



# A morphology-based nephrometry score to predict pathological upstaging to T3 renal cell carcinoma

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**Background:** Patients with clinical T1-2 renal cell carcinoma (RCC) upstaging to pathological T3 showed worse survival prognosis than those without upstaging. We aimed to develop and validate a morphology-based nephrometry scoring system for predicting pathological upstaging to T3 of RCC.

**Methods:** We retrospectively reviewed 200 patients with clinical T1-2 RCC who underwent surgical treatment. The nephrometry scores were measured through preoperative computed tomography images. The risk factors of pathological upstaging were identified by logistic regression models. The predictive accuracy of a novel morphology-based nephrometry scoring system (M-Index), was compared with R.E.N.A.L (radius, exophytic/endophytic, nearness, anterior/posterior, location), PADUA (preoperative aspects and dimensions used for an anatomic classification), DAP (diameter, axial, polar) and C-Index scores.

**Results:** The upstaging rate of the population was 17% (34 out of 200 patients). The upstaging and non-upstaging groups were comparable in terms of age, gender ratio, body mass index, tumor laterality, and pathological type, while the upstaging group tended to have large tumor diameter, irregular tumor morphology, inner tumor location, and short polar and axial distance. Large tumor diameter refers to larger than 5 cm, while irregular tumor morphology refers to not regular shapes such as round, oval, or lobular. Univariate and multivariate logistic regression analyses showed that tumor morphology [odds ratio (OR) 3.26, 95% confidence interval (CI): 1.79–5.97] and tumor rim location (OR 2.95, 95% CI: 1.16–7.46) were independent risk factors for pathological upstaging. The receiver operating characteristic curve and decision curve analysis (DCA) demonstrated the novel M-Index based on tumor morphology and rim location outperformed R.E.N.A.L, PADUA, DAP, and C-Index in the prediction of pathological upstaging (area under curve 0.756 vs. 0.728 vs. 0.641 vs. 0.661 vs. 0.743).

**Conclusions:** Consisting of fewer non-complex parameters, the M-Index is an intuitive and practical tool with satisfactory predictive power for pathological upstaging to T3 in RCC patients undergoing surgery.

**Keywords:** Renal cell carcinoma (RCC); pathological upstaging; tumor morphology; Nephrometry score; M-Index

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## Introduction

The last few years have witnessed the rapidly expanding application of partial nephrectomy (PN) for localized, complex renal cell carcinoma (RCC), owing to advancements in surgical techniques and equipment such as robotic surgical systems. Complex RCC refers to the completely endogenous tumor, and those being close to the renal hilum or renal sinus. Various treatment options for small renal masses including cryoablation, microwave ablation, and radiofrequency ablation have continued to emerge in addition to increasing application of PN (1). The purpose of PN is to completely remove the tumor while preserving the surrounding structures, making less excision of the peritumoral tissue. However, it has led to increasing numbers of missing cases with adverse pathological features, such as sinus fat, calyx or venous infiltration (2).

The diagnostic issue of upstaging clinical tumor stage 1 to 2 (cT1-2) to pathological stage 3 (pT3) has attracted extensive attention and the prognosis of patients with pathological upstaging remains controversial. Some reported that patients with pathologically upstaging renal masses were subject to inferior survival outcomes compared to those without upstaging (3,4), whereas others suggested that pathological upstaging did not result in worse oncological outcomes (5,6). In addition, a recent meta-analysis investigating over 100,000 cases strongly supported that cT1 RCC patients with pT3a upstaging after surgery had a poorer recurrence-free survival and cancer-specific survival than those without pathological upstaging (7). Thus, an accurate prediction for pathological upstaging of cT1-2 RCC is an unmet need to be addressed.

In current study, we retrospectively measured different nephrometry scores through preoperative enhanced computed tomography (CT) images among patients with cT1-2 RCC who underwent surgery. Based on the selected risk factors of pathological upstaging, the M-Index, a novel morphology-based nephrometry scoring system, was developed to predict pathological upstaging to T3 of RCC. Finally, the predictive accuracy and net benefit of M-Index was compared with R.E.N.A.L (radius, exophytic/endophytic, nearness, anterior/posterior, location), PADUA (preoperative aspects and dimensions used for an anatomic classification), DAP (diameter, axial, polar) and C-Index scores. We present the following article in accordance with the TRIPOD reporting checklist (available at <https://tau.amegroups.com/article/view/10.21037/tau-22-430/rc>) for prediction models of risk of disease development or progression.

