

# A deep learning model for lymph node metastasis prediction based on digital histopathological images of primary endometrial cancer

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**Background:** The current study aimed to develop a deep learning (DL) model for prediction of lymph node metastasis (LNM) based on hematoxylin and eosin (HE)-stained histopathological images of endometrial cancer (EC). The model was validated using external data.

**Methods:** A total of 2,104 whole slide image (WSI) from 564 patients with pathologically confirmed LNM status were collated from West China Second University Hospital. An artificial intelligence (AI) model was built on the multiple instance-learning (MIL) framework for automatic prediction of the probability of LNM and its performance compared with "Mayo criteria". An additional external data source comprising 533 WSI was collected from two independent medical institutions to validate the model's robustness. Heatmaps were generated to demonstrate regions of the WSI that made the greatest contributions to the DL network output to improve understanding of these processes.

**Results:** The proposed MIL model achieved an area under the curve (AUC) of 0.938, a sensitivity of 0.830 and a specificity of 0.911 for LNM prediction to EC. The AUC according to Mayo criteria was 0.666 for the same test dataset. For types I, II and mixed EC, AUCs were 0.927, 0.979 and 0.929, respectively. The predictive performance of the MIL model also achieved an AUC of 0.921 for early staging. In external validation data, the proposed model achieved an AUC of 0.770, a sensitivity of 0.814 and a specificity of 0.520 for LNM prediction. AUCs were 0.783 for type I and 0.818 for early stage EC.

**Conclusions:** The proposed MIL model generated from histopathological images of EC has a much better LNM predictive performance than that of Mayo criteria. A novel DL-based biomarker trained on different histological subtypes of EC slides was revealed to predict metastatic status with improved accuracy, especially for early staging patients. The current study proves the concept of MIL-based prediction of LNM in EC for the first time, and brought a new sight to improve the accuracy of LNM prediction. Multicenter prospective validation data is required to further confirm the clinical utility.

Keywords: Endometrial cancer (EC); lymph node metastasis (LNM); deep learning model; prediction

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# Introduction

Endometrial cancer (EC) is a common gynecological malignant tumor. In the past 20 years, the incidence of EC has shown an upward trend worldwide, becoming the most prevalent malignant tumor of the female reproductive system in some developed countries (1,2). Lymph node metastasis (LNM) is a common complication of EC and an independent risk factor that affects postoperative recurrence and prognosis. Clinical studies have shown that EC patients with LNM often have a poor prognosis (3,4). Indeed, patients without LNM have a 5-year overall survival (OS) rate of 96% which drops to 57% in the presence of systemic pelvic LNM and 49.4% with para-aortic LNM (3), and recurrence rates are as high as 60% (4). Therefore, accurate prediction of the presence of LNM improves prognosis evaluation and a risk prediction model has great clinical significance.

According to the National Comprehensive Cancer Network (NCCN) guidelines, "Mayo standards" and previous LNM prediction studies, CA125 preoperative serum level, tumor pathological type, size, deep muscle invasion, cervical invasion, adnexa invasion, vascular cancer thrombus and ascites are considered to be the main risk factors for LNM in EC (5,6). However, different models identify different risk factors, making unified clinical practice standards for LNM risk stratification difficult. In evaluator errors and inter-observer disagreements among pathologists lead to false negative or positive results. Both problems are fundamental and may affect individualized clinical decisions. Preoperative medical images, such as computed tomography (CT) and magnetic resonance imaging (MRI), are the most common current approaches for LNM assessment, especially enhanced pelvic MRI which is the preferred method from 2018 NCCN guidelines (5). However, a meta-analysis showed a sensitivity of 0.68, specificity of 0.96, AUC of 0.82 and accuracy of 0.75 for <sup>18</sup>F-fluorodeoxyglucose-positron emission tomography (FDG-PET) or PET/CT in LNM preoperative prediction (7). Economic factors also restrict patients' access to <sup>18</sup>F-FDG-PET or PET/CT.

The hematoxylin and eosin (HE)-stained histopathological images which show tumor tissue structure and cellular characteristics are considered the "gold standard" for tumor diagnosis, generating richer information with multi-dimensional features than MRI/ CT radiological or ultrasound images. However, since the derivation of information from pathological images has traditionally relied on subjective evaluation by pathologists, exploitation of the complexity and richness of the images has been difficult. The traditional method of artificial description has limited generation of a unified standard for risk prediction, diagnosis and treatment plans. Artificial intelligence (AI) technologies may offer solutions to this and other issues (8,9). AI models trained to interpret digital pathology imaging have been shown to predict specific clinical events, such as treatment response, prognosis assessment, classification, grading or scoring of different cancers (10-15) and detection of lymph node metastases (16). Takamatsu used Image J, a deep learning (DL) algorithm, to predict risk of preoperative LNM from pathological images of T1 colorectal cancer by extracting morphologic parameters from whole-slide images (WSI). The resulting areas under the curve (AUCs) were 0.938 for DL model and 0.826 for the conventional method (17), illustrating the successful combination of DL and pathological tissue morphology images for LNM prediction. However, to the best of our knowledge, in-depth analysis of histopathological images giving the essential characteristics of EC for DL has not been conducted.

