



Deep learning radiomics analysis based on computed tomography for survival prediction in gastric neuroendocrine neoplasm: a multicenter study

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Background: Survival prediction is crucial for patients with gastric neuroendocrine neoplasms (gNENs) to assess the treatment programs and may guide personalized medicine. This study aimed to develop and evaluate a deep learning (DL) radiomics model to predict the overall survival (OS) in patients with gNENs.

Methods: The retrospective analysis included 162 consecutive patients with gNENs from two hospitals, who were divided into a training cohort, internal validation cohort (The First Affiliated Hospital of Zhengzhou University; n=108), and an external validation cohort (The Henan Cancer Hospital; n=54). DL radiomics analysis was applied to computed tomography (CT) images of the arterial phase and venous phase, respectively. Based on pretreatment CT images, two DL radiomics signatures were developed to predict OS. The combined model incorporating the radiomics signatures and clinical factors was built through the multivariable Cox proportional hazards (CPH) method. The combined model was visualized into a radiomics nomogram for individualized OS estimation. Prediction performance was assessed with the concordance index (C-index) and the Kaplan-Meier (KM) estimator.

Results: The DL-based radiomics signatures based on two phases were significantly correlated with OS in the training (C-index: 0.79–0.92; $P < 0.01$), internal validation (C-index: 0.61–0.86; $P < 0.01$), and external validation (C-index: 0.56–0.75; $P < 0.01$) cohorts. The combined model integrating radiomics signatures with clinical factors showed a significant improvement in predictive performance compared to the clinical model in the training (C-index: 0.86 *vs.* 0.80; $P < 0.01$), internal validation (C-index: 0.77 *vs.* 0.71; $P < 0.01$), and external validation (C-index: 0.71 *vs.* 0.66; $P < 0.01$) cohorts. Moreover, the combined model classified patients into high-risk and low-risk groups, and the high-risk group had a shorter OS compared to the low-risk group in the training cohort [hazard ratio (HR) 3.12, 95% confidence interval (CI): 2.34–3.93; $P < 0.01$], which was validated in the internal (HR 2.51, 95% CI: 1.57–3.99; $P < 0.01$) and external validation cohort (HR 1.77, 95% CI: 1.21–2.59; $P < 0.01$).

Conclusions: DL radiomics analysis could serve as a potential and noninvasive tool for prognostic prediction and risk stratification in patients with gNENs.

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Keywords: Gastric neuroendocrine neoplasm (gNEN); survival analysis; computed tomography (CT); deep learning (DL); radiomics nomogram

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Introduction

Gastric neuroendocrine neoplasms (gNENs) are rare and heterogeneous tumors whose incidence is increasing worldwide due to improved recognition and diagnosis technologies of neuroendocrine neoplasms as distinct tumor types (1). The latest World Health Organization (WHO) guidelines classify gNENs into well-differentiated neuroendocrine tumor (NET), poorly differentiated neuroendocrine carcinoma (NEC), and mixed neuroendocrine-nonneuroendocrine neoplasms (MiNENs) based on their molecular characteristics (2).

The prognosis of poorly differentiated gNENs, which includes gastric NEC and MiNENs, differs significantly from the well-differentiated gNENs. According to a previous study of 900 patients with gNENs, the 3- and 5-year overall survival (OS) rates of gastric NEC are much lower than those of gastric NET (3). Several reports suggest that there is a significant difference in biological behavior between NET and NEC, with NEC being more aggressive and being associated with a poorer prognosis (4,5). Nevertheless, purely anatomically based prognostic systems may not fully account for tumor heterogeneity for predicting long-term outcomes for NEN (6-9). The heterogeneity of gNENs introduces a challenge, and these require different treatment strategies. To address this urgent issue, it is necessary to identify a novel and dependable biomarker that can accurately differentiate gNENs for clinical decision-making (10).

Endoscopic biopsies, which are invasive in nature, are widely regarded as the most reliable method for diagnosing gNENs and have been endorsed by the European Neuroendocrine Tumor Society (ENETS) (11). However, with biopsies, sample errors in diagnosing histopathological features are unavoidable. Computed tomography (CT) is a useful tool for predicting the prognosis of both gastric cancer and gNENs, making it an indispensable diagnostic method (12). Its widespread recognition is due to its high diagnostic accuracy and consistent availability. The sensitivity and specificity of CT for diagnosing

gNENs and gastric cancer range from 61% to 93% and from 71% to 100%, respectively (13). Nevertheless, the prognostic significance of conventional medical imaging is fundamentally restricted by mutual accuracy and reproducibility. As a newly emerging image quantization technique, radiomics has been widely applied across various diseases, especially in the diagnosis and prognosis of tumors, and can provide a basis for the accurate treatment of tumors (14-16). A radiomics nomogram, a noninvasive model visualization tool that integrates radiomics signatures and clinical factors, has potential application in differential diagnosis and the prediction of clinical outcomes (17-19). The emergence of deep learning (DL) techniques has significantly enhanced the capabilities of radiomics, facilitating the automated acquisition of correlative quantitative representations of tumor phenotypes (20). Prior studies have demonstrated that the DL radiomics analysis based on the on convolutional neural networks (CNNs) are effective in cancer prognosis (21,22). However, the application of DL radiomics analysis for individualized evaluation of OS in gNENs has not yet been reported.

Given the above considerations, we collected CT images and independent clinical factors before treatment to build and evaluate a DL-based radiomics model and investigated whether DL radiomics analysis could predict the prognosis of patients with gNENs. We present this article in accordance with the TRIPOD reporting checklist (available at <https://qims.amegroups.com/article/view/10.21037/qims-23-577/rc>).

Methods

Patients

In this retrospective, multicenter study, patients with gNENs who had been pathologically confirmed through endoscopic biopsy or surgical resection were recruited in two hospitals (The First Affiliated Hospital of Zhengzhou University and The Henan Cancer Hospital). The inclusion criteria were as follows: (I) patients aged older than

