

# Automatic segmentation of the left ventricle in echocardiographic images using convolutional neural networks

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**Background:** Two-dimensional echocardiography (2D echo) is the most widely used non-invasive imaging modality due to its fast acquisition time, low cost, and high temporal resolution. Boundary identification of left ventricle (LV) in 2D echo, i.e., image segmentation, is the first step to calculate relevant clinical parameters. Currently, LV segmentation in 2D echo is primarily conducted semi-manually. A fully-automatic segmentation of the LV wall needs further development.

**Methods:** We evaluated the performance of the state-of-the-art convolutional neural networks (CNNs) for the segmentation of 2D echo images from 6 standard projections of the LV. We used two segmentation algorithms: U-net and segAN. The models were trained using an in-house dataset, which consists of 1,649 porcine images from 6 to 8 different pigs. In addition, a transfer learning approach was used for the segmentation of long-axis projections by training models with our database based on the previously trained weights obtained from Cardiac Acquisitions for Multi-structure Ultrasound Segmentation (CAMUS) dataset. The models were tested on a separate set of images from two other pigs by computing several metrics. The segmentation process was combined with a 3D reconstruction framework to quantify the physiological indices such as LV volumes and ejection fraction (EF).

**Results:** The average dice metric for the LV cavity was 0.90 and 0.91 for the U-net and segAN, respectively, which was higher than 0.82 for the level-set (P value: 3.31×10<sup>-25</sup>). The average Hausdorff distance for the LV cavity was 2.71 mm and 2.82 mm for the U-net and segAN, respectively, which was lower than 3.64 mm for the level-set (P value:  $4.86 \times 10^{-16}$ ). The LV shapes and volumes obtained using the CNN segmentation models were in good agreement with the results segmented by the experts. In addition, the differences of the calculated physiological parameters between two 3D reconstruction models segmented by the experts and CNNs were less than 15%.

**Conclusions:** The results showed that both CNN models achieve higher performance on LV segmentation than the level-set method. The error of the reconstruction from automatic segmentation compared to the expert segmentation is less than 15%, which is within the 20% error of echo compared to the gold standard.

**Keywords:** Convolutional neural networks (CNNs); deep learning; echocardiography; left ventricle (LV); 3D reconstruction

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#### Introduction

Two-dimensional echocardiography (2D echo) is the most widely used non-invasive method for evaluation of heart disease because of its high temporal resolution [50–250 frames per second (fps)] (1) and short acquisition times (2). 2D echo provides a gray scale image from which anatomical features are identified to assess cardiac functions. Segmentation of the left ventricular (LV) walls in 2D echo is the first step toward quantification of cardiac function, such as ejection fraction (EF) and LV volumes.

At present, semi-automatic or manual delineation conducted by experts are the main boundary identification techniques for 2D echo (3). However, semi-automatic or manual techniques are not only time-consuming but also subjective, which makes them prone to intra- and inter-observer variability (4). Prior to the development of deep learning techniques, methods for automatic LV segmentation could be described as pixel classification (5), image-based methods (6), deformable methods (7), active appearance and shape models (AAM/ASM) (8), and atlas models (9). These methods generated high-quality automatic LV segmentation models on magnetic resonance imaging (MRI) and computerized tomography (CT) images. However, due to speckle noise, brightness inhomogeneities, presence of trabeculae and papillary muscles, and shape and motion variances, these LV segmentation methods suffered from low robustness and accuracy on 2D echo (4). Besides, it was challenging to build a model that would be general enough to cover all possible shapes and dynamics of the LV with previous methods (10). Here, a state-of-the-art deep learning segmentation method is employed for robust and accurate segmentation from 2D echo images.

Medical image analysis has recently been revolutionized through the widespread adoption of deep learning techniques (11). This revolution has primarily been powered by supervised machine learning with convolutional neural networks (CNNs). CNNs typically operate on images and provide one prediction per image sample, e.g., an image class label or quantitation of disease burden (12). Recently, many medical image analyses have employed CNN models (13,14). The most important prerequisite for applying CNNs to the medical image process is to have enough data that are used to train the CNN models. Currently, LV segmentation CNN models are mostly based on MRI or CT images because they are considered as standards (15). The Left Ventricle Segmentation Challenge (LVSC) dataset, organized by the Medical Image Computing

and Computer Assisted Intervention Society (MICCAI), consists of 100 fully delineated MRI images of the LV (16). Automated Cardiac Diagnosis Challenge (ACDC) dataset, organized by the MICCAI, consists of 100 patient shortaxis MRI images that cover the LV from the base to the apex with a thickness of 5 mm (17). Bai et al. generated a large-scale dataset, which consists of 4,875 patients with 93,500 images, including both short- and long-axis MRI images (18). Zreik et al. made their dataset with cardiac CT angiography (CCTA) short-axis images from 60 patients (19) and trained their CNN model. Lieman-Sifry et al. created a dataset of 1,143 short-axis MRI images (20). With these large datasets, different CNN models are applied to LV segmentation tasks. Cicek et al. showed that 3D U-net (21) works better than 2D U-net (22) on the ACDC data. Tan et al. used a CNN regression model to segment the LV and parameterize the radius of endocardium and epicardium in short-axis projections with LVSC data (23).

In contrast to MRI, there is no large dataset of 2D echo images. Cardiac Acquisitions for Multi-structure Ultrasound Segmentation (CAMUS) dataset, which consists of 450 patients' long-axis 2-chamber and 4-chamber projection images, is the only open large dataset (4). For this reason, 2D echo datasets are created for specific studies. Veni et al. constructed 69 2D echo 4-chamber projections for training U-net (24). Zhang et al. used their 2D echo dataset with 214 images of 2-chamber, 141 images of 3-chamber, and 182 images of 4-chamber projections, and 124 short-axis projections, to train their U-net model, and applied the segmented results for autodiagnosis of the cardiac disease (25). Li et al. used own multi-view dataset, which consists of 9,000 images, and CAMUS dataset for training their multiview recurrent aggregation network (MV-RAN) on the 2-chamber, 3-chamber, and 4-chamber projection images (26,27). However, there was no attempt to segment the standard six 2D echo images, i.e., three short-axis projections and three long-axis projections (28). Furthermore, previous datasets were only concentrated on the end-diastolic and end-systolic images. To segment the LV in this study, U-net (22) and generative adversarial networks model (segAN) (29) were applied on an in-house dataset, which consisted of six standard 2D echo projections, each representing the entire cardiac cycle. Transfer learning was conducted to compensate the limitation of training datasets using CAMUS dataset.

