas

another group will receive a placebo drug. Primary and secondary outcomes will consist of adverse events and neuropsychological measures (61). A different randomized, double-blind study (ChiCTR2000039011) with a similar design will recruit 36 patients and assess the safety and efficacy of intranasal hNSC administration (62). Notably, an open label study (ChiCTR-ONC-17014171) aimed to examine the feasibility of autologous NSCs administration, but it has not obtained approval from the ethics committee yet (63).

### *Mesenchymal and hematopoietic stem cells*

Among the different ASC lines, mesenchymal and hematopoietic stem cells can be easily obtained and thus have been the ones most widely studied as possible therapeutics for AD. MSCs are hierarchical postnatal stem cells, capable of self-renewing and retaining diverse differentiation potency (64). MSCs may be isolated from multiple sources and can differentiate into multiple types of tissue, have high expansion capacity and low immunogenicity and carcinogenic potential (65,66). Concerning their effects in AD pathology, MSCs have been reported to enhance neurogenesis through the release of neurotrophic factors, enhance A $\beta$  clearance, modify innate and adaptive immune cell responses through upregulating neuroprotective cytokines such as IL-10 while decreasing the amount of pro-inflammatory cytokines TNF- $\alpha$  and IL-1 $\beta$  (56,67-70). Reduction in amyloid-beta deposition and increased microglial phagocytic activity has also been observed (71,72).

Human umbilical cord originated MSCs (UC-MSCs) can be collected from the cord lining and Wharton's jelly, with the latter being more accessible and commonly used (73). Multiple preclinical studies have assessed their efficacy and mechanism of action. APP/PS1 mice injected with human UC-MSCs directly in the carotid artery exhibited successful migration of stem cells to the brain parenchyma, reduced amyloid burden, activated microglia in the hippocampus and cortex, and improved cognitive performance at sensorimotor tasks compared to controls (74). Similar results were reported also by Xie *et al.*, suggesting that hUC-MSCs improved spatial learning and memory in APP/PS1 mice (75). Intravenous administration of hUC-MSC in Tg2576 mice reduced oxidative stress and promoted neurogenesis in the hippocampus leading to better performance in the MWM test. However, levels of A $\beta$  and A $\beta$ -cleaving enzymes were not significantly affected

compared to control mice (76). Lipopolysaccharide (LPS) has been shown to decrease the density of  $\alpha 7$  nAChRs and nucleated cell numbers in the hippocampus and striatum, while stimulating the accumulation of A $\beta$  [1-42] peptides, astrocytosis, and episodic memory decline, creating an AD-like phenotype in mice (77). hUC-MSCs prevented the pathogenic effects of bacterial LPS damage and retained episodic memory in C57BL/6 J mice due to reduction of neuro-inflammation (78).

Multiple clinical studies examining possible therapeutic benefits of hUC-MSCs in AD patients have been documented (Table 2). The NEUROSTEM<sup>®</sup>-AD treatment (Human Umbilical Cord Blood Derived Mesenchymal Stem Cells) in an open label phase I trial (NCT01297218) resulted in no serious adverse events and no dose-limiting toxicity neither during the first 12-week (79,80) nor at the long-term, 24-month follow-up period, which was evaluated in a phase I/IIa (NCT01696591) clinical trial (81). Moreover, the authors reported that stereotactic administration of hUCB-MSCs into the hippocampus and precuneus was feasible, safe, and well tolerated (79). The data suggested faster cognitive decline of the subjects than typical AD progression however, which the authors attributed to the early-onset stage of the disease. Due to small sample size and lack of control group, no safe conclusion could be reached on efficacy (81). Another double-blind, single-center, phase I/IIa clinical trial (NCT02054208) investigated the safety, dose limiting toxicity, and exploratory efficacy of three repeated intra-cerebro-ventricular (ICV) administrations of NEUROSTEM<sup>®</sup> (82). This study consisted of two stages: during the first one, which was open label, participants were divided between a low- and high-dose group. In the second, double-blind and randomized stage, patients were assigned to either a high-dose or placebo group. Adverse effects and neuropsychological score modifications (ADAS-Cog, MMSE and others) 24 weeks post-treatment were the measured outcomes (82). To date, results have been provided for the first stage of this study. There were 3 patients assigned to the low-dose and 6 to the high-dose group. hUCB-MSCs were infused through an Ommaya reservoir directly to the right lateral ventricle three times, once every 4 weeks. No implantation side effects were documented, however, all participants developed fever following hUCB-MSCs administration, which did not persist for more than 36 hours and resulted in no complications. Transient leukocytosis was also noted in the cerebrospinal fluid (CSF), with higher WBC count for



