

### Sarcopenia defined by psoas muscle index independently predicts long-term survival after living donor liver transplantation in male recipients

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**Background:** The effect of sarcopenia on long-term outcomes in recipients after living donor liver transplantation (LDLT), including overall survival and hepatocellular carcinoma (HCC) recurrence, remains unclear, especially in China.

**Methods:** From 2009 to 2015, 117 adult patients underwent LDLT in our center. In all, 82 patients who had computed tomography images reaching the third lumbar vertebra level within 1 month of LDLT were included; 70 male patients were included in the final analysis after excluding 12 female patients because of poor performance of the calculated cutoff value. Sarcopenia was defined according to the psoas muscle index (PMI) cutoff value, which was calculated based on dynamic time-dependent outcomes using X-tile software. Cox proportional hazards models were used to assess multivariate-adjusted hazards ratios (HRs) to seek potential correlations between sarcopenia and posttransplant outcomes.

**Results:** According to the cutoff value of PMI ( $6.25 \text{ cm}^2/\text{m}^2$ ), 38 patients (54.3%) were diagnosed with sarcopenia. After an average of 63.3 months of follow-up, 21 patients died after LDLT, 16 in the sarcopenia group and 5 in the non-sarcopenia group, respectively. Sarcopenia was identified as being significantly associated with worse posttransplant overall survival in multivariate analysis, resulting in an HR of 3.22 [95% confidence interval (CI), 1.15–8.98]. Among the 50 recipients with HCC, sarcopenia was significantly associated with HCC recurrence in univariate analysis (HR 2.87, 95% CI, 1.06–7.80) but was not detected as an independent risk factor of HCC recurrence in multivariate analysis, although a trend (tendency)towards significance was observed (HR 2.60, 95% CI, 0.95–7.10; P=0.062).

**Conclusions:** Sarcopenia defined by PMI is a feasible and reliable independent predictor of posttransplant overall survival in male LDLT candidates. However, its correlation with posttransplant HCC recurrence remains uncertain.

Keywords: Sarcopenia; psoas muscle index (PMI); cutoff value; living donor liver transplantation (LDLT)

Submitted Mar 21, 2021. Accepted for publication Jun 25, 2021. doi: 10.21037/qims-21-314 View this article at: https://dx.doi.org/10.21037/qims-21-314

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#### Introduction

Liver transplantation (LT) is regarded as the only potential curative therapy for end-stage liver disease and selected liver cancers. However, the severe shortage of donor livers leads to an unsatisfactory mortality of patients on waiting lists. Living donor liver transplantation (LDLT) has flourished over the past decades since its introduction by Raia *et al.* (1) in 1989.

In the same year when LDLT was reported, sarcopenia was first introduced by Rosenberg (2) to describe agerelated loss of skeletal muscle. It was later defined as the presence of both low muscle mass and function (strength or performance) by the European Working Group on Sarcopenia in Older People (EWGSOP) (3). Although mainly age related, sarcopenia not surprisingly is also seen in patients with liver disease, especially in end-stage liver disease, as the liver is involved in most metabolic process of nutrition absorption and energy extraction (4). In addition, patients with chronic liver disease generally suffer from decreased appetite, anorexia, and malabsorption, which results in insufficient dietary intake and poor nutritional status. In fact, sarcopenia has been reported to present in up to nearly 70% of LT candidates (5). In terms of the effect on prognosis, sarcopenia has been found to be correlated with unfavorable outcomes in various kinds of malignancies (6,7), and with poor surgical outcomes (8), including LTs (9).

In terms of LDLT, several studies (9-11) have indicated the adverse effects of sarcopenia on recipients' survival. However, the use of sarcopenia as a predictor for posttransplant survival is undoubtedly limited because of the significant heterogeneity among studies. To date, firm diagnostic criteria for sarcopenia have not yet been well established, and calculations of cutoff values for sarcopenia have been based on different statistical methods, including mean value and standard deviations (SDs) (12,13) of healthy controls, receiver operating characteristic (ROC) curves (14), and simple quartile distributions of parameters relating to living donors (15).

In addition, different parameters and measurements have been applied, such as muscle function (hand grip strength) assessment (16) and body composition analysis, including but not limited to bioelectrical impedance analysis (BIA) (17) and computed tomography/magnetic resonance imaging (CT/MRI) scans (12,18). Measurement by radiological imaging is recommended because of its ability to provide precise and direct visualization of body compartments (3). Simple calculated parameters based on transversal and/ or axial psoas muscle thickness (18,19) on CT scan images were used in the earlier period, while normalized (by height) parameters have been preferred in recent years. Normalized parameters mainly include the psoas muscle index (PMI) (10,12,15) and the skeletal muscle index (SMI) (13,20), which are characterized by assessments of systemic or specific skeletal muscle (psoas muscle) mass using certain CT image slides, respectively.