Most of the previous studies for volume analysis using 2D echo images employed single-plane or biplane algorithms.

The single-plane area-length algorithm calculated the LV volume by assuming that LV is an ellipsoid and rotating the 4-chamber projection about the long-axis. Biplane Simpson's algorithm measured the volume of LV by summing volumes of short-axis discs with their radii based on the 4-chamber and 2-chamber long-axis projections. These volumetric studies focused on images at end-systole and end-diastole to calculate the EF. However, visualizing the wall motion and reconstructing the LV geometries over the cardiac cycle is critical for calculating other clinical indices. Also, calculated volumes using these methods showed about 35% error at end-diastole and about 45% error at end-systole compared to the 3D echocardiography or MRI technique (30).

In the current study, six standard projection images, which were segmented by deep learning algorithms (U-net and segAN) over one cardiac cycle, were integrated into a fully automated reconstruction algorithm developed by our group (31). Reconstructed 3D LV geometries over one cardiac cycle facilitated the calculation of clinical indices and tracked the LV wall motion. The results of physiological indices and 3D reconstruction models using automated segmentation versus expert delineation were compared against each other.

#### **Methods**

Six standard-projection 2D echo images (three long-axis: 3-, 2- and 4-chamber projections and three short-axis: base, mid, and apex projections) were divided into training and testing datasets. CNN models, which segment the LV were trained on the training dataset. Trained CNN models were evaluated on the test datasets. Segmented images were reconstructed to 3D geometry.

#### Image acquisition

We obtained the 2D echo scans during ongoing preclinical studies in ten anesthetized open-chest pigs. Porcine models are routinely used in preclinical cardiovascular studies because pig's cardiovascular anatomy closely replicates human cardiovascular anatomy and physiology (32). However, closed-chest transthoracic ultrasound scans in adult domestic pigs do not reproduce transthoracic echocardiographic scans in humans because of the differences in porcine chest configuration and narrow intercostal spaces (33). Therefore, an open chest setting is often preferred in preclinical studies. Another advantage is

that exposing the heart eliminates undesired interposition of lungs, allows unrestricted reproduction of standardized echocardiographic projections, and provides access for experimental instrumentation.

Six standard 2D echo projections of the porcine LV *in situ*, similar to the ones acquired regularly from human subjects during clinical visits, were captured over one cardiac cycle (R-wave to R-wave) using a Vivid 7 ultrasound system (GE Vingmed Ultrasound AS, Horton, Norway) and an M4S transducer operating at 1.7/3.4 MHz (fundamental/harmonic) frequency. The ultrasound transducer was placed directly on the LV surface to obtain both long- and short-axis images. A transmission gel was applied between the transducer and the LV surface to assure an acoustic coupling. The frame rate was between 36 to 55 fps.

## Reference segmentation and contouring protocol

Acquired 2D echo images were delineated by experts using interactive speckle-tracking software (EchoPAC, GE Healthcare). Also the boundary was adjusted, if the tracking was not satisfactory based on a visual inspection by an experienced operator. Manual detection of the myocardium boundary is error-prone and subjective due to typical image dropouts and noise. Therefore, a semi-automatic speckle tracking band was used as a surrogate of the boundary. Speckle tracking methods have been used to obtain LV characteristics in cardiovascular research (34,35). The six standard projections delineated for a given time instant are shown in *Figure 1*. These delineation images provide the ground truth for the segmentation task.

# Deep learning segmentation

# Dataset and data augmentation

We employed two different datasets in the current study. First, the CAMUS dataset was used (4). The CAMUS dataset is a fully annotated dataset, which consists of 1,800 long-axis 2-chamber and 4-chamber projections images from 450 patients. The "ground truth image" has four labels, 0: background, 1: LV cavity, 2: LV myocardium, and 3: left atrium. As mentioned above, CAMUS dataset is the only open validated dataset for the 2D echo, but includes only two long-axis projections.

Second, an in-house dataset, which consists of six standard projections, was created and employed. *Table 1* shows the total number of images and pigs of the in-house dataset which were used for training and testing of different

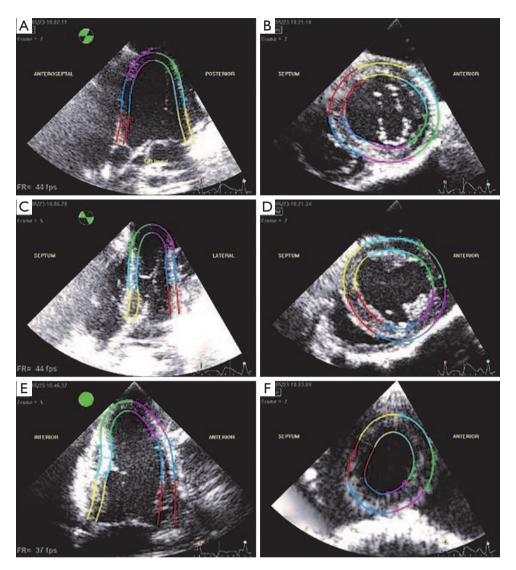


Figure 1 The delineated 2D echo images for one time instant. LV boundary is delineated by the experts using the speckle tracking software. (A,B,C) are long-axis 3-chamber, 4-chamber, and 2-chamber projections. (D,E,F) are short-axis base, mid and apex projections. LV, left ventricle.

Table 1 Information of the in-house dataset

Projections	Train	ing	Testing		
	Images	Pigs	Images	Pigs	
3-chamber	297	8	77	2	
4-chamber	229	6	77	2	
2-chamber	225	6	77	2	
Base	301	8	76	2	
Mid	300	8	75	2	
Apex	297	8	77	2	

projections. One porcine data consists of 30 to 40 images over one cardiac cycle with the same time interval. The ground truth images in the in-house dataset have 3 labels: 0: background, 1: LV cavity, and 2: LV myocardium; 75 to 77 images from 2 pigs (different from those used for training) for each projection, i.e., about 20% of total images in the dataset, were assigned to the testing dataset.

Ronneberger (22) showed that based on the aggressive image augmentation, U-net models could generate promising results with few training images. Several data augmentation techniques were used to overcome the limitations of the training dataset. Rotating, cropping, and blacking-out techniques were used for data augmentation. The training data underwent rotating with 0.7 probability. They were randomly rotated between -25 and 25 degrees. A blank region, created after rotation, was filled using a spline interpolation method. Cropping was conducted with 0.7 probability. It filled out the random amount of missing edges in the images. Finally, a black-out technique by Zhang et al. was applied (25). The technique generated circular areas whose pixel values are zero. In this study, a random diameter circular area was created with random pixel intensities between the maximum and minimum pixel values.

