

## Identifying <sup>18</sup>F-FDG PET-metabolic radiomic signature for lung adenocarcinoma prognosis via the leveraging of prognostic transcriptomic module

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**Background:** Imaging with <sup>18</sup>F-fluorodeoxyglucose positron emission tomography (<sup>18</sup>F-FDG PET), which identifies molecular and metabolic abnormalities within tumor cells, could support prognostic assessment of lung adenocarcinoma (LUAD). We aimed to develop a radiomic signature with the aid of a transcriptomic module for individualized clinical prognostic assessment of LUAD patients.

Methods: Using a gene expression profile consisting of 334 stage I-IIIA LUAD patients, prognosticrelated gene coexpression modules were constructed via a weighted correlation network analysis algorithm. The robustness and prognostic performance of the coexpression modules were then tested across 2 gene expression datasets totaling 331 patients. Finally, using a discovery dataset with matched transcriptomic and <sup>18</sup>F-FDG PET radiomic data of 15 patients and multiple linear regression analysis, we developed a PETmetabolic radiomic signature that had optimal correlation with the expression of a robust prognostic module. **Results:** We selected a superior coexpression module for LUAD prognosis in which the genes were significantly enriched in important biological processes associated with tumors (e.g., cell cycle, DNA replication and p53 signaling pathway). The prognostic performance of the module for overall survival (OS) and recurrence-free survival (RFS) was validated in 2 independent gene expression datasets (log-rank P<0.05). Through the leveraging of this prognostic coexpression module, a radiomic signature consisting of 3 PET features associated with metabolic processes was developed in the discovery dataset. The radiomic signature was significantly associated with patients' OS and RFS in an independent PET dataset consisting of 72 LUAD patients (OS: log-rank P=0.0006; RFS: log-rank P=0.0013). Multivariate Cox analysis demonstrated that the radiomic signature was an independent prognostic factor for OS and RFS. Furthermore, the novel proposed radiomic nomograms for OS and RFS had significantly better performance (concordance indices) than did the clinicopathological nomograms.

**Conclusions:** The radiomic signature, which reflects biological processes in tumors (e.g., cell cycle and p53 signaling pathway), could noninvasively identify LUAD patients with poor prognosis who should receive

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postoperative adjuvant treatment. The signature is suitable for clinical application and could be robustly applied at an individual level across multicenter cohorts.

**Keywords:** Lung adenocarcinoma (LUAD); prognosis; coexpression module; radiomic signature; positron emission tomography

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#### Introduction

Lung adenocarcinoma (LUAD) has a high mortality rate and is the most common type of lung cancer (1). Presently, surgical resection is the standard treatment for patients with stage I–IIIA LUAD. However, approximately 35–50% of patients who receive this treatment show recurrence or die within 5 years after surgery (2). This highlights the importance of identifying high-risk patients who require postoperative adjuvant treatment, while sparing low-risk patients its toxicity.

Imaging with <sup>18</sup>F-fluorodeoxyglucose positron emission tomography (<sup>18</sup>F-FDG PET) can reflect metabolic differences between healthy tissue and tumor tissue and plays an important role in high accuracy staging and prognosis of LUAD (3-7). Conventional metabolic features of PET, such as maximum standardized uptake value (SUV<sub>max</sub>), have been reported to be valuable prognostic factors for LUAD patients (8,9). However, the prognostic performance of these metabolic features still needs to be improved (10). More sophisticated tools based on existing imaging metrics need to be developed for the purpose of precision medicine.

Radiomics, a high-throughput method that converts medical images into quantitative features, is a newly prominent field that could be the vanguard of precision medicine (11-14). Given that medical images can provide information on the underlying pathophysiology that is associated with a patient's prognosis (15,16), considerable efforts have been made to identify prognostic signatures based on radiomic features in patients with cancer (17-23). At present, most radiomic signatures are constructed based on the tumor phenotypic radiomic features derived from computed tomography (CT) or PET/CT; however, recent studies have demonstrated that the values of these phenotypic features are sensitive to imaging protocols (24) and the platforms used to calculate them (25), which can result in different values among multicenter datasets. Several studies have adopted data normalization to reduce the impact of multicenter effects (26); however, these signatures tend not to be universal in clinical settings because their application requires precollection of samples for normalization. Moreover, sample risk classification is influenced by the risk composition of other samples adopted for normalization (27). Radiomic signatures based on quantitative phenotypic features are therefore not suitable for clinical translation.