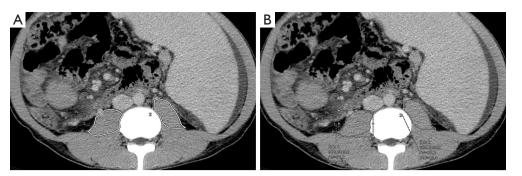
The prognostic effects of sarcopenia in patients undergoing LDLT have been studied in Europe (19-22) and some Asian countries, mainly Japan (9,13) and Korea (11). However, no robust evidence is available for the Chinese population, which has the largest burden of liver cancer, cirrhosis, and related deaths. Furthermore, the number of new cases of cirrhosis and hepatocellular carcinoma (HCC) is expected to continue rising in the coming decade, mainly due to the dramatically increasing prevalence of metabolic disease caused by unhealthy lifestyles and diets (21,22). As a result, a growing number of candidates for LT have been documented in China. Thus, a huge number of LDLTs are required, and the determination of potential risk factors for posttransplant survival are urgently needed in China. In the present study, we aimed to explore the prevalence and effect of sarcopenia defined by PMI value on long-term outcomes among patients undergoing LDLT by using X-tile software (Yale University, New Haven, CT, USA) to determine optimal PMI cutoff values for sarcopenia.

#### Methods

#### Patients and perioperative management

In total, 117 adult patients underwent LDLT at the Liver Transplantation Center of West China Hospital, Sichuan University, Chengdu, China, between January 2009 and December 2015. We excluded 8 patients who did not undergo preoperative CT scan within a month of pre-LDLT and 27 patients whose CT scan did not reach the third lumbar vertebra (L3) level. A total of 82 adult LT recipients were included in this study. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of West China Hospital, and individual consent for this retrospective analysis was waived.

In all, 365 LDLTs were conducted in our center between 2001 and 2015, and details of surgical procedures and techniques have been reported previously (23,24). A threedimensional reconstruction system, IQQA-liver (EDDA Technology, Princeton, NJ, USA), was used to evaluate hepatic anatomies, including anatomical variations, and



**Figure 1** The measurement of psoas muscle area of both sides (1, right; 2, left) on computed tomography slides. (A) An example of the range of bilateral psoas muscle; (B) an example of area measurement of bilateral psoas muscle. The area of the left psoas muscle is 838.8 mm<sup>2</sup> and that of the right psoas muscle is 699.5 mm<sup>2</sup>.

potential liver volumes. Intraoperative parameters were collected, including duration of surgery, blood loss, anhepatic phase, and intraoperative complications. Basic immunosuppression consisted of tacrolimus or cyclosporine and steroids, with or without mycophenolate. The drug concentration of tacrolimus was recorded every other day, while an abdominal ultrasound and routine blood test were performed each day of the first week after transplantation.

#### Perioperative parameters and long-term outcomes

Perioperative data of recipients were collected, including age, gender, body mass index (BMI), creatinine, total bilirubin (TBL), albumin, international normalized ratio (INR), Child-Pugh classification, and the model for endstage liver disease score (MELD). Characteristics of donors included donor age, gender, BMI, graft-to-recipient weight ratio (GRWR), and ABO blood group compatibility. Each recipient was seen weekly for the first month after discharge, and after that monthly for 6 months. Survival events and HCC recurrence with corresponding dates were recorded, and the average follow-up time was 63.3 (±39.8) months.

#### Imaging measurement and determination of a cutoff value

All preoperative CT examinations were performed by using one of two commercially available multidetector CT scanners: Brilliance CT (Philips Healthcare, Best, The Netherlands) or SOMATOM Definition AS+ (Siemens Medical Systems, Erlangen, Germany). A section thickness of 5 mm with a reconstruction interval of 5 mm, field of view of 330–390 mm, gantry rotation time of 0.5 seconds, tube current-time product of 200–250 mAs, and peak voltage of 120 kVp were used for both CT scanners. Two experienced abdominal radiologists, who were blinded to the clinical results, independently performed the measurements using the application Reformat on Advantage Workstation Release 4.6 Software (General Electric Company, Milwaukee, Wisconsin, USA). Based on the preoperative CT scan (<1-month pre-LDLT), the 2 radiologists manually measured the area of both sides of the psoas muscles at the third lumbar vertebra (L3) level (*Figure 1*), independently. PMI was calculated by normalizing the sum of bilateral psoas muscle areas to the square of each patient's height (cm<sup>2</sup>/m<sup>2</sup>).

Thereafter, the graphical method of X-tile plots was used to determine the optimal PMI cutoff value. The X-tile was designed to visualize the best cutoff point for outcomes, especially for time-dependent assessments, such as survival outcomes. Based on the cutoff value determined by the X-tile method, patients were divided into 2 groups according to PMI, the sarcopenia and the non-sarcopenia group.

