



# A Bi-LSTM and multihead attention-based model incorporating radiomics signatures and radiological features for differentiating the main subtypes of lung adenocarcinoma

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**Background:** The radiological features of computed tomography (CT) images and the sequence of radiomics signatures in continuous slices of lung CT lesions are helpful in identifying subtypes of lung adenocarcinoma. A model based on bidirectional long short-term memory (Bi-LSTM) and multihead attention can learn the rules of this sequence well.

**Methods:** In this study, 421 patients with 427 lesions confirmed as adenocarcinoma in situ (AIS), minimally invasive adenocarcinoma (MIA) or invasive adenocarcinoma (IAC) were recruited from three hospitals. The radiomics signatures of the identified lesion regions in each CT image were extracted using 'PyRadiomics' software, and the corresponding radiological features were subsequently documented and collected. Then, the top 100 features were extracted by the minimum redundancy maximum relevance (mRMR) feature ranking method. A model based on the radiological and imaging features was established to classify the lesions using Bi-LSTM and multihead attention. The diagnostic performance of the model was measured by the area under the curve (AUC) of the receiver operating characteristic (ROC).

**Results:** The model combined radiological features and radiomics signatures. The AUCs of the model in the training, testing, and validation groups were 0.985, 0.94 and 0.981, respectively, and the accuracy was 0.92, 0.976 and 0.91, respectively. In addition, we trained two other models [convolutional neural network (CNN) + multihead attention, long short-term memory (LSTM) + multihead attention] and compared them using the testing dataset. The precision of the two models was 0.89 and 0.88, respectively, and the accuracy was 0.88 and 0.87, respectively.

**Conclusions:** Bi-LSTM and multihead attention based on radiomics signatures and radiological features provide a way to distinguish AIS, MIA, and IAC.

**Keywords:** Lung adenocarcinoma; computed tomography (CT); bidirectional long short-term memory (Bi-LSTM); radiomics signatures; radiological features

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## Introduction

Lung cancer is the leading cause of cancer-related death and is one of the most commonly diagnosed human malignancies (1). Adenocarcinoma is the dominant histological type of lung cancer and has shown an increasing incidence in recent decades (2,3). According to the World Health Organization (WHO) classification, lung adenocarcinoma is categorized into preinvasive lesions, such as atypical adenomatous hyperplasia (AAH) and adenocarcinoma in situ (AIS); minimally invasive adenocarcinoma (MIA); and invasive adenocarcinoma (IAC) (4,5). With the popularization and revitalization of computed tomography (CT), the ability to screen pulmonary nodules has developed rapidly. Adenocarcinoma often manifests on CT scans as pulmonary nodules, including pure ground-glass nodules (pGGNs), mixed ground-glass nodules (mGGNs), and solid nodules (SNs) (6). Radiologists identify the lesions by analyzing the changes in continuous layers of CT images and combining these changes with radiological features, which also provides an auxiliary diagnostic basis for clinical diagnosis. Imaging findings and pathological findings are not always equivalent, which affects the decision of diagnosis and treatment. The treatment schemes of AIS, MIA and IAC are quite different. Patients diagnosed with IAC of the lung typically undergo lobectomy (7-9), while those with AIS or MIA are managed with active surveillance or sublobar resection, respectively, due to differences in prognosis (10). If the results of image analysis are very similar to those of pathological analysis, this finding will play a positive guiding role in the choice of treatment or surgical plan. Low-dose computed tomography (LDCT) is one of the most popular lung cancer screening methods at present; it can realize the discovery of early cancer and has a positive effect on the reduction of lung cancer mortality. However, in recent years, many experts in this field have found that with the popularization of LDCT, excessive diagnosis and false-positive problems have inevitably arisen. For the first time, scholars have observed that the widespread use of LDCT screening could lead to overdiagnosis among young women who are nonsmokers and may lead to a large number of overdiagnoses and a falsely high 5-year survival rate (11-13). Computer analysis of CT image texture features can effectively assist doctors in determining subtypes, optimizing treatment plans and prognosis, and controlling overdiagnosis to a certain extent (14-17). The feature expression of CT images of lesions is a popular research topic at present, and disease recognition

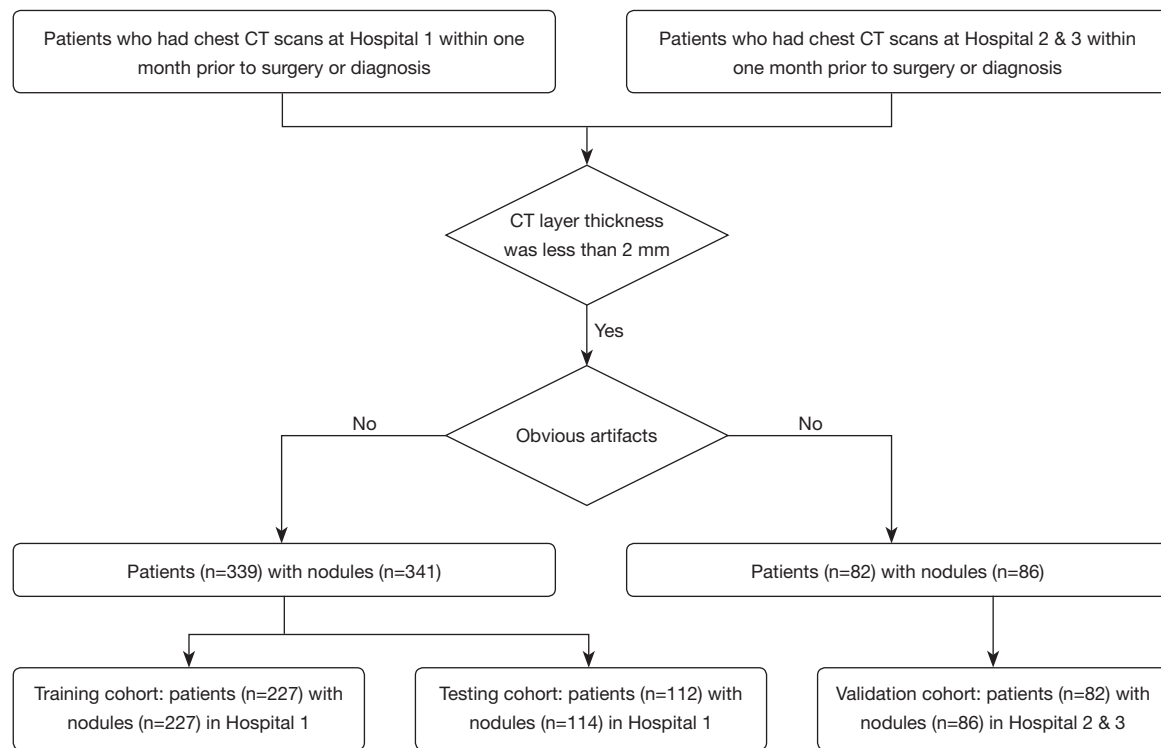
and diagnosis can be realized by analyzing these image features (18-20). Some studies analyzed a single CT image of a lesion and used algorithms such as convolutional neural network (CNN) to identify and diagnose the lesion by analyzing single-mode or multimode images (21,22). Research on neural network models based on 3D-CT images has also made many gratifying achievements in image calibration and diagnosis (23,24). Compared with the traditional analysis of imaging features and radiological features, the analysis of feature change trends and laws may be more accurate in identifying and diagnosing lesions. Thus, the purpose of this study was to develop a classification model based on Bi-LSTM and multihead attention using radiological features and radiomics signature sequences in continuous layers of CT images of lesions to assist radiologists in classifying MIA, AIS, and IAC. This study provides a new method for the auxiliary diagnosis of lung adenocarcinoma, which is closer to the diagnostic process of radiologists. The results of this study may also facilitate the mining and interpretability of diagnostic decision rules. We present this article in accordance with the TRIPOD reporting checklist (available at <https://qims.amegroups.com/article/view/10.21037/qims-22-848/rc>).