**Table 2** Clinical trials of umbilical cord, amniotic and placental mesenchymal stem cells in patients with AD

Intervention	Dosage [n]/route	Clinical phase/ identifier	N°/condition	Status	Main findings	Ref.
hUC-MSCs	(I) Low dose: $3 \times 10^6$ cells/brain [3]/IC; (II) high dose: $6 \times 10^6$ cells/brain [6]/IC	Phase I/ NCT01297218 and Phase I, IIa/ NCT01696591	9/probable AD; >50 yo; K-MMSE 10–24; positive PIB-PET	Completed	No serious adverse events; no dose-limiting toxicity. K-MMSE change: low dose $-9.5 \pm 0.7$ ; high dose $-8.4 \pm 5.6$ . ADAS-Cog change: low dose $20.0 \pm 9.9$ ; high dose $8.6 \pm 13.1$	(79–81)
hUC-MSCs	Stage 1: (I) low dose: $1 \times 10^7$ cells/brain [3]/ICV, 3 infusions; (II) high dose: $3 \times 10^7$ cells/brain [6]/ICV, 3 infusions. Stage 2: (I) high dose: $3 \times 10^7$ cells/brain [24]/ICV, 3 infusions; (II) placebo (normal saline 2 mL) [12]/ICV, 3 infusions	Phase I, IIa/ NCT02054208	46/probable AD; 50–85 yo; K-MMSE 18–26; positive PIB-PET or florbetaben PET	Completed	Stage 1 results: transient fever and CSF leukocytosis after infusion (9 patients). CSF biomarkers: Reduced t-tau, p-tau, A $\beta$ 42, increased MSC markers 1 day post-injection. K-MMSE change (12 wks): low dose $0.0 \pm 2.0$ ; high dose $0.7 \pm 1.6$ . ADAS-Cog change (12 wks): low dose $0.7 \pm 4.0$ ; high dose $2.3 \pm 5.0$	(82,83)
hUC-MSCs	NA	Phase I, IIa/ NCT03172117	Subjects of NCT02054208	Recruiting	NA	(84)
hUC-MSCs	NA	NA/ NCT04954534	Subjects who were treated with placebo in NCT02054208	Not yet recruiting	NA	(85)
hUC-MSCs	$0.5 \times 10^6$ cells/kg [30]/IV, 8 infusions	Phase I, II/ NCT01547689	30/probable AD; 50–85 yo; MMSE 3–20	Unknown	NA	(86)
hUC-MSCs	(I) $0.5 \times 10^6$ cells/kg/IV, 8 infusions; (II) placebo (normal saline)/IV, 8 infusions	Phase I, II/ NCT02672306	16/probable AD or mixed dementia; 50–85 yo; MMSE 10–26; treatment with cholinesterase inhibitors or heavy tartaric acid karbalin capsules	Unknown	NA	(87)
hUC-MSCs	$1 \times 10^7$ cells [6]/IV, 4 infusions	Phase I/ NCT04040348	6/AD or probable AD; 50–85 yo; MMSE 20–26; positive amyloid PET or CSF A $\beta$ 42	Recruiting	NA	(88)
Human amniotic and UC-MSCs	IV, intranasal, nebulizer dependent on patient condition	Phase I/ NCT03899298	5,000/multiple health conditions; >18 yo	Not yet recruiting	NA	(89)
Human amniotic and UC-MSCs	Route dependent on patient condition	Phase I, II/ NCT04684602	5,000/multiple health conditions; >18 yo	Recruiting	NA	(90)
Human placenta MSCs (CB-AC-02)	Stage 1: (I) Group 1: $2 \times 10^6$ cells/IV, 1 injection; (II) Group 2: $2 \times 10^6$ cells/IV, 2 injections. Stage 2: (I) Arm 1 (K-MMSE 20–26): $2 \times 10^6$ cells/IV, 2 injections; (II) Arm 2 (K-MMSE 10–19): $2 \times 10^6$ cells/IV, 2 injections; (III) placebo [12]/ICV, 2 injections	Phase I, II/ NCT02899091	24/probable AD; >50 yo; MMSE 10–26; positive amyloid PET, MRI or PET findings suggestive of AD	Recruiting	NA	(91)

hUC-MSCs, human Umbilical Cord Mesenchymal Stem Cells; IC, intracerebral; PIB-PET, Pittsburgh compound B positron emission tomography; K-MMSE, Korean version of Mini-Mental State Evaluation; ADAS-Cog, Alzheimer's Disease Assessment Scale-Cognitive Subscale; A $\beta$ , amyloid-beta; ICV, intracerebroventricular; CSF, cerebrospinal fluid; p-tau, phosphorylated tau; IV, intravenous; MRI, magnetic resonance imaging; t-tau, total tau; wks, weeks; yo, years old; NA, not applicable.

the high-dose group. These immunological reactions were attributed to the MSCs, despite evidence that they possess low immunogenic potential. As for efficacy, reduced CSF biomarkers (total tau, p-tau, A $\beta$ 42) and increased MSC biomarkers (Galactin-3, sICAM-1, progranulin, GDF-15) were observed 1 day after injection, but were not observed again at the 4-week checkpoint, which the authors attributed to the short lifespan of MSCs (83). Moreover, a follow-up study will assess neuropsychological scores, imaging findings and CSF biomarkers in these participants in a phase-I/IIa Clinical Trial (NCT03172117) (84), and a second follow-up study will quantify neuropsychological parameters and CSF biomarkers in the NCT04954534 study control group (85).

