



Radiomics for predicting survival in patients with locally advanced rectal cancer: a systematic review and meta-analysis

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Background: Radiomics has recently received considerable research attention for providing potential prognostic biomarkers for locally advanced rectal cancer (LARC). We aimed to comprehensively evaluate the methodological quality and prognostic prediction value of radiomic studies for predicting survival outcomes in patients with LARC.

Methods: The Cochrane, Embase, Medline, and Web of Science databases were searched. The radiomics quality score (RQS), Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis (TRIPOD) checklist, the Image Biomarkers Standardization Initiative (IBSI) guideline, and the Prediction Model Risk of Bias Assessment Tool were used to assess the quality of the selected studies. A further meta-analysis of hazard ratio (HR) regarding disease-free survival (DFS) and overall survival (OS) was performed.

Results: Among the 358 studies reported, 15 studies were selected for our review. The mean RQS score was 7.73 ± 4.61 (21.5% of the ideal score of 36). The overall TRIPOD adherence rate was 64.4% (251/390). Most of the included studies (60%) were assessed as having a high risk of bias (ROB) overall. The pooled estimates of the HRs were 3.14 [95% confidence interval (CI): 2.12–4.64, $P < 0.01$] for DFS and 3.36 (95% CI: 1.74–6.49, $P < 0.01$) for OS.

Conclusions: Radiomics has potential to noninvasively predict outcome in patients with LARC. However, the overall methodological quality of radiomics studies was low, and the adherence to the TRIPOD statement was moderate. Future radiomics research should put a greater focus on enhancing the methodological quality and considering the influence of higher-order features on reproducibility in radiomics.

Keywords: Radiomics; locally advanced rectal cancer (LARC); survival; meta-analysis

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Introduction

Colorectal cancer (CRC) is the third most common and second deadliest cancer worldwide (1). Over one-third of CRCs are located in the rectum, and more than 70% of cases are diagnosed as locally advanced rectal cancer (LARC). Total mesorectal excision (TME) after neoadjuvant chemoradiotherapy (nCRT) has become the standard treatment for patients with LARC (2). This therapeutic strategy has reduced the local recurrence rate of rectal cancer patients, but the 5-year survival rates remain low. Therefore, to improve the long-term prognosis of patients with LARC, it is crucial that adverse prognostic factors are accurately identified (3).

Tumor-node-metastasis (TNM) staging is a key part of prognostic assessment and risk stratification, but it lacks precision (4,5). In the current TNM staging system, the inclusion of tumor deposits (TDs) within nodal staging has given rise to worldwide discussions (6-8). Other significant prognostic factors, such as circumferential resection margin (CRM) and extramural vascular invasion (EMVI), are prone to subjective factors, making prognosis prediction less reliable (9,10). As a result, a more accurate survival estimation that considers each patient's unique circumstances is needed.

The growing field of radiomics has the potential to provide noninvasive imaging biomarkers for tumor aggressiveness that may be utilized preoperatively to guide treatment decisions. Radiomics involves the extraction of high-throughput features from conventional images to build high-dimensional datasets, which are then mined for features related to molecular tumor typing, treatment response, and clinical outcomes to promote accurate tumor diagnosis (11). Mounting evidence suggests that radiomics could play an important role in evaluating tumor development and progression in various types of cancers. A recent meta-analysis indicated that radiomics shows good prognostic performance in patients with nasopharyngeal carcinoma (12). Another meta-analysis supported a similar conclusion that radiomics-based models offered modest prognostic capabilities for predicting survival in non-small cell lung cancer (13). Recent studies have suggested a potential prognostic role of radiomics in LARC patients as well (14-18). Therefore, the purpose of this study was to analyze the current status of radiomics studies used to predict survival outcomes in patients with LARC and

to evaluate the quality of radiomics studies by using the radiomics quality score (RQS) tool, the Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis (TRIPOD) statement, the Image Biomarkers Standardization Initiative (IBSI) guideline, and the Prediction Model Risk of Bias Assessment Tool (PROBAST) (19-22). In addition, quantitative analysis was used to assess the role of radiomics in predicting disease-free survival (DFS) and overall survival (OS) outcomes in patients with LARC. We present this article in accordance with the PRISMA reporting checklist (available at <https://qims.amegroups.com/article/view/10.21037/qims-23-692/rc>) (23).

Methods

Protocol and registration

The review protocol was registered on the Prospective Register of Systematic Reviews (PROSPERO; <https://www.crd.york.ac.uk>; registration number CRD42022342859).

Search strategy

A comprehensive search of the Cochrane, Embase, Medline, and Web of Science databases was conducted for studies published between 1 January 2012 and 30 June 2022. The search terms mainly included “rectal neoplasms”, “rectal cancer”, “radiomics”, “texture”, “prognosis”, and “survival”. The list of retrieved references was manually searched to identify additional eligible studies. [Table S1](#) provides a full description of the search strategy.

Study selection

Studies were selected based on the following criteria: (I) patients had pathologically confirmed rectal cancer; (II) imaging was assessed using radiomics; (III) the main survival outcome was reported as DFS and/or OS; and (IV) hazard ratio (HR) values based on radiomics models were reported.

Studies were excluded based on the following criteria: (I) reviews, editorials, and conference summaries; (II) tumors other than rectal cancer; and (III) insufficient survival data for estimating performance measurement indices. Eligible studies were selected by two reviewers (Feng Y and Tong T) individually.

Data extraction

Data extraction and further statistical analysis were performed by two reviewers independently (Feng Y and Tong T). If there was a disagreement, the two reviewers discussed or reassessed the issue and reached a consensus. The following data were extracted: (I) study information: authors, publication year, country, median follow-up time, and study design (prospective or retrospective); (II) cohort information: number of overall participants, mean age or age range, sex, tumor stages, and treatment protocols; (III) information on radiomic models: imaging modality, software, segmentation, feature selection, and numbers and categories of radiomic features; and (IV) clinical outcomes and HRs with 95% confidence intervals (95% CIs).

Quality assessment

The included studies' methodology was evaluated using the RQS (19,24), which comprises 16 items assessing crucial aspects of radiomics study methodology. The scoring of the specific RQS items was based on a previous report (19). In addition, the reporting completeness of the included prediction models was determined using the TRIPOD statement (20). Several modifications needed to be made to the TRIPOD statement before it could be utilized in radiomics studies, as it had originally been designed for clinical prediction models. Items 21 and 22 related to funding and supplemental materials were excluded. In addition, when calculating overall adherence rates, "if completed" or "if relevant" items (5c, 11, and 14b) and validation items (10c, 10e, 12, 13c, 17, and 19a) were excluded from both the numerator and denominator, as reported previously (25-29). The IBSI guideline provides a comprehensive and unified reporting checklist for radiomics studies (21). Since many items in the IBSI checklist overlap with those in the RQS or TRIPOD checklists, we included only the items relevant to image pre-processing steps, as indicated in [Table S2](#). Finally, the bias risk in the included studies was assessed using the PROBAST, which assesses bias risk in four domains (participants, predictors, outcomes, and analysis) and applicability in three domains (participants, predictors, and outcomes) (22). Based on a comprehensive evaluation, the included studies were categorized into three groups: high, low, and unclear risk of bias (ROB) and applicability. The quality assessment was performed independently by two reviewers (Feng Y and Gong J). If a disagreement occurred, a final decision was made with the

assistance of a third reviewer (Tong T). The mean score, percentage of the ideal RQS score, detailed checklist of the TRIPOD statement adherence rate of IBSI, and rate of ROB were calculated and recorded.