## Methods

This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the ethics committee of Shanghai University of Medicine & Health Sciences, and the written informed consent of patients was waived by the ethics committee because the study was a retrospective experiment and did not involve patient privacy.

## Patients

A retrospective analysis was conducted of 421 patients (427 nodules) in three hospitals (Hospital 1, Shanghai Public Health Clinical Center; Hospital 2, Shanghai Ruijin Hospital; Hospital 3, Ningbo Beilun No. 2 Hospital) who were diagnosed with pulmonary adenocarcinomas based on pathologic analysis of surgical specimens. The inclusion criteria for the study were as follows: (I) patients had undergone routine CT examination within one month prior to surgery or diagnosis; and (II) the CT scans were acquired with a layer thickness of less than 2 mm. In cases where multiple nodules were detected, each nodule was analyzed separately for further analysis. The exclusion criteria were



**Figure 1** Flow chart of case sample selection. CT, computed tomography.

as follows: (I) significant artifacts in the CT image and (II) contrast agent used in the CT examination. Patient clinical information was also included in the study dataset. The flowchart of patient selection is listed in *Figure 1*.

In Hospital 1, 339 patients were randomly selected, with 341 pulmonary nodules (MIA: 206, 60.4%; AIS: 57, 16.7%; IAC: 78, 22.9%). In Hospitals 2 and 3, 82 patients with 86 pulmonary nodules were randomly selected (MIA: 54, 62.8%; AIS: 10, 11.6%; IAC: 22, 25.6%). Overall, there were 227 patients with 227 lesions in the training cohort, 112 patients with 114 lesions in the testing cohort, and 82 patients with 86 lesions in the verification cohort. The patient information is listed in *Table 1*.

### CT image acquisition

An unenhanced chest CT exam was performed for an entire lung scan of each patient in all three hospitals. At Hospital 1, the CT images were obtained by a United-Imaging 760 CT device (tube voltage of 120 kVp, tube current modulation of 42–126 mA, and 1.0 mm reconstructed slice thickness) and a Siemens Emotion 16 CT device (tube voltage of 130 kVp, tube current modulation of 34–123 mA, and

1.0 mm reconstructed slice thickness) with a 512×512 resolution. At Hospitals 2 and 3, a Philips iCT 256 CT device (tube voltage of 120 kVp, tube current modulation of 161 mA, and 1.0 mm reconstructed slice thickness) and a Philips Brilliance 16 CT device (tube voltage of 120 kVp, tube current modulation of 219 mA, and 1.0 mm reconstructed slice thickness) were used to obtain CT images.

### Radiomics signatures and radiological feature extraction

Preliminary segmentation of CT images was performed using internal semiautomatic segmentation software (25). A radiologist with 6 years of experience manually examined the segmentation results. Subsequently, the diagnosis was confirmed by another radiologist with 20 years of experience. After confirming the segmentation results, the lesion area CT image was analyzed by open-source software (PyRadiomics 3.0.1) (26), and 952 radiological features (including tumor size, shape, first-order statistics of descriptor values, and high-order texture features) were extracted.

The radiographic features were evaluated independently

**Table 1** Patient characteristic information in the training cohort, testing cohort and validation cohort

Characteristics	Training cohort (n=227)			Testing cohort (n=112)			Validation cohort (n=86)		
	MIA/AIS (n=177)	IAC (n=50)	P value	MIA/AIS (n=86)	IAC (n=28)	P value	MIA/AIS (n=64)	IAC (n=22)	P value
Age (years)	50.74±11	54.60±11	<0.05	51.43±11	53.28±11	<0.05	54.02±14	62.74±11	<0.05
Gender			0.21			0.19			0.12
Male	53	18		35	10		25	8	
Female	124	32		51	18		39	14	
Margin			0.83			0.99			0.49
Blurred	154	46		77	24		33	16	
Clear	23	4		9	4		9	6	
Lobulation			<0.05			0.18			0.12
Present	134	40		58	23		22	5	
Absent	43	10		28	5		42	17	
Spiculation			0.46			0.63			0.17
Present	127	33		61	23		58	20	
Absent	50	17		25	5		6	2	
Pleural attachment			<0.05			<0.05			0.3273
Present	28	23		19	15		28	12	
Absent	149	27		67	13		36	10	
Air bronchogram			0.09			<0.05			0.14
Present	85	27		45	16		34	6	
Absent	92	23		41	12		30	16	
Vessel change			0.63			0.58			<0.05
Present	121	37		69	25		52	19	
Absent	56	13		17	3		12	3	
Bubble lucency			0.19			0.18			0.09
Present	53	21		37	13		23	10	
Absent	124	29		49	15		41	12	
Average major axis (mm)	9.12±3.07	11.02±1.22	<0.05	9.10±2.62	11.38±2.17	<0.05	11.11±4.36	18.78±7.92	<0.05
Average minor axis (mm)	7.09±2.03	8.45±2.39	<0.05	6.27±1.99	9.61±2.40	<0.05	8.68±3.05	14.53±4.37	<0.05
Lobe			0.38			0.21			0.07
Left	74	23		40	12		20	13	
Right	103	27		46	16		44	9	
Classification			<0.05			<0.05			<0.05
pGGN	135	21		67	9		55	7	
mGGN	42	29		19	19		9	15	

Numeric features are presented as the mean ± standard deviation. MIA, minimally invasive adenocarcinoma; AIS, adenocarcinoma in situ; IAC, invasive adenocarcinoma; pGGN, pure ground-glass nodule; mGGN, mixed ground-glass nodule.

by two experienced radiologists, as mentioned previously. Any discrepancies in interpretation between the observers were resolved through consensus to ensure agreement. The radiological features of each lesion were documented and analyzed, including (I) margin (clear, blurred); (II) lobulation (absent, present); (III) spiculation (absent, present); (IV) pleural attachment, including pleural tag and indentation (absent, present); (V) air bronchogram (absent, present); (VI) vessel change (absent, present); (VII) bubble lucency (absent, present); and (VIII) nodule location (left/right lobe, right upper lobe, right middle lobe, right lower lobe, left upper lobe, and left lower lobe). Finally, 963 features were extracted from CT images of the lesions, including 11 radiological features and 952 radiomics signatures.