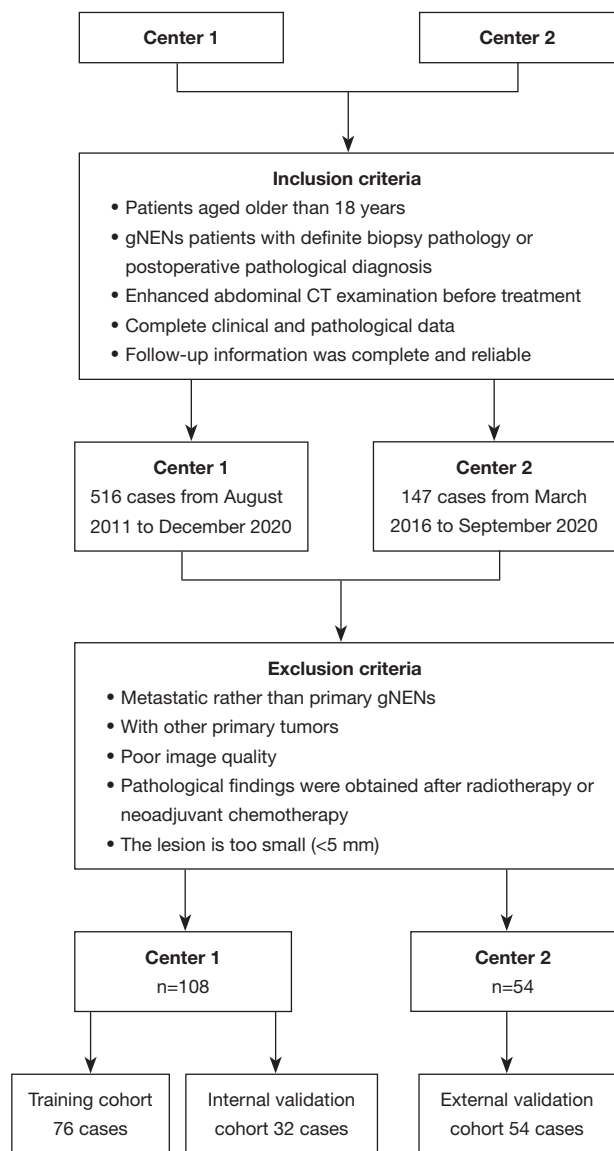


Figure 1 The procedure of recruitment and partition of datasets. gNEN, gastric neuroendocrine neoplasm; CT, computed tomography.

18 years; (II) patients with gNENs with confirmed biopsy pathology or postoperative pathological diagnosis; (III) multiphase enhanced abdominal CT imaging performed before treatment; (IV) relatively complete clinical and pathological data; and (V) complete and dependable follow-up information. The following exclusion criteria were applied: (I) pathological findings obtained after neoadjuvant chemotherapy, radiotherapy, or other treatments; (II) metastatic gNENs; (III) poor CT image quality with severe

artifacts; (IV) a minimum diameter of the tumor <5 mm insufficient to contain the region of interest (ROI); and (V) severe heart, liver, or kidney dysfunction or other primary tumors. The recruitment process is shown in *Figure 1*. All clinical data were gathered from the medical and endoscopic reports. The eighth edition of the American Joint Committee on Cancer (AJCC) TNM staging manual [2017] was used for tumor staging (23).

This retrospective study was approved by the Institutional Review Board of the First Affiliated Hospital of Zhengzhou University (No. 2021-KY-1070-002), and the requirement for informed consent was waived due to the retrospective nature of the study. Both participating hospitals were informed of and agreed with the study. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Baseline staging evaluations included ultrasound endoscopy and CT. The treatment was jointly decided upon by multidisciplinary discussion with oncologists, radiologists, and surgeons for the patients with gNENs in accordance with the AJCC guidelines. Finally, 162 patients with gNENs were enrolled from two centers. Among them, 108 patients from the First Affiliated Hospital of Zhengzhou University were randomly divided into a training cohort (n=76) and an internal validation cohort (n=32) at a ratio of 7:3 between August 2011 and December 2020. Additionally, 54 patients from the Henan Cancer Hospital were placed in the external validation between March 2016 and September 2020. The primary endpoint of this study was OS, which was considered to be the duration from the date of diagnosis to death or the end date of follow-up. The follow-up information for OS is detailed in Supplementary file ([Appendix 2](#)).

CT acquisition and tumor segmentation

All patients from the two hospitals underwent biphasic (arterial and venous phase) enhanced CT scanning before surgery. The detailed CT acquisition procedure and protocols are described in [Table S1](#). Manual segmentation was performed on the tumor in arterial and venous phases CT images using ITK-SNAP software (version 3.6.0; www.itk-snap.org). The tumor ROIs were manually segmented along the margin of the tumor on the cross-section of biphasic phases. The segmentation procedure was performed by two radiologists with more than 10 years of experience. For each phase, 1 slice with the maximum cross-sectional area of the lesion was chosen visually by

the radiologist, and a 2-dimensional ROI of the tumor was delineated. If a consensus concerning tumor region segmentation could not be reached, this would be decided upon by a third senior abdominal radiologist. After 1 month, we randomly selected 30 patients in the training cohort for ROI segmentation again to evaluate the intraobserver reproducibility. The features with intraclass correlation coefficients (ICCs) greater than 0.8 were considered reliable and retained for further analysis (24).

DL feature extraction

CT images in venous and arterial phases need to first be standardized to avoid variability in reconstruction slice thickness and voxel spacing. By applying the bicubic spline interpolation algorithm, we resampled the raw images into a $1 \times 1 \times 1 \text{ mm}^3$ voxel size (24). We constructed a DL model based on residual CNN (ResNet-50 architecture), with the input of segment CT images (size: $224 \text{ mm} \times 224 \text{ mm}$) (25). ResNet-50 significantly outperforms conventional CNNs on challenging object recognition benchmark tasks while requiring less computational resources and having fewer parameters and is thus more practical and accessible. The pretrained ResNet-50 model is available online, but for this study, the last fully connected layer at the top of the model was removed. Global max pooling strategies were used to extract the maximum values from each layer of the feature maps, which were then transformed into their original feature values. The details of the DL model are described in the Supplementary file ([Appendix 1](#) and [Appendix 2](#)).

Building of the DL-based radiomics signature

Feature selection and radiomics signatures building were performed in the training cohort. The two radiomics signatures were respectively built as follows. First, ICCs were calculated from the resegmentation data to evaluate the reproducibility of features. Only those features with ICCs >0.8 were selected further analysis. We then applied the least absolute shrinkage and selection operator (LASSO) Cox regression model to identify the most useful prognostic features among all the DL features. Subsequently, a multiple feature-based radiomics signature, known as the radiomics score, was built to predict survival in the training cohort. Finally, we built two DL-based radiomics signatures to reflect the phenotypic characteristics of the primary tumor from two phases of CT images: the arterial and venous phases. The “glmnet” package in R (The R Foundation of

Statistical Computing) was used to perform the LASSO Cox regression model analysis.

Construction of an individualized radiomics nomogram

To evaluate the predictive performance of the DL-based radiomics signature (Model^{dl}) for OS, we conducted initial assessments in the training cohort and then verified its performance in the internal validation cohort and external validation cohort. Additionally, to compare the prognostic performance between the DL-based radiomics signatures and conventional clinical features, we built a clinical model ($\text{Model}^{\text{clinic}}$) using the multivariable Cox proportional hazards (CPH) method based on independent clinical prognostic factors.

The univariate and multivariate CPH models were employed to investigate the association between the clinical risk factors and OS of gNENs in the training cohort. Subsequently, a combined model ($\text{Model}^{\text{clinic + dl}}$) incorporating the DL radiomics signatures and clinical factors was built through the multivariable CPH method. *Figure 2* shows the architectures of the DL radiomics analysis in this study. Finally, we visualized $\text{Model}^{\text{clinic + dl}}$ as a radiomics nomogram to assist clinicians in conveniently obtaining individualized OS estimates. The performance of $\text{Model}^{\text{clinic + dl}}$ was quantitatively evaluated using hazard ratio (HR) and the Harrell concordance index (C-index). Furthermore, risk stratification for the radiomics nomogram was performed, and a specific threshold was determined in the training cohort and locked during the validation phase.

