

# Detection and recognition of ultrasound breast nodules based on semi-supervised deep learning: a powerful alternative strategy

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**Background:** The successful recognition of benign and malignant breast nodules using ultrasound images is based mainly on supervised learning that requires a large number of labeled images. However, because high-quality labeling is expensive and time-consuming, we hypothesized that semi-supervised learning could provide a low-cost and powerful alternative approach. This study aimed to develop an accurate semi-supervised recognition method and compared its performance with supervised methods and sonographers.

**Methods:** The faster region-based convolutional neural network was used for nodule detection from ultrasound images. A semi-supervised classifier based on the mean teacher model was proposed to recognize benign and malignant nodule images. The general performance of the proposed method on two datasets (8,966 nodules) was reported.

**Results:** The detection accuracy was 0.88±0.03 and 0.86±0.02, respectively, on two testing sets (1,350 and 2,220 nodules). When 800 labeled training nodules were available, the proposed semi-supervised model plus 4,396 unlabeled nodules performed better than the supervised learning model (area under the curve (AUC): 0.934±0.026 vs. 0.83±0.050; 0.916±0.022 vs. 0.815±0.049). The performance of the semi-supervised model trained on 800 labeled and 4,396 unlabeled nodules was close to that of the supervised learning model trained on a massive number of labeled nodules (n=5,196) (AUC: 0.934±0.026 vs. 0.952±0.027; 0.916±0.022 vs. 0.918±0.017). Moreover, the semi-supervised model was better than the average accuracy of five human sonographers (AUC: 0.922 vs. 0.889).

**Conclusions:** The semi-supervised model can achieve excellent performance for nodule recognition and be useful for medical sciences. The method reduced the number of labeled images required for training, thus significantly alleviating the difficulty in data preparation of medical artificial intelligence.

Keywords: Deep learning; recognition; semi-supervised learning; ultrasound breast nodules

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## Introduction

Breast cancer is one of the most common cancers in women worldwide and alone accounts for 30% of all new cancer cases in women (1,2). The incidence rates of breast cancer increased from 2006 to 2015 by approximately 0.3–0.4% per year among non-Hispanic white and Hispanic women and by 1.8% per year among Asian/Pacific Islander women. From 1990 to 2016, the mortality rate of breast cancer in

the USA decreased from approximately 30% to 20%, and the decline in breast cancer mortality over the past three decades is primarily due to early detection and treatment (1).

Although the pathological examination is considered the gold standard for breast cancer, it is inefficient and inconvenient (3). X-ray mammography is recommended for women, beginning at the age of 40 years (4). However, mammographic density is associated with a higher risk of breast cancer (5), and more than 50% of women have dense breast tissue (6,7). X-ray mammography's sensitivity is reduced to 57-71% for women with dense breast tissue (8). Personalized breast cancer screening has been proposed, with tiered use of different imaging modalities and techniques (9). Further studies may prove that ultrasonographic breast screening is efficient and beneficial, especially for women with dense breast tissue (10).

The challenges in breast ultrasound stem from the complexity of images, including noise, artifacts, and low contrast. Manual analysis by sonographers is time-consuming, subjective, and can lead to unintended misdiagnoses due to fatigue (10). Thus, computer-aided detection or artificial intelligence (AI) is essential for improving both the false positives and false negatives of screening breast ultrasound and reducing the biopsy rate (11).

Computer-aided diagnosis of breast cancer based on traditional image analysis has been studied for decades (12); for example, fractal dimension estimation (13), computation of the area of breast lesions based on region growth (14), and classification of breast tumors (15). However, these traditional methods lack robustness because they rely on hand-crafted features. Recently, deep learning powered by advances in large labeled datasets and computing capability has achieved revolutionary breakthroughs in ultrasound image analysis, including classification (16), image quality assessment (17), standard plane detection (18), localization (19), image segmentation (20) and so on.

In breast ultrasound studies, Byra *et al.* (21) and Yap *et al.* (22) proposed a convolutional neural network for breast lesion detection, and Cheng *et al.* applied the denoising autoencoders for classifying breast lesions in ultrasound images (23). Han *et al.* also proposed a deep learning framework to differentiate malignant and benign nodules (24). Recently, Qi *et al.* proposed a state-of-the-art method with multiscale kernels and skip connections to diagnose breast nodules on ultrasonography images (25).