We argue that AI has utility for predicting LNM in EC from analysis of histopathological images. The current study employed a DL neural network algorithm to fulfil a binary classification task and predict the presence or absence of LNM in EC. The model was verified using an external cohort. EC represents a group of heterogeneous tumors with morphological and histological differences and curettage specimens cannot comprehensively reflect tumor characteristics. Thus, pathological images of EC obtained from paraffin-embedded tissues after surgical resection was sued during the current study, in order to best observe the morphological characteristics of cancer tissue and obtain the most suggestive morphology information for LNM. DL features that proved to be most salient to LNM prediction are highlighted to inform pathologists an intuitive interpretation, and give transparency to the development of the multiple instance-learning (MIL) model. We present the

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following article in accordance with the TRIPOD reporting checklist (available at https://qims.amegroups.com/article/ view/10.21037/qims-22-220/rc).

# Methods

#### Study population and cases

Data of EC patients were retrospectively collated from West China Second University Hospital, Sichuan University with the following inclusion criteria: (I) patients underwent total hysterectomy (or extensive total hysterectomy), bilateral adnexectomy and pelvic lymphadenectomy; (II) no history of other malignant tumors; (III) no hormone therapy, radiotherapy or chemotherapy before surgery; (IV) diagnosed for the first time. The HE-stained sections from all cases were reviewed by double-blind microscopy observation by two senior attending physicians with experience in pathological clinical diagnosis. The status of lymph vascular space invasion (LVSI) and LNM was confirmed. The diagnosis of histological type was based on the 2020 World Health Organization (WHO) Classification of Tumors of the Breast and Female Genital Organs. Tumor staging and surgical pathological staging were undertaken according to the International Federation of Gynecology and Obstetrics (FIGO) Surgical-pathological staging standard. A total of 564 patients diagnosed with EC were enrolled of whom 230 had LNM (LNM+) and 334 did not (LNM-). External validation data were obtained by applying the same inclusion criteria to 261 patients, 114 LNM+ and 147 LNM-, from two independent medical institutions, Qingdao University, Affiliated Yantai Yu Huang Ding Hospital, Beijing Maternal and Child Health Care Hospital. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by our institutional review board. Informed consent was waived.

#### Slides and image acquisition

All slides were prepared by staining a 4-µm formalinfixed paraffin-embedded section with HE; 1,017 LNM+ and 1,087 LNM- slides composed the internal dataset. In total, 2,104 slides were digitized through the following three types of digital slice scanning: The Hamamatsu Optics NanoZoomer 2.0 HT digital slice scanner, the Una Technology PRECICE 600 automatic digital slice scanner and the 3D HISTECH Panoramic SCAN 150 digital slice scanner; 388 LNM+ and 145 LNM- slides composed the external dataset and all 533 slides were digitized through 3D HISTECH Panoramic SCAN 150 digital slice scanner and ScanScope Aperio CS2 digital slice scanner (Leica Biosystems). Each WSI was given an LNM+ or LNM-label based on the results of final pathological diagnosis.

## Data preparation

Tumor cells and glands were manually delineated using the ASAP software (version 1.9, https:// computationalpathologygroup.github.io/ASAP/) at  $\times 20$ magnification (0.5 µm/pixel) by two expert pathologists. In this work, the pathologists first manually annotated the cancer regions on each WSI and used them as regions of interest (ROIs) for the following processing step. The ROIs were divided into a set of patches with a size of 512 $\times$ 512 pixels. Patches with less than 20% overlap with the ROIs were excluded before further analysis.

# DL model

The framework of the proposed MIL model is illustrated in *Figure 1*, which consists of three components, i.e., instance-level (tile-level) feature extraction, instancelevel (tile-level) feature selection, and bag-level (WSIlevel) representation generation (18). The details of the components are illustrated in the remainder of this section. In the formalization of the MIL, each WSI is regarded as a bag and the patches tiled from the WSI are regarded as instances inside the bag. During the training phase, we chose to use the categorical cross-entropy loss, which is defined as:

$$L = -\frac{1}{N} \sum_{i=1}^{N} \sum_{c=1}^{C} \delta(y_i = c) \log(P(y_i = c))$$
[1]

where *N* denotes the number of samples and *C* represents the number of categories. The term  $\delta$  ( $y_i = c$ ) is the indicator function of the *i*<sup>th</sup> observation belonging to the *c*<sup>th</sup> category. *P* ( $y_i = c$ ) is the predicted probability by the model.