Meta-analysis

The HR is a common metric for evaluating time-to-event data. Therefore, the HRs and 95% CIs of the radiomics models regarding DFS and/or OS were extracted for further meta-analysis. When HRs were not recorded, calculations were performed using Engauge Digitizer (Version 12.1; <http://markumitchell.github.io/engauge-digitizer/>) based on Kaplan-Meier curves. The forest plot figures presented the pooled HR and its 95% CI. When significant heterogeneity was observed, a random-effects model was used; otherwise, a fixed-effects model was used (30). Cochran's Q test and Higgins I^2 statistic were employed to assess heterogeneity. An I^2 value of $\leq 25\%$ indicated insignificant heterogeneity, whereas an I^2 of $>25\%$ to $\leq 50\%$ indicated low heterogeneity, $I^2 > 50\%$ to $\leq 75\%$ indicated moderate heterogeneity, and $I^2 > 75\%$ signified significant heterogeneity (31). Subgroup analysis was applied to explore the origin of heterogeneity. For results containing more than ten studies, publication bias was assessed using a funnel plot and Egger's test, as <10 studies could lead to bias in the interpretation of the funnel plot (32). A 2-sided $P < 0.05$ was considered statistically significant. All these data analysis processes were performed by using the statistical software R (version 4.1.0; R Foundation for Statistical Computing, Vienna, Austria).

Results

Literature search

A flowchart of the research selection procedure is shown in [Figure 1](#). A total of 358 studies were identified during the first search process cycle. In the end, 232 studies remained after removal of all duplicates. When abstracts and titles were considered, 215 studies were excluded. After reviewing each manuscript in detail, we eliminated an additional two articles because they lacked survival data. Finally, only 15 studies met the criteria for statistical analysis (14-16,18,33-43).

Study characteristics

A total of 15 studies, including 2,151 patients overall, that

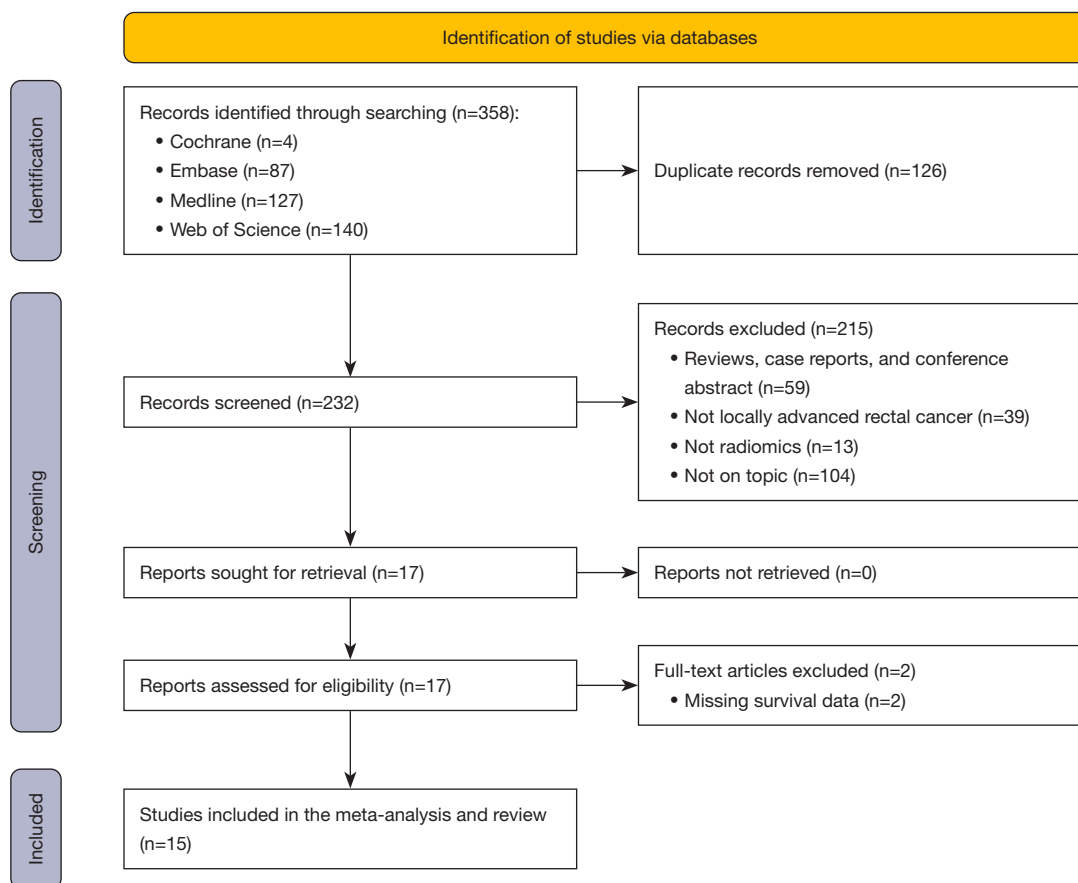


Figure 1 Flowchart of the research selection procedure.

had applied radiomics methods to predict patient survival status were selected in our review. All the studies were retrospective. Only 1 study was from multiple centers (36), and the others were all from a single center. In addition, 8 of the studies established both development and validation sets (15,16,33-36,38,39), whereas the other 7 established only development sets (14,18,37,40-43). The number of patients included in the studies ranged from 48 to 411. In addition, the mean/median age ranged from 52.8 to 67, and the median follow-up time ranged from 27.2 to 60 months. All participants underwent nCRT. Other clinical characteristics are summarized in *Table 1*.

Radiomics model metrics

Table 2 provides a summary of the radiomics model metrics of the included studies. In terms of imaging modalities, 9 (60.0%) studies used magnetic resonance imaging (MRI) (14-16,33-36,39,42), 3 (20.0%) used computed tomography

(CT) (37,38,40), and 3 (20.0%) used positron emission tomography/computed tomography (PET/CT) (18,41,43). In MRI-based research, 8 studies employed T2-weighted imaging (T2WI), several employed mixed sequences, such as contrast T1-weighted imaging (T1WI), diffusion-weighted imaging (DWI), apparent diffusion coefficient (ADC) maps, and dynamic contrast enhanced MRI (DCE-MRI), and 1 employed true fast imaging with steady state precession (TrueFISP) (14). There were a variety of feature extraction and selection approaches. A total of 13 studies (86.7%) employed the 3-dimensional (3D) region of interest (ROI) segmentation method. Manual segmentation was performed in all studies. Furthermore, 11/15 (73.3%) studies were associated with first-order statistics (FOS), 10/15 (66.7%) with gray-level co-occurrence matrix (GLCM), and 8/15 (53.3%) with gray-level run-length matrix (GLRLM). Other higher-order features, such as gray-level size zone matrix (GLSZM) and neighboring gray-tone difference matrix (NGTDM), were rare, occupying 4/15 (26.7%) and

Table 1 Clinical characteristics of the included studies

First author	Year	Country	Study design	Single center	Number of patients (frequency)			Age (years)		Stage	Treatment	Outcome	Median follow-up (months)	
					All	D	V	D	V				DFS	OS
Meng (34)	2018	China	R	Yes	108	54	54	53.9±11.5*	55.7±10.5*	II, III	nCRT + TME	DFS	34.5 [11, 45] [†]	NA
Wang (38)	2019	China	R	Yes	411	370	41	NR	NR	III	nCRT + TME	DFS, OS	NR	NR
Cui (33)	2021	China	R	Yes	186	131	53	54.2±10.4*	52.8±11.4*	II, III	nCRT + TME + AC	DFS, OS	43 [29, 43] [†]	NA
Tibermacine (36)	2021	France	R	No	146	98	48	60 [21, 88] [†]	58 [37, 78] [†]	II, III	nCRT + TME	DFS	60 [21, 77] [†]	NA
Chiloiro (14)	2022	Italy	R	Yes	48	NA	NA	All: 62 [39, 87] [†]		II, III	nCRT + TME	DFS	31 [4, 47] [†]	NA
Chuanji (15)	2022	China	R	Yes	206	146	60	59.7±11.52*	58.42±12.06*	NR	TME + nCRT	OS	NA	39 [1, 55] [†]
Cui (16)	2022	China	R	Yes	234	164	70	58.10±9.64*	55.81±10.86*	II, III	nCRT + TME + AC	DFS	42 [6, 60] [†]	NA
Nie (35)	2022	China	R	Yes	165	114	51	All: 67±13*		II, III	nCRT + TME	OS	NA	60–121 [§]
Wang (37)	2022	China	R	Yes	191	NA	NA	All: 63 [28, 85] [†]		II, III	nCRT + TME	DFS, OS	60	60
Meng (39)	2018[2]	China	R	Yes	51	36	15	All: 55±12*		II, III	nCRT + TME	DFS	NR	NA
Bang (18)	2016	Korea	R	Yes	74	NA	NA	All: 58.8 [28, 82] [†]		II, III	nCRT + TME	DFS	27.2 [10, 36] [†]	NA
Chee (40)	2017	Korea	R	Yes	95	NA	NA	All: 61.1 [36, 85] [†]		II, III	nCRT	DFS	54 [28, 75] [†]	NA
Jaili (42)	2017	England	R	Yes	56	NA	NA	All: 64±8.8*		II, III	nCRT + TME	DFS, OS	47.2±18.2*	47.2±18.2*
Lovinfosse (43)	2018	Belgium	R	Yes	86	NA	NA	All: 66±11*		II, III	nCRT + TME	DFS, OS	41 [5, 75] [†]	41 [5, 75] [†]
Hotta (41)	2021	Japan	R	Yes	94	NA	NA	All: 65.3±12.4*		II, III	nCRT + TME	OS	NA	41.7 [30.5, 60.4] [†]