Verification and evaluation of the radiomics nomogram

Kaplan-Meier (KM) curves were adopted to investigate the potential association between the models and OS. To evaluate the agreement between the observed actual OS rate and the nomogram-predicted OS rate, calibration curves were employed. In order to compare the predictive power of $\text{Model}^{\text{clinic + dl}}$ with Model^{dl} and $\text{Model}^{\text{clinic}}$, time-dependent receiver operating characteristic (TDROC) analysis (26), which could handle censored data, was adopted. To ascertain the network benefit, we adopted decision curve analysis (DCA) to validate the prognostic value of $\text{Model}^{\text{clinic + dl}}$.

Statistical analysis

A 2-tailed P value <0.05 was set as the threshold for statistical significance. Univariate analysis was performed

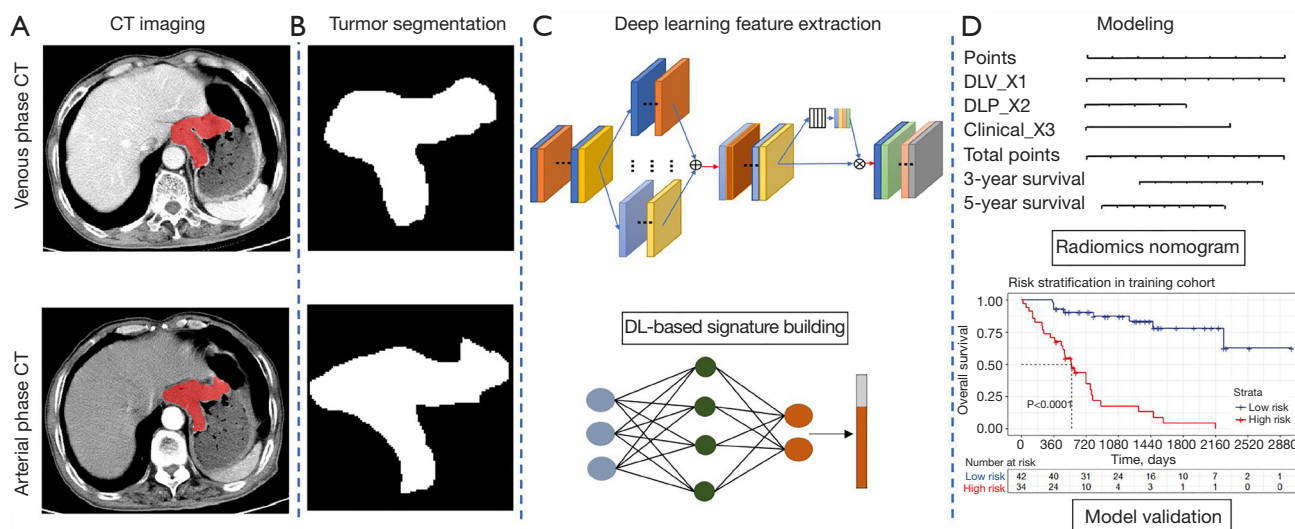


Figure 2 Architectures of deep learning radiomics analysis based on the residual convolutional neural network. (A) CT imaging; (B) tumor segmentation; (C) deep learning feature extraction; (D) modeling. DL, deep learning; CT, computed tomography; DLV, deep learning venous phase signature; DLP, deep learning arterial phase signature.

with the independent *t*-test or Mann-Whitney test for numeric variables. The differences of categorical data were analyzed with the chi-squared test or Fisher exact test. The KM curves for OS were plotted, with 1 month being defined as 30 days. The HRs and C-index were analyzed with confidence intervals (CIs) of 95%, along with the corresponding P values (27,28). In the multivariable CPH method, Akaike information was used as the optimization criterion, and backward stepwise selection was adopted. The Schoenfeld residuals test was performed for each clinical factors, factors with P value larger than 0.05 were considered eligible for Cox regression. We used R version 4.0.3 (<https://www.r-project.org>) and Python version 3.6 (The Python Software Foundation; <https://www.python.org>) to conduct statistical analysis and graphic representation.

Results

Baseline clinical characteristics for the training, internal validation, and external validation cohorts are summarized in *Table 1*. Of the 162 patients included in the study, 119 (73.5%) were men, and the median age of all patients was 62.57 years. The median OS in the training cohort, internal validation cohort, and external validation cohort was 32, 34 and 25 months, respectively.

For each clinical factor, the Schoenfeld residuals test with

chi-squared test was calculated (*Figure S1*). Factors with a P value larger than 0.05 were considered eligible for Cox regression. The test results indicated that all clinical factors were eligible for employ Cox regression in univariable and multivariable analyses (*Figure S1*). M stage, Ki-67 index, and longest diameter were independent predictors for risk prediction (P value < 0.05; *Table 2*) in the construction of Model^{clinic}. Model^{clinic} yielded worse results than did the DL-based radiomics signature Model^{dl} in the training (C-index: 0.80; 95% CI: 0.73–0.86), internal validation (C-index: 0.71; 95% CI: 0.60–0.84), and external validation (C-index: 0.66; 95% CI: 0.52–0.72) cohorts (*Table 3*).

In the building of the combined model (Model^{clinic + dl}), Model^{clinic + dl} adopted DL-based radiomics signatures, Ki-67 index, M stage, and longest diameter. Subsequently, the combined model was visualized as a radiomics nomogram for OS estimation using regression coefficients of Model^{clinic + dl}, as shown in *Figure 3*. Compared with Model^{clinic} and Model^{dl}, Model^{clinic + dl} demonstrated the strongest prognostic ability of OS in the training cohort [C-index: 0.86, 95% confidence interval (CI): 0.81–0.92; HR 3.12, 95% CI 2.34–3.93; P < 0.01], internal validation cohort (C-index: 0.77, 95% CI: 0.66–0.89; HR 2.51, 95% CI: 1.57–3.99; P < 0.01), and external validation cohort (C-index: 0.71, 95% CI: 0.62–0.83; HR 1.77, 95% CI: 1.21–2.59; P < 0.01) (*Table 3*). Moreover, Model^{clinic + dl} was capable of dividing all patients into 2 distinct risk subgroups (the low-

Table 1 Clinical characteristics of patients in the training and validation cohorts

Characteristics	Training cohort (n=76)	Internal validation cohort (n=32)	External validation cohort (n=54)	P value
Age (years)	61.70±11.31	63.06±11.39	64.50±9.15	0.274
Sex				0.617
Female	23 (30.3)	8 (25.0)	12 (22.2)	
Male	53 (69.7)	24 (75.0)	42 (77.8)	
Location				0.364
Cardia/fundus	41 (54.0)	17 (53.1)	27 (50.0)	
Body	21 (27.6)	11 (34.4)	15 (27.8)	
Antrum	9 (11.8)	1 (3.1)	2 (3.7)	
≥2/3 stomach	5 (6.6)	3 (9.4)	10 (18.5)	
Long diameter (mm)	44.80±27.49	44.57±29.71	53.97±27.55	0.163
CT-reported LN				0.912
No	39 (51.3)	15 (46.9)	26 (48.1)	
Yes	37 (48.7)	17 (53.1)	28 (51.9)	
M stage				0.927
M0	59 (77.6)	25 (78.1)	43 (79.6)	
M1	17 (22.4)	7 (21.9)	11 (20.4)	
Ki-67	53.08±34.21	49.25±33.19	66.83±24.25	0.365
Grade				0.218
NETG1	15 (19.7)	7 (21.9)	0 (0.0)	
NETG2	6 (7.9)	3 (9.4)	5 (9.3)	
NETG3	3 (4.0)	0 (0.0)	2 (3.7)	
MiNEN	38 (50.0)	15 (46.8)	30 (55.5)	
NEC	14 (18.4)	7 (21.9)	17 (31.5)	

