



# Method of alkalization and monitoring of urinary pH for prevention of recurrent uric acid urolithiasis: a systematic review

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**Abstract:** Uric acid (UA) urolithiasis comprises around 5–10% of all stones and can frequently recur. Due to the fact that UA stones form in acidic urine with a pH <5.5, these patients require special attention compared to other stone patients. The international guidelines suggest treatment and metaphylaxis by urinary alkalization. The objective of this review is to critically assess the available evidence concerning the method and efficacy of this treatment modality. A systematic review on the methods of metaphylactic therapy using oral alkalization of UA urolithiasis was conducted by two authors. Evidence was sought using a predefined search strategy in seven different databases. The provided evidence was critically evaluated using the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) and Cochrane collaboration tool for assessing the risk of bias. Twelve manuscripts were included of which one was a randomised trial. They focussed on ways to alkalize urine and its effect on stone recurrence. Because of their methodology and heterogeneity, the evidence is presented in a narrative review. There were differences in medication used for alkalizing urine, ways of monitoring urine pH and evaluating its efficacy. The reported outcomes also differed between studies. There is currently a lack of clear evidence for the method of alkalization of urine and the method of pH measurement. Besides this, for an established treatment modality, there is lack of long term results for the alkalization therapy. In conclusion, urine alkalization is an established treatment modality for the metaphylaxis of UA urolithiasis despite the lack of evidence from high quality studies on the methods of alkalization and its treatment efficacy. The studies published on this topic are scarce and contain notable risks of bias which should be kept in mind when interpreting the stated results.

**Keywords:** Urolithiasis; uric acid (UA); pH; metaphylaxis; alkalization

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## Introduction

Urolithiasis is a common urologic condition with a wide geographic variation of prevalence worldwide; 7–13% in North America, 5–9% in Europe and 1–5% in Asia (1), and recurrence rate up to 50% in five years (2). The risk

of recurrence depends on the stone composition and is based on an underlying cause. Uric acid (UA) stones are considered to have a high risk of recurrence (3). In the United States the frequency of UA stones lies around 10% of all stones (4). Trinchieri and Montanari estimated the UA stone prevalence of more than 1% in the US, 0.4–0.7% in

Europe and varying in Asia between 0.2% in northern India and 3.0% in Thailand (5).

There are three main components related to UA urolithiasis formation; low urinary pH (i.e., of  $\leq 5.5$ ), low urinary volume and hyperuricosuria. The solubility of UA in urine is determined by the acidity of the urine. With a logarithmic acid dissociation constant (pKa) of 5.53 (6) a low urine pH of  $\leq 5.5$  leads to a higher concentration of insoluble UA supersaturation of UA. Siener and Hesse described supersaturation as a function of urinary UA concentration and urinary pH (7). This explains that at a low pH even a small amount of UA can lead to crystal formation and that increasing the pH allows a large amount of UA in the form of the soluble urate to be present in the urine without risk of stone forming (8-10).

As is expected, patients with UA stones commonly have a lower urinary pH than other stone-type or non-stone formers (11). Furthermore UA urolithiasis is found to be more common in people with diabetes mellitus type II (12), disorders seen in the metabolic syndrome, high BMI and chronic diarrhea with bicarbonate loss resulting from bowel surgery or inflammatory bowel disease (13).

The metaphylaxis, or recurrence prevention focuses on the three main components of UA stone formation; increase of urinary volume, prevention of hyperuricosuria and increase of urinary pH. By increasing daily fluid intake the urinary volume increases thereby decreasing the concentration of UA (14). The association between high protein diets and increasing urinary risk factors for UA stones has been demonstrated (7,15). This has led to the introduction of dietary advice as part of the prevention of UA urolithiasis recurrence.

The effect of urine pH on the formation of UA urolithiasis forms the basis of alkalinizing therapy. The risk of UA crystal formation is highest at a urine pH  $\leq 5.5$  due to supersaturation of UA. Following this principle we know that raising the urine pH to  $\geq 6.0$  UA stone formation can be halted and even dissolved (9). Medication, usually potassium citrate (KCit), sodium citrate (NaCit) or sodium bicarbonate ( $\text{NaHCO}_3$ ) can be used to increase the urinary pH (16) and decrease the risk of supersaturation and thereby stone recurrences.