Two other studies, an open-label, single-center phase I/II clinical trial (NCT01547689) (86) and a randomized, double-blind, placebo-controlled and multi-center phase I/II trial in China (NCT02672306) (87) aim to investigate the effects of intravenously administered hUC-MSCs in 30 and 16 patients respectively, assessing adverse events and various neuropsychological and biochemical parameters. Another prospective open label phase I study (NCT04040348), currently on recruiting status, will comprise of multiple intravenous infusions of allogeneic hUC-MSCs on 6 patients with probable AD and positive A $\beta$  markers. The number of serious adverse events will be the primary outcome, and neuropsychological, CSF and serum biomarkers (Apolipoprotein E, A $\beta$ 40, A $\beta$ 42, plasma renin activity, etc.) and MRI volumetry the secondary outcomes (88). Additionally, a phase I trial by R3 Stem Cell (NCT03899298) (89) and a second one by Thomas Advanced Medical using the PrimePro™/PrimeMSK™ therapeutic regimen (NCT04684602) (90) will evaluate human amniotic and umbilical cord MSCs in various health conditions, including neurological ones. No details on the specific protocol for AD patients have been published. For the AD cohort, MMSE scores and quality of life will be measured at various time points post-procedure, from 1 month up to 10 years.

The placenta has been reported to be another potent source of MSCs (64). The safety and potential therapeutic effects of CB-AC-02 (human placental MSCs) intravenous transplantation will be evaluated in a phase I/IIa clinical trial (NCT02899091), which is currently recruiting participants (91). This randomized trial will enroll 24 patients with probable AD, positive amyloid on amyloid-ligand positron emission tomography (PET) and imaging findings on MRI or PET scan. The trial will be

divided in two stages: the first will evaluate two different administration schemes (one or two injections of the same dose) whereas the other will assess the effect of the same dosing scheme in two different arms of patients with K-MMSE 20–26 and 10–19 respectively. Both of these stages will be compared to a third, placebo-administered arm. Outcomes will be measured over 48 weeks, with the primary deliverable being the number of adverse events, while secondary outcomes will include changes in ADAS-Cog, K-MMSE and other neuropsychological batteries, A $\beta$  and tau in CSF, MRI, PET and qEEG (91).

Adipose-derived SCs (ADSCs) have been extensively studied, since adipose tissue poses an accessible reservoir of MSCs (92). ADSCs administered intracerebrally in APP/PS1 mice resulted in reduced A $\beta$  deposition, microglia activation and enhanced cognitive function, assessed via MWM and novel object recognition (NOR) tests (93). Intravenous infusion of human ADSCs in Tg2576 AD model mice presented similar effects, as well as secretion of anti-inflammatory and neurotrophic factors (94). A study aiming to improve the efficacy of ADSC implantation pre-treated them with melatonin. After injecting a dose in Wistar rats intravenously, the study reported better performance in MWM and NOR tests for the melatonin pre-treated ADSC rats compared to the ADSC administered rats (95).

Preclinical evidence has been translated to several ongoing clinical trials (Table 3). A phase I trial of autologous adipose-derived stromal vascular fraction (ADSVF), a source of both ADSCs and hematopoietic stem cells, studied the safety of ICV injections in 31 neurological patients, among them 10 with AD who had failed all previously available therapeutic options (96). Patients were selected according to the criteria of a previous ADSVF safety trial, in which ADSVF was administered intravenously or intra-articularly (NCT01953523) and which has no published results (97). Cells were administered every 2–3 months through an implanted Ommaya reservoir or through an existing functioning VP shunt. The only concerning side effects that were reported were mild headache and pain at surgical incisions lasting less than 24 hours. Of the AD patients, 8 remained stable or improved in cognitive tests, 3 showed reduced phospho-tau and A $\beta$  burden in CSF, and, in one case, the hippocampal volume increased from less than 5th percentile to 48th percentile after 8 stromal vascular fraction (SVF) injections (96).

In a randomized, double-blind, placebo-controlled and parallel-group comparison phase I/IIa trial (NCT03117738), the safety and efficacy of AstroStem

**Table 3** Clinical trials of adipose-derived mesenchymal stem cells in patients with AD

Intervention	Dosage [n]/route	Clinical phase	N°/conditions	Status	Main findings	Ref.
ADSVF	3.5–20 cm <sup>3</sup> SVF with 4.05×10 <sup>5</sup> –6.2×10 <sup>7</sup> cells/cm <sup>3</sup> per injection [31]/ICV, 1–8 infusions for the AD patients	Phase I	31/multiple neurological conditions, 10 AD	Completed	Minor side effects (headache, incision pain); 8 patients stable or improved; reduced CSF biomarkers in 3 patients	(96)
ADSVF	Route dependent on patient condition	NA/NCT01953523	3,000/multiple health conditions; >16 yo	Completed	NA	(97)
Autologous ADSCs (AstroStem)	(I) Autologous ADSCs [11]/IV, 9 injections; (II) placebo (saline with 30% auto-serum) [10]/IV, 9 injections	Phase I, II/ NCT03117738	21/probable AD; >50 yo; MMSE 16–26	Completed	No adverse events reported; ADAS-Cog change: Astrostem +5.9±6.8 placebo +3.0±5.4; MMSE change: Astrostem –3.4±2.8 placebo –1.4±2.8	(98)
Autologous ADSCs (AstroStem)	(I) 2 syringes with 2.0×10 <sup>8</sup> cells/20 mL of saline and 30% auto-serum and donepezil placebo/IV, 4 injections; (II) 5 mg of donepezil and Astrostem placebo/IV, 4 injections	Phase IIb/ NCT04482413	80/probable mild AD; >50 yo; MMSE 20–24; no AD medication since diagnosis; positive AD CSF biomarkers	Not yet recruiting	NA	(99)
ADSCs (HB-adMSCs)	2.0×10 <sup>8</sup> cells/IV, 4 injections	Phase I, IIa/ NCT04228666	NA	Withdrawn due to COVID	NA	(100)
Autologous microvasculature stem/stromal cells	IV route	NA/NCT03297177	300/multiple neurological conditions; 18–90 yo; 6 months post-diagnosis; failure of current medical therapy	Not yet recruiting	NA	(101)
Autologous ADSCs	2.0×10 <sup>8</sup> cells/IV, 4 injections	NA/JPRN-jRCTb030190214	32/probable mild to moderate AD; 65–85 yo; MMSE suggestive of AD	Suspended	NA	(102)
ADSCs	NA	NA/NCT04855955	Later stage AD with severe cognitive disability; failure of current medical therapy	Available	NA	(103)
MSCs-Exos	(I) Low dose: 5 µg/nasal drip, 2 per week for 12 wks; (II) medium dose: 10 µg/nasal drip, 2 per wk for 12 wks; (III) high dose: 20 µg/nasal drip, 2 per week for 12 wks	Phase I, II/ NCT04388982 and ChiCTR2000032579	9/mild or moderate AD; >50 yo; MMSE 10–24; modified Hachinski Ischemic scale <4; suspension of cognitive-enhancing drugs	Recruiting	NA	(104,105)