## Methods

### *Study cohort*

From December 2020 to May 2021, 431 patients clinically diagnosed as RCC in Changhai Hospital were enrolled. Patients whose preoperative digital images could not be obtained or tumor histological type was benign or sarcoma were excluded. In total, 200 patients with cT1-2 RCC were enrolled in this study. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by Ethics Committee of Changhai Hospital (No. CHEC2021-191) and informed consent was taken from all the patients.

The baseline characteristics of included patients were retrospectively collected from medical records: age, gender, body mass index (BMI), tumor laterality, and pathological outcomes (tumor pathological type and TNM stage). The renal tumor characteristics were achieved based on preoperative enhanced CT images: tumor diameter, morphology, depth, and location.

### *Nephrometry scoring system*

According to the protocols described for these nephrometry scoring systems, the R.E.N.A.L., PADUA, DAP, and C-Index scores were determined through enhanced CT images performed within one month before surgery (8-11). The R.E.N.A.L. score consists of radius (tumor size as maximal diameter), exophytic/endophytic properties of the tumor, nearness of tumor deepest portion to the collecting system or sinus, anterior/posterior descriptor and the location relative to the polar line. The preoperative aspects and dimensions used for an anatomical PADUA score were generated to predict the risk of complications by evaluating anterior or posterior, longitudinal, and rim tumor location; tumor relationships with renal sinus or urinary collecting system; and percentage of tumor deepening into the kidney. The DAP score consists of tumor diameter, axial, and polar parameters. Centrality index (C-Index) is described to quantify the proximity of kidney tumors to the renal central sinus. The R.E.N.A.L. score was categorized into low (score 4-6), moderate (score 7-9), and high (score  $\geq 10$ ). The PADUA score was categorized into low (score 6-7), moderate (score 8-9), and high (score  $\geq 10$ ). The DAP score was categorized into low (score 3-5) and high (score 6-9). C-Index score was categorized into low (score  $\leq 1$ ) and high (score  $> 1$ ).

Univariate and multivariate logistic regression analyses

were performed to identify independent predictors of pathological upstaging. A stepwise selection method was applied to select predictors to construct the M-Index, a novel nephrometry scoring system based on tumor morphology. Receiver-operating characteristic (ROC) analyses were applied to distinguish the predictive power of M-Index, R.E.N.A.L., PADUA, DAP, C-Index scores. Decision curve analysis (DCA) was used to examine the net benefit of these nephrometry scoring systems in clinical decision-making at different threshold probabilities of pathological upstaging.

### Statistical analysis

All data processing and statistical tests were performed with SPSS 18.0 (SPSS, IL, USA) and Stata v12.0 (StataCorp., TX, USA). The continuous parametric or nonparametric variables were compared using Student's *t*-test or Mann-Whitney U-test, respectively. The categorical variables were compared using Pearson's Chi-square test. The odds ratios (ORs) and corresponding 95% confidence intervals (CIs) of the selected predictors of pathological upstaging were presented. Statistically significant P value was set at 0.05 with two sides.

## Results

### Baseline characteristics of included patients

Strictly conforming to the inclusion and exclusion criteria, 200 cT1-2 RCC patients who underwent surgical treatment between December 2020 and May 2021 at our center were enrolled. Of the included patients, 34 (17%) were upstaged to pT3 and 166 (83%) were not upstaged (pT1-2). The baseline characteristics and renal tumor characteristics of included patients are listed in *Table 1*. There was no significant difference in distributions of age, gender, tumor laterality, and pathological type between upstaging and non-upstaging groups. Moreover, we compared the independent tumor features, as recorded by the R.E.N.A.L., PADUA, DAP and C-Index scores, between the patients with pathological upstaging or not. The tumors with pathological upstaging tended to have a larger diameter (5.0 *vs.* 4.0 cm,  $P=0.016$ ) and a more irregular morphology (23.5% *vs.* 6.0%,  $P<0.001$ ), which were not regular shapes such as round, oval, or lobular. Furthermore, they were located closer to the center of kidney (52.9% *vs.* 19.9%,  $P<0.001$ ), and nearer to the

collecting system (70.6% *vs.* 50.0%,  $P=0.038$ ) or renal sinus (31.9% *vs.* 52.9%,  $P=0.020$ ).