Deep learning has achieved high accuracy, but the methods above are all based on supervised learning, which requires a large number of labeled images (26). However, the reality in clinical practice is that only a small number of labeled images and a larger number of unlabeled images are available. Data annotation always requires much time and careful preparation, thus greatly increasing the time and economic costs. More importantly, it is often necessary to label the data differently according to the specific medical application, further resulting in less labeled data. Therefore, reducing the dependence on labeled data of thousands or millions of images and developing medical AI more efficiently are still difficult (27).

For example, more efficient learning methods, semisupervised learning not requiring a lot of labeled data are assumed to provide a low-cost and powerful alternative approach (27). However, whether semi-supervised methods can be applied to medical images on a large scale is unclear. If semi-supervised learning is as accurate as supervised learning in the medical domain, then medical AI trained by semi-supervised learning may be more economical and faster in development by reducing the amount of data annotations needed. Further exploration is needed to determine whether semi-supervised learning using a small number of labeled images and a large number of unlabeled images can achieve satisfactory performance in medical image analysis, such as based on semi-supervised detection (28), magnetic resonance imaging image segmentation (29,30), data augmentation (31), and histology image classification (32).

In this study, two datasets comprising 8,966 breast nodules from two hospitals were used to confirm that the semi-supervised method could be applied to ultrasound images with large noise issues. The proposed semisupervised method's performance was compared with that of an existing supervised learning method and five human sonographers. The proposed method had two stages. The first was locating the bounding box of nodules from the ultrasound images using a faster region-based convolutional neural network (Faster R-CNN) (33), and the located image was then inputted to a semi-supervised classifier called the mean teacher model (34) for recognition. The main contributions were as follows:

- (I) An accurate semi-supervised recognition method for breast nodules was developed, and its performance was compared with that of supervised methods and sonographers;
- (II) The study proved that the semi-supervised method and 4,396 unlabeled nodules could always achieve higher performance than the supervised method when the number of labeled images (800 labeled nodules) was insufficient. Moreover, the

Table 1 Histopathologic findings of breast nodules in Dataset-A and Dataset-B

	No. of	nodules
Nodule type on histopathologic examination	Dataset-A	Dataset-B
Benign	3,937 (100%)	929 (100%)
Fibroadenoma	2,710 (68.8%)	545 (58.7%)
Adenosis	596 (15.1%)	198 (21.3%)
Papilloma	472 (12%)	130 (14%)
Other benign	159 (4%)	56 (6%)
Malignant	2,809 (100%)	1,291 (100%)
DCIS	112 (4%)	23 (1.8%)
NOS invasive carcinoma		
Grade 1	126 (4.5%)	121 (9.4%)
Grade 2	1,307 (46.5%)	633 (49%)
Grade 3	327 (11.6%)	155 (12%)
Grade not recorded	800 (28.5%)	266 (20.6%)
Invasive lobular carcinoma	56 (2%)	52 (4%)
Mucinous carcinoma	50 (1.8%)	0 (0%)
Other malignant	31 (1.1%)	41 (3.2%)
Total	6,746	2,220

Other benign: inflammation, adenoma, etc. Other malignant: malignant phyllodes tumor, lymphoma, metaplastic carcinoma, etc. DCIS, ductal carcinoma *in situ*; NOS, no special type.

semi-supervised method was comparable to the supervised learning method trained on a massive labeled dataset (5,196 labeled nodules);

(III) The semi-supervised method might also develop an expert-level recognition system for benign and malignant nodules, thus significantly reducing the cost of data annotation and demonstrated the potential of semi-supervised deep learning in medical applications.

## Methods

#### Dataset

The dataset was collected from two hospitals: The Third Affiliated Hospital of Xi'an Jiaotong University (Dataset-A) and The First Affiliated Hospital of Xi'an Jiaotong University (Dataset-B). The institutional review boards approved the two hospital's study, and a general research authorization was obtained, allowing for retrospective reviews. From the hospital information systems, technicians randomly selected ultrasound images of patients who underwent ultrasound breast examination in the two hospitals and had a pathological diagnosis during 2010–2019. The number of images from each week did not exceed 50 to ensure the randomness of the data, and the images of 9,012 nodules were obtained. The technicians did not participate in the follow-up study. The histopathological findings are shown in *Table 1*.