* , mean ± standard deviation; [†] , median [interquartile range]; [§] , range (from minimum to maximum). D, development set; V, validation set; DFS, disease-free survival; OS, overall survival; R, retrospective study; NA, not available; NR, not reported; nCRT, neoadjuvant chemoradiotherapy; TME, total mesorectal excision; AC, adjuvant chemotherapy.

Table 2 Radiomics model metrics of studies included in the meta-analysis

First author	Year	Imaging modality	Segmentation				Feature extraction and selection			
			Software	ROI	Methods	Reviewers	Software	Method	Number of selected features	Categories of selected features
Meng (34)	2018	MRI (T2)	ITK-SNAP	3D	Manual	2	MatLab	LASSO-COX	8	FOS + GLCM + GLRLM
Wang (38)	2019	NCCT	MIM	3D	Manual	2	In-house Software	Test-retest, contour-recontour	21	GLCM + GLRLM
Cui (33)	2021	MRI (T2, cT1, ADC)	ITK-SNAP	3D	Manual	1	PyRadiomics	RF, COX	4	GLRLM + GLSZM
Tibermacine (36)	2021	MRI (T2)	3D slicer	2D + 3D	Manual	1	PyRadiomics	RF	9	FOS + GLRLM + GLSZM + NGTDM + GLDM + GLCM
Chiloiro (14)	2022	MRI (TrueFISP)	Eclipse	3D	Manual	1	MODDICOM	WMW test	2	FOS
Chuanji (15)	2022	MRI (T2)	ITK-SNAP	3D	Manual	2	PyRadiomics	LASSO	10	FOS + GLCM + GLRLM + GLSZM + NGTDM
Cui (16)	2022	MRI (T2, cT1, DWI)	ITK-SNAP	3D	Manual	2	PyRadiomics	Correlation-based, stability-based analysis	6	FOS + GLCM + GLRLM + GLSZM
Nie (35)	2022	MRI (T2, T1, DWI, DCE-MRI)	ITK-SNAP	3D	Manual	2	NR	LASSO	8	FOS + GLCM + GLRLM
Wang (37)	2022	CECT	NR	3D	Manual	1	PyRadiomics	LASSO-COX	12	FOS + Shape + GLCM + GLDM
Meng (39)	2018 [2]	MRI (T2, cT1)	ITK-SNAP	3D	Manual	1	NR	LASSO-COX	12	FOS + GLCM + GLRLM
Bang (18)	2016	PET/CT	Mazda	3D	Manual	1	Mazda	COX	1	Absolute gradient
Chee (40)	2017	CT	NR	2D	Manual	2	NR	Spearman's rank correlation coefficient	3	FOS
Jalil (42)	2017	MRI (T2)	NR	2D	Manual	1	TexRAD	COX	2	FOS
Lovinfosse (43)	2018	PET/CT	FLAB	3D	Manual	1	Python	COX	3	FOS + GLCM + NGTDM
Hotta (41)	2021	PET/CT	NR	3D	Manual	2	LIFEx	COX	1	GLCM

ROI, region of interest; MRI, magnetic resonance imaging; T2, T2-weighted imaging; NCCT, non-contrast computed tomography; cT1, contrast enhanced T1-weighted imaging; ADC, apparent diffusion coefficient; TrueFISP, true fast imaging with steady state precession; T1, T1-weighted imaging; DWI, diffusion-weighted imaging; DCE-MRI, dynamic contrast-enhanced MRI; CECT, contrast-enhanced computed tomography; PET/CT, positron emission tomography/computed tomography; NR, not reported; 3D, 3-dimensional; 2D, 2-dimensional; LASSO, least absolute shrinkage and selection operator; RF, random forest; WMW test, Wilcoxon-Mann-Whitney test; FOS, first-order statistic; GLCM, gray-level co-occurrence matrix; GLRLM, gray-level run-length matrix; GLSZM, gray-level size zone matrix; NGTDM, neighboring gray-tone difference matrix.

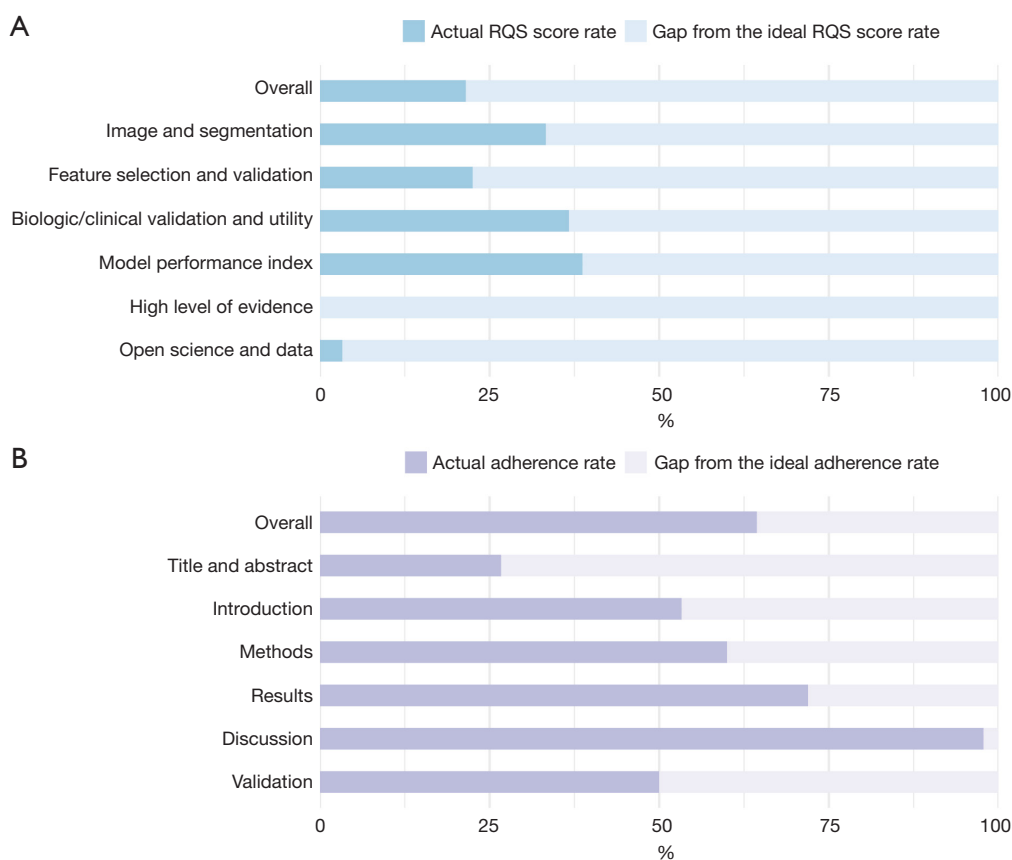


Figure 2 Quality assessment of the eligible studies. (A) Percentages of the ideal RQS score; (B) TRIPOD adherence rate. RQS, radiomics quality score; TRIPOD, Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis.