## Instance-level feature extractor

In our AI system, we employed the ResNet-18 model (19) as the instance-level feature extractor, which aimed at automatically learning useful features from patches. From another perspective, this component played the role of information compression, and each inputted patch was transformed into a low-dimensional feature space, which facilitated the following classification stage. In this work, we



**Figure 1** The overall framework of the MIL-based AI system for predicting LNM. The MIL method consists of three components including instance-level feature extraction, instance-level feature selection, and bag-level representation generation and classification. ResNet-18 works as an instance-level feature extractor. The feature selection procedure selects discriminative instance-level features. The attention-based deep MIL model is used to synthesize instance-level features for generating bag representations to perform the LNM prediction. WSI, whole slide image; LNM, lymph node metastasis; MIL, multiple instance-learning.

used a pretrained ResNet-18 model (trained on ImageNet) after removing the final fully connected layer to extract distinguishable features.

# Feature selection strategy

The feature selection procedure chose the most discriminative instance-level features for generating the bag representation. Removing redundant or irrelevant features can also simplify the following learning task. Unlike most feature selection problems where there are feature-label pairs, in our task, the instance-level feature has no associated label and is assigned a bag-level label. We needed to build a bridge between the extracted instance feature and the bag label. We utilized the histogram as the bridge and the maximum mean discrepancy (20) as the criterion to evaluate the feature importance. For instance, when evaluating the k-th feature, feature k was chosen as the representation of the instance. A histogram of this feature was calculated in each bag, and then these histograms were utilized as the representations of a bag. After that, the maximum mean discrepancy (21) distance was calculated between positive bags and negative bags using these bag representations. The feature was regarded as discriminative if the maximum mean discrepancy distance was larger than a certain threshold (22).

## WSI-level representation generator

The WSI-level representation generator in our pipeline generates the bag representation by integrating the extracted and selected most discriminative instance-level features. The attention-based deep MIL method (18) was applied, which made the WSI-level representation generator able to adaptively adjust the contribution of each tiled patch for the final decision. After iteratively and incrementally adjusting the attention weights on the feature of each patch during the training phase, the attention-based operator increased the contribution of the instances that were more related to the corresponding bag label, and vice versa. After obtaining the WSI-level representation of each pathological slide, a multilayer perceptron was utilized to map the representation to LNM prediction possibility.

# Network training setting

We implemented the MIL system including both ResNet-18 and the attention-based MIL network with Python and PyTorch (22) framework. The network was trained with the Adam optimizer (9) with the learning rate of  $10^{-4}$  under the supervision of the categorical cross-entropy loss. The early-stop strategy was leveraged with patience of 20 epoch to prevent over-fitting. To address the class imbalance problem during the bag-level classification stage, we employed the 'weighted random sampler' strategy (22) to prepare each training batch. The dataset is randomly split in the ratio of 6:2:2, that is, 1,264 WSIs in the training set.

#### Model interpretability and feature visualization

Tiles were assigned an LNM probability score reflecting

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usefulness for LNM prediction. Heatmaps were generated from LNM probability scores to reflect the determination of each subregion of the WSI.

## Baseline model based on "Mayo criteria"

Patients were divided into low-risk and high-risk groups of LNM, according to the widely accepted "Mayo criteria" (6). Mayo criteria recommend lymph node dissection for the following patients: (I) grade 1 or grade 2 endometrioid adenocarcinoma  $\geq$ 2 cm and >50% myometrial invasion; (II) any grade 3 endometrioid adenocarcinoma and (III) all non-endometrioid adenocarcinomas (serous, clear cell, mixed, carcinosarcoma). Patients satisfying one or more of these conditions were considered to be with the high-risk factors of LNM. Mayo criteria were also used for the baseline feature model to predict LNM risk.

# Statistical analysis

The statistical analyses were performed using SPSS 22.0 software. Age and tumor size were considered as numeric variables. Histological type, histological grade, depth of myometrial invasion and presence of LVSI were considered as categorical variables. Clinicopathological characteristics between cohorts were compared using Pearson's  $\chi^2$  or Fisher's exact test. The AUC of the receiver operating characteristic (ROC) curves from cross-validation were calculated and plotted using "scikit-learn" in python to assess model performance. The optimal cut-off point of the ROC curves was determined by referring to the Youden index. AUC ranges from 0 to 1 and a model is considered to have a poor performance with a value of 0.5–0.6, fair 0.6–0.7 or good >0. Other standard metrics, sensitivity [true positive rate (TPR)], false positive rate (FPR), specificity, accuracy, recall-score, F1-score and positive predictive value (PPV)/negative predictive value (NPV) were also employed. The confidence intervals (CI) were calculated using the bootstrap method. All statistical tests were two sided and P values of less than 0.05 were considered to indicate statistical significance.