Another approach involves reconstructing radiomic features (e.g., SUV<sub>max</sub>) derived from PET/CT using CT for attenuation correction and normalizing based on the patient's body weight. These kinds of features, reflecting metabolic abnormalities, may be more robust against multicenter effects (28). For example, the recommended cutoff value for  $SUV_{max}$  is typically 2.5 for diagnosis worldwide (29), suggesting the repeatability and reproducibility of metabolic features. By using the metabolic features of PET/CT to develop prognostic radiomic signatures for cancer, it may therefore be possible to circumvent the impact of multicenter effects. However, limited by small-scale samples of public PET image datasets, a single-center retrospective study could introduce selection bias and overfitting risk. Motivated by the phenomenon that metabolic radiomic features of PET can indicate molecular abnormalities (such as messenger RNA [mRNA] or protein dysregulation) within the tumor cells (5), we hypothesized the existence of an association between particular radiomic features and specific clinical outcomes.

In this study, we used the gene expression profile of LUAD patients to identify a robust prognostic coexpression module. We then leveraged this module to extract a radiomic signature from metabolic features of <sup>18</sup>F-FDG PET images to predict the death and recurrent risk of patients with LUAD and validated the signature in an independent PET dataset. Finally, we assessed the incremental value of the radiomic signature relative to clinical factors for estimating patient death and recurrent risk.

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Variables	GSE68465	GSE31210	GSE50081	TCGA-LUAD	NR
Sample	334	204	127	15	72
Age					
≤60 years	107	99	19	5	8
>60 years	227	105	108	10	64
Sex					
Female	167	109	62	10	19
Male	167	95	65	5	53
TNM stage					
I	230	162	92	6	57
II	65	42	35	1	10
III	39	0	0	6	5
Grade					
Low	50	-	-	-	24
Medium	157	-	-	-	36
High	123	-	-	-	12
Smoking status					
Non-smoker	33	105	23	-	14
Smoker	217	99	92	-	58
Lymphovascular invasion					
Absent	249	-	-	-	66
Present	83	-	_	_	6
Pleural invasion					
No	-	-	_	_	55
Yes	-	-	_	-	17
Average OS (months)	47.54	60.47	52.44	35.57	42.79
Average RFS (months)	_	55.85	43.30	15.90	38.20

Table 1 Baseline clinical characteristics of p	patients in the analyzed cohorts
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NR, non-small cell lung cancer (NSCLC)-radiogenomics dataset; OS, overall survival; RFS, recurrence-free survival; TCGA-LUAD, The Cancer Genome Atlas-lung adenocarcinoma dataset.

#### **Methods**

#### Study population

In this study, 4 gene expression datasets and 2 <sup>18</sup>F-FDG PET image datasets were sourced from public databases. The details of multicenter data as well as the clinical information of the patients are presented in *Table 1*. The inclusion criteria for the samples were as follows: (I) histologically confirmed LUAD, (II) TNM stage confirmed

as stage I–IIIA, (III) survival information and complete clinical information available, (IV) treated with curative resection alone, and (V) each gene expression profile dataset having more than 100 samples. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). These details and applications of the analyzed datasets are displayed in Figure S1.