3/15 (20.0%) studies, respectively.

Quality assessment of the radiomics models based on RQS score

In the 15 selected studies, the overall percentage of the total RQS score was 21.5% (Figure 2A). Among the six key domains, domain 5 performed the worst, with no significant high level of evidence, including prospective study and cost-effectiveness analysis. The second lowest score compared to the ideal score was observed in domain 6, with a mean of 3.3%, which meant that only two studies made code and data publicly available. Domain 1, domain 3, and domain 4 performed similarly, with mean scores of 33.3%, 36.7%, and 38.7%, respectively.

The details of the assessment of a total of 16 items for RQS are recorded in Table 3 and Table S3. The mean \pm standard deviation [SD; median, range] of the total RQS score was 7.73 ± 4.61 [8, 2–14]. In domain 1, all studies

followed a well-documented image protocol. Some 53.3% of the studies were completed with multiple segmentations by different physicians or software. Only one study evaluated the feature robustness of CT scanners, and only one collected image of individuals at additional time points. In domain 2, all studies performed feature reduction or adjustment to decrease the risk of overfitting. Validation in seven studies was missing, seven studies were based on a dataset from the same institute, and only one was employed on another independent dataset. In domain 3, 13 studies reported the correlation between radiomics and non-radiomics features, 6 studies compared radiomics to “the gold standard”, and 4 investigated potential clinical utility. However, no studies detected and discussed biological correlates with radiomics. In domain 4, to reduce the risk of overly optimistic reporting, 11 studies analyzed the effects of the cutoff values on the model performance. In addition, 10 studies reported discrimination statistics of radiomics models, 3 of which applied bootstrapping or cross-

Table 3 Basic score rate of the RQS items

16 items according to 6 key domains (N=15)	Total score range	Mean score	Percentage of ideal score (%)
Total 16 items	-8 to 36	7.73	21.5
Domain 1: protocol quality and stability in image and segmentation	0 to 5	1.67	33.3
Image protocol quality	0 to 2	1.00	50.0
Multiple segmentation	0 to 1	0.53	53.3
Phantom study on all scanners	0 to 1	0.07	6.7
Imaging at multiple time points	0 to 1	0.07	6.7
Domain 2: feature selection and validation	-8 to 8	1.80	22.5
Feature reduction or adjustment for multiple testing	-3 to 3	3.00	100.0
Validation	-5 to 5	-1.20	0
Domain 3: biologic/clinical validation and utility	0 to 6	2.20	36.7
Multivariate analysis with non-radiomics features	0 to 1	0.87	86.7
Detect and discuss biologic correlates	0 to 1	0.00	0.0
Comparison to gold standard	0 to 2	0.80	40.0
Potential clinical utility	0 to 2	0.53	26.7
Domain 4: model performance index	0 to 5	1.93	38.7
Cut-off analysis	0 to 1	0.73	73.3
Discrimination statistics	0 to 2	0.87	43.3
Calibration statistics	0 to 2	0.33	16.7
Domain 5: high level of evidence	0 to 8	0.00	0.0
Prospective study registered in a trial database	0 to 7	0.00	0.0
Cost-effective analysis	0 to 2	0.00	0.0
Domain 6: open science and data	0 to 4	0.13	3.3
Open science and data	0 to 4	0.13	3.3

RQS, radiomics quality score.

validation. Only 4 studies reported calibration statistics. In domain 5, none of the studies provided the highest level of evidence or reported on the cost-effectiveness of the clinical application.

Quality assessment of prognosis studies based on the TRIPOD checklist

In 26 out of 35 items in the TRIPOD checklist (Figure 2B, Table 4), excluding “if relevant”, “if done”, and “validation” items, the mean number of adhered items was 16.7 ± 3.8 (SD; range, 15–21), with an overall adherence rate of 64.4% (251/390). None of the studies satisfied the items of title (item 1), blindness in assessments (items 6b and 7b), missing

data (item 9), and model recalibration in statistical analysis methods and results (items 10e and 17). The completeness of reporting individual TRIPOD items is shown in Table 4.

Quality assessment of the radiomics models based on IBSI guideline

Table 5 presents the pre-processing steps carried out in the included studies, following the IBSI guidelines, with an overall adherence rate of 51.4% (54/105). Intensity normalization and image interpolation were the most frequently conducted pre-processing steps, both at 53.3%. Image filtering was conducted in seven studies, accounting for 46.7% of the total. Grey-level discretization was carried

Table 4 TRIPOD adherence of included studies

35 selected items (N=15)	Adherence rate, n (%)
Overall	251/390 (64.4)
Title and Abstract	8/30 (26.7)
1. Title—identify developing/validating a model, target population, and the outcome	0 (0.0)
2. Abstract—provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions	8 (53.3)
Introduction	16/30 (53.3)
3a. Background—explain the medical context and rationale for developing/validating the model	14 (93.3)
3b. Objective—specify the objectives, including whether the study describes the development/validation of the model or both	2 (13.3)
Methods	117/195 (60.0)
4a. Source of data—describe the study design or source of data (randomized trial, cohort, or registry data)	15 (100.0)
4b. Source of data—specify the key dates	14 (93.3)
5a. Participants—specify key elements of the study setting including number and location of centers	14 (93.3)
5b. Participants—describe eligibility criteria for participants (inclusion and exclusion criteria)	13 (86.7)
5c. Participants—give details of treatment received, if relevant	NA
6a. Outcome—clearly define the outcome, including how and when assessed	15 (100.0)
6b. Outcome—report any actions to blind assessment of the outcome	0 (0.0)
7a. Predictors—clearly define all predictors, including how and when assessed	15 (100.0)
7b. Predictors—report any actions to blind assessment of predictors for the outcome and other predictors	0 (0.0)
8. Sample size—explain how the study size was attained	2 (13.3)
9. Missing data—describe how missing data were handled with details of any imputation method	0 (0.0)
10a. Statistical analysis methods—describe how predictors were handled	15 (100.0)
10b. Statistical analysis methods—specify type of model, all model-building procedures (any predictor selection), and method for internal validation	9 (60.0)
10d. Statistical analysis methods—specify all measures used to assess model performance and if relevant, to compare multiple models (discrimination and calibration)	5 (33.3)
11. Risk groups—provide details on how risk groups were created, if conducted (N=7)	NA
Results	66/90 (73.3)
13a. Participants—describe the flow of participants, including the number of participants with and without the outcome. A diagram may be helpful	14 (93.3)
13b. Participants—describe the characteristics of the participants, including the number of participants with missing data for predictors and outcome	12 (80.0)
14a. Model development—specify the number of participants and outcome events in each analysis	15 (100.0)
14b. Model development—report the unadjusted association between each candidate predictor and outcome, if done (N=9)	NA
15a. Model specification—present the full prediction model to allow predictions for individuals (regression coefficients, intercept)	8 (53.3)
15b. Model specification—explain how to use the prediction model (nomogram, calculator, etc.)	7 (46.7)

Table 4 (continued)

Table 4 (continued)

35 selected items (N=15)	Adherence rate, n (%)
16. Model performance—report performance measures (with confidence intervals) for the prediction model	10 (66.7)
Discussion	44/45 (97.8)
18. Limitations—discuss any limitations of the study	15 (100.0)
19b. Interpretation—give an overall interpretation of the results	15 (100.0)
20. Implications—discuss the potential clinical use of the model and implications for future research	14 (93.3)
For validation (N=8)	24/48 (50.0)
10c. Statistical analysis methods—describe how the predictions were calculated	6 (75.0)
10e. Statistical analysis methods—describe any model updating (recalibration), if conducted	0 (0.0)
12. Development vs. validation—Identify any differences from the development data in setting, eligibility criteria, outcome, and predictors	8 (100.0)
13c. Participants (for validation)—show a comparison with the development data of the distribution of important variables	8 (100.0)
17. Model updating—report the results from any model updating, if performed	0 (0.0)
19a. Interpretation (for validation)—discuss the results with reference to performance in the development data and any other validation data	2 (25.0)

TRIPOD, Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis; NA, not available.