#### Statistics analysis

Basic data of recipients and donors are presented as counts (percentages) and means (SDs) where appropriate. An intraclass correlation coefficient (ICC) was calculated to assess interobserver consistency. The sums of bilateral psoas muscle areas from the 2 radiologists were averaged for the subsequent analysis. Based on the PMI and survival data, the X-tile plots showed  $\chi^2$  log-rank values with a Kaplan-Meier curve and presented the optimal cutoff value for sarcopenia, according to which all patients were divided into 2 groups. The Mann-Whitney U test and the  $\chi^2$  test or Fisher's exact test were used for continuous variables and categorical variables, respectively, to compare the basic characteristics of patients with and without sarcopenia. Overall survival and disease-free survival were compared using the Kaplan-Meier method and were assessed with the log-rank test. A Cox hazards regression model was used to explore potential associations between clinical outcomes and various factors. Potential (P<0.10) and significant (P<0.05) parameters identified in the univariate analysis were further included in the multivariate regression model. Hazard ratios (HRs) and corresponding 95% confidence intervals (CIs) were calculated. Statistical analysis was performed using SPSS version 20.0 (IBM Corp, Armonk, NY, USA) and X-tile software v. 3.6.1 (Yale University, New Haven, CT, USA).

#### **Results**

#### Patient characteristics

We included 70 males and 12 females in the initial cohort. The X-tile software performed well (cutoff value:  $6.25 \text{ cm}^2/\text{m}^2$ ) when data of both males and females were imported (Figure 2A,2B). However, the definition of sarcopenia is considered to be sex-specific because males have significantly larger psoas muscle area progressive muscular atrophy (PMA) and PMI than do females (12,25,26). However, X-tile was not able to discover a reliable cutoff value based on this small cohort. As a result, the PMI cutoff value for 12 females (Figure 2C,2D) was 2.88 cm<sup>2</sup>/m<sup>2</sup>, which assigned only 1 patient (8.3%) to the sarcopenia group, which was considerably less than the number assigned in previous reports (18,26). Therefore, we mainly focused on the 70 male patients (Figure 2E, 2F) since our data for female patients might not have been sufficiently robust to represent general female candidates for LDLT.

The average age of the 70 male patients was 41.6 ( $\pm$ 8.9) years, while that of the corresponding donors was 38.1 ( $\pm$ 9.7) years. Furthermore, 60 patients (85.7%) out of 70 were serum positive for hepatitis B surface antigen (HBsAg), and 67 patients (95.7%) were pathologically proven to have liver cirrhosis. Etiologic factors for LDLT, as well as other basic characteristics of both recipients and donors are listed in Table S1. The calculated average PMI of recipients was 6.02 ( $\pm$ 1.60) cm<sup>2</sup>/m<sup>2</sup> as measured by the method described above. The average GRWR was 0.92% ( $\pm$ 0.20%), and the average duration of patients' stay in the intensive care unit (ICU) was 11.8 ( $\pm$ 7.2) days.

#### Interobserver consistency

The interobserver agreement between the 2 radiologists was

very good for areas of both the right (ICC =0.924; 95% CI, 0.885–0.950) and left (ICC =0.901; 95% CI, 0.851–0.935) psoas muscle.

#### Cutoff value for PMI and baseline comparison

For males, the PMI cutoff point was  $6.25 \text{ cm}^2/\text{m}^2$ , according to which 38 patients (54.3%) were diagnosed with sarcopenia. Table 1 shows the comparison of clinicopathological characteristics between patients with and without sarcopenia. Patients with sarcopenia presented with lower BMI (P<0.001) and albumin (P=0.029), along with higher levels of TBL (P=0.005) and higher MELD scores (P=0.004). Patients with sarcopenia tended to have more pretransplant complications, but most comparisons were not significantly different (P>0.05), except for progressive hyperbilirubinemia (P=0.031). Otherwise, the 2 groups of recipients had similar (P>0.05) ages at transplantation, pretransplant creatinine, proportion of pretransplant hospital stay, and etiology of liver diseases. In regard to donor characteristics and surgical procedures, no significant differences were revealed between the 2 groups, including in donor gender, age, GRWR, ABO-compatible rates, operation, or cold ischemia times.

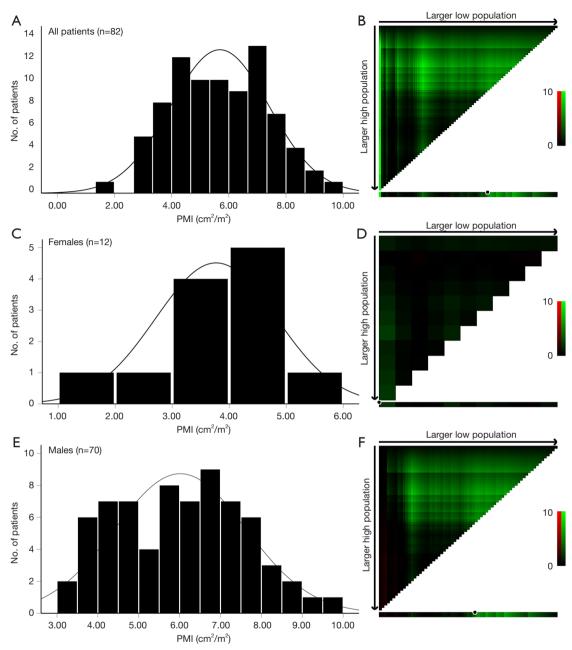
#### Intra- and postoperative complications

Two patients experienced intraoperative complications, including one cardiac arrest in the sarcopenia group and one massive hemorrhage in the non-sarcopenia group.