### *Feature selection*

First, we calculated the variance of all radiomics signatures and removed features with values less than 1 (using the “VarianceThreshold” method in the “sklearn.feature\_selection” package in the Python 3.7 environment). Then, the correlation strength of the remaining radiological features was calculated, and the features with an absolute value greater than 0.9 were removed. In addition, the radiological features were assigned classification characteristics. The structure of each feature was considered too sparse if it was represented by a one-hot code. To merge the two types of features and reduce the impact of imaging features on the overall feature set, we used the ‘leaveoneoutencoder’ method (in the ‘category\_encoders’ package) to convert classified data into numerical data. To further address the problem of collinearity between features, a subset of features that were more relevant to the target was obtained. The minimum redundancy maximum relevance (mRMR) method was employed to identify the 100 most relevant features from the dataset.

### *Dataset build*

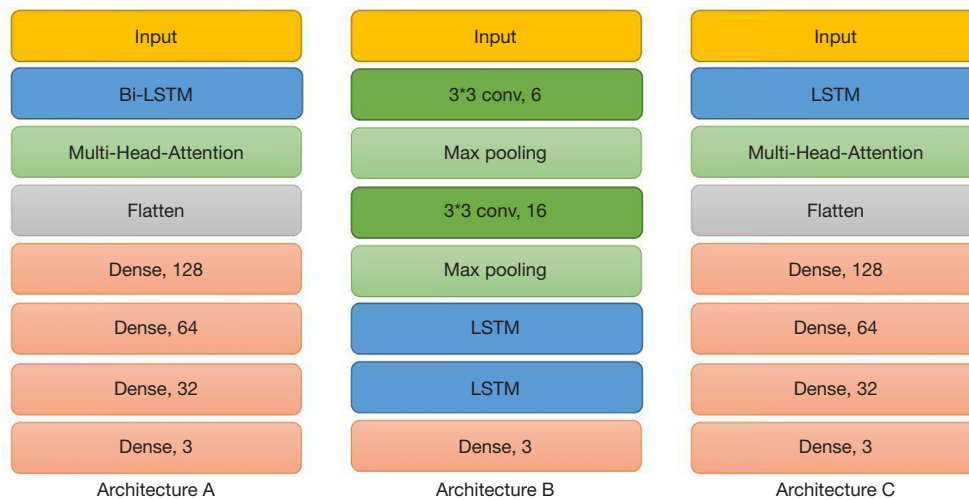
The dataset created based on the optimal 100 features was divided into a training cohort (80%) and a testing cohort (20%). We optimized the dataset to solve the problem of few data and increase the generalization ability of the trained model. Each sample in the training cohort was arranged in reverse order to double the amplification. Then, the data from each sample in the training cohort and testing set were supplemented to 25 rows (under the assumption

that the CT image of each lesion had 25 layers), and the gap was filled with 0 s. Then, 30% of the columns of the samples in the training cohort were randomly selected and increased (multiplied by 1.3) or decreased (multiplied by 0.7). Next, the training cohort was doubled again. Finally, the optimized dataset was formed using radiomics signatures and radiological features.

### *Model structure*

We proposed a Bi-LSTM and multihead attention-based model that was capable of classifying MIA, AIS, and IAC. Recurrent neural networks (RNNs) exhibit dynamic temporal behavior for a time sequence, as the connections between nodes form a directed graph along the sequence. The continuous CT images covering the lesion area are similar to the time-series data, where the time interval is represented by the slice thickness. Moreover, RNNs use an internal state to process input sequences, and they can connect previous information to the present task (27). Therefore, we hypothesized that features form “time series” in continuous CT layers. Compared with LSTM, Bi-LSTM performs well not only in long-distance information acquisition but also in near-position information acquisition. By training the model based on the Bi-LSTM algorithm to capture the long- and short-distance information rules in the sequence, it can better carry out an auxiliary diagnosis. Multihead attention mainly addresses three issues: (I) solving the problem that the model pays too much attention to the position of information itself; (II) enhancing the expression ability of the model; and (III) preventing overfitting. Both methods have open-source code and encapsulation packages. Multihead attention can use the “MultiHeadAttention” function in the “keras\_multi\_head” package. Bi-LSTM can use the combined “Bidirectional” and “LSTM” functions in the “tensorflow.keras.layers” package.

First, the model used the Bi-LSTM layer (units =32, activation = ‘sigmoid’) to extract the changing state of features in continuous CT layers as much as possible. Then, the multihead attention layer (head =8, name = ‘Multi-Head’ in the ‘keras\_multi\_head’ package) was used to enhance the output state sequence. This layer could enhance the generalization ability of the model and reduce the risk of focusing on specific feature changes. Then, the flattened layer was used to flatten the sequence. Finally, a series of FC layers and dropout layers was used to output the prediction of classification results (Figure S1). The workflow of this



**Figure 2** Overview of the three architectures. Architecture A represents the Bi-LSTM with multihead attention architecture; architecture B represents the CNN with LSTM architecture; and architecture C represents the LSTM with multihead attention architecture. Bi-LSTM, bidirectional long short-term memory; CNN, convolutional neural network.

**Table 2** Performance of the models in datasets

Models	Precision	Recall	F1	Accuracy
Bi-LSTM+ multihead attention in training cohort	0.95	0.94	0.94	0.94
Bi-LSTM+ multihead attention in testing cohort	0.92	0.92	0.92	0.92
Bi-LSTM+ multihead attention in validation cohort	0.91	0.91	0.91	0.91
CNN+LSTM in testing cohort	0.89	0.84	0.86	0.88
LSTM+ multihead attention in testing cohort	0.88	0.87	0.86	0.87

Bi-LSTM, bidirectional long short-term memory; CNN, convolutional neural network.

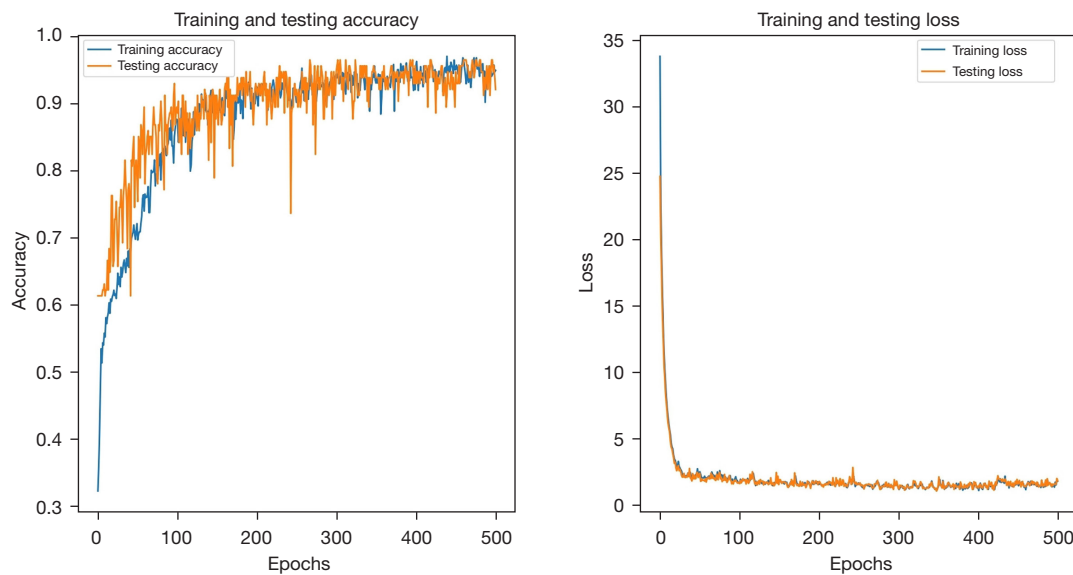
Bi-LSTM and multihead attention-based model is shown in *Figure 2*. In addition, we also studied the performance of datasets in CNN+LSTM and LSTM+multihead attention models. The overall process of the project is shown in *Figure S2*.