Table 5 Adherence rate of IBSI pre-processing steps

Pre-processing performed	Number of studies (adherence rate, %)
Total	54 (51.4)
Intensity normalization	8 (53.3)
Segmentation method	15 (100.0)
Image interpolation	8 (53.3)
Grey-level discretization	5 (33.3)
Image filter	7 (46.7)
Extraction software	5 (33.3)
Robustness assessment	6 (40.0)

IBSI, Image Biomarkers Standardization Initiative.

out in six studies, comprising 18.8% of the sample. In addition, robustness assessment of imaging biomarkers was performed in 6 studies, making up 40.0% of the total. Among the software packages used for radiomics feature extraction, only PyRadiomics (<https://www.radiomics.io/pyradiomics.html>) conforms to the IBSI guidelines, which was utilized in 33.3% of the articles. Lastly, the segmentation method employed during delineation was

exclusively manual tracing. None of the included studies utilized fully automatic or semi-automatic methods for segmentation.

Quality assessment of the radiomics models based on PROBAST tool

The analysis of ROB and applicability is presented in Figure 3. The overall ROB was unclear in 6 studies and high in 9 studies (Figure 3A). Within the ROB assessment, high bias was identified in the “analysis” domain for 93.3% of the studies, contrasting with low bias observed in the “results” domain (73.3%). Concerning overall applicability (Figure 3B), 12 studies displayed low concern, 3 studies had unclear concern, and additional details are provided in the Table S4.

Meta-analysis results for DFS

The association between radiomic features and DFS was evaluated in 12/15 (80%) studies, and all of them showed a significant association between radiomic features and DFS. Furthermore, 10 studies with a total of 1,492 patients provided HR values, which were then extracted for further

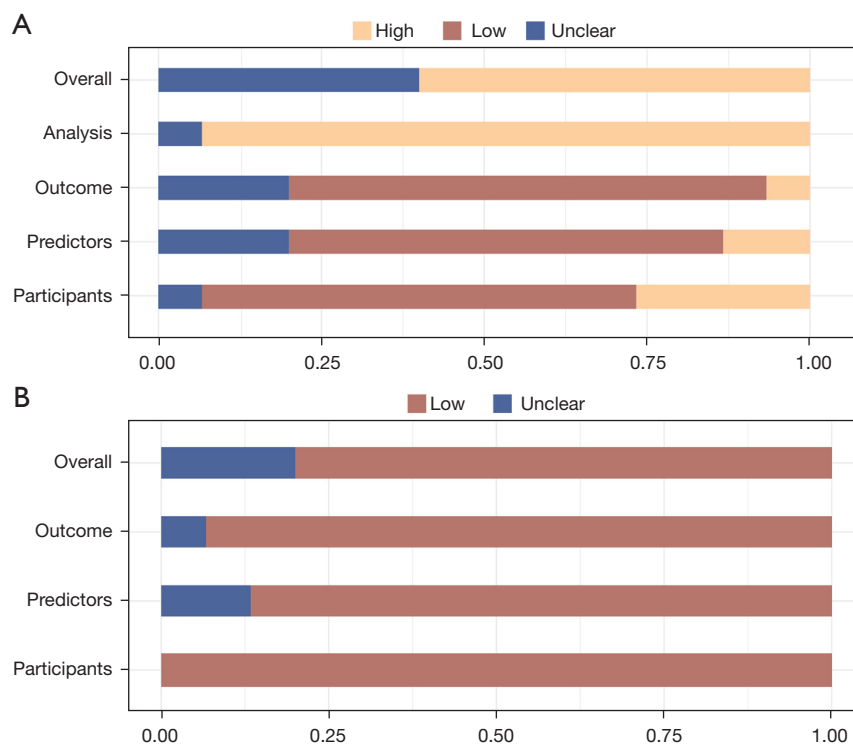


Figure 3 Quality assessment with PROBAST for (A) ROB and (B) applicability. PROBAST, Prediction Model Risk of Bias Assessment Tool; ROB, risk of bias.

meta-analysis. The pooled HR for DFS was 3.14 (95% CI: 2.12–4.64), and Cochran's Q test ($P=0.02$) and Higgins' I^2 test (56%) showed moderate heterogeneity among the included studies (Figure 4A). A further subgroup analysis based on the imaging modality found significant results in the MRI, CT, and PET/CT subgroups (Figure 4B, MRI: HR =3.34, 95% CI: 2.10–5.32; CT: HR =2.10, 95% CI: 1.11–3.98; PET/CT: HR =10.30, 95% CI: 2.90–36.53). Visual inspection of the funnel plot and Egger's test ($P=0.398$) showed no publication bias (Figure S1).

Meta-analysis results for OS

The association between radiomic features and OS was evaluated in 8/15 (53.3%) studies, and all of them showed a significant association between radiomic features and OS. In addition, 7 of these studies, with a combined total of 1,230 patients, provided HR values, which were then extracted for further meta-analysis. The pooled HR for OS was 3.36 (95% CI: 1.74–6.49), and Cochran's Q test ($P=0.01$) and Higgins' I^2 test (63%) showed moderate heterogeneity among the included studies (Figure 5A). A further subgroup analysis

based on the imaging modality found significant results in the MRI and PET/CT subgroups (Figure 5B, MRI: HR =6.98, 95% CI: 3.24–15.02; PET/CT: HR =3.90, 95% CI: 1.71–8.89).

Discussion

To the best of our knowledge, this is the first study to perform both a systematic review and a meta-analysis regarding radiomics prediction value on survival outcomes in LARC patients undergoing nCRT. This systematic review combined the outcomes of 2,151 LARC patients from 15 individual studies and extracted the HR values for further meta-analysis, which showed that radiomics based on the primary LARC lesion, depicting intratumor heterogeneity, played a promising role in LARC prognosis prediction.

Radiomics is a novel, noninvasive, and potential tool to extract quantitative features from medical images, which could convert images into mineable data for subsequent analysis. In particular, radiomics has been shown to reveal tumor heterogeneity, which is associated with prognosis in