Values are shown as n (%) or mean ± SD. The analysis of variance test was used to compare the characteristics. CT, computed tomography; LN, lymph node status; NETG, neuroendocrine tumor grade; MiNEN, mixed neuroendocrine-nonneuroendocrine neoplasm; NEC, neuroendocrine carcinoma; SD, standard deviation.

risk and high-risk group). *Figure 4* presents the KM curves for Model^{clinic+dl}, while *Table 4* displays the clinical factor analysis of the different risk groups in the three cohorts. In the training cohort, the median survival time was 42.5 months for the low-risk group and 16.3 months for the high-risk group; in the internal validation cohort, the median survival time was 36.7 months for the low-risk group and 9.9 months for the high-risk group; and in external validation cohort, the median survival time was 20.6 months for the low-risk group and 15.5 months for the high-risk group.

To evaluate the performance of the three models, their KM curves were compared (*Figure 4*). The cutoff values of

Model^{clinic+dl} (0.567), Model^{dl} (0.512), and Model^{clinic} (0.367) were obtained in the training cohort. The distribution of risk scores for prediction with Model^{clinic+dl} in the three cohorts is shown in *Figure 5*. In the distribution shape of the risk scores in the training, internal validation, and external validation cohorts, all patients were divided into three subgroups. Furthermore, as seen in *Table 3*, the Model^{clinic+dl} had the best predictive performance with a high C-index in the training, internal validation, and external validation cohorts (0.86 vs. 0.77 vs. 0.71).

As shown in *Table 3*, Model^{clinic+dl} had the highest HR in the training cohort: (Model^{clinic+dl} vs. Model^{dl} vs.

Table 2 Characteristics analysis for hazard ratio

Characteristic	Univariable analysis		Multivariable analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Age (years)	1.05 (1.05–1.13)	0.001*	1.05 (0.97–1.07)	0.065
Sex				
Female	1 (reference)		1 (reference)	
Male	0.33 (0.16–0.68)	0.002*	1.24 (0.52–2.93)	0.629
Location				
Cardia/fundus	1 (reference)			
Body	0.29 (0.11–0.75)	0.002*	1.14 (0.45–2.81)	0.787
Antrum	0.42 (0.13–1.37)	0.152	1.29 (0.39–4.23)	0.860
≥2/3 stomach	1.29 (0.39–4.22)	0.678	0.40 (0.09–1.88)	0.256
Long diameter	1.03 (1.02–1.04)	<0.001*	1.02 (0.99–1.04)	0.030*
CT-reported LN				
No	1 (reference)		1 (reference)	
Yes	5.65 (2.66–11.97)	<0.001*	1.66 (0.77–3.59)	0.198
M stage				
M0	1 (reference)		1 (reference)	
M1	4.59 (2.21–7.33)	<0.001*	2.26 (1.00–4.36)	0.037*
Ki-67	1.03 (1.01–1.05)	0.001*	1.04 (0.98–1.08)	0.049*
Grade				
NETG1	1 (reference)		1 (reference)	
NETG2	0.001 (0.0–Inf)	0.99	0.001 (0–Inf)	0.997
NETG3	13.05 (1.52–147.51)	0.012*	5.16 (0.32–71.15)	0.2892
MiNEN	11.50 (2.77–47.63)	0.003*	0.33 (0.01–12.95)	0.5207
NEC	7.59 (1.56–36.97)	0.012*	0.15 (0.01–4.08)	0.1638

On a 95% CI, the result is considered significant when the P value is less than 0.05; *, P<0.05. For the P value, the “Coxph” package of R software was used with the Wald test and likelihood ratio test, where appropriate. HR, hazard ratio; CI, confidence interval; CT, computed tomography; LN, lymph node status; NETG, neuroendocrine tumors grade; MiNEN, mixed neuroendocrine-non-neuroendocrine neoplasm; NEC, neuroendocrine carcinoma.

Model^{clinic}: 3.12 vs. 2.71 vs. 2.68), indicating that the high-risk groups predicted by Model^{clinic + dl} were at a higher risk of death than those of the other models. In the internal and external cohort, the findings were similar. Moreover, in comparing the TDROC of the three models, we observed that the performances of the Model^{clinic + dl} were equally effective across all three cohorts (Figure S2). Calibrations were performed, and DCA curves of Model^{clinic + dl} for individualized OS prediction were drawn (Figures 5,6). The DCA revealed that Model^{clinic + dl} provided a more significant

net benefit to patients compared to the other models.

Discussion

In this study, we established and verified the prognostic value of CT-based radiomics for individualized assessment of OS in patients with gNENs using a DL method in three cohorts. The DL-based radiomics signatures from dual-phase CT images showed notable prognostic value for estimating OS. This research demonstrated

Table 3 Performance comparison of different models via concordance index and hazard ratio

Model	C-index (95% CI)			Hazard ratio (95% CI)		
	Training	Internal validation	External validation	Training	Internal validation	External validation
Model ^{clinic}	0.80 (0.73–0.86)	0.71 (0.60–0.84)	0.66 (0.52–0.72)	2.68 (1.93–3.83)	2.27 (1.32–3.90)	1.52 (1.19–1.95)
Model ^{dl}	0.84(0.79–0.92)	0.73 (0.61–0.86)	0.65 (0.56–0.75)	2.71 (2.02–3.66)	2.16 (1.44–3.22)	1.25 (0.89–1.77)
Model ^{clinic + dl}	0.86 (0.81–0.92)	0.77 (0.66–0.89)	0.71 (0.62–0.83)	3.12 (2.34–3.93)	2.51 (1.57–3.99)	1.77 (1.21–2.59)

C-index, concordance index; CI, confidence interval; Model^{clinic}, a clinical model; Model^{dl}, the deep learning-based radiomics signature; Model^{clinic + dl}, the combined model.