#### CNN algorithm and training

The previously published U-net (22) and a generative adversarial network (SegAN) (29) models were employed for the LV segmentation. Both models were trained separately for all six standard projections with the in-house training dataset. Transfer learning approach was used for training the models of the long-axis projections. First, the models were trained on the CAMUS dataset (4). Based on these calculated weights, the models were trained with the in-house dataset. Using transfer learning helps to speed up the process of model training and can result in a more accurate segmentation.

To train both CNN models, all input images were resized to 128×128 pixels. Segmented results from both CNN models also had a resolution of 128×128 pixels. The batch size for training both models was 16. The Adam optimizer was used to optimize each model's loss. Transfer learning was conducted using fine-tuning with reduced learning rate for the long-axis projections. First, our model was trained with 0.001 learning rate on the CAMUS dataset. Next, this trained model was trained with 0.0005 learning rate with our dataset. The training was conducted with Python and TensorFlow. The experiments were performed on NVIDIA

TESLA K80 GPU and NVIDIA GeForce GTX 1050 GPU.

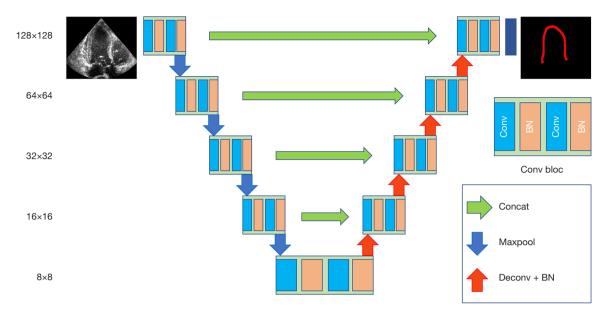
# Resizing of input images

CAMUS dataset consists of various image sizes, e.g., 486×764. Also, in-house dataset has 648×480. In order to apply these images to CNN models, these images were resized into 128×128 size, which is the same size of both CNN models' first convolution layer. The original inhouse dataset image has 85 pixel/cm pixel spacing. After resizing, input image has 20 pixel/cm pixel spacing. This downsampling may reduce the segmentation performance. This reduction can be solved by increasing the size of the first convolution layer. However, there is a trade off on the memory and computational costs. In this study, we chose 128×128 based on our computational environment.

Original image's height-width ratio was also changed during the resizing process. This problem was solved with cropping technique during the data augmentation process. Before images were revised into 128×128, empty region was added to the original image's edge (both gray and labeled images). As a result, original images size became (648+a)×(128+b), where a and b are the random numbers. These cropped images were resized into 128×128 and became input images of CNN models. Consequently, our CNN models were trained on the images with various height-width ratios.

## U-net

Well-established U-Net neural network architecture proposed by Ronneberger (22) was the first algorithm used in this study. This architecture has been quite successful in biomedical segmentation tasks (11). U-net consists of an encoder performing the contracting and a decoder performing the expansion. Figure 2 illustrates the U-net model used in this study. The model consists of 4 maxpooling layers in the encoder and 4 transposed convolution layers in the decoder. Max-pooling down-samples the images with a 2×2 pooling kernel, 2 strides, and the samepadding method. Similarly, the transposed convolution layer up-samples the images with 4×4 kernel, 2 strides, and the same-padding method. After each transposed convolution layer up-samples the images, same-sized images from the encoder are concatenated to recover fine features, which are lost during the downsampling. All convolution layers use 3×3 kernel, rectified linear unit (ReLU) activation function, and L<sub>2</sub> regularization. Batch normalization is used for the normalization scheme. The final convolution layer uses a



**Figure 2** The U-net architecture. Each layer consists of two convolution layers followed by batch normalization layers. Blue and red arrows indicate max pooling and deconvolution layer followed by batch normalization. Green arrows are concatenation operations.

1×1 kernel and generates feature maps that have the same number of label classes (3 for our dataset) for prediction. The multi-dimensional dice calculates the loss.

## **SegAN**

The second algorithm used in this study is the segAN, a neural network architecture for medical image segmentation, proposed by Xue (29). Figure 3 shows the segAN structure. A generative adversarial network model (GAN) consists of a generator (a network that learns how to sample from the underlying distribution of the sample) and discriminator (a network that helps improve the quality of the data-points sampled by the generator). In the segAN architecture, the segmentor (which performs as a generator) produces the predicted segmentation images from original images with encoder-decoder architecture. The critic (which performs as a discriminator) has two inputs, one from the segmentor and the other from the ground truth images, and calculates the loss between two input images. During the training, the segmentor aims to minimize the loss, whereas the critic aims to maximize the same loss. SegAN model is improved while two structures conduct a min-max game. SegAN uses a multi-scale feature loss function based on the mean absolute error (MAE) and dice loss. Xue et al. showed that segAN outperformed other models, including U-net, in the BRATS brain tumor segmentation dataset. After training, segmentor was used for the LV segmentation task. For the segmentor architecture, U-net structure was employed.

#### Post-processing

Some segmented results from CNNs models made independent island-like structures in the background and an incomplete LV boundary line. Therefore, the post-process was conducted to treat this incompleteness. Morphological Transformation, which is based on the erosion and dilation function (36), was used for our post process. Erosion and dilation combine two sections, respectively, based on the vector subtraction and addition. Erosion, followed by dilation, was used for removing the island structures. Inversely, dilation followed by erosion was used to complete the LV boundary line.

# Evaluation of the CNNs models

The performance of the level-set method, which is often used for the automatic segmentation process (37), was calculated on our test dataset to compare the two CNN models with a non-deep learning method. Open-source software was used to apply the level-set method (38). Five images were selected at the same time interval from each of the two pigs in the testing set, a total of 10 images, for each projection to test the level-set method.