## Results

# Clinicopathological characteristics of EC patients

According to the inclusion and exclusion criteria, a total of 564 eligible patients were enrolled in this study; 230 patients were diagnosed with LNM+ EC of whom 114 (49.56%)

cases were type I, 52 (22.61%) type II and 64 (27.83%) mixed type. A total of 143 (62.17%) cases were poorly differentiated while 87 (37.83%) cases were moderatelywell differentiated. A total of 62 (26.96%), 79 (34.35%), 68 (29.56%), and 21 (9.13%) patients were diagnosed with FIGO stages I-IV, respectively; 334 patients were diagnosed with LNM- EC of whom 274 (82.03%) cases were type I, 19 (5.69%) type II and 41 (12.28%) mixed type; 112 (33.53%) cases were poorly differentiated and 222 (66.47%) cases were moderately-well differentiated. A total of 247 (73.95%), 61 (18.26%), 25 (7.49%) and 1 (0.30%) patients were diagnosed with FIGO stages I-IV, respectively. There were 336 patients in the training cohort and 228 patients in the independent test cohort after all WSIs were randomly divided into 8 (6+2):2. No significant differences in detailed characteristics between the training and independent test cohorts were present (all P>0.05; Table 1). Clinicopathological characteristics of EC patients in the external validation cohort are shown in Table S1.

# The predictive performance of the "Mayo criteria"

Of 564 EC patients who underwent pelvic lymphadenectomy, 369 (65.43%) met the Mayo criteria for lymph node resection while the remaining 195 (34.57%) patients had comprehensive evaluations of clinical manifestations, pathological characteristics and other aspects; 195 (84.78%) of the 230 patients with LNM met the Mayo criteria, indicating that 35 (15.22%) belonged to the low-risk group for LNM prediction based on Mayo criteria. However, these 35 patients were found to have LNM after pathological diagnosis and 16 patients were FIGO I stage with 8 being grade 1 or grade 2 endometrioid adenocarcinoma <2 cm and <50% myometrial invasion; 174 (52.10%) of the 334 patients without LNM had high-risk LNM factors but no metastases were confirmed following a final lymph node examination. Therefore, 15.22% patients in the low-risk group according to existing Mayo criteria for LNM risk stratification will progress to develop LNM. Some patients were also exposed to unnecessary lymphadenectomy, corresponding to 52.10% in our comparative study. Thus, two rows and two columns were used to represent predicted and real values. The AUC was 0.666, TPR 0.878, FPR 0.545, accuracy score 0.635, PPV 0.544, NPV 0.833, F1\_ score 0.672 for LNM prediction in the test dataset. The finally clinical practices have shown that 37.97% of EC patients were exposed to unnecessary lymphadenectomy and approximatively 17.14% with a low-risk classification

 Table 1 Patient and tumor characteristics for the training and test cohorts

Characteristics	All patients (n=564)	Training (n=336), n (%)	Test (n=228), n (%)	Р
Age (years), mean ± SD	53.90±8.89	54.46±9.29	53.08±8.23	0.070
Tumor size (cm), mean $\pm$ SD	3.55±1.78	3.39±1.58	3.79±2.01	0.091
Tumor histological subtype				0.158
1	388	237 (70.54)	151 (66.23)	
II	71	45 (13.39)	26 (11.40)	
Mixed (I + II)	105	54 (16.07)	51 (22.37)	
Tumor grade (differentiation)				0.062
1-2 (well/moderate differentiation)	309	197 (58.63)	112 (49.12)	
3 (poor differentiation)	255	139 (41.37)	116 (50.88)	
Depth of tumor invasion				0.340
<1/2	258	166 (49.40)	92 (40.35)	
≥1/2	306	170 (50.60)	136 (59.65)	
Lymph vascular space invasion				0.739
Yes	297	175 (52.08)	122 (53.51)	
No	267	161 (47.92)	106 (46.49)	
Lymph node metastasis				0.381
Yes	230	132 (39.29)	98 (42.98)	
No	334	204 (60.71)	130 (57.02)	
FIGO staging				
1	309	186 (55.36)	123 (53.95)	0.297
II	140	86 (25.60)	54 (23.68)	
III	93	53 (15.77)	40 (17.54)	
IV	22	11 (3.27)	11 (4.82)	

SD, standard deviation; FIGO, International Federation of Gynecology and Obstetrics.

progressed to develop LNM in the test cohort. The statistical results are summarized in *Table 2*, and the ROC curve is shown in *Figure 2A*.