AD, Alzheimer's disease; ADSVF, adipose-derived stromal vascular fraction; SVF, stromal vascular fraction; ICV, intracerebroventricular; CSF, cerebrospinal fluid; yo, years old; NA, not applicable; ADSCs, adipose-derived Stem Cells; IV, intravenous; ADAS-Cog, Alzheimer's Disease Assessment Scale-Cognitive Subscale; MMSE, Mini-Mental State Evaluation; wks, weeks; HB-adMSCs, Hope Biosciences autologous Mesenchymal Stem Cells; MSCs-Exos, Exosomes Derived from Allogenic Adipose Mesenchymal Stem Cells.



(autologous ADSCs) was evaluated (98). 11 participants were treated with AdMSC while the placebo-control group, consisting of 10 participants, received saline with 30% auto-serum. At 30 week-follow up, patients receiving ADSCs reported higher ADAS-Cog score change ( $5.9 \pm 6.8$ ) compared to the placebo group ( $3.0 \pm 5.4$ ). MMSE score change was reported at  $-3.4 \pm 2.8$  and  $-1.4 \pm 2.8$  for the ADSC and placebo group, respectively. A randomized, double-blind, active-controlled phase IIb trial (NCT04482413) is currently evaluating the effect of AstroStem and donepezil treatment on 80 participants, similarly to the previous study (99). Treatment group will be administered via intravenously AstroStem which consists of two syringes and each syringe contains  $2.0 \times 10^8$  cells/20 mL of saline with 30% auto-serum while the active control group will receive 5 mg of Donepezil and AstroStem Placebo. ADAS-Cog score will be measured as the primary outcome, and other neuropsychiatric scores as secondary ones.

An open-label, non-randomized phase I/IIa (NCT04228666) evaluating the safety profile of four IV infusions of ADSCs in patients with a clinical diagnosis of AD was withdrawn due to the COVID-19 pandemic (100). The primary endpoint of this study was to measure the number and frequency of adverse events throughout the study duration by obtaining a basic metabolic panel and complete blood count, while the second endpoint of this study was to evaluate the ability of ADSCs to alter AD-related inflammation by obtaining levels of TNF- $\alpha$ , IL-1, IL-6, C-reactive protein (CRP) and A $\beta$ 40, A $\beta$ 42 serum levels (100). Furthermore, a large open-label, non-randomized trial (NCT03297177) will evaluate the safety and efficacy of autologous microvasculature stem/stromal cell isolates acquired from subdermal fat deposits in 300 patients with various neurologic diseases, among them AD (101). Cells will be collected via microcannula, adipose-derived tissue stromal vascular fraction will be isolated using the Centricyte 1000 closed system and, following re-suspension in saline, will be delivered intravenously in patients. Adverse and severe adverse events 6 months to 5 years post-intervention will serve as the primary outcome, while neurological function testing and MRI scans will constitute secondary outcomes (101). Another clinical trial in Japan (jRCTb030190214) that would examine the effects of autologous ADSC intravenous administration in 36 patients has been suspended (102). Finally, a recently indexed expanded access study (NCT04855955) of ADSCs will be aimed towards people with severe AD. No details on dosing and checkpoint outcomes has been published as of

the time this manuscript is submitted (103).

Administration of ADSC extracellular vesicles, also known as exosomes, has appeared as a promising alternative to whole-cell transplantation (106). Exosomes are naturally occurring nanosized vesicles and comprised of natural lipid bilayers with the abundance of adhesive proteins that readily interact with cellular membranes (107). ADSC-exosomes administered in APP/PS1 mice promoted neurogenesis, decreased A $\beta$  plaques and rescued memory deficit in the model mice (106). Two studies, an open-label phase I/II (NCT04388982) and ChiCTR2000032579 clinical trials, currently on recruitment status will evaluate the safety and efficacy of exosomes derived from allogenic adipose MSCs (MSCs-Exos) in patients aged over 50 with mild or moderate AD (104,105) (Table 3). Both studies will evaluate the liver/kidney function laboratory values and adverse events as primary outcome measures, and ADAS-Cog score, quality-of-life evaluation, MRI and PET-CT neuroimaging the secondary ones (104,105).