### **Identification evaluation and comparison with other algorithms**

Several evaluation criteria were utilized to evaluate the model's performance, including precision, recall, f1 score, and accuracy, as shown in *Table 2* (28-30). These metrics were calculated based on the number of true positives (TP), false positives (FP), true negatives (TN), and false negatives (FN), following established methods. In addition, we conducted tenfold cross-validation experiments to further verify the performance of the model.

We also evaluated the classification performance of the CNN+LSTM and LSTM+multihead attention methods after training on the same dataset. CNN+LSTM is a popular image feature analysis algorithm. In this experiment, we took the feature matrix of the lesion image group as an image and compared it with the change rules of lesion features to verify the model performance. The LSTM algorithm can also analyze the changes in lesion radiomics signatures. Here, we used it to test the performance of Bi-LSTM in this model. To test the performance difference between our model and the traditional method, we also extracted the 3D radiomic signatures of the lesions and built a nomogram based on the 3D radiomic signatures and radiological features (*Figure S2*). To further analyze the auxiliary diagnosis performance of the model, we compared its results to those of the manual diagnosis of radiologists in the same field. One study collected disease





**Figure 3** Training process of the model in the study.

images similar to ours. The model built in the study and the results of artificial diagnosis by three radiologists were used as reference values (31). We also compared our model with another study that developed a combined radiographic–radiomics model for invasive prediction (32).

## Results

### *Model training and performance*

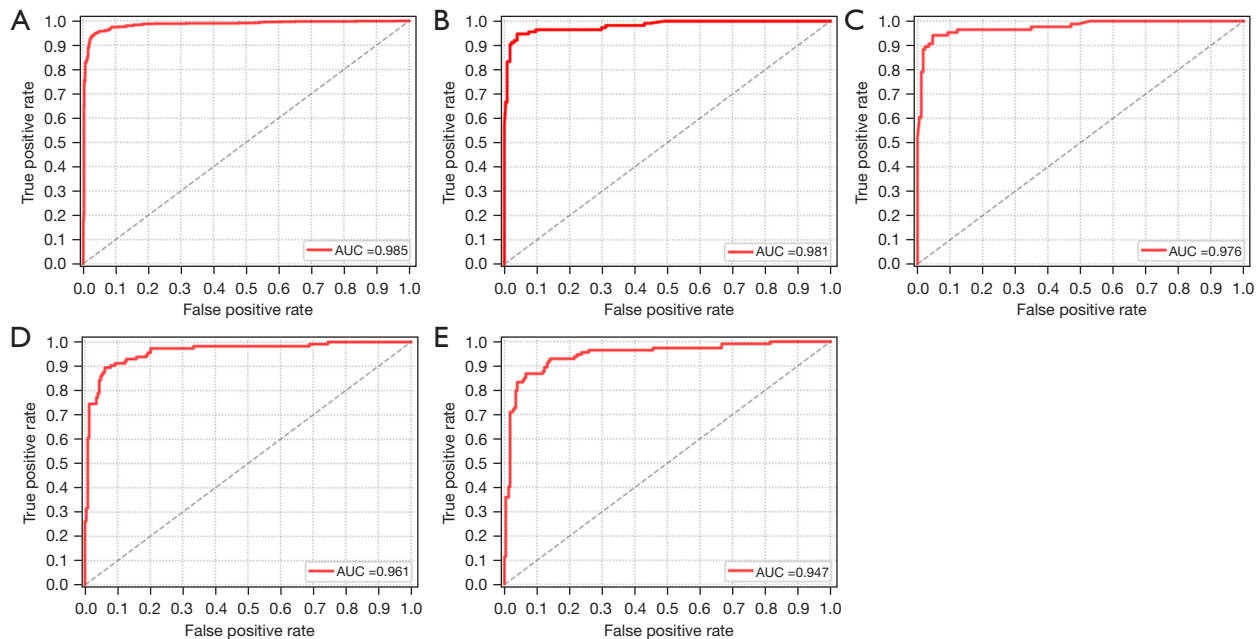
The categorical cross-entropy loss function was employed to calculate the loss during model training, with the Adam optimization algorithm utilized with a learning rate of 0.001. After considering the balance between accuracy and overfitting, we selected the model trained at the 400th epoch as the final model, as depicted in *Figure 3*. The ROC curve was plotted to assess the model's performance, as shown in *Figure 4*. The model training demonstrated robust identification efficiency, as evident from the results obtained (training AUC =0.985; testing AUC =0.981; validation AUC =0.976). The four evaluation indicators were 0.95, 0.94, 0.94 and 0.94 in the training cohort; 0.92, 0.92, 0.92 and 0.92 in the testing cohort; and 0.91, 0.91, 0.91 and 0.91 in the validation cohort. The calibration plot of the model and the results of the tenfold cross-validation experiment are shown in the supplementary materials (*Figures S3,S4*, and *Table S1*).

### *Performance of other models and artificial diagnosis*

We trained the other two models and compared their performance in the testing cohort. The AUC of the CNN+LSTM model was 0.961, and its four evaluation indicators were 0.89, 0.84, 0.86 and 0.88. The AUC of the LSTM+multihead attention model was 0.947, and its four evaluation indicators were 0.88, 0.87, 0.86 and 0.87. The comparison results showed that the model developed in this study performed better than the other two in the same dataset (*Table 2*). The AUC of the nomogram model in the testing cohort was 0.864. The AUCs of the artificial diagnosis results of the three radiologists in another study were 0.692, 0.806 and 0.759, respectively (*Figure S5*). In this study, a nomograph model based on the GLCM was constructed. The AUC in the training cohort was 0.878, and that in the validation cohort was 0.923. The AUC of the combined radiographic–radiomics model was 0.80 in the training cohort, 0.77 in the validation cohort, and 0.82 in the testing cohort.

## Discussion

The analysis of imaging features has been widely used in the auxiliary diagnosis of lung cancer, and accurate auxiliary models can provide positive support for making decisions about treatment plans and saving medical resources (33–35).