## Dataset review and annotation

Two sonographers reviewed Dataset-A and Dataset-B with 10 years of clinical experience. They manually labeled the location of the breast nodule with bounding boxes and marked them as benign (non-cancer) or malignant (cancer) using a label software, LabelImg (35). The intersection of their labeled bounding boxes was used for the nodule's labeled location, and the benign or malignant status of the nodule was obtained from pathological reports for type annotations. In total, 4,100 labeled malignant nodules and 4,866 labeled benign nodules (non-cancer) were included in

Dataset -	Malig	Malignant		Benign		Total		
	Participants	Nodules	Participants	Nodules	Participants	Nodules	_	
Dataset-A	2,809	2,809	3,937	3,937	6,746	6,746	_	
Dataset-B	1,291	1,291	929	929	2,220	2,220		
Total	4,100	4,100	4,866	4,866	8,966	8,966		

Table 2 Dataset-A and Dataset-B of breast nodules



**Figure 1** Network configurations of the Faster R-CNN, which was used for image preprocessing to find the breast nodule region in the ultrasound image. The convolutional layer is denoted as conv, kernel size, and the number of channels/stride size. The max. pooling layer is denoted as maxpool and kernel size. The fully connection layer is denoted as FC and its dimensions. The ReLU and dropout layer after the convolutional layers are not shown for brevity, but can be obtained from references (33) and (36).

the two datasets, and 46 nodules were removed because of poor image quality (*Table 2*).

## Proposed network framework

The proposed network framework consisted of two parts: a detection network for breast nodules and a classifier network for benign and malignant nodules. Considering that nodules exist only in a small section of the ultrasound images, the Faster R-CNN was first used to detect the nodules' bounding boxes. The Faster R-CNN included a feature extractor network and a regional proposal network (RPN). The network of 16 layers from the Visual Geometry Group (VGG16) was selected as the feature extractor because of its excellent feature learning performance (36). The original ultrasound image  $(>1,000\times1,000$  pixels) was input to VGG16, and then the RPN was used to generate possible bounding boxes of the nodules (*Figure 1*).

The bounding box's nodule image was scaled to  $128 \times 128$  pixels and input to the subsequent classifier network. The classifier network was composed of a multilayer convolutional neural network including three consecutive modules, where each module included some convolution layers, a maximum pooling layer, and a dropout layer, as shown in *Figure 2*. The network output included benign and malignant categories.

SSL and SL were used to represent semi-supervised learning and supervised learning, respectively. Three versions of the classifier network (*Figure 2*) were obtained based on different training datasets and learning methods (SSL and SL),



Figure 2 Network configurations of the classifier. The dropout layer is denoted as dropout and probability setting. The kernel size of the average pooling layer is one-eighth the size of the feature map from the previous layer. The output of the average pooling layer was flattened and then connected to the fully connected layer for output.

Table 3 Training,	validation, and	testing sets	for	faster R-CNN
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Training, validation, and testing sets	Number
Training set	
Malignant	400
Benign	400
Validation set	
Malignant	100
Benign	100
Dataset-A (test)	
Malignant	562
Benign	788
Dataset-B	
Malignant	1,291
Benign	929

including two SL versions (SL-1 and SL-2) and an SSL version. Dataset-A was randomly divided into a training set, validation set, and testing set (*Tables 3,4*). *Table 4* lists the 3 cases of the classifiers' training, including a small number of labeled nodule images, a small number of nodule images plus a large number of unlabeled images, and a large number of labeled nodule images. The 20% of the data in Dataset-A and all in Dataset-B (as an independent testing set) were used for testing.