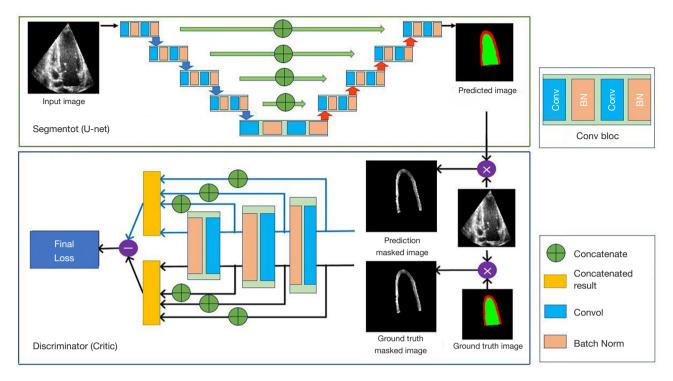


Figure 3 The segAN architecture. In segmentor, U-net architecture is used for segmentation. In critic, two masked images are used to calculate the multi-scale loss. Masked image shows the segmented part of the input image (here, LV myocardium). LV, left ventricle.

For quantitative comparison and assessment of the segmentation results, four widely used metrics in the segmentation research, the dice metric, precision, sensitivity, and Hausdorff distance, were calculated.

Let P and G represent the segmented image and ground truth image, respectively. The dice metric (39) calculates the overlap between P and G, and is defined as:

$$Dice = \frac{2|P \cap G|}{|P| + |G|}$$
[1]

The value varies from 0 (no overlap) to 1 (perfect overlap). The higher the value of the dice metric, the better the segmentation. The precision and sensitivity (40) evaluate the relevance of P and G. Precision and sensitivity are defined as follows:

$$Precision = \frac{TP}{TP + FP}$$
 [2]

$$Sensitivity = \frac{TP}{TP + FN}$$
 [3]

where *TP*, *FP*, and *FN* are the numbers of pixels, which are correctly classified as labels, incorrectly classified as labels, and incorrectly classified as not labels in P, respectively.

These metrices are normalized (i.e., they vary from 0 to 1). High precision and sensitivity mean that the model makes significantly more relevant results than irrelevant results based on the segmented (P) and ground truth (G) images. The Hausdorff distance (41) calculates the maximum distance between the contours of  $P(\delta P)$  and  $G(\delta G)$ . It is defined as:

$$Hausd.dist. = max(\max_{i \in \delta P} d(i, \delta G), \max_{i \in \delta G} d(i, \delta P))$$
 [4]

where  $d(i,\delta)$  is the shortest distance from a point i to a contour  $\delta$ . A low Hausdorff distance value represents a good segmentation result. The unit of the Hausdorff distance is millimeter (mm), which is calculated from information in the echo images.

#### Statistical analysis

Kruskal-Wallis test was performed for the statistical analysis (42). This test is the non-parametric test and samples do not need to follow the normal distribution. Kruskal-Wallis test assumes that the samples are extracted from the same continuous distribution. P value was calculated using this test and performed as the criteria for

the statistical effectiveness.

#### 3D reconstruction

# Reconstruction algorithm

3D LV geometries over one cardiac cycle were generated from six standard 2D echo projection images using a 3D reconstruction algorithm developed by our group (31). This algorithm consists of seven steps: endocardium detection, data smoothing, temporal interpolation, sectional scaling and orientation, spatial interpolation, temporal smoothing, and mesh generation. During the sectional scaling and orientation process, six sections are first arranged based on the nominal positions. Next, optimization is conducted by minimizing the difference between the reconstructed and segmented sections.

The dynamic 3D reconstruction algorithm takes six standard-projection delineated videos as the input. One cardiac cycle images, which were generated from the CNN model, were concatenated into a video clip. The size of the output images from CNN models was fixed to 128×128 pixels. Therefore, before concatenating the images, all images were first resized to the original 2D echo image size (e.g., 648×480 pixels) to recover the original LV dimensions. During the 3D reconstruction process, the inner LV boundary of the cavity section was extracted.

# Evaluation method or model quantitative assessment

Several LV physiological parameters were calculated based on the pig's 3D reconstructed volume to evaluate the segmentation results. In this study, reconstructed LV volume with ground truth images is the benchmark volume. Reconstructed LV volume using each CNN models' segmented images were compared with this benchmark. LV volume was calculated on every time instance during the dynamic 3D reconstruction process. In the reconstruction process, non-dimensional time was used to associate each projection's different time instances. From one cardiac cycle volume data, EF, stroke volume (SV), and cardiac output (CO) were calculated. These parameters are widely used in cardiac disease diagnosis and perform as the indicators of the LV assessment (43).

The EF is defined as:

$$EF = \frac{E_{DV} - E_{SV}}{E_{DV}} \tag{5}$$

where  $E_{DV}$  and  $E_{SV}$  are end-diastolic and end-systolic volumes over the given cardiac cycle.

The SV is the difference between the end-diastolic and end-systolic volumes. Finally, CO, i.e., the volume of the blood that is ejected over one minute, is defined as:

$$CO = HR \times SV$$
 [6]

where HR is the heart rate.

#### **Results**

# Segmentation result

# **CAMUS** dataset segmentation

Two CNN models were tested on the CAMUS dataset to verify the method before employing on the in-house dataset. Additionally, the effect of the post-process, which employed morphological transformation, was calculated on this dataset. Among 450 patients' images, which are open to the public, 400 patients' images were assigned to the training set and the rest of (other 50) patients' images were used for the testing set.

Table 2 reports the dice metric, precision, sensitivity, and Hausdorff distance of U-net and segAN models. Each model's metric was calculated twice, i.e., before and after the post-processing. The dice metric of the LV cavity is 0.920 for the U-net and 0.917 for the segAN (P value: 0.5617). The dice metric of the LV myocardium is 0.860 for the U-net and 0.859 for the segAN (P value: 0.6068). The precision and sensitivity are evaluated similar to the dice metric. The Hausdorff distance of the LV cavity is 4.92 mm for the U-net and 5.14 mm for the segAN (P value: 0.3992). The Hausdorff distance of the LV myocardium is 6.23 mm for the U-net and 6.18 mm for the segAN (P value: 0.5124). These metrics demonstrate the high performance of both U-net and segAN. However, the difference between U-net and segAN on the metrics was not statistically significant (P value > 0.05).

The effect of the post-process was also calculated on the *Table 2*. Dice metric increases from 0.915 to 0.920 for the LV cavity (P value: 0.7624) and from 0.858 to 0.860 for the LV myocardium (P value: 0.7457) on the U-net model, and increases from 0.912 to 0.917 for the LV cavity (P value: 0.7216) and from 0.855 to 0.859 for the LV myocardium (P value: 0.6411) on the segAN model.