**Figure 4** ROCs and AUCs of the three models. (A) The performance of the Bi-LSTM + multihead attention model in the training cohort; (B) the performance of the Bi-LSTM + multihead attention model in the testing cohort; (C) the performance of the Bi-LSTM + multihead attention model in the validation cohort; (D) the performance of the CNN + LSTM model in the testing cohort; (E) the performance of the LSTM + multihead attention model in the testing cohort. ROC, receiver operating characteristic; AUC, area under the curve; Bi-LSTM, bidirectional long short-term memory; CNN, convolutional neural network.

The analysis of feature change trends may be closer to the diagnostic process of radiologists and may provide a new method for the exploration of decision rules (36,37).

In this study, we developed a deep learning network architecture specifically designed for feature learning and implemented the model for the classification of MIA, AIS, and IAC lung adenocarcinoma subtypes. Based on Bi-LSTM, the change rules of selected radiomics signatures in the continuous layers of CT images of lesions were accurately learned. At the same time, multihead attention was used to strengthen the learning ability of the change rule sequence so that the model parameters had better generalization ability and overfitting was reduced. This approach is consistent with routine radiologist film reading and diagnostic procedures. It can effectively reduce the complexity of the model and increase the interpretability of the model results. The results show that the model based on multihead attention and Bi-LSTM achieved good performance in the classification of lung adenocarcinoma CT images. This indicates that the change rule of radiological features in CT images can well reflect the type of focus. Our model was superior to three comparison

models in the same dataset and has certain advantages compared with other research models. In addition, comparison with the performance of three radiologists in another study shows that the model has good clinical auxiliary value.

Compared with nomograms, these methods obtain good results, and they can provide an interpretable scoring standard. However, they still lack the ability to identify disease subtypes. When radiologists observe medical images such as CT images, they look for diagnostic evidence by analyzing the image texture changes and feature changes in the focus area between layers to identify disease subtypes. Most of the current auxiliary diagnostic models for medical images train and learn on a single image or a whole 3D focus area. Most of these methods can obtain good results after training, but they lack the ability to interpret models and results, which is the focus of recent research.

In our research, we referred to the working methods of radiologists, analyzed and learned the texture changes between the focus image layers, and combined the imaging features to train the auxiliary diagnosis model. This is an innovative attempt, although there are still some defects



in the interpretation ability. Compared with other models based on a single image or 3D region, we focused on the idea of image texture changes, which provides a feasible direction for later research on interpretable auxiliary diagnostic models. Analyzing the radiologic features and their changing rules and even mapping the signs of the lesions help to interpret the images and provide an interpretable auxiliary diagnostic basis.

We used semiautomatic segmentation software developed in house to extract the lesion area, calculate the radiologic features through the open source 'PyRadiomics' software package, and finally combine the features to create the model trained in the study. In this way, a feasible and robust workflow is constructed that can assist radiologists in their daily work and has higher advantages compared with the results of manual diagnosis. After locating the focus area, doctors can quickly obtain the segmented focus area and the auxiliary diagnosis evaluation provided by the model through this workflow.

Of the top 10 features (lobulation, original\_firstorder\_90Percentile, pleural attachment, spiculation, air bronchogram, margin, bubble lucency, square\_glcm\_ClusterProminence, square\_glrmlm\_LongRunEmphasis, and wavelet-HL\_firstorder\_Energy), 60% were radiological features, and 40% were radiomics signatures. The "original\_firstorder\_90Percentile" feature refers to the calculated value representing the 90th percentile of the CT values within the lesion. The "square\_glcm\_ClusterProminence" feature is a measure of the skewness and asymmetry of the gray level cooccurrence matrix (GLCM) features. The "square\_glrmlm\_LongRunEmphasis" feature is a measure of the distribution of long run lengths, with a greater value indicative of longer run lengths and more coarse structural textures. Although CT values were not used as a factor in the model, all these features provide a detailed description of the CT value distribution and its trends in the lesion area. This indicates that radiological features are still important criteria for the diagnosis of lung adenocarcinoma. At the same time, radiomics signatures supplement the basis of diagnosis results from more high-dimensional perspectives, such as morphology and grayscale. The comparison with the results of other models shows that, compared with a single feature, the feature change rules can better assist radiologists in diagnosis. This may provide a new method for radiologic features to participate in auxiliary diagnosis.

However, this study has some deficiencies. First,

the dataset used in this study is small, which may affect the feature extraction and value setting during the transformation of radiological classification features. In addition, there are certain fluctuations in model training. However, this model classifies the lesions by analyzing the change rules of each feature, so the difference in these values will not have a great impact on the results. In addition, the study also has limitations. First, it is undeniable that the extraction of radiologic features is affected by many aspects, such as different computing software packages and inconsistent extracted lesion regions. These problems reduce the robustness of the model and affect the results of the auxiliary diagnosis. Second, although the study has preliminarily verified that the analysis of feature change trends can identify lesions well, there is still a large gap among the realization of the provision of a clinical auxiliary diagnosis basis, the discovery of decision rules and interpretability. Third, the research team has analyzed the image feature changes of the lesion image. Although it is convenient for the subsequent extraction of decision rules, these artificial intervention processes may cause the rules to deviate from the facts. In the future, we will expand the dataset and look for more radiomics signature calculation packages that can calculate more features to reflect the focus as comprehensively as possible. In addition, we will also analyze the relationship between features, especially the relationship between radiological features and radiomics signatures, and optimize the feature set to enhance the interpretability of the model and results.

## Conclusions

In this study, a model based on Bi-LSTM and multihead attention was developed to classify CT images of lung adenocarcinoma by analyzing the change rules of radiological and radiomics features in CT images of lesions. The results showed that the analysis of the changes in radiomics signatures in the CT images of the lesions had a positive effect on the differentiation of lung adenocarcinoma subtypes. This method provides a new method for CT image classification of lesions and has a certain clinical value.

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## Footnote

*Reporting Checklist:* The authors have completed the TRIPOD reporting checklist. Available at <https://qims.amegroups.com/article/view/10.21037/qims-22-848/rc>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://qims.amegroups.com/article/view/10.21037/qims-22-848/coif>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the ethics committee of Shanghai University of Medicine & Health Sciences, and the written informed consent of patients was waived by the ethics committee because the study was a retrospective experiment and did not involve patient privacy.

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## PyRadiomics

PyRadiomics is a Python-based open-source package designed for extracting radiomics features from medical imaging data. The official website link is <https://pyradiomics.readthedocs.io>.

### Contents of the params.yaml file

setting:

binWidth: 25

label: 1

interpolator: 'sitkBSpline' # This is an enumerated value; None is not allowed

resampledPixelSpacing: # This disables resampling, as it is interpreted as None; to enable it, specify spacing in x, y, z as [x, y, z]

weightingNorm: # If no value is specified, it is interpreted as None

# Image types to use: "Original" for unfiltered image; for possible filters, see documentation.

# Some feature extraction requirements are added here

imageType:

Original: {} # for dictionaries/mappings, None values are not allowed, '{}' is interpreted as an empty dictionary

LoG: {}

Wavelet: {}

Square: {}

SquareRoot: {}

Logarithm: {}

Exponential: {}

Gradient: {}

LBP3D: {}

# Feature classes, from which features must be calculated. If a feature class is not mentioned, no features are calculated for that class.