The 4 public gene expression datasets of LUAD tissues used in this study were downloaded from Gene Expression

Omnibus (GEO; http://www.ncbi.nlm.nih.gov/geo/) and the Cancer Genome Atlas (TCGA; https://portal.gdc.cancer. gov/). The largest GSE68465 (30) dataset consisting of 334 patients with overall survival (OS) information was used to identify gene coexpression modules. Two independent datasets [GSE31210 (31), GSE50081 (32)] with OS and recurrence-free survival (RFS) information were used to test the robustness and prognostic performance of the coexpression modules. For the microarray datasets generated by Affymetrix platforms, the robust multi-array average algorithm (33) was used for preprocessing of the raw data. For TCGA data derived from Illumina HiSeq 2000 RNA Sequencing Version 2 (Illumina, San Diego, CA, USA), the normalized count values determined by the fragments per kilobase of exon per million fragments mapped (FPKM) method were obtained and log2-transformed to yield the gene expression values. Probe IDs were mapped to gene IDs according to the corresponding platform files. Ensembl (ENSG) gene IDs or gene symbols were mapped to the Entrez gene IDs. The 11,804 genes commonly measured by the 3 platforms, Affymetrix U133 Plus 2.0, Affymetrix U133A and IlluminaHiSeq\_RNASeqV2, were used for analysis.

<sup>18</sup>F-FDG PET digital imaging and communications in medicine (DICOM) image data of TCGA-LUAD (n=15) and non-small cell lung cancer (NSCLC)– radiogenomics (NR; n=72) datasets (34) were downloaded from the Cancer Imaging Archive (TCIA; https://www. cancerimagingarchive.net/, 2020). Both TCGA-LUAD and NR datasets contained OS and RFS information, and the TCGA-LUAD dataset was used as a discovery dataset to develop a prognostic radiomic signature. The NR dataset was then used as an independent validation dataset.

# Acquisition, processing, segmentation, and quantitative feature extraction of <sup>18</sup>F-FDG PET images

<sup>18</sup>F-FDG PET image acquisition included routine coverage of base of skull to midthigh with additional spot views where necessary. PET images were reconstructed using CT for attenuation correction with iterative ordered subset expectation maximization reconstruction. The standardized uptake value (SUV) of each patient was normalized based on the patient's body weight. Table S1 summarizes the PET/CT parameters (dose and uptake time) used to obtain PET images.

PET image processing, segmentation, and quantitative feature extraction were performed within 3D Slicer (Version 4.10.2; https://download.slicer.org/). First, a DICOM

image was loaded, and the SUV was normalized with the PET DICOM extension based on the patient's body weight from the DICOM image header. Next, the interest regions of the image were delineated and modified by 2 experienced radiologists using the semiautomated segmentation approach described by Beichel *et al.* (35). The final feature set included 5 conventional and 17 new metabolic radiomic features, which were calculated using the PET-IndiC extension (https://www.slicer.org/wiki/Documentation/ Nightly/Extensions/PET-IndiC). The 17 new features were designed to characterize the fluorodeoxyglucose uptake pattern within the segmented lesion using descriptive statistics. The detailed descriptions and analytical definitions of these features are represented in Table S2.

#### Weighted gene coexpression network analysis

Statistical analyses in this study were performed using R software 3.5.3 (http://www.r-project.org/). Weighted gene coexpression network analysis (WGCNA) (36) was performed to identify distinct coexpression modules of prognostic-related genes in the GSE68465 dataset using the "WGCNA" R package (details in Supplementary Methods). The first principal component of each module was picked as the module eigengene, which represents the expression level of the module. For each module, the median expression value of all samples in the GSE68465 dataset was set as the cutoff point to distinguish the high and low expression groups. The homogeneity of each module was calculated by averaging all pairwise Pearson correlation coefficients of genes within the module (37).

#### Construction of a PET-metabolic radiomic signature

Using the matched radiomic and transcriptomic data of 15 patients in the discovery dataset (TCGA-LUAD), we first calculated the expression level of the prognostic coexpression module. PET-metabolic radiomic features with values that were significantly associated with the expression of the prognostic coexpression module were identified as candidate metabolic features. Based on the candidate metabolic features, we applied multiple linear regression analysis to obtain an optimal set that was most correlated with the expression of the prognostic coexpression module. The set was selected as the PET-metabolic radiomic signature for LUAD prognosis. The median value of regression scores of the signature in the discovery dataset was used as the cutoff value for dividing patients into high-risk ( $\geq$  median) and

low-risk (< median) groups.