The tumors with pathological upstaging had higher median R.E.N.A.L. score than those with non-upstaging (9 *vs.* 7,  $P<0.001$ ). The R.E.N.A.L. score, which consists of radial width, exophytic/endophytic growth, nearness to renal sinus, anterior/posterior hilar, and location relative to polar lines could assess the complexity of nephrometry. According to this categorical standard, renal tumors were categorized as low complexity in 73 (36.5%) cases, moderate in 92 (46%) cases, and high in 34 (17%) cases. The other nephrometry scores are also listed in *Table 2*. It showed that the tumors in the pathological upstaging group had higher PADUA scores (10 *vs.* 9,  $P=0.003$ ), higher DAP scores (8 *vs.* 7,  $P<0.001$ ) and lower C-Index scores (1 *vs.* 2,  $P<0.001$ ).

### Risk factors for pathological upstaging

Firstly, the tumor characteristics with significant difference between two groups were regarded as potential risk factors. Secondly, the parameter criteria (Score 1–3) of these eight potential risk factors were set according to *Table S1*. Thirdly, we performed univariate logistic regression analysis to determine independent risk factors for pathological upstaging to T3 of RCC. The results indicated that the tumor diameter and morphology, polar and axial distances, tumor rim and lateral locations, adjacency of tumor to collecting system and renal sinus may constitute independent risk factors for pT3 upstaging (all  $P<0.05$ ) (*Table 3*).

Based on the independent risk factors identified, we performed multivariate logistic regression analysis to construct a novel nephrometry scoring system to predict pT3 upstaging. Finally, the tumor morphology ( $P<0.001$ ) and tumor rim location ( $P=0.023$ ) were found to be significantly associated with pT3 upstaging (*Table 3*). Consisting of two non-complex parameters such as tumor morphology and rim location, a novel nephrometry score special for predicting pT3 upstaging was developed. Due to its morphology-based features, the novel nephrometry scoring system was named as M-Index.

### Predictive performance of nephrometry scoring systems

The performance of predicting pathological upstaging for M-Index was compared with previously reported nephrometry scoring systems including R.E.N.A.L., PADUA, DAP, and C-Index. The M-Index [area under curve (AUC): 0.756] came out to be the greatest accurate

**Table 1** Baseline characteristics and renal tumor characteristics of included patients

Variable	Without upstage (n=166)	With upstage (n=34)	P value
Age, years, median [IQR]	56 [51–64]	61 [54–68]	0.085
Gender			0.770
Female	38	7	
Male	128	27	
BMI (kg/m <sup>2</sup> ), median (IQR)	24.4 (22.3–27.0)	24.5 (22.6–27.1)	0.985
Surgical approach			0.046
Open	4	4	
Laparoscopic	126	26	
Robot-assisted laparoscopic	36	4	
Tumor laterality			0.223
Left	69	18	
Right	97	16	
Maximum tumor diameter (cm), median (IQR)	4.0 (3.0–5.0)	5.0 (4.2–6.1)	0.016
Tumor morphology			<0.001
Round	144	14	
Lobular	12	12	
Irregular	10	8	
Tumor depth			0.522
≥50% exophytic	105	18	
<50% exophytic	52	14	
Endophytic	9	2	
Tumor longitudinal location			0.068
Upper/lower	92	13	
Middle	74	21	
Polar distance			0.003
Distance to polar lines >2 cm	51	1	
Distance to polar lines ≤2 cm	34	10	
Overlap renal hilum level	81	23	
Tumor rim location			<0.001
Outer	98	10	
Inner	35	6	
Renal hilar lesion	33	18	
Tumor lateral location			0.001
Anterior	60	9	
Posterior	71	7	
Touching renal artery or vein	35	18	

Table 1 (continued)

**Table 1** (continued)

Variable	Without upstage (n=166)	With upstage (n=34)	P value
Axial distance			0.015
Distance to axial midline >1.5 cm	62	4	
Distance to axial midline ≤1.5 cm	37	11	
Overlap axial renal midline	67	19	
Nearness of tumor to collecting system			0.038
Yes	83	24	
No	83	10	
Nearness of tumor to renal sinus			0.020
Yes	53	18	
No	113	16	
Pathological type			0.488
Clear cell	154	31	
Papillary	7	3	
Chromophobe	5	0	

BMI, body mass index; IQR, interquartile range.