# Predictive performance of the MIL model based on HEstained images for the internal dataset

The training process was stopped after 30 epochs with 33,540 iterations and the best model, evaluated after each epoch, saved. After training, 420 test sets of WSIs were used to give an unbiased evaluation of the model. The mean AUC was 0.938 (95% CI: 91.3–96.2) on the WSI level, mean sensitivity 0.830 (95% CI: 75.9–88.9), mean specificity

0.911 (95% CI: 85.9–94.8), mean PPV 0.881 (95% CI: 81.5–92.3), mean NPV 0.872 (95% CI: 81.3–92.4) for the internal test data (*Figure 2B, Figure 3* and *Table 2*). Clinical analysis showed that 21.51% of EC patients were exposed to unnecessary lymphadenectomy and approximatively 11.11% with low-risk classification progressed to develop LNM. Predictive performances for different histological subtypes [type I, type II and mixed (I and II) type] and different FIGO stages on the WSI level are presented in *Table 3*, *Table 4* and *Figure 4A-4F*. For type I EC (endometrioid adenocarcinoma as the main histological type and mucinous adenocarcinoma), the mean AUC was 0.927 on the WSI level and 0.979 for type II and 0.929 for mixed type. Mean

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Table 2 Performance comparison of the proposed MIL method and Mayo criteria in LNM in test dataset, and the performance in external dataset

Standard metrics —	Internal tes	External validation dataset	
	Mayo criteria	MIL-model	MIL-model
AUC	0.666	0.938	0.770
Accuracy	0.635	0.847	0.630
Recall	0.878	0.830	0.814
Precision (PPV)	0.544	0.881	0.706
F1_score	0.672	0.807	0.756
Sensitivity (TPR)	0.878	0.830	0.814
Specificity	0.455	0.911	0.520
FPR	0.545	0.143	0.479
NPV	0.833	0.872	0.665

MIL, multiple instance-learning; LNM, lymph node metastasis; AUC, area under the curve; PPV, positive predictive value; TPR, true positive rate; FPR, false positive rate; NPV, negative predictive value.



Figure 2 The ROC curves for predicting LNM in EC of MIL-model and Mayo criteria. (A) AUC level was 0.666 of Mayo-model. (B) AUC level was 0.938 of MIL-model. AUC, area under the curve; ROC, receiver operating characteristic; LNM, lymph node metastasis; EC, endometrial cancer; MIL, multiple instance-learning.



Figure 3 The distribution graph of predicted LNM possibility of our proposed MIL-model on WSI level. (A) The sensitivity and specificity of predicted LNM possibility. (B) Histogram distribution of predicted LNM possibility. LNM, lymph node metastasis; MIL, multiple instance-learning; WSI, whole slide image.

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Table 3 Performance comparison of the proposed MIL method between different histological subtypes in test datasets and external validation dataset

Standard metrics	Histological subtype: I (%) (95% CI)	Histological subtype: II (%) (95% CI)	Histological subtype: mixed (%) (95% CI)		
Internal test datase	Internal test dataset (420 WSIs from 228 patients)				
AUC	92.7 (89.8–95.7)	97.9 (94.6–100.0)	92.9 (88.1–97.7)		
Sensitivity	80.2 (70.6–87.8)	97.0 (84.2–99.9)	95.3 (86.9–99.0)		
Specificity	92.2 (88.4–95.0)	95.1 (83.5–99.4)	80.4 (66.1–90.6)		
PPV	76.8 (68.2–85.5)	94.1 (80.6–99.8)	87.1 (76.3–97.1)		
NPV	93.5 (89.5–95.9)	97.5 (86.7–99.7)	92.5 (80.1–96.7)		
External validation	dataset (533 WSIs from 261 patients)				
AUC	78.3 (74.1–82.5)	76.2 (59.3–93.1)	63.6 (48.3–79.0)		
Sensitivity	60.8 (54.5–66.8)	62.5 (40.6–81.2)	42.4 (25.5–60.8)		
Specificity	84.3 (78.4–89.1)	100.0 (59.0–NaN)	94.1 (71.3–99.9)		
PPV	83.3 (77.2–86.7)	100.0 (75.5–100.0)	93.3 (68.5–98.7)		
NPV	62.4 (56.2–71.6)	43.8 (24.2-NaN)	45.7 (28.1–97.2)		

Histological subtype I: endometrioid adenocarcinoma as the main histological type and mucinous adenocarcinoma; Histological subtype II: non endometrioid adenocarcinoma; Mixed subtype: composing of subtype I + II, where at least one component is either serous or clear cell. MIL, multiple instance-learning; WSI, whole slide image; AUC, area under the curve; PPV, positive predictive value; NPV, negative predictive value; NaN, not a number.