Otherwise, the specified features are calculated, or if none are specified, all are calculated (excluding redundant/deprecated features).

featureClass:

# redundant Compactness 1, Compactness 2 and Spherical Disproportion features are disabled by default, they can be enabled by specifying individual feature names (as is done for glcm) and including them in the list.

shape:

shape2D:

firstorder: [] # specifying an empty list has the same effect as specifying nothing.

glcm: # Disable SumAverage by specifying all other GLCM features available

glrlm: # for lists, None values are allowed; in this case, all features are enabled

glszm:

gldm: # contains deprecated features, but as no individual features are specified, the deprecated features are not enabled

ngtdm:

### Feature classes and filters

1. First Order Features ----First-order statistics describe the distribution of voxel intensities within the image region defined by the mask through commonly used and basic metrics.
2. Shape Features (3D)-----In this group of features, we included descriptors of the three-dimensional size and shape of the ROI. These features are independent to the gray level intensity distribution in the ROI and are therefore only calculated on the nonderived image and mask.
3. Gray Level Co-occurrence Matrix (GLCM) Features-----A gray level co-occurrence matrix (GLCM) of size  $N_g \times N_g$

describes the second-order joint probability function of an image region constrained by the mask and is defined as  $P(i,j|\delta,\theta)$ .

4. Gray Level Size Zone Matrix (GLSZM) Features-----A gray level size zone matrix (GLSZM) quantifies gray level zones in an image. A gray level zone is defined as the number of connected voxels that share the same gray level intensity.

5. Gray Level Run Length Matrix (GLRLM) Features-----A gray level run length matrix (GLRLM) quantifies gray level runs, which are defined as the length, in number of pixels, of consecutive pixels that have the same gray level value.

6. Neighboring Gray Tone Difference Matrix (NGTDM) Features—A neighboring gray tone difference matrix quantifies the difference between a gray value and the average gray value of its neighbors within distance  $\delta$ .

7. Gray Level Dependence Matrix (GLDM) Features-----A gray level dependence matrix (GLDM) quantifies gray level dependencies in an image. A gray level dependency is defined as the number of connected voxels within distance  $\delta$  that are dependent on the center voxel.

Aside from the feature classes, there are also some built-in optional filters:

1. Laplacian of Gaussian (LoG, based on SimpleITK functionality)-----Applies a Laplacian of Gaussian filter to the input image and yields a derived image for each sigma value specified. A Laplacian of Gaussian image is obtained by convolving the image with the second derivative (Laplacian) of a Gaussian kernel.

2. Wavelet (using the PyWavelets package)-----Applies wavelet filter to the input image and yields the decompositions and the approximation.

3. Square-----Computes the square of the image intensities.

4. Square Root----- Computes the square root of the absolute value of image intensities.

5. Logarithm----- Computes the logarithm of the absolute value of the original image + 1.

6. Exponential----- Computes the exponential of the original image.

7. Gradient----- Computes and returns the gradient magnitude in the image.

8. Local Binary Pattern (3D)----- Computes and returns the local binary pattern (LBP) in 3D using spherical harmonics.

The features extracted in the study are as follows:

14 original\_shape features; 18 original\_firstorder features; 24 original\_glcmm features; 16 original\_glrlm features; 16 original\_glszm features; 14 original\_gldm features; 5 original\_ngtdm features; 744 wavelet and subclass filters features; 93 square filters features; 93 squareroot filters features; 93 logarithm filters features; 93 exponential filters features; 93 gradient filters features; 279 lbp-3D and subclass filters features; and 5 diagnostics\_Image/Mask features.



**Table S1** Results of the tenfold cross-validation experiment

	Precision	Recall	F1	Acc
First experiment				
Training cohort	0.98	0.98	0.98	0.99
Testing cohort	0.84	0.89	0.84	0.84
Second experiment				
Training cohort	0.95	0.93	0.98	0.93
Testing cohort	0.87	0.85	0.84	0.85
Third experiment				
Training cohort	0.98	0.98	0.98	0.98
Testing cohort	0.93	0.93	0.92	0.93
Fourth experiment				
Training cohort	0.99	0.99	0.99	0.99
Testing cohort	0.91	0.89	0.89	0.89
Fifth experiment				
Training cohort	0.99	0.99	0.99	0.99
Testing cohort	0.91	0.90	0.91	0.91
Sixth experiment				
Training cohort	0.99	0.99	0.99	0.99
Testing cohort	0.98	0.98	0.98	0.98
Seventh experiment				
Training cohort	0.99	0.99	0.99	0.99
Testing cohort	0.90	0.88	0.88	0.88
Eighth experiment				
Training cohort	0.98	0.97	0.97	0.97
Testing cohort	0.90	0.89	0.89	0.89
Ninth experiment				
Training cohort	0.99	0.99	0.98	0.99
Testing cohort	0.95	0.95	0.96	0.95
Tenth experiment				
Training cohort	0.99	0.99	0.99	0.99
Testing cohort	0.93	0.92	0.92	0.92

The dataset was split into 10 equal parts. Two parts were randomly selected as the testing cohort each time, and the remaining 8 were the training cohort.