**Table 2** Overall nephrometry scores and distributions of renal tumor in included patients

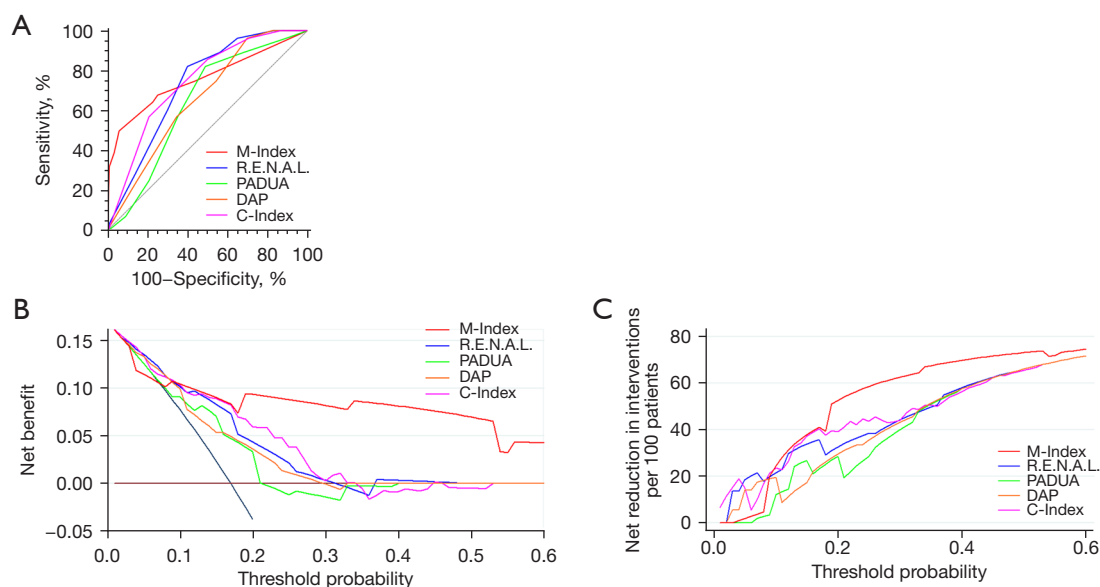
Variable	Without upstage (n=166)	With upstage (n=34)	P value
R.E.N.A.L. score, median [IQR]	7 [5–9]	9 [8–10]	<0.001
R.E.N.A.L. risk			<0.001
Low [4–6]	70	3	
Moderate [7–9]	72	20	
High [≥10]	24	11	
PADUA score, median [IQR]	9 [7–10]	10 [9–11]	0.003
PADUA risk			0.005
Low [6–7]	51	3	
Moderate [8–9]	54	9	
High [≥10]	61	22	
DAP score, median [IQR]	7 [5–8]	8 [7–9]	<0.001
DAP risk, n (%)			0.001
Low [3–5]	48	1	
High [6–9]	118	33	
C-Index score, median [IQR]	2 [2–4]	1 [1–2]	<0.001
C-Index risk, n (%)			<0.001
Low (≤1)	37	21	
High (>1)	129	13	

R.E.N.A.L., radius, exophytic/endophytic, nearness, anterior/posterior, location; IQR, interquartile range; PADUA, preoperative aspects and dimensions used for an anatomic classification; C-Index, centrality index; DAP, diameter, axial, polar.