#### Statistical analyses

OS was defined as the time from the date of initial surgical resection to the date of death or last contact (censored). RFS was defined as the time from the date of initial surgical resection to the first occurrence of disease progression or death from any cause. To avoid deviations in the patient follow-up time among the different datasets, patients' OS and RFS were truncated at 60 months. Survival curves were estimated using the Kaplan-Meier method and were statistically compared using the log-rank test (38). Multivariate Cox regression analysis was performed to test the independent prognostic value of the signature after the prognosis-associated clinical factors tested were adjusted by univariate Cox regression analysis. Hazard ratio (HR) and 95% CIs were generated using Cox proportional hazards models, and the concordance index (C-index) (39) was also used to estimate the predictive performance of a patient's survival-influencing factors.

To assess the complementary effect of the PET-metabolic radiomic signature on the clinical model in predicting patient prognosis, a radiomic nomogram was constructed using multivariable linear regression analysis ("rms" R package). Additionally, the performance of the radiomic nomogram was evaluated using the C-index, calibration curve, and decision curve analysis. The net reclassification improvement (NRI) index was then determined to quantify the radiomic signature's incremental prognosis improvement using the "nricens" R package.

The "clusterProfiler" R package was used to conduct the functional enrichment analysis of genes correlated with the radiomic features based on the current Kyoto Encyclopedia of Genes and Genomes (KEGG) database, in which a hypergeometric test was employed. Spearman's rank correlation analysis was use to estimate correlations between 2 factors. Wilcoxon rank sum test was used to examine the difference between different groups.

Herein, P values were adjusted using the Benjamini-Hochberg procedure (40) for multiple testing to control the false discovery rate (FDR). A 2-sided P value <0.05 or FDR <0.05 was considered indicative of statistical significance.

#### Results

#### Identification of a prognostic coexpression module for LUAD

Figure 1 presents a flowchart of this study. First, we

extracted 647 risk-related genes whose overexpression were significantly associated with shorter OS of patients (univariate Cox regression, FDR <0.05 and HR >1; *Figure* 2A) and then identified 3 coexpression modules of the riskrelated genes using WGCNA (blue, turquoise, and gray in *Figure 2B,2C*). Univariate Cox analysis showed that the expressions of the 3 coexpression modules were significantly associated with a shorter OS in patients (P<0.05 and HR >1; *Table 2*) in the GSE68465 dataset. Furthermore, for each of the 3 modules, the patients in the high expression group ( $\geq$ the median value) had significantly shorter OS than did the patients in the low expression group (< the median value) (*Figure 2D-2F*).

Next, we tested the homogeneity of the 3 coexpression modules in the 2 validation datasets (GSE31210 and GSE50081). The results (Figure 3A) showed that the blue module, which included 186 genes, had the highest homogeneity scores in the 2 validation sets (GSE31210 score =0.63; GSE50081 score =0.50) when compared with the homogeneity scores in the turquoise module (GSE31210 score =0.21; GSE50081 score =0.40) and the gray module (GSE31210 score =0.24; GSE50081 score =0.18). Furthermore, univariate Cox analysis (Table 2) showed that only the expression of the blue module was significantly associated with OS of patients in the 2 validation datasets (GSE31210: P=0.0006; GSE50081: P=0.0071). Similarly, the expression of the blue module was significantly associated with the RFS of patients in the GSE31210 dataset (P=5.79E-07; HR =1758, 95% CI: 93-32,917) and a similar tendency was observed in the GSE50081 dataset but without statistical significance (P=0.1380; HR =12.76, 95% CI: 0.44-368). Multivariate Cox analysis revealed that the OS was independently predicted by the expression of the blue module after the prognosis-associated clinical factors were adjusted for in the 2 validation cohorts (GSE31210: P=0.0288; GSE50081: P=0.0102; Table S3). The blue module remained significantly associated with the RFS of patients in the multivariate Cox analysis in the GSE31210 dataset (P=3.62E-05; Table S3), and a similar tendency was observed in the GSE50081 dataset but without statistical significance (P=0.2028; Table S3).