*Table 2* shows the intraoperative and postoperative complications of both groups; the latter includes early- and late-phase complications. No statistical differences (P>0.05) were detected between the 2 groups in terms of either early- or late-phase complications.

#### Overall survival after LDLT

All patients were followed up until July 1, 2019, or until death (from any reason). After an average of 63.3±39.4 months of follow-up, 21 patients died after LDLT, 16 in the sarcopenia group and 5 in the non-sarcopenia group. The causes of death for patients with sarcopenia were HCC recurrence (N=6), graft failure (N=4), pulmonary infection (N=2), and others (N=4); meanwhile, 5 patients without sarcopenia died from HCC recurrence (N=2), graft failure (N=2), and other causes (N=1). Patients with sarcopenia had significantly reduced cumulative overall survival rates (P<0.05) than did



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Figure 2 Distributions of psoas muscle index and calculation of optimal cutoff values. Histograms and X-tile plots of psoas muscle index according to survival outcomes of all patients (A,B), females (C,D), and males (E,F). PMI, psoas muscle index.

those without sarcopenia (*Figure 3*). The 1-, 3-, and 5-year survival rates were 71.1%, 65.8%, and 63.2%, respectively, for the sarcopenia group; and 96.9%, 87.5%, and 83.7%, respectively, for the non-sarcopenia group.

In univariate analysis (*Table 3*), decreased overall survival was related to (P<0.05) sarcopenia and pretransplant hospital stay, while it was not significantly associated

with age, BMI, portal vein thrombosis (PVT), Child-Pugh C class, or a MELD score >20. In multivariate analysis, sarcopenia and pretransplant hospital stay were identified as being significantly associated (P<0.05) with worse posttransplant overall survival, resulting in HRs of 3.22 (95% CI, 1.15–8.98) and 2.46 (95% CI, 1.00–6.05), respectively, while recipients' age (95% CI, 0.99–1.10)

 Table 1 Baseline characteristics of patients with and without sarcopenia

Table 1 Baseline characteristics of patients with and without s           Characteristics	Sarcopenia (n=38)	Non-sarcopenia (n=32)	Р
Recipient factors			
Age, years	43 (±9.7)	40 (±7.7)	0.157
BMI, kg/m <sup>2</sup>	21.6 (±2.2)	24.5 (±2.8)	<0.001
Creatinine, mmol/L	74.6 (±21.8)	74.1 (±13.9)	0.908
Albumin, mmol/L	33.5 (±6.9)	37.2 (±6.8)	0.029
TBL, mmol/L	88.9 (±11.9)	27.6 (±18.9)	0.005
INR	1.48 (±0.47)	1.27 (±0.27)	0.033
HBsAg, n (%)	30 (78.9)	30 (93.8)	0.097
AFP >400 ng/mL, n (%)	9 (23.7)	9 (28.1)	0.786
MELD score	16.6 (±6.9)	12.7 (±3.8)	0.004
Progressive hyperbilirubinemia, n (%)	10 (26.3)	2 (6.3)	0.031
Refractory ascites, n (%)	9 (23.7)	2 (6.3)	0.055
History of varicose veins bleeding, n (%)	2 (5.3)	1 (3.1)	1.000
Spontaneous bacterial peritonitis, n (%)	2 (5.3)	0 (0)	0.496
Hepatic encephalopathy, n (%)	3 (7.9)	0 (0)	0.245
Pretransplant infection, n (%)	4 (10.5)	1 (3.1)	0.366
Pretransplant hospital stay, n (%)	17 (44.7)	13 (40.6)	0.811
Donor and surgical factors			
Donor age, years	38.4 (±10.2)	37.7 (±9.3)	0.747
Donor male, n (%)	23 (60.5)	22 (68.8)	0.617
ABO incompatible, n (%)	6 (15.8)	10 (31.3)	0.158
Donor BMI, kg/m <sup>2</sup>	22.6 (±2.6)	23.7 (±2.5)	0.082
GRWR	0.96 (±0.23)	0.88 (±0.15)	0.097
Duration of operation, hour	10.6 (±2.6)	10.2 (±2.2)	0.460
Cold ischemia time, hour	2.1 (±2.2)	2.2 (±2.7)	0.818

BMI, body mass index; TBL, total bilirubin; INR, international normalized ratio; HBsAg, hepatitis B surface antigen; AFP, alpha fetoprotein; MELD, model for end-stage liver disease score; GRWR, graft-to-recipient weight ratio.

and the presence of PVT (95% CI, 0.84-64.3) were not independent predictors.

## Overall survival and disease-free survival in patients with HCC after LDLT

There were 50 recipients (71.4%) with HCC, and 24 (48.0%) of these were categorized as having sarcopenia according to the cutoff value. Patients with sarcopenia had worse overall survival (P=0.052) than did those without

(*Figure 4A*). The 1-, 3-, and 5-year cumulative survival rates were 92.3%, 84.6%, and 78.1%, respectively, in the non-sarcopenia group; and 75.0%, 62.5%, and 58.3%, respectively, in the sarcopenia group. Patients with HCC meeting the Milan criteria had better cumulative survival (P=0.025) when compared to those patients not meeting the Milan criteria (*Figure 4B*), with 1-, 3-, and 5-year survival rates of 91.7%, 87.5%, 87.5%; and 80.8%, 65.4%, 57.7%, respectively.