Standard metrics	FIGO stage: I (%) (95% CI)	FIGO stage: II (%) (95% CI)	FIGO stage: III (%) (95% CI)	FIGO stage: IV (%)
Internal test dataset (	420 WSIs from 228 patients)			
AUC	92.1 (86.8–97.5)	93.8 (90.2–97.4)	95.5 (90.1–100.0)	-
Sensitivity	90.6 (79.3–96.9)	80.3 (68.7–89.1)	94.0 (83.5–98.7)	78.9
Specificity	87.6 (82.8–91.4)	94.7 (87.1–98.5)	95.3 (84.2–99.4)	-
PPV	60.8 (51.4–83.3)	93.0 (83.2–96.4)	95.9 (85.9–99.2)	99.9
NPV	97.8 (94.6–98.5)	84.7 (74.9–95.4)	93.2 (81.5–99.1)	-
External validation da	taset (533 WSIs from 261 pat	ients)		
AUC	81.8 (75.8–85.8)	85.4 (77.6–93.2)	66.4 (56.9–76.1)	-
Sensitivity	69.2 (57.8–79.2)	80.6 (71.8–87.5)	50.0 (39.7–60.3)	-
Specificity	82.2 (75.2–88.0)	78.6 (59.0–91.7)	83.3 (67.2–93.6)	-
PPV	66.7 (56.7–77.2)	93.5 (85.1–96.1)	89.1 (77.0–92.5)	-
NPV	83.9 (76.0–89.1)	51.2 (39.2–76.0)	38.0 (28.8–64.3)	-

Table 4 Performance comparison of the proposed MIL method of different FIGO stages in test dataset and external validation dataset

FIGO stage I: tumour confined to the corpus uterus; FIGO stage II: tumour invades cervical stroma, but does not extend beyond the uterus; FIGO stage III: local and/or regional spread as specified here: the serosa of the corpus uterus or adnexae, vaginal or parametrial involvement, pelvic or para-aortic lymph nodes; FIGO stage IV: tumour invades bladder/bowel mucosa, and/or metastasizes remotely. MIL, multiple instance-learning; FIGO, International Federation of Gynecology and Obstetrics; CI, confidence interval; WSI, whole slide image; AUC, area under the curve; PPV, positive predictive value; NPV, negative predictive value.

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**Figure 4** The performance comparison of the proposed MIL method between different histological subtypes and FIGO stages in internal cohort. Different histological subtypes: (A-C). Different FIGO stages: (D-F). More detailed metrics can be found in *Table 3* and *Table 4*. AUC, area under the curve; FIGO, International Federation of Gynecology and Obstetrics; MIL, multiple instance-learning.



**Figure 5** The ROC curves for predicting LNM of EC in external datasets based on MIL-model. AUC level was 0.770 in external datasets based on our proposed MIL-model. AUC, area under the curve; ROC, receiver operating characteristic; LNM, lymph node metastasis; EC, endometrial cancer; MIL, multiple instance-learning.

AUCs were 0.921, 0.938 and 0.955 for FIGO stages I, II and III on the WSI level. The PPV reached 0.999 for FIGO stage IV, perhaps because 95.45% (21/22) of stage IV patients are positive for LNM. The encouraging AUC value of the image model of 0.938, higher than the Mayo model at 0.666, indicated better LNM predictive performance for the AI model.

# Predictive performance of the MIL model based on HEstained images in external validation dataset

The proposed MIL model achieved an AUC of 0.770, a sensitivity of 0.814, a specificity of 0.520, a PPV of 0.706 and an NPV of 0.665 in the external validation dataset (*Figure 5* and *Table 2*). Mean AUCs were 0.783 for type I, 0.762 for type II and 0.636 for mixed type on the WSI

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**Figure 6** The performance comparison of the proposed MIL method between different histological subtypes and FIGO stages in external cohort. Different histological subtypes: (A-C). Different FIGO stages: (D-F). More detailed metrics can be found in *Table 3* and *Table 4*. AUC, area under the curve; FIGO, International Federation of Gynecology and Obstetrics; MIL, multiple instance-learning.

level (*Figure 6A-6C* and *Table 3*). Mean AUCs were 0.818, 0.854, 0.664 for FIGO stages I, II, and III on the WSI level (*Figure 6D-6F*). All stage IV cases included in the external validation dataset were positive for LNM, so that no analysis of predicted results was possible. In summary, the predictive performance of the proposed MIL model was not as good for the external as for the internal cohort.