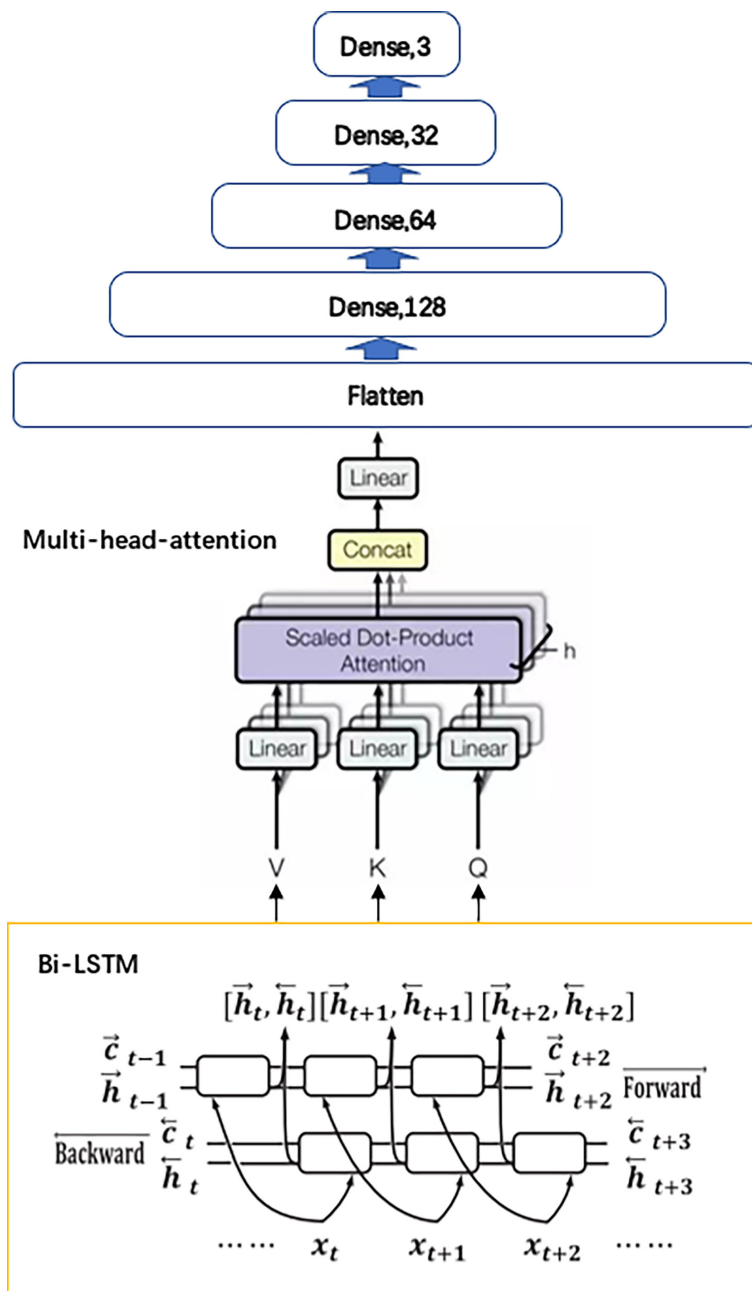
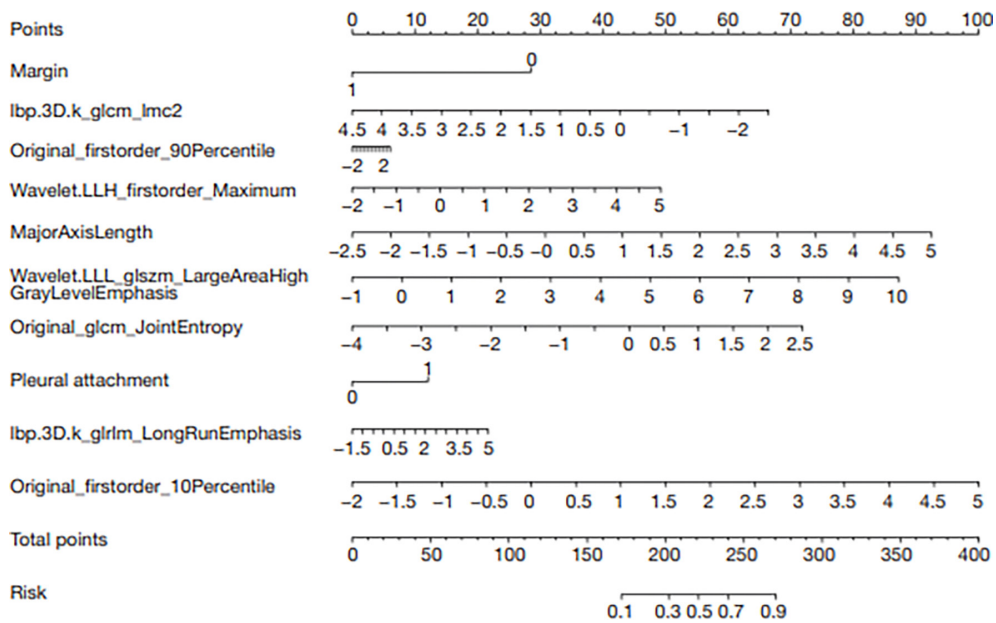
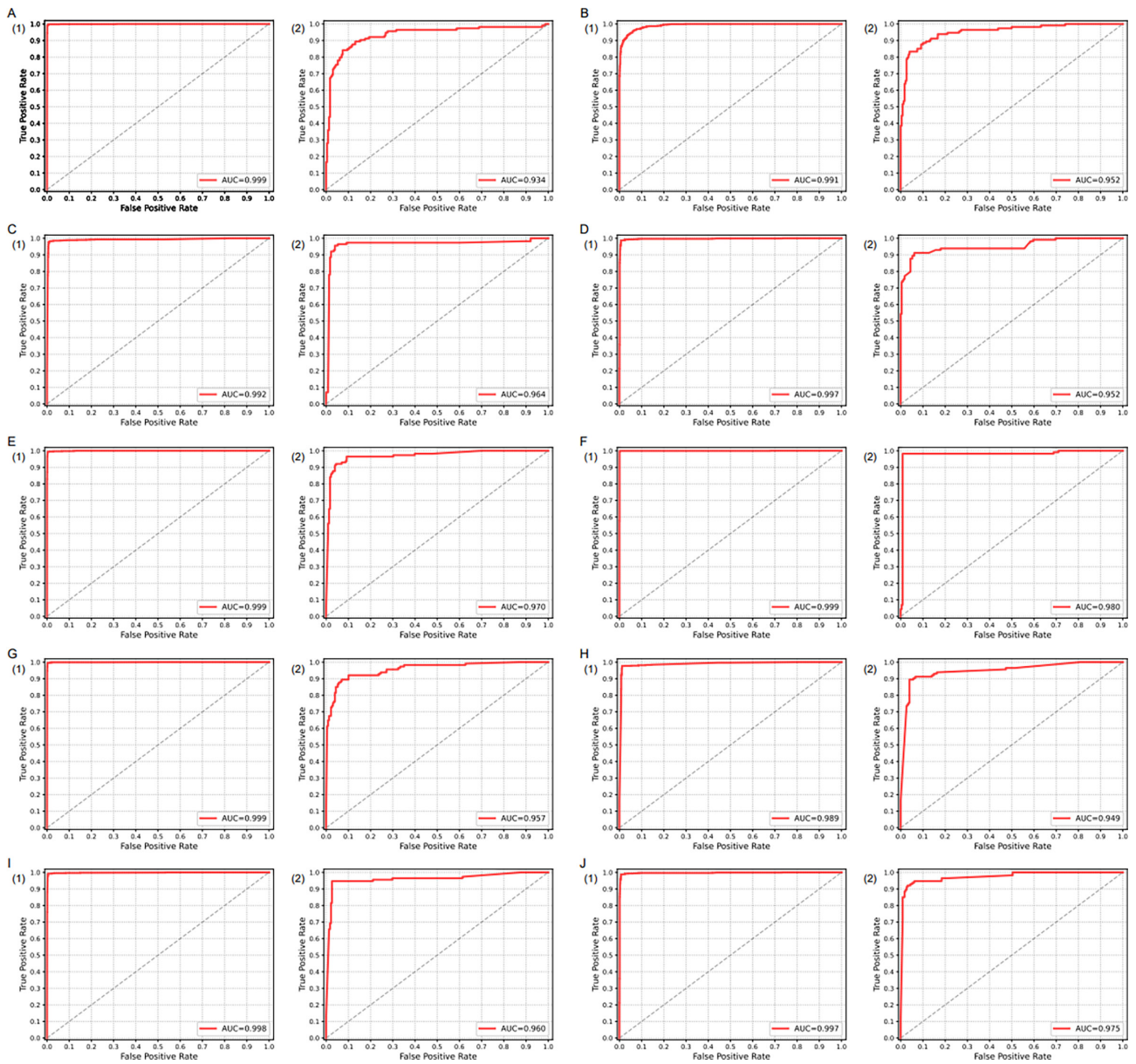