In our search for a good treatment and follow up protocol for our UA patients we found that, despite the fact that these therapeutic options have been known for some time (17), there is relatively scarce evidence in the literature. Therefore, a systematic review of available literature concerning the methods and effect of metaphylaxis of UA

urolithiasis was conducted to evaluate the evidence on the method, follow up and efficacy of this treatment modality.

## Methods

The objective of this review was to assess literature on the methods of metaphylactic therapy using oral alkalinization of UA urolithiasis and to critically evaluate the evidence provided. The review was based and written accordingly to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (18). We planned to do a quantitative analysis of included studies if deemed possible, otherwise the results would be presented as a narrative review.

### Search strategy

A literature search was conducted in August 2018, performed independently by two authors (JW van Hattum and GM Kamphuis), using seven different databases; MEDLINE (PubMed), Embase (Ovid), TRIP, the Cochrane library, National guideline clearinghouse, clinicaltrials.gov and CINAHL. Search terms used, in combination with Boolean operators (AND, OR), were “urolithiasis/nephrolithiasis/(kidney) stones”, “uric acid/urinary pH/acidity”, “therapy/prevention/secondary prevention” and “recurrence” from inception of databases to August 2018. Additionally, high regarded international guidelines on urolithiasis were studied [the European Association of Urology (EAU), American Urology Association (AUA)].

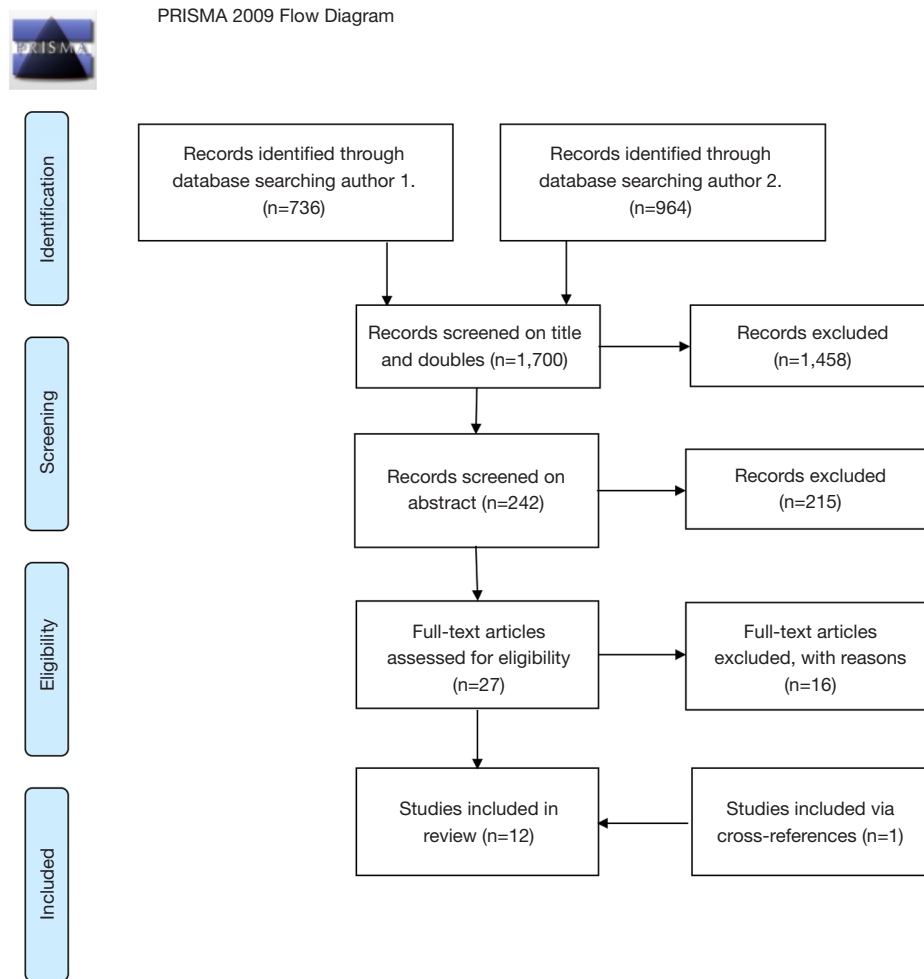
### Inclusion criteria

- (I) Articles, written in English or German considering ways of medical metaphylaxis of UA urolithiasis and control of urine pH.
- (II) Studies carried out in humans.