Bone marrow MSCs (BM-MSCs) are usually incorporated, alongside ADSCs, as stem cell treatments in various disease models, due to their accessibility (108). Human BM-MSCs transplanted in the lateral ventricles of 3xTg-AD model mice preserved their working memory, examined via the MWM test, in contrast to saline-treated mice (109). Concurrently, reduced levels of A $\beta$ 56 and preserved levels of glutamine synthase were detected in the entorhinal cortex, while neurogenesis was induced in the subventricular zone (SVZ) (109). Oxygen deprivation of human BM-MSCs resulted in the production of negligible levels of HLA-DR antigen and higher migratory capacity (110). IV or intranasal administration of these ischemia-tolerant cells in APP/PS1 mice resulted in reduction of soluble cerebral A $\beta$  levels and plaques, an increase in A $\beta$ -cleaving enzyme levels and an amelioration of neuroinflammation (110). In APdE9 AD model mice, BM-MSCs improved spatial memory and repaired neuronal amyloid-induced damage by activating microglia against plaques and suppressing oxidative stress (111). Intravenous administration of BM-MSCs in APP/PS1 mice enabled them to migrate in the brain parenchyma and target sites of inflammation, promoting pE3-A $\beta$  plaque size reduction, microglia activation and inflammation and growth factor decrease (72). BM-MSCs have also been reported to secrete the anti-inflammatory microRNA miR-146a, which, when taken up by astrocytes in the hippocampi of APP/PS1 mice, resulted in decreased gliosis and pro-inflammatory cytokine expression. In another study, multiple BM-MSC

intravenous injections in 3xTg-AD mice, in addition to attenuating neuroinflammation and inhibiting  $\beta$ -secretase activity, significantly reduced total and phosphorylated tau burden (112). Finally, in a study on 5xFAD mice that aimed to determine the optimal location for BM-MSC brain implantation, comparison of transplantation into the lateral ventricles, the hippocampus, and into both of these regions showed the greatest effectiveness in the case of lateral ventricle injection (113). Similar phenomena have been observed in other preclinical studies utilizing BM-MSCs (114,115). Apart from bone marrow allocated MSCs, human menstrual blood-derived MSCs (MenSCs) have been recently reported to have multiple beneficial effects in APP/PS1 mice and may improve symptoms of AD by reducing amyloid plaques and phospho-tau, and increasing A $\beta$ -degrading enzyme activity and immunomodulatory effects, resulting in overall better cognitive status (116).

These encouraging preclinical results have led to the development of numerous clinical trials (*Table 4*). A prospective, randomized, double-blinded phase I study (NCT02600130) is currently evaluating the safety and efficacy of Longeveron Allogeneic Human Mesenchymal Stem Cell (LMSCs) for the treatment of patients with clinically diagnosed AD (117). Thirty-three participants aged 50–80 were randomized in a 2:2:1 ratio to receive intravenously either low-dose (20 million) or high-dose (100 million) Longeveron MSCs or placebo, respectively. Incidence of treatment-related adverse events will be recorded as primary outcomes, while preliminary efficacy measures, including ADAS-Cog scores, quality-of-life assessments, blood and CSF biomarkers and MRI volumetry have been defined as the secondary outcomes (117). The effect of allogeneic BM-MSCs are also being evaluated in a multi-center, randomized, single-blind; crossover phase IIa trial (NCT02833792) (118), using ischemia-resistant MSCs. Patients aged 55–80 years with a mild to moderate dementia diagnosis in accordance with the NINCDS-ADRDA criteria, and MMSE score between 12 and 24 will be assessed for possible adverse events and neurocognitive test scores after treatment (118). Another multi-center, non-randomized, open-label study (NCT03724136) currently on recruiting status, will assess the use of autologous BM-MSCs in AD and autism-spectrum disorders (119). One hundred patients with a documented diagnosis of dementia or autism-spectrum disorder and, in the case of dementia, a MMSE score of 24 or less, are eligible to take part. Participants will then be assigned to one of three arms. The first arm will be administered 14 cc of BM-MSC fraction

intravenously, the second will also undergo near infrared light treatment using an FDA approved device on the pre- and the first post-operative day at the frontal area, and the third will be administered, combined with BM-MSCs intravenously, a 1 cc of BM-MSC fraction intranasally. MMSE scores will be the primary outcome for AD and dementia patients, with a goal of a 3-point improvement over the 1-year follow up, and secondary outcomes will consist of qualitative data about daily activities and quality of life, as described by the patients, friends and family (119). Finally, an open-label phase IIa study will attempt to evaluate the safety and efficacy of the NurOwn<sup>®</sup> autologous MSCs Secreting Neurotrophic Factors (MSC-NTF cells) (EUCTR2020-002872-11-NL) (120). 40 prodromal or mild AD patients will be recruited and administered 3 doses of the therapeutic regimen intrathecally. Adverse events, functional and metabolic alterations will comprise the primary outcomes, whereas CSF and blood biomarkers of neurodegeneration and inflammation will comprise the secondary outcomes. Notably, the Elecsys system will be used to quantify AD biomarkers (120).