**Table 3** Univariate and multivariate logistic regression analyses of risk factor for pathological upstage in patients with T1-2 renal tumor

Variable	Univariate logistic regression			Multivariate logistic regression		
	OR	95% CI	P value	OR	95% CI	P value
Maximum tumor diameter	1.97	1.06–3.68	0.033	0.98	0.46–2.07	0.952
Tumor morphology	3.47	2.08–5.80	<0.001	3.26	1.79–5.97	<0.001
Polar distance	2.20	1.27–3.84	0.005	1.92	0.92–4.03	0.084
Tumor rim location	2.33	1.50–3.63	<0.001	2.95	1.16–7.46	0.023
Tumor lateral location	2.03	1.23–3.34	0.006	0.70	0.30–1.64	0.413
Axial distance	1.81	1.13–2.90	0.014	1.43	0.75–2.74	0.282
Nearness of tumor to collecting system	2.40	1.08–5.33	0.032	0.68	0.22–2.14	0.514
Nearness of tumor to renal sinus	2.40	1.13–5.07	0.022	0.42	0.09–1.94	0.267

OR, odds ratio; CI, confidence interval.



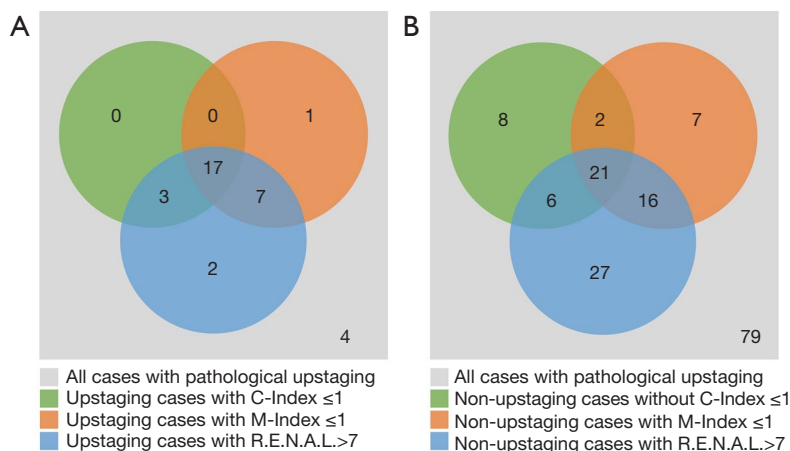
**Figure 1** Comparison among R.E.N.A.L., PADUA, DAP, C-Index, and M-Index of (A) ROC curves in predicting the pathological upstage in patients with cT1-2 renal tumor, (B) net benefit and (C) net reduction in missing prediction of pathological upstage in patients with cT1-2 renal tumor. R.E.N.A.L., radius, exophytic/endophytic, nearness, anterior/posterior, location; PADUA, preoperative aspects and dimensions used for an anatomic classification; C-Index, centrality index; DAP, diameter, axial, polar; M-Index, tumor morphology rim location; ROC, receiver-operating characteristic.

predictor and outperformed other nephrometry scores including R.E.N.A.L. (AUC: 0.728,  $P=0.617$ ), PADUA (AUC: 0.641,  $P=0.026$ ), DAP (AUC: 0.661,  $P=0.100$ ) and C-Index (AUC: 0.743,  $P=0.778$ ) (Figure 1A). Furthermore, the DCA showed that the M-Index was clearly superior to the other nephrometry scoring systems with a higher net benefit for all threshold probabilities greater than

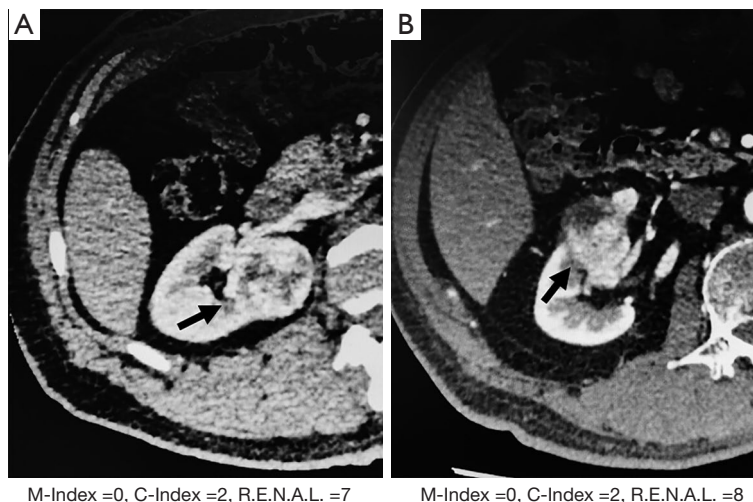
10% for patients with cT1-2 RCC undergoing surgical treatment (Figure 1B). For example, if a pathological upstaging risk of 10% to 20% is considered as the threshold probability for cT1-2 RCC, decision based on M-Index score would reduce 24.5% to 52.5% of missing prediction (Figure 1C and Table S2).