In univariate analysis (Table 4), HCC meeting Milan

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Complication category	Sarcopenia (N=38), n (%)	Non-sarcopenia (N=32), n (%)	Р
Intraoperative complications	0 (0)	2 (6.3)	0.496
Early postoperative complications	14 (36.8)	16 (50.0)	1.000
Biliary stenosis	0 (0)	1 (3.1)	1.000
Biliary leakage	1 (2.6)	0 (0)	0.457
Arterial thrombosis	2 (5.3)	0 (0)	0.205
Intraabdominal collection	7 (18.4)	7 (21.9)	0.771
Postoperative bleeding	0 (0)	3 (9.4)	0.245
Portal vein thrombosis	1 (2.6)	1 (3.1)	1.000
Portal vein Stenosis	2 (5.3)	0 (0)	0.205
Pulmonary infection	1 (2.6)	1 (3.1)	1.000
Pleural effusion	3 (7.9)	3 (9.4)	1.000
Late postoperative complications	8 (21.1)	5 (15.6)	0.232
Arterial thrombosis	1 (2.6)	0 (0)	0.457
Portal vein thrombosis	0 (0)	2 (6.3)	0.496
Biliary leakage	1 (2.6)	3 (9.4)	0.620
Biliary anastomosis stenosis	2 (5.3)	1 (3.1)	0.589

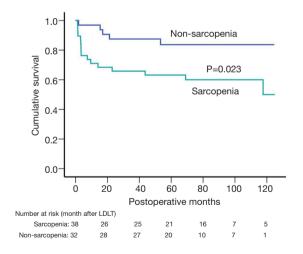


Figure 3 Cumulative overall survival in patients with and without sarcopenia.

criteria was associated with significantly reduced mortality (P=0.035), while sarcopenia was related to an insignificantly increased risk of mortality (P=0.062). Other recipient and donor factors affecting posttransplant survival (P>0.05) were not identified. In multivariate analysis, meeting Milan

criteria remained an independent predictive factor of overall survival, resulting in a HR of 0.31 (95% CI, 0.10–1.00), while sarcopenia exhibited a nonsignificant increased HR (P=0.095).

In regard to recurrence-free survival (RFS), patients in the sarcopenia group had a decreased cumulative RFS (P=0.030) compared to those in the non-sarcopenia group (*Figure 4C*), with a 1-, 3-, and 5-year RFS of 75.4%, 55.3%, 44.0%; and 92.05%, 80.0%, and 73.3%, respectively. Sarcopenia was associated with a 2.87-fold increased risk of recurrence (95% CI, 1.06–7.80) in univariate analysis, but was not an independent risk factor for RFS (P=0.062). In multivariate analysis. On the other hand, meeting Milan criteria was observed to have a protective effect on RFS, resulting in a 65.7% reduced recurrence risk (95% CI, 0.12–0.98) and an improved cumulative RFS (*Figure 4D*). Other recipient and donor factors were not significantly related to RFS (P>0.05), with details shown in *Table 5*.

#### **Discussion**

This retrospective study first indicated a significant adverse effect of sarcopenia defined by PMI on long-term survival

Table 3 Univariate and multivariate analysis of prognostic factors for overall survival in 70 male patients after living donor liver transplantation

Factors	Univariate analy	sis	Multivariate analysis		
	HR (95% CI)	Р	HR (95% CI)	Р	
Recipient age	1.05 (0.99–1.11)	0.096	1.04 (0.99–1.10)	0.132	
BMI >25 kg/m <sup>2</sup>	0.37 (0.09–1.61)	0.187	-	-	
Progressive hyperbilirubinemia	1.46 (0.53–4.03)	0.467	-	-	
Refractory ascites	2.23 (0.81–6.13)	0.122	-	-	
History of varicose veins bleeding	1.32 (0.18–9.87)	0.787	-	-	
MELD score >20	1.38 (0.50–3.79)	0.539	-	-	
Child-Pugh classification C	1.41 (0.60–3.36)	0.434	-	-	
Portal vein thrombosis	2.52 (0.84–7.56)	0.099	7.33 (0.84–64.3)	0.072	
Pretransplant infection	1.51 (0.35–6.51)	0.580	-	-	
Sarcopenia	3.04 (1.11–8.36)	0.031	2.94 (1.04-8.30)	0.042	
Pre-transplant hospital stay	2.67 (1.09–6.54)	0.032	2.46 (1.00-6.05)	0.049	
Operative time >10 hours	0.87 (0.37–2.06)	0.750	-	-	
Donor BMI, kg/m <sup>2</sup>	0.93 (0.79–1.09)	0.374	-	-	
GRWR >0.8%	0.61 (0.21–1.82)	0.378	-	-	
ABO incompatible	1.40 (0.54–3.62)	0.490	-	-	
Biologically related donor	1.02 (0.30–3.47)	0.974	_	-	

HR, hazard ratio; CI, confidence interval; BMI, body mass index; MELD, model for end-stage liver disease score; GRWR, graft-to-recipient weight ratio; HR, hazard ratio.

after LDLT among recipients in China, where a large number of LDLTs are required each year.