Peripheral blood is a known means of obtaining HSCs, as well as MSCs. Granulocyte colony stimulating factor (G-CSF), also known as filgrastim, has been used to mobilize both MSCs and HSCs from the bone marrow into peripheral blood. It has been widely used for treatment of patients who have a deficiency of white blood cells, and thus has a known safety profile (123). Also, it has been routinely used to stimulate and mobilize stem/progenitor cells for bone marrow transplantation in animal studies (124,125). G-CSF was first shown to promote neurogenesis, hippocampal A $\beta$  load reduction and cognitive repair in Tg APP/PS1 mice (126). In Tg2576 mice, G-CSF administration caused BM-MSC/HSC brain infiltration through CXCR4/SDF-1 chemotactic signals, with BM-MSCs specifically contributing to the replenishment of astrocytes and oligodendrocytes where improvement of cognitive function was noted (127).

A randomized phase I/II clinical trial (NCT01617577) evaluated G-CSF as a treatment option for AD in 8 patients over 55 years, with a probable AD and MMSE score between 10 and 24 (121). Patients received G-CSF subcutaneously at a dose of 10 mg/kg daily for 5 days, and placebo (D5W or 5% dextrose solution) for 5 days at crossover time, while the second group (3 participants) followed the opposite plan (placebo followed by G-CSF). Primary outcomes consisted of ADAS-Cog and Paired Associate Learning memory score (PALmem), and PAL scores adjusted for total trial

**Table 4** Clinical trials of bone marrow-derived mesenchymal stem cells and hematopoietic stem cell—inducing therapies in patients with AD

Intervention	Dosage [n]/route	Clinical phase	N°/conditions	Status	Main findings	Ref.
Longeveron Allogeneic hMSCs	(I) Low dose: $2 \times 10^7$ cells [10]/IV, 1 injection; (II) high dose: $1 \times 10^8$ cells [10]/IV, 1 injection; (III) placebo (Plasmalyte A and 1% human serum albumin) [5]/IV, 1 injection	Phase I/ NCT02600130	33/AD diagnosis; 50–80 yo; MMSE 18–24; positive MRI, amyloid PET findings	Active, not recruiting	NA	(117)
Allogeneic hMSCs	(I) hMSCs & Lactated Ringer's Solution/IV, 1 injection; (II) placebo (Lactated Ringer's Solution)/IV, 1 injection	Phase IIa/ NCT02833792	40/mild to moderate AD; 55–80 yo; MMSE 12–24; amyloid-positive florbetapir PET	Recruiting	NA	(118)
BM-MSCs	(I) Arm 1: 14 cc/IV, 1 injection; (II) Arm 2: 14 cc and near infrared light/IV, 1 injection; (III) Arm 3: 14 cc and 1 cc intranasally/IV, 1 injection	NA/NCT03724136	100/AD or autism spectrum disorders; >18 yo	Recruiting	NA	(119)
Autologous MSC-NTF cells	1.0– $1.23 \times 10^6$ cells/IT, 3 administrations	Phase IIa/ EUCTR2020-002872-11-NL	40/prodromal to mild AD; 50–75 yo; MMSE 20–30; positive CSF AD biomarkers (A $\beta$ 42, p-tau)	Recruitment may be ongoing or finished	NA	(120)
Filgrastim (G-CSF)	(I) 10 mg/kg/SC, 5 injections; (II) placebo (D5W or 5% dextrose solution)/SC, 5 injections	Phase I, II/ NCT01617577	8/probable AD; >55 yo; MMSE 10–24	Completed	ADAS-Cog score: post-treatment 22.25; pre-treatment 21.5. PAL(tot trial adj) score: post-treatment 33.87; pre-treatment 28.48. PALmem score: post-treatment 5.50; pre-treatment 2.75	(121)
Filgrastim (G-CSF)	(I) 10 mg/kg/SC, for 5 continuous days, rest for 11 weeks; repeated dosing regimen every 12 weeks 2 times; (II) non-treatment	Phase II/ NCT03656042	21/AD diagnosis; 50–85 yo; MMSE 12–26; CDR 1–2; modified Hachinski ischemic score 4; Hamilton Psychiatric Rating Scale for Depression 12	Completed	NA	(122)

AD, Alzheimer's disease; hMSC, human mesenchymal stem cell; IV, intravenous; yo, years old; MMSE, Mini-Mental State Evaluation; MRI, magnetic resonance imaging; PET, positron emission tomography; BM-MSCs, bone marrow mesenchymal stem cells; MSC-NTF, Mesenchymal Stem Cells Secreting Neurotrophic Factors; A $\beta$ , amyloid-beta; NA, not applicable; IT, intrathecal; CSF, cerebrospinal fluid; p-tau, phosphorylated tau; G-CSF, granulocyte colony stimulating factor; ADAS-Cog, Alzheimer's Disease Assessment Scale-Cognitive Subscale; CDR, Clinical Dementia Rating; PAL(tot trial adj), PAL scores adjusted for total trial duration; PALmem, Paired Associate Learning memory.

duration [PAL(tot trial adj)], which would be measured before treatment (baseline), at 2 weeks and 4 weeks post each intervention, as well at the end of the trial at 14 weeks. Decreased ADAS-Cog and PAL(tot trial adj) and increased PALmem scores indicate an improvement in cognitive function. Treatment with G-CSF resulted in a mild decrease in ADAS-Cog (22.25 to 21.5) and PAL(tot trial adj) score (from 33.87 to 28.48), however these changes were not statistically different between G-CSF and placebo group. PALmem scores on the other hand, showed an increase from initial 2.75 to 5.50 at 4 weeks post treatment ( $P=0.034$ ) (121). A second study (NCT03656042) investigating G-CSF versus no treatment in 21 AD patients investigated ADAS-Cog as the primary outcome and various neuropsychological inventories, CD34+ cell numbers, which correspond to HSCs, and MRI volumetry as secondary outcomes. No results have been published yet (122).