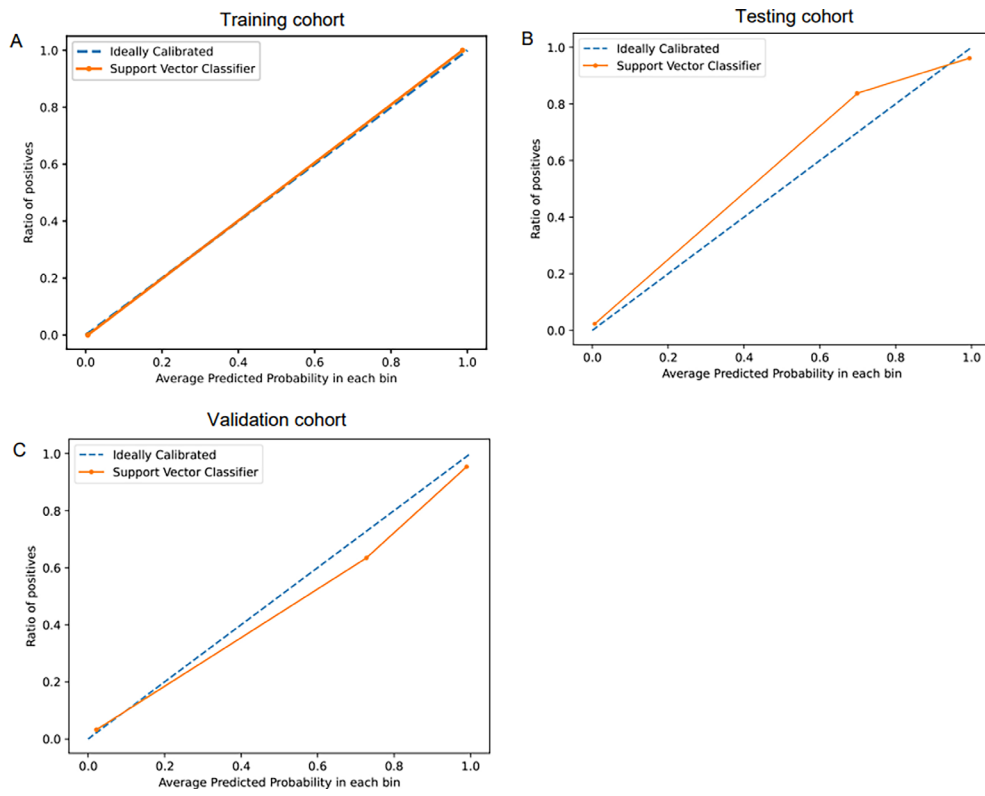
Figure S1 Detailed structure of the model in this study.



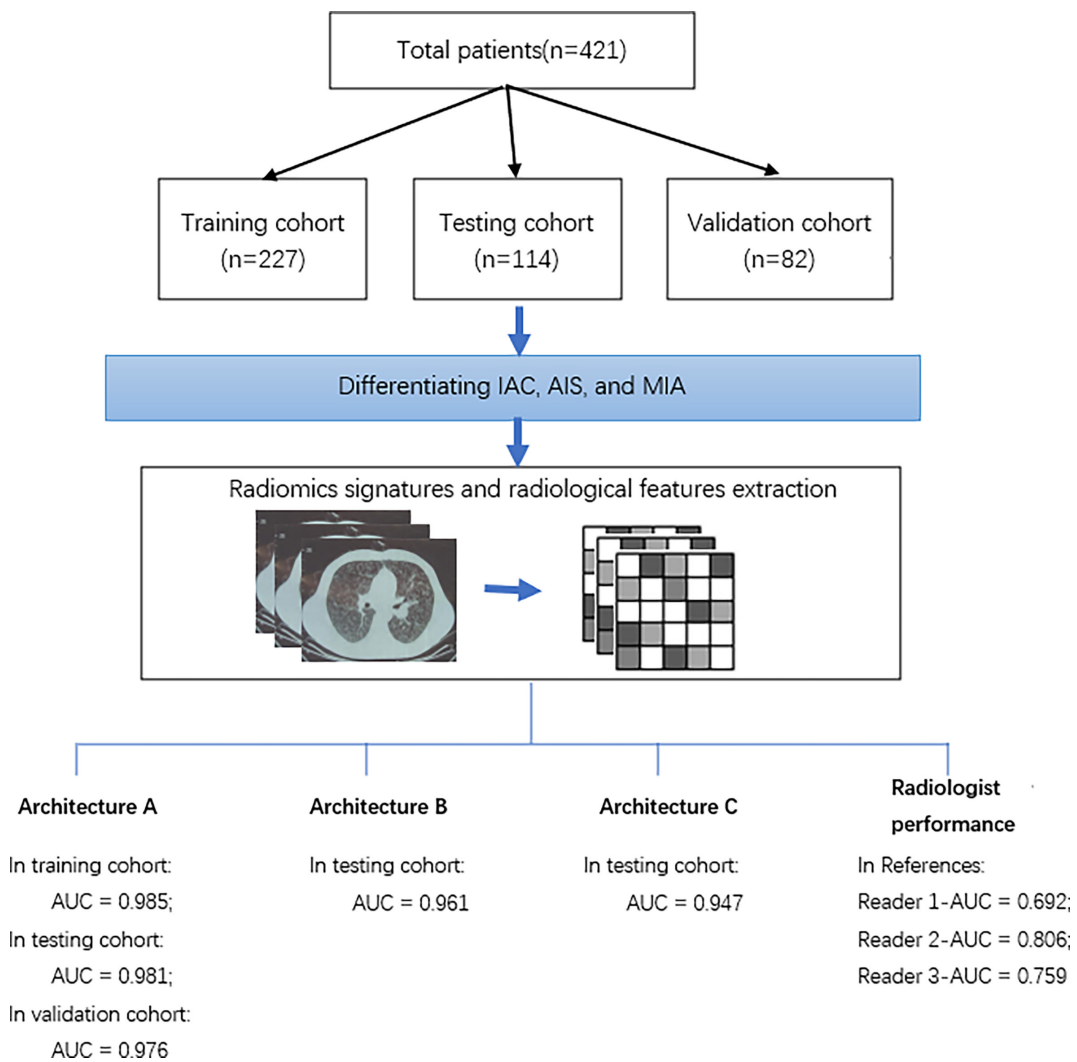
**Figure S2** The nomogram for predicting IAC risk was constructed based on 3D radiomic signatures and radiological features. IAC, invasive adenocarcinoma.



**Figure S3** The ROC curves of the training set and test set in each tenfold cross-validation experiment. Subgraph (1) in each group represents the ROC curve of the training cohort, and subgraph (2) represents the ROC curve of the testing cohort.



**Figure S4** Calibration plot of the model in three data cohorts. A represents the calibration plot in the training cohort. B represents the calibration plot in the testing cohort. C represents the calibration plot in the verification cohort.



**Figure S5** Overall study design flowchart for the training, testing, and validation cohorts and diagnostic performance by each approach.