The SL-1 model was trained on a small number of labeled nodule images (15%, 1,000) for SL. The labeled nodules were divided into a training set (800 nodules) and a validation set (200 nodules). However, the SL-2 model was trained on a large number of labeled nodule images (80%, 5,396 nodules), which were also divided into a training set (n=5,196) and a validation set (n=200). The SSL model was the proposed semi-supervised model trained on the same training set (n=800) and validation set (n=200) with SL-1. However, the remaining nodules (4,396 nodules) were also used for SSL, but their labels were ignored (as unlabeled).

The SSL model was trained using the mean teacher learning strategy (34), including a student network and a teacher network (Figure 3). The teacher network was considered as the SSL model to recognize breast nodules. The two networks had the same network architecture as the classifier network (SL-1 and SL-2). The teacher network provided the pseudo-labels for unlabeled nodules, which were used to calculate mean square error (consistency cost) with predicted labels by the student network. The cross-entropy between the predicted labels by the student network and the real labels was calculated as the classification cost. The sum of consistency cost and classification cost, as the total cost, was used to train the student network in each iteration. However, the weights  $\theta'_t$  of teacher network at training step t were updated by way of the exponential moving average. We defined  $\theta'_{t} = \alpha \theta'_{t-1} + (1 - \alpha) \theta_{t}$ , where  $\theta_{t}$  was the weights of the student network at training step t, and  $\alpha$  was the smoothing coefficient.

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Datasets/models	SSL	SL-1	SL-2
Dataset-A (6,746 nodules)			
Training set			
Malignant	400	400	2,147
Benign	400	400	3,049
Total	800/12%	800/12%	5,196/77%
Expanded training set (not using labels)			
Malignant	1,747	-	_
Benign	2,649	-	_
Total	4,396/65%	-	_
Validation set			
Malignant	100	100	100
Benign	100	100	100
Total	200/3%	200/3%	200/3%
Testing set			
Malignant	562	562	562
Benign	788	788	788
Total	1,350/20%	1,350/20%	1,350/20%
Dataset-B (independent testing set, 2,220 nodules)			
Malignant	1,291	1,291	1,291
Benign	929	929	929
Total	2,220	2,220	2,220

 Table 4 Training, validation and testing sets for classifiers

## Faster R-CNN fine-tuning for ultrasound images

The training, validation, and testing sets of the Faster R-CNN are shown in *Table 3*. The bounding boxes of 800 labeled nodules were used to train the Faster R-CNN (these nodules came from the training set in Dataset-A, shown in *Table 4*). The network was initialized using a Faster R-CNN pre-trained model, which was trained on ImageNet, downloaded from the link on the Faster R-CNN website (37), and then fine-tuned by ultrasound images.

The ultrasound images in the validation set were used for hyperparameter selection. We followed the tuning process to achieve the generalized performance and tried some different parameter values, including learning rate (0.01, 0.001, 0.0005), batch size (16, 64, 128), and L2 decay (0.001, 0.0005, 0.000). Other parameter values were consistent with the recently published Faster R-CNN code. The hyperparameter settings with the highest average similarity on the validation set were selected.

The hyperparameters are listed in *Table 5*. In order to prevent the model from overfitting early, the training was divided into two stages. Firstly, the feature extraction layers of the VGG16 network were frozen, while the ultrasound images updated the weights of the RPN. After 5,000 iterations, the VGG16 was allowed to be updated. The initial learning rate was set to 0.001, which decreased exponentially. The batch size was 128, the optimizer was a gradient descent optimizer, momentum was 0.9, and the number of iterations was 70,000.

## Classifier network training

The classifier networks (SL-1 and SL-2 models) performed



Figure 3 Flow chart of mean teacher strategy, where the two networks are trained simultaneously. The student and teacher networks have the same network structure, as shown in *Figure 2*.

Table 5 Hyperparameters used in Faster R-CNN

Hyperparameters	Value
Learning rate	0.001
Learning rate decay	Exponential/step size 50,000
Optimizer	Gradient descent
Momentum	0.9
Batch size	128
L2 decay	5e-4
Total number of iterations	70,000
Gamma	0.1
Proposal method	gt
RPN batch size	256

SL using 800 and 5,196 labeled nodules, respectively, while the SSL model used 800 labeled and 4,396 unlabeled nodules, as shown in *Table 4*. In SSL, the teacher network was initialized with the student network. By closing the teacher network pipeline for calculating pseudo-labels with unlabeled data, supervised training can be achieved. The 200 labeled nodules in the validation set were used for the hyperparameter selection.