Among the total 34 patients with pathological upstaging,





**Figure 2** The distribution diagram of (A) patients with pathological upstaging and (B) patients without pathological upstaging. R.E.N.A.L., radius, exophytic/endophytic, nearness, anterior/posterior, location; C-Index, centrality index; M-Index, tumor morphology rim location.



**Figure 3** Examples for M-Index in predication of pathological upstage in patients with cT1-2 renal tumor. Arrow indicates the location of renal tumor. R.E.N.A.L., radius, exophytic/endophytic, nearness, anterior/posterior, location; C-Index, centrality index; M-Index, tumor morphology rim location.

there were, respectively, 25 and 29 cases with M-Index less than or equal to 1 and R.E.N.A.L. higher than 7, more than the 20 cases with C-Index less than or equal to 1 (Figure 2A). However, as for non-upstaging patients, R.E.N.A.L. score misidentified the most number of non-upstaging cases than the M-Index and C-Index scores (70 vs. 46 vs. 37) (Figure 2B). Moreover, the typical CT images of upstaging patients with M-Index equal to 0 are shown in Figure 3, while C-Index and R.E.N.A.L. scores might predict them as non-upstaging cases.

### Discussion

Over the past decades, the incidence of RCC has increased significantly (12). Due to the wide use of new diagnostic technology and the strengthening awareness of cancer screening, most RCCs were diagnosed at their cT1-2 stages (13). Those patients could benefit from surgical treatment, such as radical nephrectomy (RN) and PN. There was no statistically significant difference in survival prognosis comparing PN to RN (14). However, 4% to 25% of these tumors were found to have occult adverse

pathological features at final pathology report, such as perirenal or sinus fat invasion or tiny tumor thrombus, and these cases would be diagnosed as pT3 RCC (1,15,16). It has been demonstrated that cT1-2 RCC patients upstaging to pT3 after surgery seem to have a worse oncological outcome than those non-upstaging patients (4,17). Among these patients, PN presented significantly inferior recurrence-free survival and worse oncologic outcomes relative to RN, such as distant metastasis (18,19). Therefore, preoperative identification of those cT1 RCC patients who are most likely to be pathologically upstaged is extremely important, and this may help clinicians in decision-making and patient counseling. In current study, we developed a morphology-based nephrometry scoring system for predicting pT3 upstaging of RCC.

The nephrometry scoring system was developed in 2009 originally to quantify anatomic characteristics of RCC to overcome the dilemma of surgical decision on PN or RN because of the tumor complexity. The R.E.N.A.L., PADUA, DAP, and C-Index scores were determined through enhanced CT images performed within one month before surgery, and were all successfully used to predict warm ischemia time, blood loss, complications including urine leak, length of hospital stay, and functional recovery (9,10). More recently, there have been attempts to correlate these nephrometry scoring systems with tumor pathology and biology, declaring that cT1 RCC with higher scores were more likely to be of a higher pathological stage (20,21). Although frequently used, controversy does exist regarding the possible role of R.E.N.A.L. score as a predictor of malignancy and aggressiveness of RCC (22). These scoring systems were originally conceived for the evaluation of surgical complexity and morbidity of PN, which were suboptimal in predicting pathological upstaging (23).

The applications and limitations of previous studies were conspicuous. Multiple and overlapped parameters caused the inefficiency of nephrometry scoring system, resulting in a decrease in specificity and sensitivity (17,19). Due to the accuracy and immediacy, the R.E.N.A.L. score is the most widely used nephrometry scoring system for assessing the complexity of RCC. However, its accessibility is reduced due to its large number of parameters (17). By contrast, the C-Index score is simple in parameters, but complex in calculation. More importantly, it regards the kidney as an approximate ellipsoid, ignoring the irregularity of tumor (24). The DAP score is a synthesis and simplification of R.E.N.A.L. and C-Index, but it did not describe the relationship between tumor and collecting

system (25). There remains an unmet demand for a simple and specialized tool to better characterize pathological upstaging in the preoperative setting.