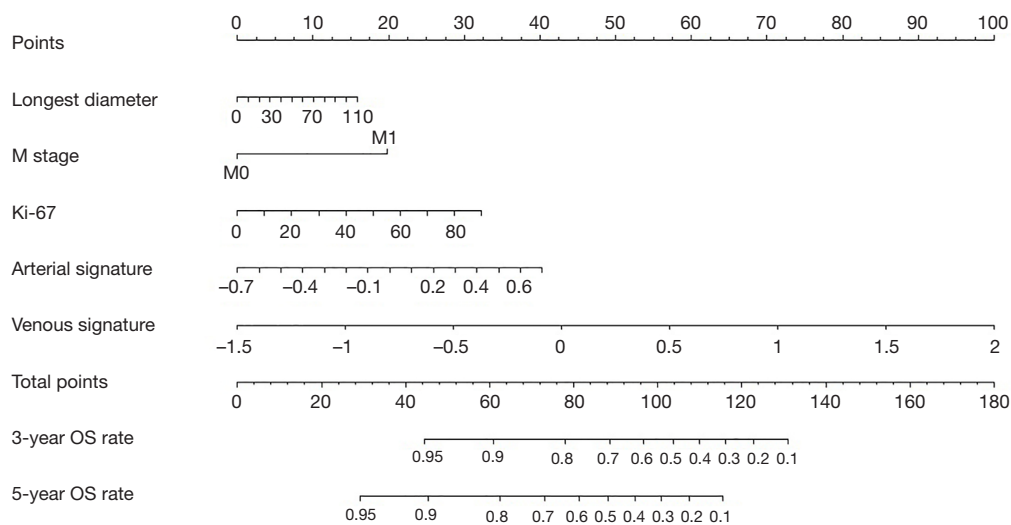


Figure 3 Model^{clinic + dl} with 2 deep learning-based radiomic signatures, Ki-67 index, longest diameter, and clinical M stage. The points of arterial signature, venous signature, Ki-67 index, longest diameter, and clinical M stage are obtained based on the top “points” bar with a scale of 0–100. Then, the total number of points is calculated by summing the 3 points. The predicted OS of patients is obtained by mapping the total point to the “Total points” bar, the “3-year OS rate” bar, and the “5-year OS rate” bar. OS, overall survival; Model^{clinic + dl}, a combined model.

that incorporating DL-based radiomics signatures with independent clinical factors can provide significantly enhanced predictive power as compared to a clinical model and effectively classify patients into high- and low-risk groups, thus indicating its feasibility as a noninvasive tool for predicting the prognosis of patients with gNENs. To our knowledge, the current study is the first to explore the prognostic value of DL radiomics analysis for individualized evaluation of OS in patients with gNENs.

The clinical factors and survival outcomes of patients with gNENs have been investigated in a few previous studies. One research team assessed the prognosis of 64,971 patients with NENs from the Surveillance, Epidemiology, and End Results (SEER) database, and the findings revealed

that G1 grade undifferentiated tumors were associated with the highest median OS (16.2 years), while G2 grade differentiated tumors were associated with poor OS (8.3 years), and G3 grade poorly differentiated tumors were associated with the worst OS (10 months) (1). In one study, the prognosis of 51 cases with gastric NEC was investigated, and it was found that for patients who received palliative resection, the median OS was 21 months and the 5-year survival rate was 10.0% (29). In another study, the median OS of patients with stage I–III and those with stage IV gNENs was 32 and 11 months, respectively (30). Taken together, these studies indicate that early detection of patients with poorly differentiated gNENs can significantly improve their survival.

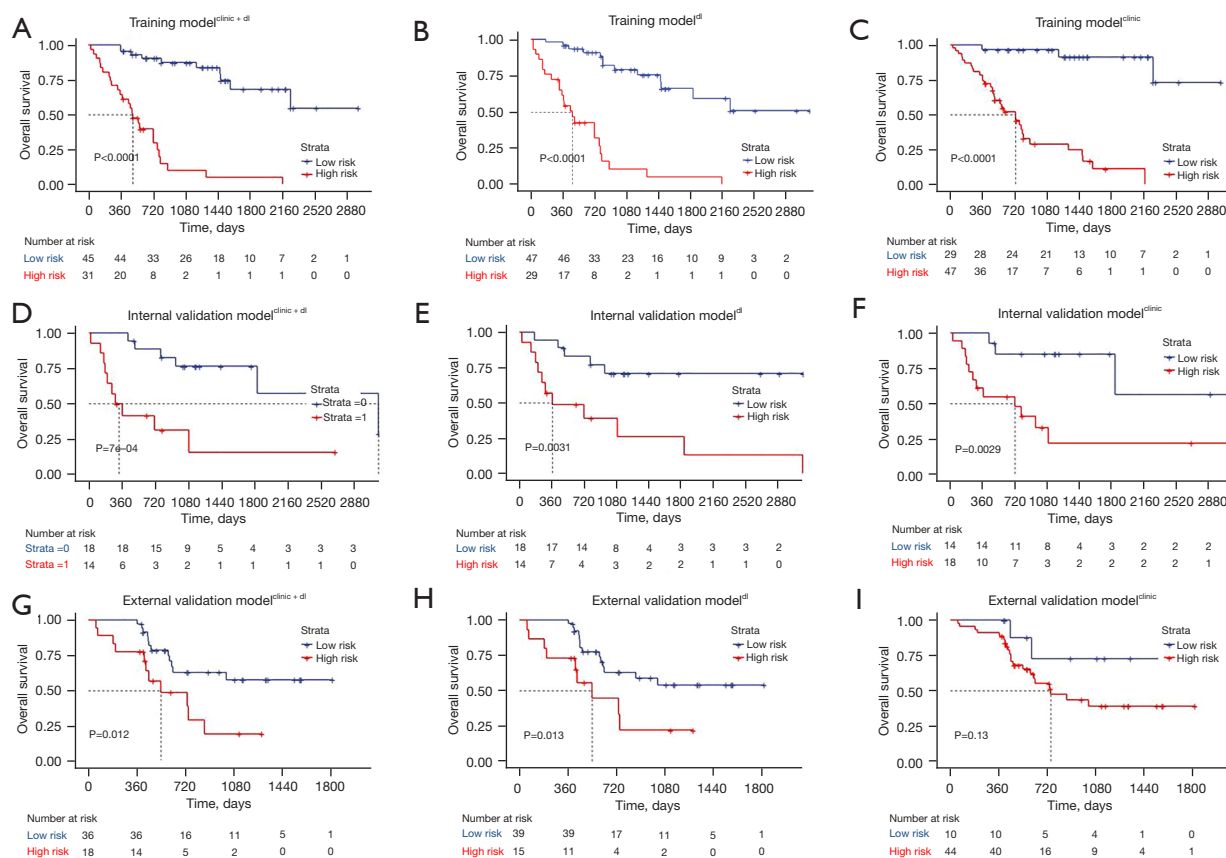


Figure 4 Comparison of KM curves for models. (A,D,G) Model^{clin+dl}; (B,E,H) Model^{dl}; (C,F,I) Model^{clin}. The vertical ticks on the bottom of the KM curves at each point represent patients who were censored at that time. Model^{clin+dl}, the combined model; Model^{dl}, the deep learning-based radiomics signature; Model^{clin}, a clinical model; KM, Kaplan-Meier.