The Hausdorff distance decreases from 5.17 to 4.92 mm for the LV cavity (P value: 0.1641) and from 6.43 to 6.23 mm for the LV myocardium (P value: 0.0778) on the U-net model, and decreases from 5.25 to 5.14 mm for the LV cavity (P value: 0.7491) and from 6.28 to 6.18 mm for

Method Label Post-process Dice Precision Sensitivity HD (mm) 0.920±0.105 0.919±0.059 U-net LV cavity Before post-process 0.915±0.066 5.17±1.39 0.929±0.093 0.921±0.060 After post-process 0.920±0.058 4.92±1.27 U-net LV myocardium 0.852±0.089 0.867±0.077 Before post-process 0.858±0.066 6.43±1.40 After post-process 0.860±0.063 0.861±0.063 0.871±0.077 6.23±1.25 SeaAN LV cavity Before post-process 0.912±0.079 0.907±0.123 0.930±0.053 5.25±1.90 After post-process 0.917±0.071 0.916±0.112 0.932±0.053 5.14±1.71 SegAN LV myocardium Before post-process 0.855±0.066 0.852±0.092 0.862±0.078 6.28±1.19 After post-process 0.862±0.086 0.866±0.077 0.859±0.064 6.18±1.17

Table 2 The comparison of metrics: Dice metric, precision, sensitivity, and Hausdorff distance (HD) between the before and after the post-process for both U-net and segAN on CAMUS dataset (4-chamber and 2-chamber projections)

The values are mean ± standard deviation.

the LV myocardium (P value: 0.4573) on the segAN model. The effect of the post-process stands out on the Hausdorff distance. However, the effect of post-processing was not statistically significant (P value >0.05).

# In-house dataset segmentation

U-net and segAN models were trained on the in-house training dataset and tested on the testing dataset for the six standard projections separately. Figure 4 illustrates the original 2D echo images (Gray), predicted segmentation images using the U-net and segAN models (U-net and segAN), ground truth images (Ground Truth), and level-set segmentation images (Level-set) on six standard projections. Green and red regions indicate LV cavity and LV myocardium, respectively, in the U-net, segAN, and ground truth images. The level-set method can only segment the LV cavity which is denoted by a red line in the Level-set images.

Figure 4 shows that both the U-net and segAN models achieve high performance on LV segmentation. Predicted segmentation images agree with ground truth images converted from expert's delineation for both the long-axis (Figure 4A,B,C) and short-axis (Figure 4D,E,F) projections. The segmentation difference between U-net and segAN is small and hard to see in the figure.

For the long-axis projections, the level-set method also shows a good agreement with the ground truth in the LV cavity region. However, the existence of valves, which has similar brightness to the LV wall, disturbs the exact segmentation near the base. For the short-axis projections,

the presence of the valve orifice, trabeculae, and papillary muscles restricts the LV cavity segmentation. The level-set method generated the curved circular segmentation results for the LV cavity.

*Table 3* shows the dice metric, precision, sensitivity and Hausdorff distance of two CNN models and level-set method calculated on the testing dataset. Metrics of six projections are calculated separately. Mean value of each metric was defined as:

$$M_{Mean} = \frac{M_{3ch} + M_{2ch} + M_{4ch} + M_{Base} + M_{Mid} + M_{Apex}}{6}$$
 [7]

where *M* is each metric (3-chamber: 3ch, 2- and 4-chamber: 2 and 4ch).

The mean dice metric of U-net model is 0.903 for the LV cavity and 0.787 for the LV myocardium. For the LV cavity, the mid and apex projections show smaller dice metric than others (P value:  $4.0206 \times 10^{-5}$ ). For the LV myocardium, the 2-chamber view shows the smallest dice metric value (P value:  $4.4151 \times 10^{-4}$ ).

The mean dice metric of segAN is 0.912 for the LV cavity and 0.801 for the LV myocardium. For the LV cavity, segAN results show similar values for specific projections (P value:  $1.3711\times10^{-4}$ ). For the LV myocardium, the 2-chamber view shows the smallest dice metric value (P value:  $4.7263\times10^{-5}$ ).

The mean dice metric of the level-set method is 0.821 for the LV cavity and mean Hausdorff distance is 3.64 mm. For the LV cavity, the mid and apex projections show smaller dice metrics than others (P value:  $4.1672 \times 10^{-8}$ ).

From all metrics, U-net and segAN generated better

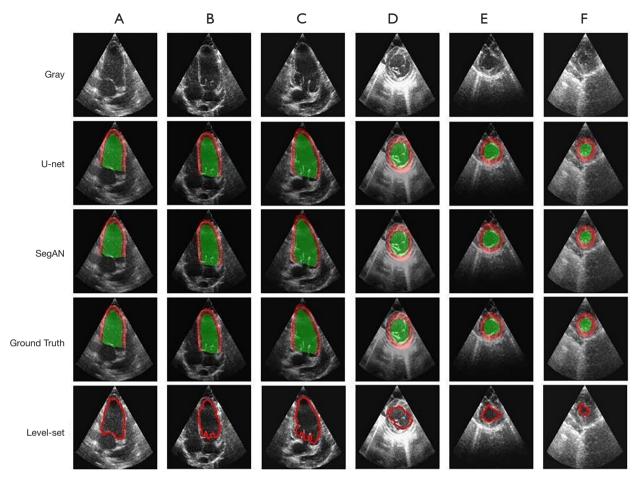


Figure 4 Illustration of the segmentation results for six standard projections. The first row shows original 2D echo images (Gray), second and third rows show predicted segmented result from U-net and segAN models (U-net and segAN), fourth row shows the ground truth images (Ground Truth), and the last row represents the segmentation results using level-set method (Level-set). Red region represents LV myocardium and green region represents LV cavity. Red line in the Level-set images denotes the LV cavity. Images are the one time instant of each projection's cardiac cycle. Each column shows long-axis (A) 3-chamber, (B) 4-chamber and (C) 2-chamber and short-axis (D) base, (E) mid and (F) apex projections.

results than the level-set method (P value <0.05). Especially, level-set method showed a lower performance on the short-axis projections than the CNN models. Both U-net and segAN models generated better results on LV cavity than LV myocardium (P value <0.05). The segmentation performance between U-net and segAN is not significant and different with each metrics. For the LV cavity, U-net generated better results on the precision and Hausdorff distance. However, segAN made better results on the dice metric and sensitivity.

Figure 5 shows the final segmentation results. After the resizing process, the LV in Figure 5 has the original heightwidth ratio and pixel-mm ratio. The videos generated by

these images became the input of the 3D reconstruction algorithm. Even though the LV cavity made better segmentation results than LV myocardium, segmented images of LV myocardium were used as the input of the reconstruction algorithm because the reconstruction algorithm only uses the inner boundary of LV.