Sarcopenia, a reliable reflection of malnutrition, is highly prevalent in patients with chronic digestive system diseases and end-stage liver disease in particular (20), and 38 recipients (54.3%) were diagnosed with sarcopenia in the current study according to our definition. Unsurprisingly, the importance of sarcopenia has been of great clinical interest over the past decade, as it has been found to be related to worse outcomes in various types of malignancies (6,7) and renal transplantation (27). Previous studies revealed sarcopenia as a negative prognostic factor for survival after LDLT, but parameters to define sarcopenia and determination of cutoff points vary between studies, which significantly limits its application.

Various methods have been used to evaluate body composition, the major parameter for assessing sarcopenia. Kaido *et al.* (9) identified sarcopenia using multifrequency BIA and demonstrated its significant association with worse overall survival after LDLT. However, BIA examination is not included in the routine management of patients undergoing LDLT on admission, at least not in most transplant centers in China, and some patients cannot complete BIA examination due to their poor general condition. As a result, they excluded 68 patients (35.4%) for not completing BIA examination (9). As the EWGSOP has defined CT and MRI as gold standards for the assessment of body muscle mass (3), radiological imaging techniques are applied in most studies (28), especially CT, while dual energy X-ray absorptiometry (DXA) is recommended as a preferred alternative method.

Based on radiological techniques, core muscles (29) are well established predictors for sarcopenia, as they can better characterize the overall health condition than can BMI and BIA, especially in patients with obesity or ascites, for whom application of the latter two methods is significantly limited. Parameters based on the psoas muscle are frequently selected to evaluate sarcopenia, for instance, PMI or PMA (psoas muscle area), because the psoas muscle is the major component of core muscles, and it is feasible to precisely

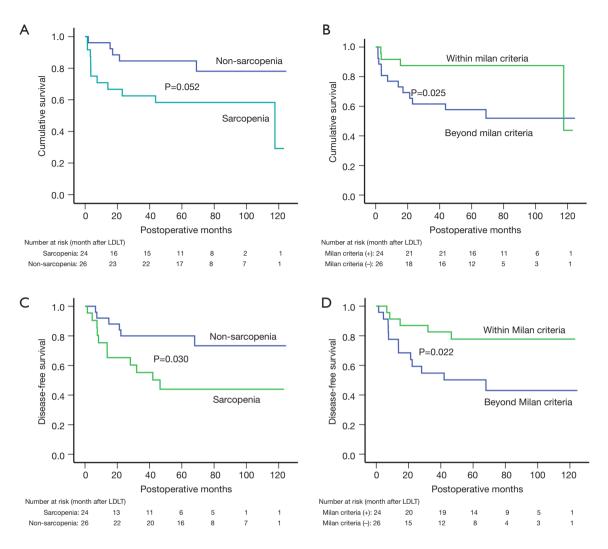


Figure 4 Cumulative overall survival and recurrence-free survival after living donor liver transplantation stratified by sarcopenia and meeting Milan criteria. (A,B) Overall survival according to sarcopenia and Milan criteria status. (C,D) Recurrence-free survival according to sarcopenia and Milan criteria status.

measure it on cross-sectional imaging. In the present study, we found a significant association between sarcopenia defined by PMI and poor overall survival after LDLT, which is line with a previous study (26). However, Ebadi *et al.* (20) stated that PMI was not a promising predictor of waitlist mortality in cirrhotic patients. Nonetheless, the risk of posttransplant mortality is supposed to differ from that of waitlist mortality, as LDLT simultaneously replaces the diseased liver with a new one and improves the functioning of related organs; thus, the potential for PMI as a predictor of posttransplant survival should not be dismissed. In fact, several studies (14,15,25) recommend the PMI as a useful predictor for survival after LDLT. In addition, Golse

*et al.* (26) compared the 4 most popular methods reported in the literature and concluded that preoperative PMI and PMA had superior performances compared to SMI and PMA. Last but not the least, the psoas muscle is easily recognized on a CT slice, and it takes only a few seconds to complete the measurements, which facilitates its clinical application.

Unfortunately, the mechanism of muscle loss and its adverse effect on postoperative outcomes is not fully understood. Muscle mass is a key indicator of proteinenergy metabolism, and protein-energy malnutrition has been identified as a risk factor of increased postoperative mortality (9). Muscles provide most blood amino acids,

#### Tan et al. PMI predicts survival after LDLT

Table 4 Univariate and multivariate analysis of prognostic factors for overall survival in 50 HCC patients after living donor liver transplantation