Some researchers have recently adopted radiomics analysis to predict the prognosis of patients with gastrointestinal and pancreatic NENs or gastric NECs, obtaining satisfactory predictive results (31,32). Radiomics as a new image quantification method can extract high-throughput medical imaging features and has been broadly adopted in the diagnosis and prognosis of patients with cancer (33-35). Prior investigations have indicated that the proteomic and phenotypic information extracted from radiological images of tumors can provide significant predictive value, particularly in terms of tumor recurrence and metastasis, which may thus be considered crucial prognostic biomarkers (36,37). In our previous research, we found that radiomics nomograms had significant clinical implications for the preoperative detection of gastric malignancies, and radiomics analysis demonstrated satisfactory performance in distinguishing GNEC from gastric adenocarcinoma (38). In our study, a DL radiomics

signature from dual-phase CT images was constructed. The DL-based radiomics signatures were highly associated with OS in the training, internal validation, and external validation cohorts ($P < 0.01$). The combined model incorporating the independent clinical risk factors and radiomics signatures demonstrated notable enhancements in predicting OS as compared to the clinical model.

The Ki-67 index serves as a crucial metric for assessing tumor proliferation activity (39-43). According to the recommendations of previous literature, there is a close relationship between Ki-67 and tumor grade, and patients can be categorized into four groups: grade 1 NET, grade 2 NET, grade 3 NET, and NEC, with Ki-67 index criteria of $<3\%$, $3-20\%$, $20-55\%$, and $>55\%$, respectively (2). Our study examined the association of the Ki-67 index and distant metastasis with patient prognosis. After conducting univariate and multivariate Cox regression analysis, we found that the Ki-67 index and distant metastasis were

Table 4 Clinical characteristic analysis of the deep learning radiomics model in the training and validation cohorts

Characteristics	Training cohort (n=76)			Internal validation cohort (n=32)			External validation cohort (n=54)		
	Low risk	High risk	P value	Low risk	High risk	P value	Low risk	High risk	P value
Age (years)	59.43±12.11	64.50±9.69	0.051	59.17±12.46	68.07±7.64	0.031	62.44±9.91	68.00±6.51	0.060
Sex			0.004*			0.264			0.970
Female	19 (45.2)	4 (11.8)		6 (46.2)	2 (10.5)		7 (20.6)	5 (25.0)	
Male	23 (54.8)	30 (88.2)		7 (53.8)	17 (89.5)		27 (79.4)	15 (75.0)	
Location			0.074			0.223			0.007
Cardia/fundus	20 (47.6)	21 (61.7)		7 (38.9)	10 (71.4)		22 (64.7)	5 (25.0)	
Body	16 (38.1)	5 (14.7)		10 (55.6)	1 (7.2)		9 (26.5)	6 (30.0)	
Antrum	5 (11.9)	4 (11.8)		1 (5.5)	0 (0.0)		1 (2.9)	1 (5.0)	
≥2/3 stomach	1 (2.4)	4 (11.8)		0 (0.0)	3 (21.4)		2 (5.9)	8 (40.0)	
Long diameter (mm)	32.08±23.74	60.52±23.58	<0.001*	24.34±17.48	70.58±20.26	<0.001*	43.31±13.40	72.10±35.43	<0.001*
CT-reported LN			0.001*			0.448			0.078
No	29 (69.0)	10 (29.4)		10 (55.6)	5 (35.7)		20 (58.8)	6 (30.0)	
Yes	13 (31.0)	24 (70.6)		8 (44.4)	9 (64.3)		14 (41.2)	14 (70.0)	
M stage			<0.001*			0.003*			0.050*
M0	40 (95.2)	19 (55.9)		18 (100.0)	7 (50.0)		30 (88.2)	13 (65.0)	
M1	2 (4.8)	15 (44.1)		0 (0.0)	7 (50.0)		4 (11.8)	7 (35.0)	
Ki-67	41.48±37.13	67.41±23.74	<0.001*	33.67±35.70	69.29±13.85	0.001*	64.61±28.21	70.50±15.72	0.396
Grade			<0.001*			0.221			0.195
NETG1	13 (31.0)	2 (5.9)		7 (38.9)	0 (0.0)		0 (0.0)	0 (0.0)	
NETG2	6 (14.2)	0 (0.0)		3 (16.7)	0 (0.0)		5 (14.7)	0 (0.0)	
NETG3	1 (2.4)	2 (5.9)		0 (0.0)	0 (0.0)		1 (2.9)	1 (5.0)	
MiNEN	13 (31.0)	25 (73.5)		6 (33.3)	9 (64.3)		16 (47.1)	14 (70.0)	
NEC	9 (21.4)	5 (14.7)		2 (11.1)	5 (35.7)		12 (35.3)	5 (25.0)	
Follow-up (months)	42.5	16.3		36.7	9.9		20.6	15.5	

Values are shown as n (%) or mean ± SD. *, P<0.05. CT, computed tomography; LN, lymph node; NETG, neuroendocrine tumor grade; MiNEN, mixed neuroendocrine-nonneuroendocrine neoplasm; NEC, neuroendocrine carcinoma; SD, standard deviation.

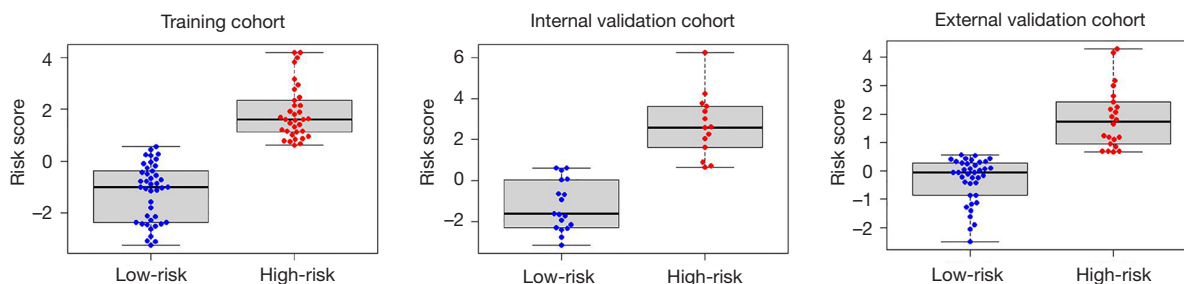


Figure 5 Box plots showing the risk score distribution of Model^{clinical + dl} in the training, internal validation, and external validation cohort. Model^{clinical + dl}, a combined model.