Thereafter, according to the cutoff value (-0.0044) of the blue module defined in the GSE68465 dataset, the patients were divided into high- and low-expression groups with significantly different OS in both the GSE31210 dataset (log-rank P=5.17E-05; HR =5.33, 95% CI: 2.15–13.21; C-index =0.69; *Figure 3B*) and the GSE50081 dataset (log-rank P=0.0010; HR =2.66, 95% CI: 1.45–4.89; C-index





**Figure 1** Flowchart showing the development and validation of <sup>18</sup>F-fluorodeoxyglucose positron emission tomography (<sup>18</sup>F-FDG PET)– metabolic radiomic signature for stage I–IIIA lung adenocarcinoma (LUAD) prognosis.

=0.62; *Figure 3C*). Similar results were observed for the RFS analysis (Table S3); that is, the expression of the blue module was significantly and marginally significantly associated with RFS of patients in the GSE31210 dataset (log-rank P=2.43E-06; HR =3.66, 95% CI: 2.06–6.53; C-index =0.66; *Figure 3D*) and the GSE50081 dataset (log-rank P=0.0826; HR =1.77, 95% CI: 0.92–3.41; C-index =0.57; *Figure 3E*), respectively.

These results indicated that the blue module is a robust prognostic factor for LUAD patients. In addition, the functional enrichment analysis showed that the genes of the blue module were significantly enriched in 14 KEGG functional terms (hypergeometric test, FDR <0.05; *Figure 3F*), including several functions related to LUAD prognosis such as cell cycle, DNA replication, p53 signaling pathway, and "mismatch repair. The results provided biological evidence in support of the ability of the blue module to predict LUAD prognosis.

#### Construction and validation of <sup>18</sup>F-FDG PET-metabolic radiomic signature via the leveraging of the prognostic gene coexpression module

Using the gene expression profile collected in parallel with PET image data in the discovery dataset (TCGA-LUAD)

with 15 LUAD patients, we first validated the homogeneity score of the blue module and found that it maintained a high level of homogeneity (score =0.63; Figure 4A) and was significantly higher than the 1000 random scores of the bootstrap approach (P=0.0010). The result indicated the robustness of transcriptomic characteristics within tumor cells in small-scale samples. Additionally, we estimated the homogeneity score of the turquoise (score =0.33; Figure 4A) and gray (score =0.19; Figure 4A) modules, which were not significantly higher than random (P>0.05). We then calculated the expression of the blue module for each sample and extracted 7 candidate metabolic radiomic features whose values were significantly associated with the expression of the blue module (Spearman's rank correlation, FDR <0.05). Furthermore, we performed multiple linear regression analysis for the candidate features to generate a PET-metabolic radiomic signature (denoted as 3-PET: third quartile, standard deviation, and median; Figure S2) consisting of the 3 features which had the highest correlation with the expression of the blue module (adjusted  $R^2$  =0.48; *Figure 4B*, Supplementary Methods). Using the median (0.0082) as the cutoff value, we divided the patients in the TCGA-LUAD discovery dataset into high- and lowrisk patient groups, which vielded significant differences in OS (log-rank P=0.0428; HR =4.64, 95% CI: 0.92-23.51;



**Figure 2** Identification of gene coexpression modules in the GSE68465 dataset. (A) Volcano plot showing the prognosis-associated genes in the GSE68465 dataset. The risk-related genes are highlighted in red [hazard ratio (HR) >1]; the protect-related genes are highlighted in blue (HR <1). (B) Gene coexpression modules identified by weighted gene coexpression network analysis (WGCNA). Three color-coded modules were obtained and are shown as the branches of the clustering dendrogram. Genes that could not be assigned to a module are labeled in gray. (C) The homogeneity scores of 3 coexpression modules in the GSE68465 dataset. (D-F) Kaplan-Meier curves for the 3 modules in the GSE68465 dataset (left to right: blue, turquoise, and gray modules).