The hyperparameters near the hyperparameter settings provided by Tarvainen (34,38) were used to obtain satisfactory results in the validation set. Data augmentation such as image flipping with uniform distribution (0.0, 2.0) and random translation with scale 2 was used. Some different parameter values were tried, including learning rate (0.005, 0.003, 0.001), batch size (64.0, 128.0), iteration number (40,000.0, 100,000.0), ramp-up length (5,000.0, 25,000.0, Table 6 Hyperparameters used in mean teacher model

** *	
Hyperparameters	Value
Max. learning rate	0.003
Optimizer	Adam
Batch size	128
Total number of iterations	100,000
Ramp-up length	25,000
Ramp-down length	40,000
Standard deviation of input noise	0.15
Student dropout probability	0.5
Teacher dropout probability	0.5
Weighting of supervised and unsupervised loss	[1, 1]
Smoothing coefficient of exponential moving average	0.99

40,000.0), and ramp-down length (5,000.0, 25,000.0, 40,000.0). Other parameters were consistent with the previously published study (34), in which the convolutional kernels were initialized by Gaussian distribution with a mean of 0 and a standard deviation of 0.05.

The optimal parameters with the highest recognition accuracy in the validation set were selected. The parameter values are listed in *Table 6*. The batch size was set to 128. The maximum learning rate was set to 0.003, and the rampup and down strategies were used in training. The learning rate slowly grew to the maximum, was maintained, and then started to decline. The change in the learning rate speed was determined by the parameters: ramp-up length, ramp-down length, the total number of iterations, and current training



Figure 4 Real-time cost curves (A) and accuracy curves (B) during the training process of the semi-supervised learning model. Every 10 data points on these curves were averaged for optimal display.

step (38). The total number of iterations was initially set to 100,000, but the training would be stopped early if the validation set's accuracy could not be improved. In SSL, the same proportion of labeled and unlabeled nodules was maintained in each batch because of the imbalance between the labeled and unlabeled images. The student network weights were updated in each step, but the teacher network used the exponential moving average to update the weights, and the smoothing coefficient was set to 0.99. The training curves of the SSL model are shown in *Figure 4*.

These codes were implemented in Python version 3.6.9 (39) and Tensorflow version 1.15.0 (40) and were trained and tested on the servers with an NVIDIA Tesla V00 Graphic Processing Unit, 128 GB memory, and two Intel Xeon Gold 5122 central processing units.

## Results

The 20% data of Dataset-A and all the data of Dataset-B were used for performance evaluation. The results included the detection accuracy of nodule location, and sensitivity [true positive/(true positive + false negative)], specificity [true negative/(true negative + false positive)], accuracy [(true positive + true negative)/all samples], and area under the curve (AUC) of the recognition of benign or malignant status.

## Detecting the position of breast nodules

The average similarity criterion (ASC) was used to evaluate the detection accuracy, which was defined as  $(R_1 \cap R_2)/(R_1 \cup R_2)$ , where  $R_1$  was the location of the

bounding box given by the sonographers, but  $R_2$  was the bounding box predicted by Faster R-CNN. The ASC was the intersection of  $R_1$  and  $R_2$ , divided by their union. The mean and standard deviation of ASC on the testing sets in Dataset-A (testing set, 1,350) and Dataset-B (2,220) were  $0.88\pm0.03$  and  $0.86\pm0.02$ , respectively.

The mean value of ASC on the two testing sets was higher than 0.85, indicating that the detection network locations were very consistent with the locations given by the sonographers. *Figure* 5 shows some bounding boxes of nodules, where the yellow bounding box represents the box given by the sonographers, and the red represents the box predicted by Faster R-CNN, which could achieve accurate detection of breast nodules in ultrasound images. This detection network was also effective for normal breast images, as shown in *Figure 6*.

#### Recognition of benign or malignant type nodules

The training set, validation set, and the testing set from Dataset-A were repeatedly and randomly allocated 10 times to estimate the statistics of classifier networks' performance according to the proportions shown in *Table 4*. Dataset-B was used for independent testing to evaluate the generalization ability of classification accuracy across multiple medical centers.