### Exclusion criteria

- (I) Studies only discussing surgical or dissolution therapy.
- (II) Animal studies, laboratory studies and review articles.

Outcomes of interest were method of alkalinizing therapy (description of medication and dosage), method of urine-pH control, recurrence rate, diagnostic tools used to determine recurrence during follow-up and patient compliance. Cross references of included studies were performed to identify



**Figure 1** PRISMA flow chart summary of search and inclusion of articles. PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses.

further relevant evidence.

### ***Risk of bias assessment***

After inclusion the risk of bias of individual studies was determined using the Cochrane collaboration tool for assessing the risk of bias (19). To address external validity of studies the differences in treatment and follow-up between studies were compared.

## **Results**

### ***Included clinical studies***

After removing duplicates and subsequently screening

on title, abstract and full-text by two authors a total of 12 articles were included in the final review (see *Figure 1*). A summary of the articles is given in *Table 1*. Three studies consisted only in the form of congress abstracts and were not available as full-text articles. Only one study randomly divided patients into different treatment groups (31). The other 11 studies consisted of non-randomized studies (20-30). We focused on the risk of selection bias, the presence of a comparison group and the risk of selective reporting due to exclusion or attrition.

We evaluated the reported outcome, the method of alkalinization, the method of pH measurement and the result of alkalinization. Most studies were based on case-series without a comparison group. Upon reviewing the obtained evidence it was concluded that due to small sample sizes

**Table 1** Characteristics of clinical studies included in review

Study (language)	Year	Study design	No. of patients (mean age yr)	Medication	Method of pH control (range)	Follow-up period (mean)	Results	Summary of study and comments
Kollwitz (20) (German)	1966	Case series	36	NaKCit	pH paper (5.4–7) TDS	2–3 yr (16 mo)	No recurrence during FU	36 pts with metaphylaxis using oral alkalinization therapy: 8 quit therapy, 7 were followed up for a short period. Results of 21 patients
Makrigiannis and Gaca (21) (German)	1970	Case series	42 (46 yr)	NaKCit	pH paper TDS	6–36 mo	No recurrence during FU	Stone dissolution and metaphylaxis by oral alkalinization. No loss of FU or method of recurrence detection described
Schneider <i>et al.</i> (22) (German)	1970	Case series	22 (56 yr)	Mixture of NaCit, KHCO <sub>3</sub> and citric acid	pH paper (5–7.3) TDS	NR	Decrease of recurrences	Stone dissolution and metaphylaxis by oral alkalinization in 22 patients. No recurrence rate reported and no follow-up duration reported
Petritsch (23) (English)	1977	Case series	140	Mixture of NaKCit	pH paper TDS	NR	Dissolution of stones in 111 pts	Stone dissolution and metaphylaxis by oral alkalinization. Follow-up duration and metaphylaxis outcome not reported
Pak <i>et al.</i> (24) (English)	1984	Dose response study	22 HV, 21 UA or Ca stones	Slow release KCit vs. liquid KCit varying dosages and regimes	Method NR, 24 h urine, at start and end of follow up	1–15 mo	pH 5.57 to 6.56 (P<0.001), to 5.75 after withdrawal	Comparing urinary chemistries of HV and stone patients on several different KCit dosages and regimes. Treatment allocation not described
Pak <i>et al.</i> (25) (English)	1986	Case series	18	11 pts on Kcit 30–80 mEq/day, 7 pts on Kcit + other	Method NR, 24 h urine, every four months	1–5.3 yr (2.78 yr)	Recurrence rate 1.20/yr →0.01/yr	Management of 18 UA (6 UA, 12 UA + Ca) urolithiasis patients with KCit alone or KCit and HCT/allopurinol and four monthly control with 24 h urine, abdominal radiography every 1–2 years for recurrence detection
Rodman (26) (English)	1991	Retrospective case series	17	Several different K alkali single dose every 2 days	pH paper (6.0–8.0) 2 hours after medication	1–3 yr (2.5 yr)	No recurrence while on regimen	Description of own practice protocol: inclusion of patients based on urine pH. K every two days. Target pH 7.0, 2 h after intake, measured with pH-paper. Therapy started in 45 pts according to protocol, FU of 17 pts