# Worst segmentation case

Figure 6 shows the worst segmentation results using CNN models. Both 2-chamber and mid projections' images were segmented by U-net. The dice metrics of 2-chamber and mid projection images are 0.623 and 0.617, respectively.

Table 3 The comparison of metrics: Dice metric, precision, sensitivity and Hausdorff distance (HD) between the segAN, U-net, and level-set methods

Methods	Projections	Section	Dice	Precision	Sensitivity	HD (mm)
U-net	3-chamber	Cavity	0.920±0.017	0.902±0.044	0.940±0.023	2.96±0.24
		Myocardium	0.814±0.017	0.869±0.040	0.769±0.046	3.61±0.25
	2-chamber	Cavity	0.927±0.023	0.930±0.065	0.929±0.027	2.72±0.38
		Myocardium	0.715±0.031	0.818±0.040	0.640±0.056	4.23±0.29
	4-chamber	Cavity	0.900±0.011	0.889±0.028	0.913±0.020	3.18±0.41
		Myocardium	0.772±0.019	0.811±0.034	0.738±0.020	3.89±0.39
	Base	Cavity	0.931±0.037	0.917±0.083	0.954±0.054	2.20±0.26
		Myocardium	0.860±0.041	0.896±0.039	0.827±0.049	3.14±0.33
	Mid	Cavity	0.888±0.022	0.918±0.063	0.863±0.036	2.92±0.24
		Myocardium	0.761±0.079	0.751±0.151	0.791±0.035	4.19±0.57
	Apex	Cavity	0.854±0.040	0.928±0.109	0.811±0.093	2.26±0.38
		Myocardium	0.804±0.059	0.829±0.094	0.785±0.040	3.05±0.29
	Mean	Cavity	0.903	0.914	0.901	2.71
		Myocardium	0.787	0.829	0.758	3.68
SegAN	3-chamber	Cavity	0.915±0.014	0.903±0.033	0.929±0.025	3.57±0.71
		Myocardium	0.787±0.034	0.860±0.033	0.728±0.056	3.76±0.36
	2-chamber	Cavity	0.923±0.021	0.912±0.051	0.938±0.022	3.09±0.33
		Myocardium	0.697±0.032	0.816±0.036	0.611±0.048	4.44±0.28
	4-chamber	Cavity	0.914±0.016	0.894±0.040	0.936±0.015	3.29±0.49
		Myocardium	0.805±0.022	0.845±0.029	0.769±0.029	3.64±0.30
	Base	Cavity	0.917±0.039	0.891±0.088	0.954±0.053	2.27±0.31
		Myocardium	0.847±0.042	0.889±0.043	0.810±0.046	3.21±0.43
	Mid	Cavity	0.903±0.038	0.874±0.079	0.941±0.045	2.46±0.27
		Myocardium	0.799±0.102	0.758±0.162	0.865±0.043	3.64±0.77
	Apex	Cavity	0.901±0.072	0.894±0.142	0.930±0.060	2.27±0.40
		Myocardium	0.864±0.101	0.864±0.138	0.852±0.060	3.03±0.54
	Mean	Cavity	0.912	0.895	0.938	2.82
		Myocardium	0.801	0.842	0.772	3.62
Level-set	3-chamber	Cavity	0.857±0.048	0.775±0.090	0.968±0.032	3.61±0.57
	2-chamber	Cavity	0.896±0.022	0.907±0.049	0.890±0.056	4.02±0.54
	4-chamber	Cavity	0.885±0.012	0.549±0.057	0.931±0.053	3.88±0.41
	Base	Cavity	0.815±0.050	0.788±0.103	0.856±0.040	4.37±0.36
	Mid	Cavity	0.784±0.067	0.892±0.120	0.704±0.080	3.26±0.46
	Apex	Cavity	0.695±0.081	0.866±0.057	0.555±0.114	2.68±0.29
	Mean	Cavity	0.821	0.846	0.817	3.64

The values are mean  $\pm$  standard deviation.

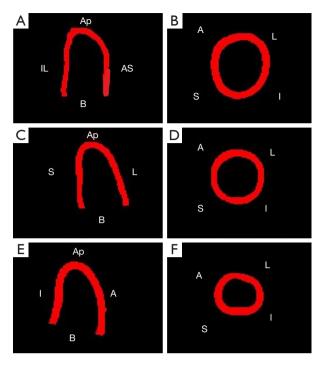
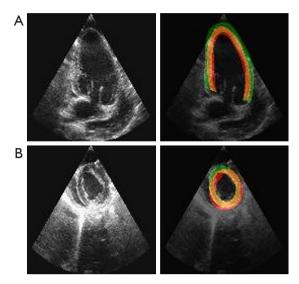


Figure 5 One-time instant of the LV segmented input videos clip using CNN for the 3D reconstruction algorithms. Longaxis (A) 3-chamber, (B) 4-chamber, and (C) 2-chamber and shortaxis (D) base, (E) mid, and (F) apex projections. A, anterior; AS, anteroseptal; Ap, apical; B, basal; I, interior; IL, inferolateral; L, lateral; S, septal; CNN, convolutional neural network.

On the 2-chamber image, segmented LV myocardium (green region) is thicker than the ground truth one. Most of the thicker part is located outside the LV cavity. Leftend part of the segmented myocardium was found to get out of the ground truth more than the right-end. In this case, the CNN model confused the white (bright) part with the myocardium. On the other hand, the segmented LV myocardium of mid projection image was thinner than the ground truth one. Left-upper part of the segmented myocardium was the most deviated part. Here, the CNN model confused the white part as the myocardium again.

#### 3D reconstruction result

Figure 5 shows the first frame images of input videos processed by the dynamic 3D reconstruction algorithm using CNN on segmentation. In this study, the benchmark to evaluate the reconstructed geometries' physiological parameters was the model reconstructed from ground truth images, which were delineated by experts. Two porcine LV



**Figure 6** Worst cases of the segmentation of LV myocardium. (A) 2-chamber projection (long-axis) and (B) mid projection (short-axis) cases. Red region represents LV myocardium from ground truth image and green region represents the LV myocardium segmented by U-net. LV, left ventricle.

geometries were reconstructed using test dataset images.