Factors	Univariate analysis		Multivariate an	Multivariate analysis	
	HR (95% CI)	Р	HR (95% CI)	Р	
Recipient age	1.04 (0.97–1.12)	0.244	-	_	
Recipient BMI >25 kg/m <sup>2</sup>	0.38 (0.09–1.70)	0.204	-	-	
Progressive hyperbilirubinemia	2.85 (0.64–12.69)	0.169	-	-	
Refractory ascites	1.47 (0.42–5.23)	0.548	-	-	
MELD score >20	2.85 (0.64–12.69)	0.169	_	-	
Child-Pugh classification C	1.38 (0.51–3.71)	0.523	_	-	
Portal vein thrombosis	1.79 (0.50–6.34)	0.368	-	-	
Pretransplant infection	0.97 (0.13–7.35)	0.972	_	-	
Sarcopenia	2.75 (0.95–7.97)	0.062	2.49 (0.85–7.14)	0.095	
Pretransplant hospital stay	1.93 (0.70–5.34)	0.204	_	-	
Operative time >10 hours	0.58 (0.21–1.60)	0.290	_	-	
Meeting Milan criteria	0.29 (0.10-0.92)	0.035	0.31 (0.10–1.00)	0.051	
Tumor size	1.11 (0.97–1.26)	0.119	_	-	
Tumor number	1.07 (0.90–1.27)	0.440	-	-	
AFP >400 ng/mL	1.12 (0.38–3.23)	0.836	-	-	
Donor BMI	0.96 (0.81–1.15)	0.691	-	-	
GRWR >0.8%	0.41 (0.12–1.47)	0.172	-	-	
ABO incompatible	1.27 (0.44–3.67)	0.659	-	-	
Biological related donor	0.88 (0.25-3.10)	0.841	-	_	

HCC, hepatocellular carcinoma; HR, hazard ratio; CI, confidence interval; BMI, body mass index; MELD, model for end-stage liver disease score; AFP, alpha fetoprotein; GRWR, graft-to-recipient weight ratio; HR, hazard ratio.

which are necessary for the synthesis of new proteins for other tissues and organs, including those organs that are essential for survival, such as the heart, liver and brain, especially in the postabsorptive state when there is very little surplus protein available (30). Consequently, sufficient muscle mass is the principal guarantee of constant protein mass in essential tissues and organs that are extremely important for survival. Furthermore, amino acids derived from the breakdown of muscle also serve as the major reservoir for the physiologic response in the stress state, including in cancer, infection, and traumatic injury (31). In the current study, 71.4% of patients presented with liver cancer, 13 patients died of cancer recurrence and progression, and 8 deaths were due to sepsis. In the setting of infection or cancer, the recovery process requires more amino acids to accelerate the synthesis of acute phase

protein and protein involved in immune response. It is exceedingly difficult to reverse the net breakdown of muscle protein even with aggressive nutrition support, as the stressed state imposes greater requirements of amino acids than does fasting (31). Moreover, blood amino acids derived from protein breakdown of muscle are also the precursors for hepatic gluconeogenesis in which synthesis is enhanced in conditions of stress (32,33). Hence, we believe the loss of muscle mass is a potential explanation for the significantly unfavorable survival in the sarcopenia group. On the other hand, patients who were hospitalized before LDLT experienced worse posttransplant survival than those who were not. These patients were supposed to have a poor general condition at transplant, complications demanding medical interference, or diseases in organs other than the liver that accounted for the poor recovery after

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Table 5 Univariate and multivariate analy	vsis of prognostic factors for	disease-free survival in 50 HCC	patients after living	g donor liver transplantation

Factors	Univariate analys	Multivariate analysis		
Factors	HR (95% CI)	Р	HR (95% CI)	Р
Recipient age	0.99 (0.92–1.05)	0.680	-	_
Recipient BMI >25 kg/m <sup>2</sup>	0.52 (0.15–1.83)	0.310	-	-
Progressive hyperbilirubinemia	1.45 (0.19–10.97)	0.720	-	-
Refractory ascites	0.36 (0.05–2.71)	0.320	-	_
MELD score >20	1.45 (0.19–10.97)	0.720	-	-
Child-Pugh classification C	3.13 (0.88–11.11)	0.076	2.22 (0.76–6.51)	0.145
Portal vein thrombosis	0.46 (0.06–3.49)	0.455	-	-
Pretransplant infection	0.84 (0.11–6.36)	0.868	-	-
Sarcopenia	2.87 (1.06–7.80)	0.038	2.60 (0.95–7.10)	0.062
Pre-transplant hospital Stay	1.10 (0.42–2.91)	0.842	-	-
Operative time >10 hours	0.55 (0.18–1.64)	0.282	-	-
Meeting Milan criteria	0.31 (0.11–0.89)	0.030	0.34 (0.12–0.98)	0.046
Tumor size	1.15 (1.03–1.30)	0.017	-	-
Tumor number	1.14 (0.98–1.33)	0.082	-	-
AFP >400 ng/mL	1.76 (0.68–4.58)	0.245	-	-
Donor BMI	0.92 (0.77–1.09)	0.332	-	-
GRWR >0.8%	1.29 (0.48–3.50)	0.610	-	-
ABO-incompatible	1.05 (0.37–2.98)	0.932	-	-
Biological related donor	2.22 (0.77-6.38)	0.140	-	-

HCC, hepatocellular carcinoma; HR, hazard ratio; CI, confidence interval; BMI, body mass index; MELD, model for end-stage liver disease score; AFP, alpha fetoprotein; GRWR, graft-to-recipient weight ratio; HR, hazard ratio.