**Table 1** (continued)

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Study (language)	Year	Study design	No. of patients (mean age yr)	Medication	Method of pH control (range)	Follow-up period (mean)	Results	Summary of study and comments
Cameron <i>et al.</i> (27) (English)	2008	Case report	1 (13 yr)	KCit	Method NR, 2, 4 and 24 h urine	1 yr	Reduction of stone episodes	KCit dosage based on 24 h urine, pt continued experiencing stone episodes, diurnal urine pH variation was determined and dosage adjusted accordingly which lead to decrease of stone episodes
Spivacow <i>et al.</i> (28) (English)	2010	Retrospective Case series	120 with UAU (48.7 yr)	KCit varying dosage	pH electrode, 24 h urine every 3 months	2–60 mo (12 mo)	Urine pH from 5.2 to 5.62 P<0.001. 24 pt with FU >24 m 91% had no recurrence	Charts of 215 pts retrospective reviewed of whom 120 had UAU. No information on stone type. Treated with KCit with a minimal FU duration of 6 months with 24h urine every 3 months. 24 pts treated >24 months; 91% had no recurrence
Caddeo <i>et al.</i> (29) (English)	2013	Retrospective cohort study	48 urate stone formers (62 yr)	In 23 pts alkalinization recommended. Medication and dosage NR. 25 pts not treated	NR	NR	Recurrence during alkalinization in 7/23 pts (30.4%). Recurrence without alkalinization in 7/25 pts (28%)	Charts of 48 urate stone formers retrospectively reviewed: 23 pts alkalinization recommended. Recurrence in 7 pts after average of 9 months (1–26 m). Abstract only, medication dosage and urine pH control not reported, compliance not reported
Normand and Gottis (30) (English)	2013	Case series	91	Kcit 4–12 g dissolved in 1.5 L water	Method NR, TDS	NR	Recurrence rate from 1.53 to 0.03 stones per year	91 patients treated, of whom half first treated as stone dissolution, before stone recurrence treatment. Urine pH range 6–6.5. Abstract only, Follow-up duration not reported, loss of patients not reported
Eidenwy <i>et al.</i> (31) (English)	2017	RCT	120 (3 yr), range 0.5–13	Kcit continuous 0.5 mEq/kg vs. on-demand Kcit 1 mEq/kg/day	NR, urinalysis for crystalluria performed	At least 3 yr	Stone-free rate 96.7% for continuous Kcit vs. 93.3% in on-demand	60 patients in each group, randomly divided (method not reported), no control of urine pH mentioned besides urinalysis and ultrasound every 4 months. Compliance of FU protocol differed in favor of on-demand treatment. Difference not described. Abstract only. Loss of patients not reported

RCT, randomized controlled trial; UA, uric acid; NR, not reported; FU, follow-up; TDS, three times a day; mo, months; yr, year; UAU, unduly acidic urine defined as repeated urine pH <5.5; HV, healthy volunteer; KCit, potassium-citrate; NaCit, sodium-citrate; HCT, hydrochloride thiazide; SS, supersaturation.

(number of patients varying between one and 140), variable methodology and reported outcomes, a quantitative analysis was not possible. Therefore, the analysis of literature resulted in a narrative review.