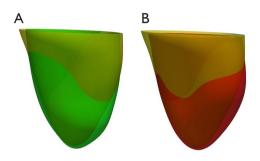
Table 4 reports the physiological parameters of reconstructed geometries from the ground truth, U-net segmented, and segAN segmented images. The average error of ejection pressure using the U-net model was 14.0%. The segAN model made 8.4% average error of ejection pressure. For the SV and CO, the U-net model made an average 6.4% error and segAN model made a 10.2% error. These errors are within the 20% error of previous methods using 2D echo compared to the gold standard MRI (44-46). These results showed that the U-net model generated reconstructed volumes, which represented the absolute volume value better than the segAN model. However, the segAN model made LV shapes, which represented the volume trend better than the U-net model.

Figure 7 shows the comparison between LV geometries. Yellow LV shape was reconstructed with ground truth images. Red and green LV shapes were reconstructed with segAN and U-net segmented images, respectively. Figure 8 illustrates the 3D reconstruction results over one cardiac cycle generated from segAN segmentation results. Each shape represents the specific time instances with the same time intervals during one cardiac cycle using a fixed apex position and the same point of view. Starting at the end-diastole, the model contracts during the systole and expands

Table 4 Physiological parameter comparison. GT denotes the geometry, which was reconstructed from ground truth images

Porcine	Parameter	GT	U-net	segAN
Case1	EF	0.37	0.33	0.36
	SV	29.5	29.8	32.4
	CO	2.18	2.21	2.39
Case2	EF	0.40	0.33	0.34
	SV	26.3	23.2	23.6
	CO	1.94	1.72	1.74

GT geometries performed as reference. EF, ejection pressure; SV, stroke volume (mL); CO, cardiac output (L/min).



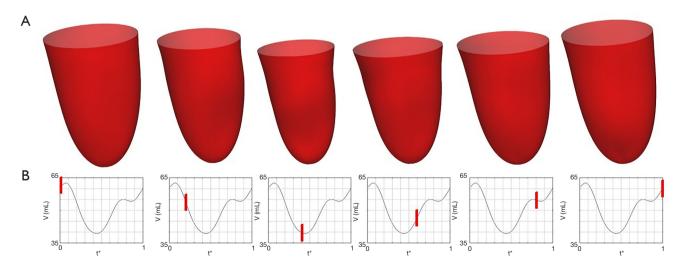
**Figure 7** LV 3D reconstructed geometry comparison. Yellow LV shape is reconstructed with ground truth images. (A) Green LV shape is reconstructed with U-net segmented images. (B) Red LV shape is reconstructed with segAN segmented images. LV, left ventricle.

during the diastole.

## Calculation time

U-net and segAN models were tested using NVIDIA GeForce GTX 1050 GPU. The level-set method was tested on the Intel<sup>®</sup> Core<sup>TM</sup> i7-7700HQ CPU. U-net took 53±2 seconds for segmenting the one cardiac cycle images, between 40 to 42 images, i.e., 1.3 seconds per image. The segAN model required average 104±8 seconds to segment the one cardiac cycle images, i.e., 2.51 seconds per image. The level-set method took 75±2 seconds for segmenting one image.

3D reconstruction algorithm was operated on the



**Figure 8** One cardiac cycle LV 3D reconstruction results. (A) Reconstruction shapes and (B) volume-time graphs of one cardiac cycle with red line which represents each shape's corresponding time instance. t\* represents the non-dimensional time.

Intel Xeon E5-2670 v2 CPU. It required approximately 49 seconds to reconstruct the geometry of one cardiac cycle.

Segmentation of the six projection images over one cardiac cycle and 3D reconstruction using U-net required 367 seconds on average.

#### **Discussion**

## In-house dataset analysis

Our in-house dataset is different from the datasets previously available for segmentation of LV in 2D echo. The previous datasets only consisted of one- or two-time instants, e.g., end-diastolic and end-systolic images, from each case. Those datasets had 60 to 450 different cases to support data diversity (4,24,25). However, our in-house dataset consisted of 30 to 40 images from one case and had 10 different cases. The segmentation results showed that, if the total number of images is enough, our new kind of dataset also achieves a high performance on the segmentation task. However, due to the small number of cases, the limitation of the current segmentation model is that it only worked well on similar 2D echo images, which are obtained from the same transducer. This problem can be solved by increasing the number of cases.

In the current study, six standard projections were trained individually based on in-house dataset. There is a clear structure difference, such as the number of chambers in long-axis projections, between six standard projections which are captured during the 2D echo image acquisition process. Therefore, each projection's images have similar arrangement of structures and shape of cardiac structures. For this reason, training individual projections showed a higher segmentation performance than training with all projections together. For example, base projection's LV cavity segmentation showed that the U-net model, which was trained on the base, mid, and apex images, generated 0.872 dice metric and 2.41 mm Hausdorff distance, whereas training with only the base images generated 0.931 Dice metric and 2.20 mm Hausdorff distance.

Our segmentation results show that the usage of 2D echo images can be extended with CNN models by removing segmentation restrictions. Currently, CNN models are actively used in the segmentation of MRI and CT cardiac images (15). Most of the LV MRI and CT datasets consist of dense short-axis images. CNN models achieve more than 0.9 on the dice loss and precision for these image segmentation task (17-19). Our study shows that the CNN

models also can achieve a similar accuracy on 2D echo projections. It suggests that if there is a large dataset for the segmentation, the data types, e.g., MRI, CT and echo, would not significantly affect the performance of the CNN models.

# Post-process analysis

CNN models' segmented results showed some unexpected island-like structures in the background. The post-process followed the segmentation task to remove these islandlike structures. The island-like structures caused a higher Hausdorff distance, which calculates the maximum distance between two contours, because most of the island-like structures were located on the edge of the background. However, the dice metric, precision, and sensitivity did not decrease a lot, because they occupied a small number of pixels compared to all of pixels of the LV cavity and myocardium. Table 2 represents the effect of the postprocess. Comparing the metrics before and after conducting the post-processing, the Hausdorff distance was decreased around 1.4% to 4.7%, while other metrics increased less than 1%. However, the metrices of LV myocardium were still worse than LV cavity's metrics after post-processing. There are two main reasons for such lower metric values. First, the total number of pixels were inherently different between the LV cavity and myocardium. LV cavity had a larger number of pixels which is advantageous for calculating the metrics. Second, current post-process method removed the isolated part whose diameter is less than the input value. This input value was chosen to be less than the LV myocardium thickness, because the segmented LV myocardium should not be affected by the post-process. For this reason, the island-like structures whose diameters were larger than the LV myocardium thickness were not removed by the current postprocessing. Other background removing methods, such as the region of interest technique, should be developed to increase the segmentation accuracy.