Given this clinical need, we sought to identify risk factors of pT3 upstaging in RCC. In our research, tumor morphology and tumor rim location were significantly associated with pT3 upstaging, as a novel nephrometry scoring system M-Index. Due to the proliferative activity and heterogeneity of renal tumor, our study confirmed that irregular tumor morphology was related to the aggressiveness of tumor, which could lead to tumor progression. This finding also seems to be congruent with the previous report (1). On the other hand, the renal hilar location is a relatively composite parameter. According to the results of our own and other researchers, the renal hilar location is not only related to the histology of tumor progression, but also related to the difficulty of surgical treatment (26,27).

The current study is not without limitations. Firstly, 34/200 (17%) of our cohort had their RCC upstaged to pT3a, which was relatively greater than the rates reported by other researchers (5,28). An explanation for these discordant results might have been that our cohort included patients who underwent RN and PN for the cT1-2 tumors. Secondly, we did not perform the survival analysis due to short follow-up time. Thus it was unverifiable that pT3 upstaging could affect prognosis of RCC. Thirdly, the associations between M-Index and pathological upstaging risk should be validated in external cohorts.

## Conclusions

In summary, we have demonstrated that patients with cT1-2 RCC upstaging to pT3 tended to have large tumor diameter, irregular tumor morphology, inner tumor location, and short polar and axial distance, compared to those without upstaging. Consisting of fewer non-complex parameters (tumor morphology and rim location), the M-Index is intuitive, practical, and outperformed R.E.N.A.L., PADUA, DAP, C-Index in predicting pathological upstaging to T3 in RCC patients undergoing surgery.

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## Footnote

*Reporting Checklist:* The authors have completed the TRIPOD reporting checklist. Available at <https://tau.amegroups.com/article/view/10.21037/tau-22-430/rc>

*Data Sharing Statement:* Available at <https://tau.amegroups.com/article/view/10.21037/tau-22-430/dss>

*Peer Review File:* Available at <https://tau.amegroups.com/article/view/10.21037/tau-22-430/prf>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://tau.amegroups.com/article/view/10.21037/tau-22-430/coif>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by Ethics Committee of Changhai Hospital (No. CHEC2021-191) and informed consent was taken from all the patients.

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**Table S1** The parameter criteria of the potential risk factor for pathological upstage in patients with T1-2 renal tumor

Variable	1	2	3
Maximum tumor diameter	≤2.5 cm	2.5-3.5cm	>3.5 cm
Tumor morphology	Round	Lobular	Irregular
Polar distance	Distance to polar lines >2 cm	Distance to polar lines ≤2 cm	Overlap renal hilum level
Tumor rim location	Outer	Inner	Renal hilar lesion
Tumor lateral location	Anterior	Posterior	Touching renal artery or vein
Axial distance	Distance to axial midline >1.5 cm	Distance to axial midline ≤1.5 cm	Overlap axial renal midline
Nearness of tumor to collecting system	No	Yes	-
Nearness of tumor to renal sinus	No	Yes	-

**Table S2** Net Benefit and Reduction in missing prediction of pathological upstage in patients with T1-2 renal tumor

Threshold probability, %	Net benefit, %						Net reduction in missing prediction, %				
	Treat all	R.E.N.A.L.	PADUA	DAP	C-Index	M-Index	R.E.N.A.L.	PADUA	DAP	C-Index	M-Index
10	7.8	10.2	8.2	9.9	10.3	10.5	21.5	4.0	19.5	22.5	24.5
15	2.4	6.3	7.1	5.8	8.1	9.9	22.5	26.8	19.5	32.8	42.5
20	-3.8	4.4	3.8	3.6	6.0	9.4	32.5	16.5	29.5	39.0	52.5
25	-10.7	1.5	-0.7	1.2	2.3	8.8	36.5	30.0	35.5	39.0	58.5
30	-18.6	0.4	-1.4	-0.1	1.3	9	44.2	40.0	43.2	46.3	64.3

R.E.N.A.L., radius, exophytic/endophytic, nearness, anterior/posterior, location; PADUA, preoperative aspects and dimensions used for an anatomic classification; DAP, diameter, axial, polar; C-Index, centrality index; M-index, tumor morphology rim location.