The SSL, SL-1 and SL-2 models in two testing sets shown in *Table 4* were tested, as shown in *Table 7*. The SSL model used the teacher network of mean teacher model as the recognition model, which had the same network structure as the SL-1 and SL-2 models. When 800 labeled



Figure 5 Bounding boxes of breast nodules in some representative ultrasound images. The surrounding pixels in the images were removed for optimal display.



**Figure 6** Breast nodule detection on some normal breast images. The upper row shows three normal breast images without breast nodules, and the lower row shows the detection results, where no bounding box of the breast nodules was found using Faster R-CNN.

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Table 7 Area under the curve and	d 95% confid	lence intervals of	f two testing sets
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Model	Dataset-A (test)	Dataset-B	Both sets	Significance level <sup>†</sup>
SSL	0.934±0.026	0.916±0.022	0.925±0.032	P<10 <sup>-5</sup>
SL-1	0.83±0.050	0.815±0.049	0.822±0.052	
SSL	0.934±0.026	0.916±0.022	0.925±0.032	P=0.11
SL-2	0.952±0.027	0.918±0.017	0.934±0.044	

<sup>†</sup>, Wilcoxon signed-rank test. SL, supervised learning; SSL, semi-supervised learning.



**Figure 7** Area under the curve (AUC) comparison of sonographers A–E, human average and the SSL model. The SSL model with median AUC among the 10 models was used for the comparisons. The AUC of the SSL model was 0.922, and ranked second. Refer to *Table 8* for the sensitivity, specificity, and accuracy. SSL: semi-supervised learning.

nodules were used for supervised training, the AUC of SL-1 was  $0.83\pm0.050$ , making it difficult to achieve satisfactory clinical practice performance. In contrast, when a large number of unlabeled nodules (4,396.0) were added to SSL for semi-supervised training, the AUC of the SSL model was significantly increased to  $0.934\pm0.026$ . Moreover, the SSL model's performance was comparable to that of the SL-2 model trained on 5,196 labeled nodules (0.934±0.026 *vs.* 0.952±0.027).

The tests on Dataset-B are shown in *Table* 7, demonstrating whether the conclusions above were still applicable to

the independent testing set. The performance of the SSL model was better than that of the SL-1 model ( $0.916\pm0.022$  vs.  $0.815\pm0.049$ ) and close to that of the SL-2 model ( $0.916\pm0.022$  vs.  $0.918\pm0.017$ ). The generalization performance of the SSL model across different datasets was also maintained.

The results showed that when 4,396 unlabeled nodules were added for training, the SSL achieved a significant improvement in performance over the SL-1 model without using unlabeled data ( $P<10^{-5}$ ). Moreover, no significant difference (P=0.11) was found between SSL using a small number of labeled nodules (800.0) plus a larger number of unlabeled nodules (4,396.0), and the SL-2 model using a massive set of labeled nodules (5,196.0).

#### Human-AI comparison

Five sonographers (A–E) were recruited to evaluate the performance of SSL in clinical applications, and their years of clinical experience were 3–15 (A: 3 years, B: 4 years, C: 7 years, D: 10 years, and E: 15 years). They independently diagnosed 2,220 breast nodules from Dataset-B.

The AUCs of the five human sonographers, average AUC, and SSL were ranked. The AUC of SSL was 0.922, ranked as second; it was lower only than that of sonographer D. The average AUC of the five sonographers was 0.889, indicating that the diagnostic ability of SSL was better than the average ability of the human sonographers. The SSL could achieve excellent accuracy and was practically useful for breast cancer diagnosis (*Figure 7, Table 8*).

## Comparison with related studies

The SSL was compared with three previous deep learning methods of breast ultrasound analysis; the results are shown in *Table 9*: SSL performance was comparable to that of previous SL methods.

Testing SSI performance		Sonographers					
	SSL model -	А	В	С	D	E	Average
Sensitivity	0.847	0.765	0.732	0.798	0.853	0.825	0.795
Specificity	0.884	0.724	0.794	0.824	0.895	0.805	0.808
Accuracy	0.859	0.747	0.757	0.809	0.87	0.816	0.799

Table 8 Comparison of five sonographers and the SSL model for Dataset-B

SSL, semi-supervised learning.