### iPSCs

The ability to reprogram somatic cells through reversal to a pluripotent state has enabled us to produce iPSCs (128). The study by Yamanaka *et al.* implemented transcription factors *Oct3/4*, *Sox2*, *Klf4*, and *c-Myc*, to generate iPSCs from murine embryonic and adult fibroblasts in 2006 (129), and then by human fibroblasts in 2007 (130). The produced iPSCs exhibit many similarities with ESCs, at the phenotypical, genetic, epigenetic, and transcriptomic level (129,130), but they also display subtle functional differences. For instance, they have a lower tendency to differentiate along hematopoietic, neuroepithelial and neuronal lineages (128). Regarding neurological applications, iPSCs posit a probable source of NSCs and NPCs, with both neurogenic and gliogenic potential (131,132). Use of iPSCs in AD animal models has shown promising results (133). Conversion of human iPSCs into cholinergic NPCs and transplantation in PDAPP transgenic mouse hippocampi yielded significant results regarding spatial memory improvement when the animals underwent the MWM test (134). A follow-up study from the same group attributed this effect to increased populations of human and mouse ChAT-, vesicular GABA transporter (VGAT)- and  $\alpha 7$  nicotinic acetylcholine receptor ( $\alpha 7$ nAChR)-positive neurons in the hippocampus and throughout the cortex (135). Another group investigating the same approach recently published their results on iPSC-derived NPC transplantation in the hippocampi of 3xTg-AD mice. Treated mice exhibited an improvement in learning and

memory capacity in an Object Location Task (OLT) and in Barnes maze, as well as a reduction in AD pathology and synaptogenesis (136). Another investigation in 5XFAD AD mouse models tested the direct transplantation of mouse protein-iPSCs, which, after engraftment, differentiated into microglia, astrocytes, and oligodendrocytes. This resulted in reduction of A $\beta$  plaques and better performance on the Y-maze test compared to saline-injected controls but had no effect on neuronal loss (137). An immunologic strategy was implemented in another study, in which macrophage-like cells expressing Neprilysin-2, a protease against A $\beta$ , were engineered from human iPSCs, and administered intracerebrally in 5XFAD mice. A decrease in A $\beta$ 1–42 concentrations in the interstitial fluid was noted, in comparison to controls and cells that did not express Neprilysin-2 (138). Despite the promising results, iPSCs have not entered clinical trials against AD yet, due to complications such as tumor formation, immune rejection and glial scar formation in the CNS (133). Nevertheless, in one study, clinical-grade induced huNSCs were successfully generated from human iPSCs and were transplanted in immunodeficient mice, and exhibited no tumorigenic activity (139).

### Limitations and obstacles

Research on stem cell-based therapies is accelerating, and every year more and more potential treatments find their way to clinical trials. Despite the gain in momentum, several translational limitations need to be overcome before this type of treatment becomes widely available. Important factors that must be considered beforehand include the nature of the CNS and AD *per se* and the methodology of stem cell treatment.

Some inherent properties of neuronal networks pose significant challenges to cell-based approaches. Histologically, brain tissue is characterized by extensive diversity of cell phenotypes (140) with synaptogenesis established early during development giving rise to complex networks (141). The fate and properties of endogenous NSCs localized at the subgranular zone (SGZ) of the hippocampal dentate gyrus and SVZ of the lateral ventricle wall are finely regulated by factors of their microenvironment, called the neurogenic niche, which maintains a dynamic balance by keeping the NSCs in a static, undifferentiated dormant state (142–144). NSCs can be activated to proliferate, migrate, and differentiate when exposed to stimuli such as brain damage; however,



this endogenous cell activation is insufficient and incapable of replacing damaged functional nerve tissue sufficiently (145-147). On the other hand the efficiency of exogenous stem cell transplantation therapy is still controversial, mainly due to the low grafting efficiency of exogenous stem cells in the brain (less than 5%) (146). An increase in stem cell survival may be achieved by incubation of grafted cells with neurotrophic factors, as shown by Rockenstein, Lilja *et al.* (148,149). NSCs treated with neurotrophic-like factor [Cerebrolysin (CBL)] prior to transplantation in transgenic model mice increased the survival of NSCs, as well as furin levels, which promotes the processing of pro-brain derived neurotrophic factor (pro-BDNF) into BDNF in the grafted cells (149). Moreover, administration of human NSC cells to a neurotrophic factor pretreated mouse enhanced the population of early neurons expressing doublecortin (DCX+) and decreased A $\beta$  levels significantly (148). Migration of transplanted cells from the injection site and the affected sites is crucial for a therapeutic effect in the CNS (150), however transplanted hNSCs tend to create cell clusters in the injection site resulting in limited migration ability (151). This is mainly due to lack of organized and diffuse radial glia guiding NSCs to the appropriate location (152,153) as well as the expression of chemotactic signals which lead to NSCs clustering (154).