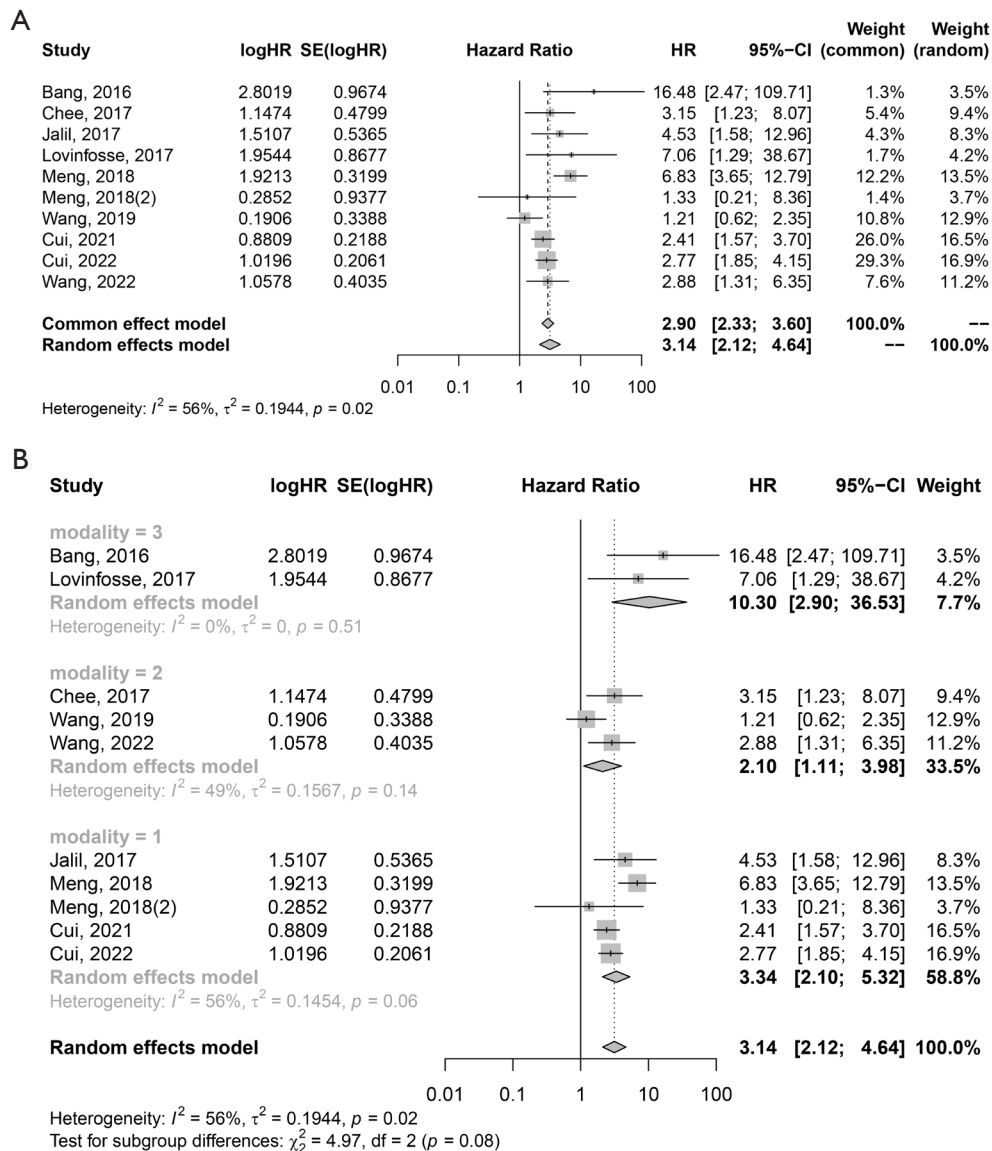


Figure 4 Meta-analysis results for DFS. (A) A forest plot of the pooled estimates of HR for DFS; (B) subgroup analysis based on imaging modality for DFS. Subgroup 1 contained studies based on MRI, subgroup 2 CT, and subgroup 3 PET/CT. HR, hazard ratio; CI, confidence interval; SE, standard error; DFS, disease-free survival; df, degrees of freedom; MRI, magnetic resonance imaging; CT, computed tomography; PET/CT, positron emission tomography/computed tomography.

LARC patients. Our meta-analyses indicated that radiomics based on the primary LARC lesion significantly predicted poor DFS (HR =3.14, 95% CI: 2.12–4.64, $P < 0.01$) and OS (HR =3.36, 95% CI: 1.74–6.49, $P < 0.01$). The results showed that the radiomics model may be an independent and noninvasive predictive biomarker, allowing us to stratify patients into low- and high-risk groups and identify those who may truly benefit from treatment and achieve long-

term survival by mining medical image data to reflect tumor heterogeneity. Similar conclusions have been reached in previous meta-analyses regarding the prognosis of non-small cell lung cancer, esophageal cancer, pancreatic ductal adenocarcinoma, and ovarian cancer (44–47). In addition, deep learning is a machine learning algorithm based on neural networks, providing an alternative to traditional manual radiomics (48). It alleviates the model's reliance on

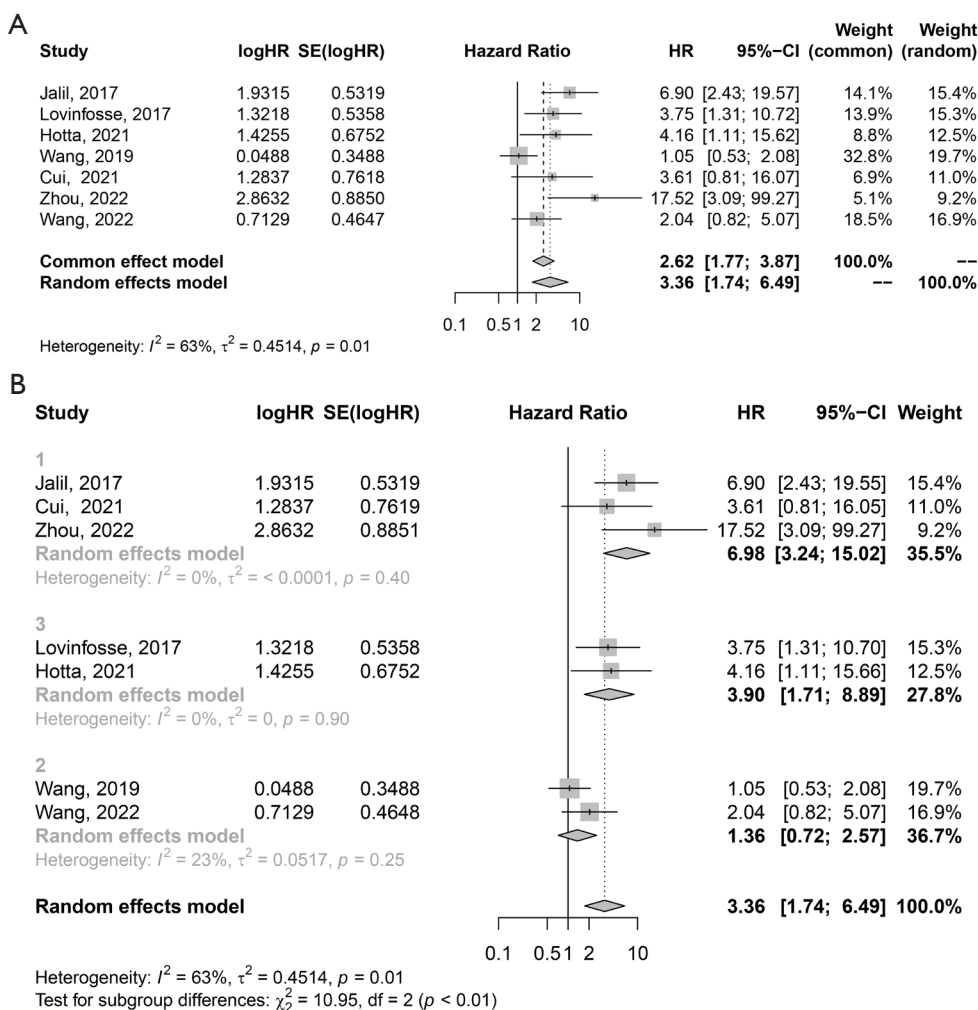


Figure 5 Meta-analysis results for OS. (A) A forest plot of the pooled estimates of HR for OS; (B) subgroup analysis based on imaging modality for OS. Subgroup 1 contained studies based on MRI, subgroup 2 CT, and subgroup 3 PET/CT. HR, hazard ratio; CI, confidence interval; SE, standard error; df, degrees of freedom; OS, overall survival; MRI, magnetic resonance imaging; CT, computed tomography; PET/CT, positron emission tomography/computed tomography.

accurate tumor segmentation and feature definition, thereby enhancing feature consistency and reproducibility while reducing the workload associated with data management. However, its current application in the literature remains limited (49-52), possibly due to its substantial demand for training data and lack of interpretability in models (53). In the future, the integration of radiomics with deep learning could lead to the creation of a new frontier in personalized medical imaging, resulting in the development of higher-performance models.