#### Interpretation of predictive performance of the MIL model

The LNM prediction probability distribution in each WSI was evaluated, with LNM probability of each subregion (tile) calculated and visualized, to illustrate the decision-mechanism of the model (*Figure 7*). Tiles with high (near 1) and low (near 0) LNM probability scores had distinguishable features to support LNM prediction. Enhanced texture, voluminous extracellular mucin, cleft-like structures and elongated angular glandular lumen were "histomics" features with significant alterations between LNM+ and LNM– WSIs, indicating an increased rate of lymph node involvement. These features were consistent

with previous studies (23-25) and provide an interpretative reliability to the predictive results of the AI-model.

#### Discussion

At present, the use of cutting-edge DL technology to predict the preoperative LNM status of tumors, including gastric cancer (26,27), breast cancer (28), colorectal cancer (29), lung cancer (30), urothelial cancer (31) and EC (7,32), usually relies on the readily-available MRI/ CT and ultrasound imaging. However, WSI technology and advances in machine learning algorithms have enabled digital transformation of pathological images for cancer research and sparked a global trend. The current study applied a DL neural network algorithm to the binary classification task of LNM+/LNM- in EC, based on a comprehensive analysis of postoperative pathological specimens on the WSI-level. Prediction efficiency was validated in external samples. The MIL DL model of LNM prediction achieved AUCs of 0.938 and 0.770 in the internal and external cohorts, regardless of the specific histological



**Figure 7** Visualization of the LNM probability of the subregions (tiles) of sample WSIs based on HE-stained histopathological images (×10). The example of LNM probability heatmaps showing the probability distribution on WSIs. The colors reflect LNM probabilities. LNM, lymph node metastasis; WSI, whole slide image; HE, hematoxylin and eosin.

EC subtype. In addition, a heat map was generated to visualize the contributions made by regions on the WSI to the LNM diagnosis and to explain the end-to-end process of the MIL model. The DL model has predictive utility for specimens of endometrioid and non-endometrioid cancer with relatively intact structure obtained before or during surgery. The pathological images also have the potential to be used as an AI-based biomarker for LNM prediction.

Clinical experience has illustrated that the existing LNM risk stratification criteria, including the Mayo criteria and NCCN guidelines, will expose 75% of EC patients to unnecessary lymphadenectomy (33) and about 10% of low-risk and 15% of early-stage ECs will progress to LNM (34). In our internal cohort, 52.10% of EC patients underwent unnecessary lymphadenectomy and approximatively 15.22% of those with low-risk developed LNM when assessed according to the accepted "Mayo criteria". These observations are basically consistent with

the results of a recent prospective multicenter study (35). The current analysis reveals two possible explanations: (I) racial differences and (II) existing NCCN guidelines and Mayo standards rely on the professional skills and subjective judgments of pathologists, making it difficult to achieve unified quality control. Such clinical phenomenon also prompts us to re-understand the existing criteria, and explores more accurate morphological characteristics of pathological images to predict the LNM risk. The current AI model relies on HE-stained histopathological images producing more accurate prediction with a mean AUC of 0.938, higher than that based on Mayo criteria. Thus, machine learning may be superior to human subjective experience and is more accurate, objective, stable and highly repeatable.

Most of the existing research to produce LNM prediction models by comprehensive analysis the clinicopathological features and laboratory examination

data, such as nomogram and Bayesian model, has focused on type I EC or endometrioid adenocarcinoma (36-38). Such approaches are accurate but often ignore the heterogeneity of EC and do not apply to type II EC or other histological subtypes. The current study included a variety of histological subtypes of EC, including endometrioid adenocarcinoma, serous adenocarcinoma (adenoid serous adenocarcinoma and papillary serous adenocarcinoma), clear cell adenocarcinoma, mucinous adenocarcinoma, mixed endometrial adenocarcinoma, mesonephric adenocarcinoma and adeno-squamous carcinoma. HEstained histopathological images on WSI are thus more complicated and extraction of image features for consensus among different observers is more difficult. Fortunately, MIL technology enables fast and objective analysis by integrating recurring patterns from complex images (39). The resulting AUC for prediction of LNM reached 0.938 without distinguishing specific histological types. LNM prediction rates were 0.927, 0.979 and 0.929 for type I, type II and mixed type based on pathomorphological diagnosis (Table 3). LNM prediction rates were 0.783, 0.762 and 0.636 for type I, II and mixed type from the external validation dataset. The current MIL model performed less well for the external cohort than the internal, but was still superior to predictions based on Mayo criteria, regardless of histological subtype. Thus, even when the specific histological subtype was unclear before or during surgical operation, a reliable prediction result could be reached. For low risk early FIGO (FIGO I), mean AUCs were 0.921 and 0.818 in internal and external datasets, potentially enabling more accurate individualized LNM stratification in early stage EC.