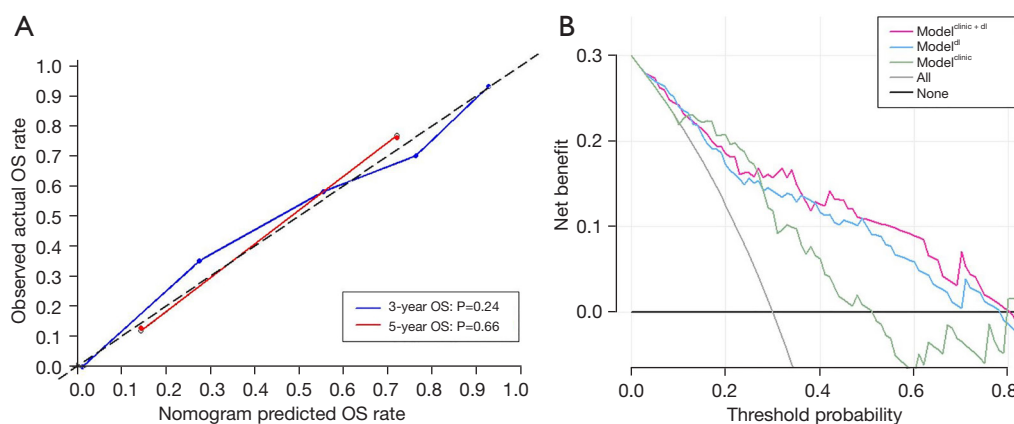


Figure 6 Clinical application and further validation for the deep learning model. (A) Calibration curves of Model^{clinical + dl} in the training cohort; (B) comparison of decision curve analysis for the models. OS, overall survival; All, all patients classified as high-risk; Model^{clinical + dl}, the combined model; Model^{dl}, the deep learning-based radiomics signature; Model^{clinical}, a clinical model.

independent risk factors affecting prognosis, which is consistent with previous studies (31). Tumor grade and Ki-67 index may have overlapping statistical efficacy in predicting survival and thus interfere with one another. However, the Ki-67 index showed greater predictive ability in the multivariate Cox regression analysis. Therefore, we included the Ki-67 index in the model construction, while the tumor grade was discarded.

Advanced DL models have become the most important method for radiomics analysis based on large-scale medical imaging data (44,45). Based on the fixed size bounding box of the tumor region, DL features can be easily extracted, and the subjectivity of manual segmentation in the radiomics procedure can be reduced (46). Therefore, we developed a CT-based DL radiomics nomogram for individualized evaluation of OS in patients with gNENs. The experimental results showed that the DL radiomics analysis had good performance in preoperatively predicting the OS of patients with gNENs.

Our study still has several limitations. First, manually segmenting tumor ROIs on CT images is a laborious and expensive task. A semiautomatic or automatic segmentation approach may be preferable. Second, we employed a retrospective design, and the CT images were obtained from different CT scanners, which might have introduced some deviation and interference. Third, the DL model we adopted consisted of a limited dataset, and a larger dataset should be used to ensure the effectiveness of model. Furthermore, we used 2-dimensional features from a single slice instead of 3-dimensional features, and 2-dimensional segmentation may not represent the whole tumor, as well

as 3-dimensional segmentation. Although 3-dimensional lesion segmentation is a time-consuming process, further exploration of this technique is warranted.

Conclusions

The value of DL-based radiomics analysis on CT images for prognostic prediction in patients with gNENs was confirmed. Our DL-based radiomics model could successfully stratify individual patients into two groups with different prognoses and could thus be used as a noninvasive tool for the prognostic prediction and risk stratification of patients with gNENs.

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Footnote

Reporting Checklist: The authors have completed the TRIPOD reporting checklist. Available at <https://qims.amegroups.com/article/view/10.21037/qims-23-577/rc>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://qims.amegroups.com/article/view/10.21037/qims-23-577/rc>)

amegroups.com/article/view/10.21037/qims-23-577/coif).

The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Institutional Review Board of the First Affiliated Hospital of Zhengzhou University (No. 2021-KY-1070-002). Both participating hospitals were informed of and agreed with the study. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The requirement for informed consent was waived due to the retrospective nature of the study.

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Appendix 1: Deep learning feature definitions

Data preprocessing

With the tumor region clearly delineated, we extracted 3 consecutive axial slices that contained the largest amount of tumor tissue. These slices were then cropped to a size of 224 mm × 224 mm using a bounding box that encompassed the entirety of the tumor. This size corresponded to the input layer of the models used. The cropped images with 3 consecutive axial slices as image channels were used as the input for the convolutional neural network (CNN) model.

Convolutional neural network architecture

In our study, ResNet50 (25) was used for the extraction of representational deep learning features. This network was pretrained on ImageNet (47). This publicly released dataset contains a substantial number of object categories and manually annotated training images. The optimization hyperparameters were not tuned, which meant a broader generalization on the other datasets. The models are publicly assessable using Keras and TensorFlow open-source code (<https://github.com/fchollet/deep-learning-models/releases/download/>) under the MIT license. After preprocessing, 3 consecutive slices in computed tomography (CT) images with the maximum area of the tumor lesion were propagated in the network to generate deep learning features.

Removal of the last fully connected layer

For the pretrained models, the convolutional base is

connected by a fully connected layer. We removed the last fully connected layer. A total of 2048 feature maps were obtained from the new output of this model.

Addition of a max pooling layer and feature extraction

With the use of a global pooling window, local data are concentrated, thus decreasing dimensionality. After Step 1.3, for models with more than 1 dimensional feature, we obtained feature maps with height and width dimensions consistent with the location invariance in the input layer. Following global pooling, the feature map vectors were transformed to their respective maximum raw values. The feature maps were transformed to numeric values, which were the representational deep learning features.

Appendix 2: Parameters of CT images and follow-up time

Follow-up time for overall survival

The overall survival (OS) is often regarded as the best endpoint of interest in survival analysis. For our study, the endpoint of follow-up was January 2022. The time from diagnosis to death or the end of follow-up was recorded as OS in our study. The median follow-up was 29.5 months, and the maximum was 124 months. In the first 2 years, the follow-up occurred every 2 or 3 months. Thereafter, follow-up occurred every 6 months. The follow-up process involved checking inpatient medical records, outpatient return records, and making phone calls to collect follow-up data.

Table S1 CT scanning equipment and scanning scheme

	Parameters	Scheme	
Equipment	Equipment name	GE Discovery 750 HD CT Philips Brilliance iCT GE BrightSpeed CT Siemens Somatom Perspective CT	
	Scanned protocol	Width of collimator	32×0.6 mm or 64×0.625 mm
		Rotation time	0.5–0.8 s/r
		Tube voltage	120 kVp
Tube current		290–650 mA	
Pitch		1.375:1/0.992:1	
Layer thickness/spacing		5.0 mm/5.0 mm	
Matrix		512×512	
Noise figure	10 HU		
Scanned area	Location standard	Top of diaphragm to lower pole of both kidneys	
Enhancement condition	Contrast agent	Iohexol (300 mgI/mL) or ioversol (320 mg/mL)	
	Flow rate	2.5–3.5 mL/s	
	Dose	1.5 mL/kg	
	Acquisition time	Arterial phase: 30 s; venous phase: 60–70 s	
Post-process	Reconstruction thickness	0.625 mm, 1.25 mm	

CT, computed tomography; HU, Hounsfield unit.