#### Table 9 Comparison of present study with some related works

Method	l a anninan na atha al	Testin	g set	Independent testing set	
	Learning method -	No. of samples	AUC	No. of samples	AUC
Han <i>et al.</i> (24)	SL	829	0.9	-	-
Cheng et al. (23)	SL	140	0.896±0.039	-	-
Mt-Net (25)	SL	1,359	0.979–0.982	-	-
Sn-Net (25)	SL	1,359	0.928-0.936	-	-
SSL model	SSL	1,350	0.934±0.026	2,220	0.916±0.022

The method (25) included two networks, in which Mt-Net and Sn-Net were used to classify malignant tumors and nodules individually. SL, supervised learning; SSL, semi-supervised learning.

## Discussion

Ultrasound is an important screening method for breast cancer, but accurate diagnosis of breast ultrasonographic images requires years of training, and the challenges have never been overcome due to noise, artifacts, and low contrast in images (10). Computer-assisted systems are expected to provide a powerful approach to reducing the high rates of false positives and false negatives of breast cancer (11).

Deep learning can accurately classify breast nodules, with an AUC even exceeding 0.9, reaching the sonographers' level (22-25). From a methodological point of view, almost all successful methods currently depend on SL, requiring thousands or more of nodule images and pathological results to ensure the convergence of neural networks. Data annotation aims to associate the samples with the ground truth, thus providing an optimization direction for SL. A huge number of images and reports must be reviewed one by one, followed by sonographers' manual labeling. Moreover, it is more common clinically that many samples do not have ground truth, such as prospective studies (27). Many patients undergo breast ultrasound but not a pathological examination.

The data preparation and annotations have become

one of the most demanding tasks in medical deep learning systems, not just for breast ultrasound. How to efficiently prepare and use medical data is an urgent issue (27). Recently, methods requiring less labeled data have gained attention, such as SSL (29-32).

Some studies have proven that when labeled data is limited, SSL can achieve good results in some medical images (29-32). However, this conclusion has not been evaluated on a large scale, especially for medical images with large amounts of noise, artifacts, and low contrast, for example, breast ultrasound. Whether unlabeled data can improve the recognition accuracy in breast nodules is unclear.

This current study proved SSL performance for a small number of labeled nodules was close to that of SL in massive datasets of labeled nodules. The SSL model trained on 800 labeled nodules and 4,396 unlabeled nodules might be as good as the SL-2 model trained on 5,196 labeled nodules. Moreover, SSL was also comparable to some previous studies of SL on breast ultrasound. Also, we compared the diagnostic accuracy of the SSL model and five sonographers. The SSL model surpassed four of the five sonographers and ranked second, revealing the feasibility of building an excellent expert-level system based on SSL.

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SSL might not need as many labeled breast nodules as for previous methods based on SL. The main highlight of the present study was proposing a semi-supervised breast nodule recognition method, which proved that recognition accuracy on large, noisy ultrasound images could be achieved even if the number of labeled nodules was significantly reduced.

The proposed method may also be extended to other medical imaging techniques with better quality images and a higher signal-to-noise ratio than ultrasound images. This study confirmed that SSL has great potential for clinical practice lacking labeled data. The existing SL can be replaced with SSL to alleviate data preparation difficulty in medical computer-assisted systems significantly.

## Conclusions

This study proposed a detection and recognition method for breast nodules based on SSL, which was trained on a small amount of labeled data. The proposed method's performance was as good as that of SL trained on a large number of nodules and was better than the accuracy of four out of five sonographers. The study revealed the application prospects of SSL in the medical imaging domain, greatly reducing the cost and time involved in current medical data annotation. Future studies should aim to reduce the amount of data annotations further and achieve an unsupervised learning method that does not require any annotations.

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## Footnote

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*Ethical Statement*: The study was approved by the Institutional Review Board of the Third Affiliated Hospital of Xi'an Jiaotong University, and a general research authorization was obtained allowing for retrospective reviews. Informed consent was waived by the Institutional Review Board.

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