# Comparing U-net, segAN, and level-set methods

Figure 9 shows the comparison between the U-net and segAN models using the Tukey box of dice metric results. From these plots, U-net outperformed the segAN for the 2-chamber and base projections. In contrast, for the apex projection, segAN performed better than the U-net. For the other three projections, the superiority of one model is

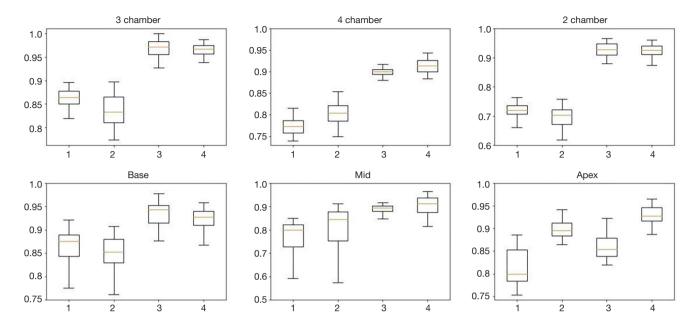


Figure 9 Tukey box plots computed from the dice metric. Each plot's numbers denote dice metric of 1: LV myocardium using U-net, 2: LV myocardium using segAN, 3: LV cavity using U-net, and 4: LV cavity using segAN. LV, left ventricle.

not clear. Based on the segmentation time, the segAN took twice time than the U-net on the same GPU.

Table 3 shows that the U-net and segAN models outperformed the level-set method on the LV segmentation task for the 2D echo images. The level-set method caused several specific problems. For the long-axis, the aortic and mitral valves, which were located between the LV and left atrium, disturbed the exact LV cavity segmentation. The seriousness of this problem changed over the cardiac cycle with opening and closing of the valves. The worst-case occurred when the valve was open. The level-set method could not distinguish between the LV and the left atrium. To get a reasonable breakpoint between the two structures, the total number of iterations should be carefully controlled by experts for the level-set, i.e., not fully automatic. For the short-axis, the existence of trabeculae and papillary muscle, which had similar brightness on 2D echo images, caused distorted segmentation results. However, CNN models generated segmentation results regardless of these problems on both long- and short-axis images. Furthermore, the CNN techniques were fully automatic. In contrast, the level-set method needed a manual starting box, which should be chosen carefully. Finally, the level-set method spent more calculation time than the machine learning models. The level-set method took almost 50 minutes to segment the images for one cardiac cycle.

#### Limitations

To make up for the insufficient datasets, transfer learning and augmentation techniques were employed. However, the different quality of the 2D echo images, e.g., sparse borders, variation in echo, and image noise, restricted the application of the trained models. When the model tries to segment different quality images, it generates non-robust segmentation results with incomplete segmentation lines and large island-like structures. Also, some 2D echo images depict cavities other than the LV. These limitations could be improved by training with a larger and diverse dataset.

The in-house dataset was obtained from open-chest pigs. As mentioned in the methods section, pig's cardiovascular anatomy closely replicates human cardiovascular anatomy and physiology (32) and open-chest is preferred as it allows reproduction of standardized echocardiographic projections which is not possible in closed-chest due to differences in chest configuration and intercostal space between pigs and humans (33). Open-chest echocardiographic scans do not include signal loss (attenuation) that would otherwise be caused by soft tissues within an intercostal imaging window during human transthoracic echocardiography. The avoided

signal loss is generally an advantage because attenuation artifacts do not compromise the experimental scans' quality, i.e., open-chest porcine echo has better quality than human echo. Nevertheless, we have shown that the method works on humans using the CAMUS dataset. In addition, the method was pre-trained (transfer learning) using the CAMAS dataset for two of the standard cross-sections (long-axis). Therefore, the methods used in this study can also be applied to humans.

#### Future work

For the future work, the automatic delineation method needs to be extended to segment the valves, which is not a trivial task because only small numbers of pixels represent the valves in standard 2D echo projections thus making it hard to distinguish them from LV ends in long-axis and tendineae in short-axis projections. Nevertheless, such capability enables creating a closed geometry that can be used for image-based computational fluid dynamics (CFD) simulations (47,48) and fluid-structure interaction simulations (49).

Here, we have used several pigs for training and testing. The study is designed to facilitate the translation to clinical data by using standard cross-sections for echo acquisition. In fact, we showed the method could successfully segment the human echo data in CAMUS dataset. Nevertheless, the application of the method to real clinical data is left for future.

# **Conclusions**

In this study, a dynamic 3D LV model is automatically generated from six standard gray-scale 2D echo images. This process consists of automatic segmentation of the 2D echo images followed by 3D reconstruction of the segments. The deep learning (CNN) segmentation method facilitates a more rapid and consistent 3D reconstruction model from 2D echo images.

Two CNN models, U-net and segAN, have been employed to segment the LV from 2D echo images automatically. We trained the CNN models with an inhouse dataset, which uniquely consisted of three long-axis and three short-axis LV projections over one cardiac cycle. Transfer learning with a CAMUS dataset (for two of long-axis projections) and augmentation methods were used to compensate for a relatively small amount of training data.

The assessment metrics and segmented images show that

both U-net and segAN achieve high performance on LV segmentation. U-net mean average 0.903 and 0.787 dice metrics for the LV cavity and myocardium, respectively. SegAN achieved mean 0.912 and 0.801 dice metrics for the LV cavity and myocardium, respectively. In addition, segmentation results were evaluated by using a dynamic 3D reconstruction algorithm.

A fully automated pipeline, from 2D echo images to a 3D geometric geometry of LV, was generated by combining the machine learning segmentation technique and a 3D reconstruction algorithm. This pipeline can help the rapid and hi-fidelity analysis of echo images in clinical applications to visualize 3D LV wall motion and evaluate global function. Our methodology offers a new direction in patient-specific LV modeling and simulation to augment the experts' effort and simultaneously enhance the accuracy of their analysis

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#### **Footnote**

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at http://dx.doi.org/10.21037/qims-20-745). Dr. MB reports grants from National Institutes of Health, during the conduct of the study. The other authors have no conflicts of interest to declare.

Ethical Statement: The Institutional Animal Care and Use Committee of the Mayo Clinic approved the research protocol, in compliance with the national guidelines, the Guide for the Care and Use of Laboratory Animals, 8th Edition, 2011. The animal care program for the Mayo Clinic Arizona campus, where the studies were conducted, is AAALAC accredited and has an approved Animal Welfare Assurance through the Office of Laboratory Animal Welfare (OLAW).

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