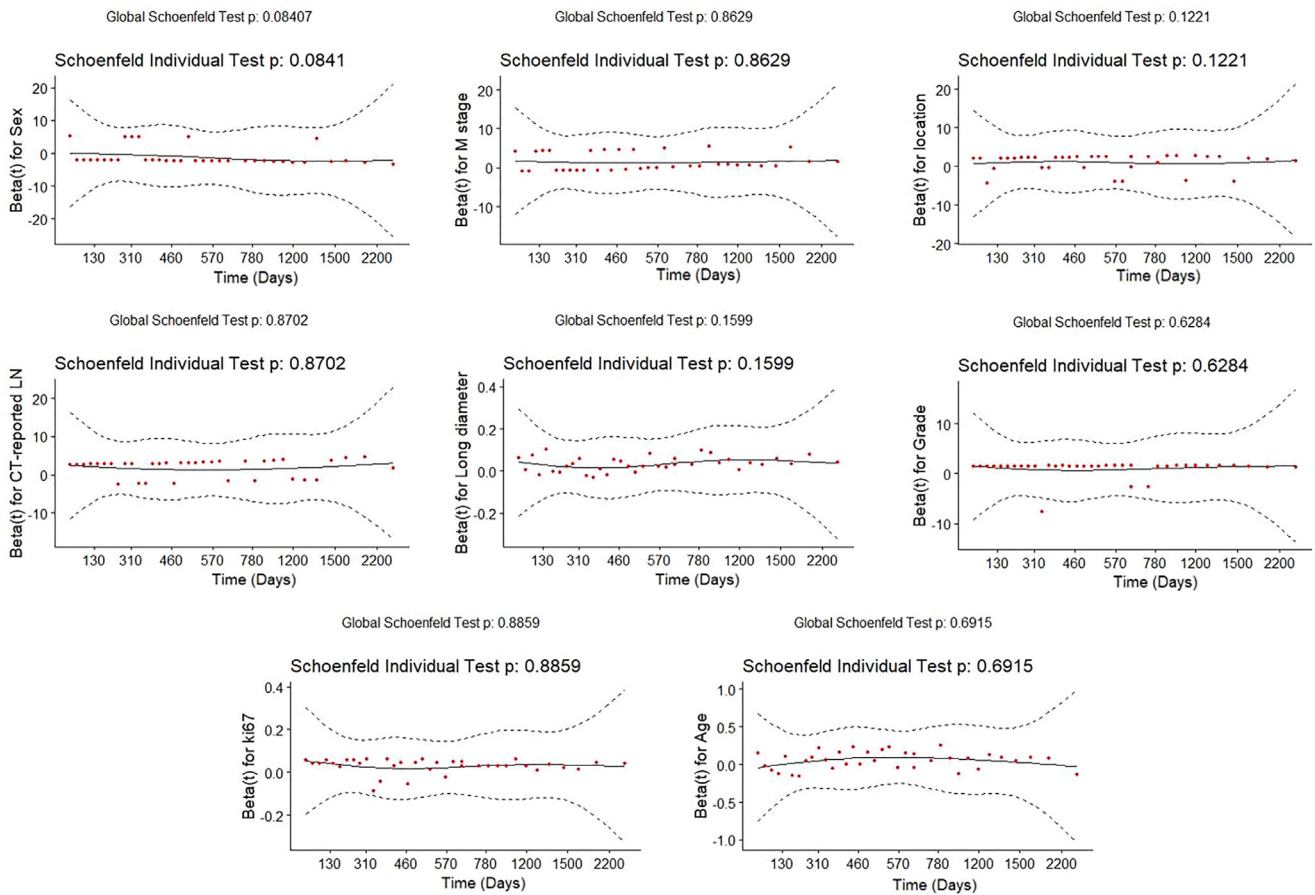


Figure S1 Validation of the proportional hazard assumption. For each clinical co-variable, Schoenfeld residuals test with chi-squared test was calculated (48). Factors with $P > 0.05$ were considered eligible for Cox regression. For each of the covariables in the Cox model, the P value was not statistically significant, and the P value for the global test was also not statistically significant. Therefore, it was reasonable to use Cox regression for univariable and multivariable analysis.

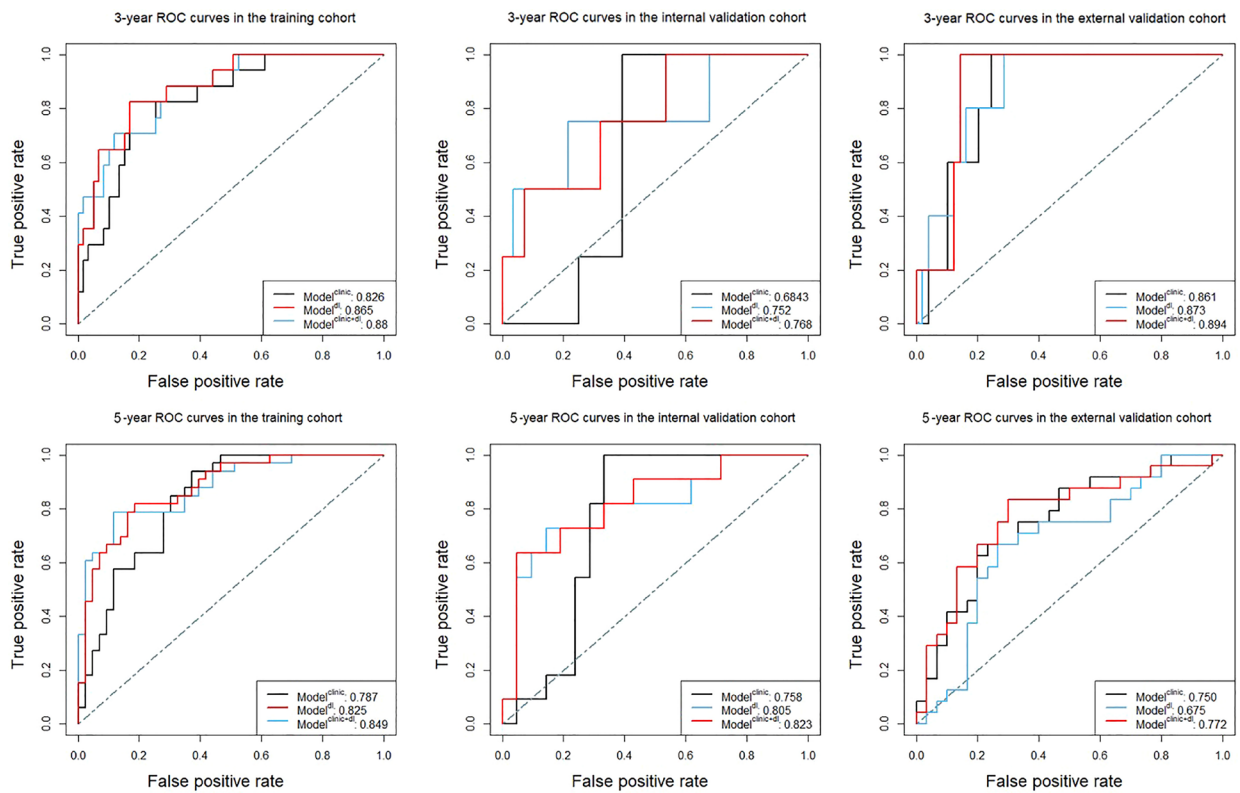


Figure S2 Time-dependent receiver operating characteristic curves for 3 and 5 years for each of the 3 models in the training, internal validation, and external validation cohorts. Receiver operating characteristic curves are shown for 3 cohorts.

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