Generally, radiomics data contain first-, second-, and higher-order statistics (11). In our review, we summarized

the radiomics features associated with survival (Table 2). The results were similar to those of Schurink *et al.*, who found that simpler features (e.g., first-order, shape, GLCM, and GLRLM) showed overall good reproducibility, whereas higher-order features (e.g., GLSZM and NGTDM) were poorly reproducible (54). These results also aligned with those of previous studies (55-57). In addition, Gao *et al.* selected nine studies for the meta-analysis, which indicated that first-order entropy was reported multiple times in the studies on prognosis prediction and showed a significant pooled HR of 1.66 (95% CI: 1.18–2.34) in pancreatic ductal adenocarcinoma patients (46). Although

these studies preliminarily demonstrate seemingly good reproducibility, the reproducibility of simpler radiomics features is still insufficient compared with the measurement of carcinoembryonic antigen (CEA), carbohydrate antigen 19-9 (CA19-9), and other tumor markers, which has been one of the most important challenges in radiomics for years. However, the IBSI guideline, which was an IBSI instigated by Zwanenburg *et al.*, aims to improve the standardization of imaging protocols and results reporting; thus, strict compliance may improve reproducibility (21). In addition, the inclusion of higher-order features in radiomics models may be a major cause of poor reproducibility, but no relevant studies have shown how much it affects reproducibility, thus future research should focus on higher-order features.

For radiomics quality, different tools were utilized in this analysis to provide an in-depth and all-encompassing evaluation of the included studies. We found that the quality of radiomics studies for prognosis assessment in LARC patients was insufficient. The overall mean RQS score in our study was 7.73 ± 4.61 (21.5% of the ideal score), which was consistent with those of other systematic reviews (12,25,29,58), and the most problematic issues were similar. The included studies performed the worst in domain 5 (item prospective study and cost-effective analysis), with the actual score being 0% of the ideal RQS score (Figure 2, Table 3). In the era of evidence-based medicine, radiomics, as the basis of promising noninvasive imaging markers, must first be prospectively validated in clinical populations before it can be used in the clinic, and then the utility of radiomics in comparison to other accessible biomarkers needs to be evaluated through a cost-effectiveness analysis. However, most radiomic studies are proof-of-concept studies, and no prospective trials on prognosis prediction in LARC have been initialized. Therefore, it is essential to consider prospective trials and cost-effectiveness analyses in the design of future radiomics studies.

In addition, the mean RQS score on item validation of domain 2 was only 0.56 because most of the included studies used the single-center internal validation cohort and received a score of 2, yet the rest did not use the validation cohort and received a score of -5. The RQS score assigns a -5 if validation is missing, a 2 if validation is based on the same institute's dataset, a 3 if validation is based on another institute's dataset, a 4 if validation is based on two datasets from two different institutes or validates previously published features, and a 5 if validation is based on datasets from 3 or more different institutes. As a result of the current

poor scores, a multicenter validation set or validation of the previous radiomics features will be required in the future to improve the estimated quality of radiomics. Federated multicenter data studies can increase sample size and data diversity, thus improving the generalization of models. However, for reasons such as medical data privacy and security, it is difficult to centralize data in one place for centralized machine learning. Therefore, how to combine multicenter data to build radiomics models without sharing private patient data is also one of the future research priorities. Federated learning techniques may be one solution to address this issue.

Furthermore, there were certain other prevalent issues. The insufficiency of phantom study, test-retest, cutoff, and open science and data were repeatedly addressed. Although the performance was good in terms of image protocol quality, multiple segmentation, feature reduction, multivariate analysis with non-radiomics features, and discrimination statistics, of the six domains, only domain 4 exceeded 50% in the percentage of the ideal score. According to the TRIPOD checklist, the keywords "development" and "validation" were seldom ever used in the titles, abstracts, or objectives. The vast majority of studies lack blinded assessment, processing of missing data, and sample size determination. However, the large number of features compared to the number of patients makes sample size calculations virtually impossible. Therefore, considering the specificity of radiomics features, a reasonable sample size determination standard designed specifically for radiomics must be developed.

This systematic review has some limitations. First, there was moderate heterogeneity among the included studies in the HR values for DFS and OS. Although we performed subgroup analyses, the sample sizes may be too small to draw reliable conclusions from the group analyses. Second, the main limitation of our study is that the study designs of the published studies were all retrospective in design. Some patients may be lost to follow-up, which might affect the accuracy of prognosis prediction. Third, because of the limited study numbers, visual inspection of the funnel plot and Egger's test for studies predicting OS were not employed. Fourth, due to overlapping with RQS and TRIPOD, we focused on evaluating the pre-processing steps based on the IBSI guidelines. In future research, it would be beneficial to integrate these checklists to establish universally accepted methods and reporting standards. Finally, only radiomics-based prognostic models that were not integrated with other clinical factors were evaluated

because these factors varied greatly between trials and were unsuitable for pooled values.

Conclusions

In conclusion, the primary tumor lesion-based radiomics model performed promisingly in LARC prognosis prediction. However, the overall methodological quality of radiomics studies was low and the adherence to the TRIPOD statement was moderate. Future radiomics research should put a greater focus on enhancing methodological quality and considering the influence of higher-order features on reproducibility in radiomics.

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Footnote

Reporting Checklist: The authors have completed the PRISMA reporting checklist. Available at <https://qims.amegroups.com/article/view/10.21037/qims-23-692/rc>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://qims.amegroups.com/article/view/10.21037/qims-23-692/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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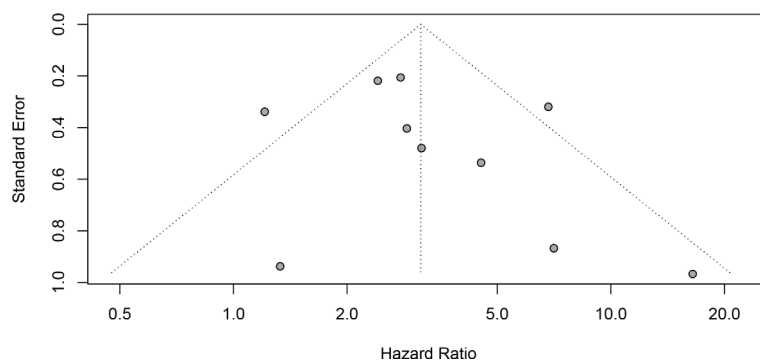


Figure S1 Funnel plot of studies included for DFS in the meta-analysis. Funnel plot with pseudo 95% confidence limits for assessment of publication bias included in the meta-analysis. The Egger's test revealed that the likelihood of publication bias was low ($P=0.398$). DFS, disease-free survival.

Table S1 Study search strategy**1.1 Cochrane search strategy**Available via <https://www.cochrane.org/>

No.	Query	Results
#1	Rectal Neoplasms	3,113
#2	(Rectal Neoplasm): ab,ti,kw OR (Rectum Neoplasm): ab,ti,kw OR (Rectal Tumor): ab,ti,kw OR (Rectal Cancer): ab,ti,kw OR (Rectum Cancer):ab,ti,kw OR (rectal malignancy): ab,ti,kw OR (rectum malignancy): ab,ti,kw	7,497
#3	#1 OR #2	7,978
#4	(radiomics): ab,ti,kw OR (radiomic): ab,ti,kw OR (texture): ab,ti,kw	2,046
#5	(prognosis): ab,ti,kw OR (survival): ab,ti,kw	140,645
#6	#3 AND #4 AND #5 with Cochrane Library publication date Between Jan 2012 and Jun 2022, in Trials	4

1.2 Embase search strategyAvailable via www.embase.com

No.	Query	Results
#1	'rectal neoplasms'/exp OR 'rectal neoplasms'	112,653
#2	'rectal neoplasm': ab,ti OR 'rectum neoplasm': ab,ti OR 'rectal tumor':ab,ti OR 'rectal cancer': ab,ti OR 'rectum cancer':ab,ti OR 'rectal malignancy':ab,ti OR 'rectum malignancy': ab,ti	43,746
#3	#1 OR #2	117,719
#4	'radiomics': ab,ti OR 'radiomic': ab,ti OR 'texture':ab,ti	47,524
#5	'prognosis': ab,ti OR 'survival':ab,ti	2,090,192
#6	#3 AND #4 AND #5	96
#7	#3 AND #4 AND #5 AND [01-01-2012]/sd NOT [01-07-2022]/sd	87