#### transplantation.

Previous studies (26,34) have indicated that sarcopenia is related to an up to 17.6-fold increased mortality after LDLT among cirrhotic recipients; however, little evidence is available for candidates with HCC. Kim *et al.* (11) reported that no significant difference of overall survival was detected between patients with and without sarcopenia after LDLT; however, all patients included had advanced HCC not meeting Milan criteria. In the present study, similar results were found in the 50 HCC cases, of which about half met Milan criteria. It appears that sarcopenia defined by PMI is not an independent predictor for posttransplant survival in recipients with HCC (P=0.095). The prevalence and severity of sarcopenia are greater in decompensated cirrhotic candidates than in those with HCC; thus, the influence of sarcopenia on recipients' survival is possibly overestimated in patients with HCC when they are analyzed together with cirrhotic patients.

In terms of HCC recurrence, the effect of muscle loss is controversial; however, little research has been conducted regarding this issue. In a Korean investigation (11), sarcopenia defined by height-normalized psoas muscle thickness was reported to be associated with an over 9-fold increased recurrence risk after LDLT in patients with HCC not meeting Milan criteria. In another single study conducted in Europe (35) and involving deceased donor LT, sarcopenia defined by skeletal muscle indices did not worsen HCC recurrence. In our study, 48.0% of HCC cases met Milan criteria, and they presented with higher PMI ( $6.61\pm1.74 vs. 5.64\pm1.44 cm^2/m^2$ ) than did those exceeding Milan criteria, possibly because tumor progression accelerated skeletal muscle loss and led to cachexia. It is

conceivable that sarcopenia and its corresponding metabolic disorder promote carcinogenesis, although sarcopenia is not an independent poor predictor of RFS after LDLT in multivariate analysis (P=0.062). The limited number of HCC cases and the interaction between sarcopenia and meeting Milan criteria may account for the nonsignificant result. One possible mechanism for this is related to the role that skeletal muscle plays in the inflammation and immunoregulation involved in carcinogenesis. As the largest secretary organ, skeletal muscle produces and releases cytokines and other functional peptides, as known as myokines, which are capable of counteracting the negative influence of proinflammatory adipokines (36) and of mediating immunoregulatory effects. Skeletal muscle is thought to be a robust mediator of circulating interleukin 6 (IL-6), which has been suggested as being involved in the anti-inflammatory process and carcinogenesis of HCC, especially in obese individuals (37). Furthermore, myokines improve insulin sensitivity through activating AMP-activated protein kinase (AMPK) or directly affecting insulin secretion by pancreatic cell (38); thus, muscle loss may result in insulin resistance, which is related to various chronic diseases and carcinogenesis (11,39). Given the uncertain result based on limited HCC cases in the current study, prospectively designed research with larger sample sizes specifically focused on HCC is urgently needed to confirm the effect of sarcopenia on the risk of tumor recurrence after LDLT and its pathogenesis, given posttransplant recurrence is the predominate causation of recipients' death.

The current study has several limitations. First, we only analyzed male patients because of the very limited number and the poor performance of cutoff calculation in females. Hepatitis B virus (HBV)-related cirrhosis and/or HCC is the major cause of being a LT candidate in China, and males are far more vulnerable than are females. For instance, in our original cohort, 96 (82.1%) out of 117 were males, 60 (85.7%) of whom were HBV-infected male patients included in the analysis. In addition, sarcopenia is more prevalent in men than in women (20,34), and thus the focus of the current study is justified. Second, the limited number of HCC cases possibly accounts for the uncertain association between sarcopenia and HCC recurrence after LDLT. Third, given its retrospective nature, our findings from a single center need to be validated in a prospective study.

In conclusion, the definition of sarcopenia based on PMI value and dynamic time-dependent outcomes of recipients is

feasible and reliable. Sarcopenia according to a PMI cutoff value is an independent predictor of poor overall survival after LDLT, at least in China. However, sarcopenia is not independently related to posttransplant HCC recurrence although a tendency towards significance was observed. Further prospective studies with larger sample sizes are needed to confirm the effect of sarcopenia on the risk of HCC recurrence after LDLT.

#### **Acknowledgments**

*Funding:* This study was supported by grants from the 1.3.5 Project for the Discipline of Excellence, West China Hospital, Sichuan University (No. ZY2017308). Data were derived from the records of the Chinese Liver Transplant Registry.

#### Footnote

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at https://dx.doi. org/10.21037/qims-21-314). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of West China Hospital, and individual consent for this retrospective analysis was waived.

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**Cite this article as:** Tan Y, Duan T, Li B, Zhang B, Zhu Y, Yan K, Song J, Lv T, Yang J, Jiang L, Yang J, Wen T, Yan L. Sarcopenia defined by psoas muscle index independently predicts long-term survival after living donor liver transplantation in male recipients. Quant Imaging Med Surg 2022;12(1):215-228. doi: 10.21037/qims-21-314

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