The lower predictive performance in the external dataset may be due to the following reasons: (I) pathology technicians from different medical institutions may prepare specimens with different slice thicknesses, staining intensities and uniformities. (II) Differences in automatic dyeing machine models do not completely overcome this problem. (III) Definition and resolution of the digitized WSI images are different due to variations in scanner models. However, expansion of the sample size and continued optimization may improve future performance.

Hierarchical clustering enabled heatmap visualization of each tumor region in the WSI and its contribution to LNM prediction with the heatmap mapped to the original image by the jet color space. Tiles were assigned an LNM probability score to measure the contribution to LNM prediction, red subregions represented a higher and blue a lower contribution to LNM. *Figure* 7 shows the "histomics" features with significant alterations between LNM+ and LNM- patients in top-value tiles, enhanced texture, voluminous extracellular mucin, cleft-like structures and elongated angular glandular lumen, which distinguish LNM+ from LNM- patients well. These suggested features from the red subregions appear to be highly consistent with pathologists' experience and previous studies (23-25). Feature visualization demonstrated that output results of our model have realistic interpretability.

We acknowledge some limitations to the current study. Firstly, digital pathology images from paraffin tissues after surgical resection of EC patients were used retrospectively. Considering that predictions mainly depend on preoperative curettage specimens, preoperative data from multiple centers are indeed required to further confirm the utility of such DL models in clinical practice. Secondly, although a variety of histological EC subtypes, including endometrioid and non-endometrioid carcinoma were analyzed, less common subtypes, such as carcinosarcoma, was not collected in the current study. Thirdly, the inclusion of additional features, such as CA125 and CA19-9 expression, ascites and other risk factors that are related to LNM, may confirm to improve predictive accuracy. DL experience of unusual cases may improve predictive accuracy and reduce false-positives and false-negatives.

# Conclusions

In summary, the main contribution of our study is the development of a DL model for LNM prediction in EC based on HE-stained histopathological images with different subtypes for the first time. The model showed particular accuracy for early staging patients and advances the approach of pathological slide analysis based on AI. The most significant features for DL of LNM predictions were highlighted to allow intuitive interpretation of the MIL model by pathologists. To confirm the utility of the proposed MIL model in clinical practice, preoperative curettage specimens and further multicenter prospective validation is indeed required.

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# Footnote

*Reporting Checklist:* The authors have completed the TRIPOD reporting checklist. Available at https://qims.amegroups.com/article/view/10.21037/qims-22-220/rc

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at https://qims. amegroups.com/article/view/10.21037/qims-22-220/coif). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by our institutional review board. Informed consent was waived.

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# Table S1 Patient and tumor characteristics for the external validation cohort

	All external validation cohort			
Characteristics	Sum (n=261)	Medical center 1 (n=94), n (%)	Medical center 2 (n=167), n (%)	
Age (years), mean ± SD	53.16±8.07	53.05±9.13	53.36±8.46	
Tumor size (cm), mean ± SD	3.55±1.86	3.86±2.34	3.24±1.57	
Tumor histological subtype				
I	220	80 (85.11)	140 (83.83)	
II	15	6 (6.38)	9 (5.39)	
Mixed (I + II)	26	8 (8.51)	18 (10.78)	
Tumor grade (differentiation)				
1-2 (well/moderate differentiation)	176	63 (67.02)	113 (67.66)	
3 (poor differentiation)	85	31 (32.98)	54 (32.34)	
Depth of tumor invasion				
<1/2	134	44 (46.81)	90 (53.89)	
≥1/2	127	50 (53.19)	77 (46.11)	
LVSI				
Yes	119	48 (51.06)	71 (42.51)	
No	142	46 (48.94)	96 (57.49)	
Lymph node metastasis				
Yes	114	41 (43.62)	73 (43.71)	
No	147	53 (56.38)	94 (56.29)	
FIGO stage				
I	130	41 (43.62)	89 (53.29)	
II	46	7 (7.45)	39 (23.35)	
III	70	37 (39.36)	33 (19.76)	
IV	15	9 (9.57)	6 (3.59)	

SD, standard deviation; LVSI, lymph vascular space invasion; FIGO, International Federation of Gynecology and Obstetrics.