1.3 Medline search strategyAvailable via <https://pubmed.ncbi.nlm.nih.gov>

No.	Query	Results
#1	Rectal Neoplasms	70,789
#2	(((((Rectal Neoplasm) OR (Rectum Neoplasm)) OR (Rectal Tumor)) OR (Rectal Cancer)) OR (Rectum Cancer)) OR (rectal malignancy)) OR (rectum malignancy)	97,528
#3	(Rectal Neoplasms) OR ((((((Rectal Neoplasm) OR (Rectum Neoplasm)) OR (Rectal Tumor)) OR (Rectal Cancer)) OR (Rectum Cancer)) OR (rectal malignancy)) OR (rectum malignancy))	97,528
#4	((radiomics) OR (radiomic)) OR (texture)	54,132
#5	(Prognosis) OR (Survival)	3,871,427
#6	("2012/1/1"[Date - Publication]: "2022/6/30"[Date - Publication])	12,686,164
#7	(((((Rectal Neoplasms) OR ((((((Rectal Neoplasm) OR (Rectum Neoplasm)) OR (Rectal Tumor)) OR (Rectal Cancer)) OR (Rectum Cancer)) OR (rectal malignancy)) OR (rectum malignancy)))) AND (((radiomics) OR (radiomic)) OR (texture))) AND ((Prognosis) OR (Survival))) AND (("2012/1/1"[Date - Publication]: "2022/6/30"[Date - Publication]))	127

1.4 Web of Science search strategyAvailable via <https://www.webofscience.com/wos/diidw/basic-search>

No.	Query	Results
#1	TS= (Rectal Neoplasms OR Rectal Neoplasm OR Rectum Neoplasm OR Rectal Tumor OR Rectal Cancer OR Rectum Cancer OR rectal malignancy OR rectum malignancy)	67,331
#2	TS= (radiomics OR radiomic OR texture)	696,382
#3	TS= (Prognosis OR Survival)	3,025,915
#4	#1 AND #2 AND #3	140

Table S2 Pre-processing steps according to IBSI guideline

IBSI#	Pre-processing performed	Explanation
46	Intensity normalization —describe the method and settings used to normalize intensity distributions within a patient or patient cohort	Any kind of normalization method was accepted, such as white stripe normalization, z-score normalization, or normalization using the $\mu \pm 3\sigma$ method
48	Segmentation method —describe how regions of interest were segmented; describe the number of experts, their expertise and consensus strategies for manual delineation; describe methods and settings used for semi-automatic and fully automatic segmentation; describe which image was used to define segmentation in case of multi-modality imaging	Any kind of segmentation method was accepted, such as manual segmentation, semi-automatic segmentation, or fully automatic segmentation, with or without providing number of experts, their expertise and consensus strategies for manual delineation, or settings used for semi-automatic or fully automatic segmentation
50	Image interpolation —describe which interpolation algorithm was used to interpolate the image; describe how the position of the interpolation grid was defined; describe how the dimensions of the interpolation grid were defined; describe how extrapolation beyond the original image was handled	Mentioning the exact term “interpolation” or “resampling” was presumed to perform iso-voxel resampling with or without providing interpolation algorithm, the position of the interpolation grid, or how extrapolation beyond the original image was handled
56	Grey-level discretization —describe the method used to discretize image intensities	Mentioning the exact term “discretization” was presumed to perform gray-level discretization with or without providing the number of bins or the size of the bins
57	Image filter —describe whether and which methods and settings were used to filter images	Any kind of filtering method was accepted, such as Laplacian-of-Gaussian, wavelet, or a declaration of non-filtering
59	IBSI compliance —state if the software used to extract the set of image biomarkers is able to reproduce the IBSI feature reference values	A software is compliant if and only if it is able to reproduce image biomarker reference values for the digital phantom and for one or more image processing configurations using the radiomics CT phantom. We documented the name of software, and then found out whether they were IBSI compliant or not
60	Robustness —describe how robustness of the image biomarkers was assessed	Robustness is one of the key concerns for generalizability and application of radiomics models. We documented the method of robustness assessment, e.g., test-retest analysis, before the model building

IBSI, Image Biomarkers Standardization Initiative; CT, computed tomography.

Table S3 RQS rating per study

Study	Meng, 2018 (1)	Wang, 2019 (2)	Cui, 2021 (3)	Tibermacine, 2021 (4)	Chiloiro, 2022 (5)	Zhou, 2022 (6)	Cui, 2022 (7)	Nie, 2022 (8)	Wang, 2022 (9)	Meng, 2018 (10)	Bang, 2015 (11)	Chee, 2017 (12)	Jali, 2016 (13)	Lovinfosse, 2017 (14)	Hotta, 2021 (15)
Total 16 items (ideal score 36)	11	10	12	11	2	13	14	13	8	8	2	2	3	3	4
Domain 1: protocol quality and stability in image and segmentation (0 to 5 points)	2	2	1	2	2	2	2	2	2	1	1	2	1	1	2
1. Protocol quality (2 points)	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
2. Multiple segmentations (1 point)	1	1	0	1	0	1	1	1	0	0	0	1	0	0	1
3. Phantom study (1 point)	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0
4. Imaging at multiple time points (1 point)	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0
Domain 2: feature selection and validation (-8 to 8 points)	5	5	5	6	-2	5	5	5	-2	5	-2	-2	-2	-2	-2
5. Feature reduction or adjustment of multiple testing (-3 or 3 points)	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
6. Validation (-5, 2, 3, 4, or 5 points)	2	2	2	3	-5	2	2	2	-5	2	-5	-5	-5	-5	-5
Domain 3: biologic/clinical validation and utility (0 to 6 points)	1	1	3	1	1	3	3	3	4	0	3	1	3	3	3
7. Non-radiomics features (1 point)	1	1	1	1	1	1	1	1	0	0	1	1	1	1	1
8. Biologic correlations (1 point)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9. Comparison to "gold standard" (2 points)	0	0	0	0	0	0	0	2	2	0	2	0	2	2	2
10. Potential clinical utility (2 points)	0	0	2	0	0	2	2	0	2	0	0	0	0	0	0
Domain 4: model performance index (0 to 5 points)	3	2	3	1	1	3	4	3	3	2	0	1	1	1	1
11. Cut-off analysis (1 point)	1	0	1	0	0	1	1	1	1	1	0	1	1	1	1
12. Discrimination statistics (2 points)	2	2	1	1	1	1	1	1	2	1	0	0	0	0	0
13. Calibration statistics (2 points)	0	0	1	0	0	1	2	1	0	0	0	0	0	0	0
Domain 5: high level of evidence (0 to 8 points)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
14. Prospective study (7 points)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
15. Cost-effectiveness analysis (1 point)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Domain 6: Open science and data (0 to 4 points)	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0
16. Open science and data (0 to 4 points)	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0

RQS, radiomics quality score.

Table S4 PROBAST assessment for each study

Study	Risk of bias				Applicability			Overall	
	Participants	Predictors	Outcome	Analysis	Participants	Predictors	Outcome	Risk of bias	Applicability
Meng, 2018 (1)	+	+	+	-	+	+	+	-	+
Wang, 2019 (2)	+	+	+	-	+	+	+	-	+
Cui, 2021 (3)	+	+	+	-	+	+	+	-	+
Tibermacine, 2021 (4)	+	+	?	-	+	+	?	?	?
Chiloiro, 2022 (5)	+	+	+	-	+	+	+	-	+
Chuanji, 2022 (6)	+	+	+	?	+	+	+	-	+
Cui, 2022 (7)	+	-	?	-	+	+	+	?	+
Nie, 2022 (8)	+	?	+	-	+	+	+	?	+
Wang, 2022 (9)	?	+	?	-	+	+	+	?	+
Meng, 2018 (10)	+	?	+	-	+	+	+	?	+
Bang, 2015 (11)	-	+	-	-	+	+	+	-	+
Chee, 2017 (12)	-	?	+	-	+	?	+	?	?
Jalil, 2016 (13)	-	-	+	-	+	?	+	-	?
Lovinfosse, 2018 (14)	-	+	+	-	+	+	+	-	+
Hotta, 2021 (15)	+	+	+	-	+	+	+	-	+

+, low; -, high; ?, unclear. PROBAST, Prediction Model Risk of Bias Assessment Tool.

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