AD further contributes to the complexity of the issue at hand. In this disease, microglial activation results in neuronal damage and progressive tau accumulation, which promotes neurodegeneration (155). The above changes have been shown to significantly affect neurogenic niches and stem cell fates. In multiple studies, A $\beta$  aggregates have led to glial differentiation of NSCs in cell lines and transgenic mouse models (156,157). Additionally, neuronal injury stimulates the hypertrophy of proximal astrocytes, which act as a protective layer by isolating areas of amyloid deposits via reactive gliosis. However, in the long term, gliosis restricts migration of endogenous and transplanted stem cells to the affected areas (158). IL-1 $\beta$ , IL-6, TNF- $\alpha$  and TGF- $\beta$  secretion during the microglial immune response inhibit hippocampal neurogenesis and disrupt NSC proliferation (159). Despite the hostile niche of AD, evidence shows that the cells can be prepared for this harsh cellular environment. In one investigation, hUC-MSCs were conditioned in AD patients' CSF before administration, and stem cells were observed to upregulate the transcription of transport and signal peptide genes. This finding suggests that pre-adaptation to the pathologic

niche may enhance the therapeutic potential of stem cells in AD (160). Furthermore, the damage afflicted by A $\beta$  and tau aggregate is not uniform across brain areas (156), and their patterns of distribution exhibit moderate overlap. A recent imaging study suggests that A $\beta$  patterns coincide with anterior and posterior areas of the default functional network, whereas tau accumulated over a wider range of networks (e.g., visual, somatosensory, language), and also solely disrupted white matter integrity (161). Moreover, the presence of the blood-brain barrier (BBB) significantly hinders intravascular delivery and supports direct implantation techniques, such as stereotactic surgery (162). This has been shown to be safe in the case of MSCs in a phase I trial (79). Despite the promising results in humans, cells still need to engraft in widespread areas, distal from the area of infusion, and overcome the hostile AD microenvironment. Murine and human NSCs and MSCs that were selected based on better migratory potential *in vitro* exhibited better homing and efficacy when stereotactically implanted in model mice (163). Cell lines could be screened beforehand for their motile capacity. As for protection from harsh niche conditions, biomimetic 3D scaffolds and neural tissue engineering have been proposed as possible interventions (164).

Another considerable obstacle arises from the fact that the BBB renders the brain an immune privileged organ, and cells may need to disrupt the barrier to access the brain parenchyma. This can trigger a potentially harmful local inflammatory response for the grafted cells (165). Concerns about generalized immune reactions such as encephalitis have also been voiced (166). Infiltration of leukocytes was observed towards xenogeneic (human), allogeneic, and syngeneic MSCs after intracerebral injection, especially in hMSCs, whose population was diminished after one week (167). Consequently, a less invasive transplantation technique focusing on the intravenous route has been developed, albeit with inconsistent results (162). Distribution of MSCs in the cerebral cortex of both wild-type and APP/PS1 mice after IV infusion was reported to be positively affected by the young age of the cells, older age of the mice, and positive mutation status (168). The intra-arterial route was only recently tested as a potential alternative, however engrafted cells were not detected in the brain parenchyma of mice post-infusion and were observed in brain vasculature only at the 5-minute mark (169).

A major goal of regeneration research is to further understand the molecular mechanisms controlling



regeneration and neuronal microenvironment.

### Conclusion and future perspectives

AD is a progressive neurodegenerative disease, and since stem cells have the unique ability to regenerate, they may pose an effective treatment option. Despite advancements in stem cell technology, confirmed by encouraging pre-clinical utilization of stem cells in AD animal models, the number of clinical trials evaluating the efficacy of stem cell therapy is limited, with the results of many ongoing clinical trials on cell therapy for AD still pending. This is mainly due to limitations yet to be overcome, including technical considerations such as timing, dosing and an appropriate procedure for cell transplantation, none of which has been clearly determined. One of the disadvantages of stem cell therapy, like in any other organ transplant, is the need for immunosuppression; on the other hand, the use of progenitor cells may lead to tumor formation. Moreover, the anatomy and microenvironment of the brain exhibit significant differences among animal models and AD patients, and therefore precise characterization of the beneficial effects of stem cells in human AD has proven difficult. Human AD pathology mostly involves sporadic cases, while transgenic animal models have been developed for the familial type of AD. This limits the knowledge on how stem cell therapy would act in a patient-specific manner. Future work needs to address these questions. Future preclinical trials evaluating different sources, types, doses of stem cells, long-term safety, efficacy and precise mechanisms of action are required. In addition, iPSC-based therapy has made great progress in a short time. Footprint-free human iPSCs generation, genome editing, single cell and epigenome analysis technology are set to accelerate this process. However, iPSC-based therapy needs to overcome major limitations and prove to be safe before being utilized on patients. The use of genetically-modified stem cells using tissue engineering approaches may improve neurogenesis and synaptogenesis and therefore the underlying pathological hallmarks of AD. Clinical trials so far have focused on the safety and efficacy of the engrafted cells, yet it is vital to shift emphasis towards uncovering the mode of action and the underlying therapeutic mechanisms of transplanted cells.

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### Footnote

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://sci.amegroups.com/article/view/10.21037/sci-2021-063/coif>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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