### **Reported outcome**

Of the 12 included studies, one focuses on fluctuations in urine chemistries under different types and dosages of medication (31). This study was included in the review because it delivers important evidence for alkalization as a treatment modality which is applied in the other included studies. Five studies considered stone recurrence as primary outcome (25,26,28,29,31). Three of these reported either a decrease in stone recurrence rate or no recurrences at all during follow-up. One study (29), which only states if alkalization was suggested but does not discuss the actual compliance, reports no significant difference in stone recurrence between the alkalization therapy group (30.4%) and the control group (28%) during follow-up. Elderwy and colleagues (31) report a stone-free rate of 96.7% and 93.3% in the continuous group and on-demand treatment group respectively. It also reports a difference in compliance towards the follow-up protocol in favor of the on-demand treatment group. No further explanation about the regimen of continuous or on-demand alkalization is given. Six studies considered both stone dissolution in active stone disease and stone recurrence prevention (20-23,27,30).

### **Method of alkalization**

All included studies but one described the medication used for alkalization therapy (24). The type of medication varied between studies. In six studies Potassium Citrate (KCit) was the drug of choice (24,25,27,28,30,31) whereas in four others a mixture of sodium-potassium citrate (NaKCit) was used (20-23). Rodman (26) describes using different potassium salts and if necessary adding sodium bicarbonate. Based on the urinalysis of 24 h urine, Sakhae and colleagues favor KCit above alkali containing sodium because of the possible advantage of decreasing the chance of calcium stone formation (11).

### **Method of pH measurement**

All studies, with exception of Normand (30) and Elderwy (31), describe the measurement of urine pH as guidance for medication dosages. The method of urine pH

measurements with the use of pH-paper was done in five studies (20-23,26), in one study the pH was measured using a pH electrode (23), while the remaining studies did not disclose the method of pH determination (24,25,27,29). Six studies describe measurement of the pH in freshly voided urine samples (20-23,26,28) while three used pooled 24-hour urine (24,25,27). The case report by Cameron *et al.* (27) describes a circadian fluctuation of urine pH. This suggests that 24 h collections might not be ideal for follow-up in the treatment of UA urolithiasis. Other papers by Kollwitz (20), Makrigiannis (21) and Schneider (22) all describe a clear treatment in which pH-paper with different scales is used to monitor the urine pH by patients themselves and the dosage of alkali adjusted based on these results. Rodman (26) proposed a treatment schedule, in which patients only need a single dose every two days as long as the urine pH rises to 7.0 measured with pH-paper two hours after intake.

### **Results of alkalization**

Three studies report positive results in terms of dissolution and prevention of stone recurrence (23,27,30). Rodman (26) found no recurrences when the treatment protocol was upheld and stated that if a stable state is reached patient could lower the frequency of measurements to once in every two weeks. Pak (25) and Spivacow (28) based the medication dosages on pooled 24 hour urine every three or four months at the outpatient clinic and both reached a significant decrease in stone recurrence rate. Elderwy (31) reports high stone free rates in both treatment groups with only six patients with recurrences, five of which were dissolved by alkalization.

### **The risk of bias**

The risk of bias was assessed using the Cochrane collaboration tool (19). In general, the risk of bias was unclear or high. Most studies were retrospective case series with chance of selection bias, follow up bias and heterogeneity in outcome measures. The only study which randomly assigned patients in treatment groups (31) did not discuss the method of randomization. We summarize the risk of bias in *Figure 2*.

## **Discussion**

The principle of metaphylaxis of UA urolithiasis by oral alkalization therapy is standard treatment and included in

	Selection bias	Incomplete outcome data	Selective outcome data reporting	Methods of outcome measurement described	Method of metaphylaxis described	Method of pH-control
Caddeo, Mukhtar, Ratan 2013	-	-	-	+	-	-
Cameron, Baker, Maalouf <i>et al.</i> 2008	-	-	-	?	+	+
Elderwy, Safwat, Shahat <i>et al.</i> 2017	?	?	?	+	+	-
Kollwitz 1996	?	?	?	?	+	+
Makrigiannis, Gaca 1970	?	-	-	?	+	+
Norman, Gottis 2013	?	-	-	-	+	?
Pak, Sakhaee, Fuller 1986	?	+	?	+	+	+
Pak, Skurla, Brinkley <i>et al.</i> 1984	?	?	?	?	+	+
Petritsch 1977	+	-	-	?	+	?
Rodman 1991	-	-	-	-	+	+
Schneider, Scharnke, Rolle 1970	?	-	-	?	+	+
Spivacow, Negri, Polonsky <i>et al.</i> 2010	-	-	-	+	+	+

**Figure 2** Risk of bias summary. Review authors' judgement about each risk of bias item for each included study. + clearly described method and low risk of bias; ? unclear described method and risk of bias; - no description given and high risk of bias.

AUA (32) and EAU (33) guidelines. In this review we find that there is limited evidence on how to apply alkalization therapy in both method and measurement on the effect on urinary pH level. Only one randomized study was identified compared to 11 non-randomized studies which inherently leads to a high risk of bias and limited external validity.

#### **Urine collection: 24 hours urine or periodic measurements**

There are two different collection conditions mentioned throughout the different studies. This could have significant impact on the outcome of the measurement. In the case of 24 hr urine collections, the pH is prone to alkalization in

time, due to bacterial growths and formation of ammonia from the breakdown of urea, especially if the right storage and preservation conditions are not met (34). One study by Kessler and Hesse (35) mentioned the use of preserving agents and cold storage during the collection period, while the other studies have not commented on this matter. Also the timing of collection can have an influence on the result, e.g., in relation to the time of medication, and in light of the circadian rhythm as mentioned in the report of Cameron *et al.* (27).

Periodic measurements direct after urinating seems to be favourable, but no evidence exists on the number of times a day, or days a week.

### *Measurement of urinary pH: pH paper or electronic pH measurement*

There seems to be considerable variation in the methods used to monitor the treatment. Although most studies use periodic measurements of urine pH, there is no uniformity in measurement intervals, the collection conditions and method of analysis. Generally, it can be stated that potentiometric determination of the urine pH allows for greater accuracy than the use of indicator paper. One could argue that the higher resolution that is obtainable with a potentiometric pH meter might not be of relevance in the treatment of UA urolithiasis, but there is no clear evidence for this. Evaluation of urinary pH with pH paper could however lead to errors in follow up, due to problems with interpretation of color changes of the paper. This could be particularly the case when the determination of the pH is done by the patient and not by trained laboratory technicians. Recently developments have been made towards the implementation of electronic pH measuring devices. De Coninck (36) evaluated the use and accuracy of a portable electronic device compared to reagent strips and Omar (37) compared the use of such a device during dissolution therapy with reagent strips.

### *Type of medical intervention with dosage and frequency*

The type of medication used is in general Sodium citrate or Potassium citrate or a combination of both. The choice of medication is probably in general decided on local custom and preferences. No comparison studies have been done on effect, efficacy or patient experience. Also, the administration of the medication varies widely, from daily once to several times up to periodically usage.

### *Area of future research*

Urologist worldwide use alkalization of urine for the metaphylaxis of UA urolithiasis. In our experience, a proportion of UA urolithiasis patients still have frequent recurrences. The question rose whether or not we were using the right medication, administration regime for usage or pH measurement tools. Our review shows the lack of unbiased evidence for this general applicable method in decreasing UA stone recurrence rates. There is a long history of papers on UA urolithiasis and the possible treatment of alkalization, however, the quality of evidence is debatable. In both the AUA (32) and EAU (33) guidelines

alkalization is recommended. However, no explanation on the exact way to do so, or follow-up or effectiveness are discussed or commented upon. As is shown in this review the recommendations for alkalizing urine in the urological daily practice are based on non-randomized trials containing different methods.

### **Conclusions**

There is clear advice on prevention of UA stones in patients with recurrent urolithiasis by alkalization of urine. But guidance on how this should be done including the type, dosage and duration is still lacking. The evidence on the method of metaphylaxis for UA urolithiasis by alkalization of urine through oral alkalization therapy and pH measurement and follow up is limited. The studies published on this topic are scarce and contain notable risks of bias which should be kept in mind when interpreting the stated results.

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### **Footnote**

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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