C-index =0.73; *Figure 4C*) and RFS (log-rank P=0.0004; HR =18.98, 95% CI: 2.26–159.30; C-index =0.80; *Figure 4D*).

Subsequently, the prognostic performance of 3-PET was validated in an independent PET imaging dataset (the NR dataset) consisting of 72 stage I–IIIA LUAD patients treated with curative resection alone. According to the trained cutoff (0.0082) of 3-PET, 19 patients were classified into the high-risk group and had significantly shorter OS (log-rank P=0.0006; HR =3.64, 95% CI: 1.66–7.98; C-index =0.64; *Figure 5A*) and RFS (log-rank P=0.0013; HR =4.96, 95% CI: 1.69–14.57; C-index =0.66; *Figure 5B*) than did the 53 patients classified into the low-risk group. In the multivariate Cox model, 3-PET remained significantly associated with patients' OS (P=0.0365; HR =2.62, 95% CI: 1.06–6.45; *Figure 5C*) and RFS (P=0.0175; HR =4.02, 95% CI: 1.28–12.66;

*Figure 5D*) after the prognosis-associated clinical factors (TNM stage or lymphovascular invasion) were adjusted for estimated in the univariate Cox model (Table S4).

#### Incremental value and biological interpretation of 3-PET

The multivariate Cox analysis revealed that 3-PET and tumor TNM stage could be used to independently predict OS and RFS in the NR dataset. Consequently, 2 radiomic nomograms for OS and RFS that incorporated a clinical risk factor (TNM stage) and 3-PET were generated using the NR dataset (*Figure 6A,6B*). The discrimination performance of the radiomic nomograms exhibited significantly higher C-indices relative to those of the clinical nomograms (Figure S3A,S3B) and 3-PET (OS: C-index =0.67; RFS: C-index

Table 2 Univariate Cox analysis of the expression of the coexpression module in the analyzed gene expression datasets

Veriebles	Overall survival		Recurrence-free survival		
variables –	HR (95% CI)	P value	HR (95% CI)	P value	
GSE68465					
Blue	1774.82 (90.00–34,999)	8.75E-07	-	_	
Turquoise	6,754.30 (200.9–227,041)	8.79E-07	-	_	
Gray	196,687.70 (6,470–5,979,021)	2.61E-12	-	_	
GSE31210					
Blue	1,685 (24.82–114,404)	0.0006	1,758 (93–32,917)	5.79E-07	
Turquoise	1,721.51 (19.20–154,374)	0.0012	2,304 (99.89–53,133)	1.33e-06	
Gray	17,142.30 (178.3–1,648,098)	2.85E-05	10,986 (439.9–274,371)	1.45e-08	
GSE50081					
Blue	60.96 (3.06–1213)	0.0071	12.76 (0.44–368)	0.1380	
Turquoise	0.61 (0.02–18.38)	0.7760	0.06 (0.01–2.01)	0.1150	
Gray	24.51 (0.87–690.9)	0.0604	5.78 (0.14–235.1)	0.3540	

HR, hazard ratio.

=0.72; *Table 3*) based on the NRI indices for OS and RFS (Figure S3C,S3D), respectively. The calibration curves corresponding to the radiomic nomogram at 1-, 3-, and/ or 5-year OS and RFS showed good agreement between the estimations and the clinical outcomes in the NR dataset (*Figure 6C,6D*). Furthermore, the decision-curve analysis showed that the radiomic nomogram exhibited superior performance compared with the clinical nomogram across the majority of the range of reasonable threshold probabilities in the NR dataset (*Figure 6E,6F*).

To clarify the underlying biological processes associated with 3-PET, we further analyzed the gene expression profiles collected along with PET image data corresponding to the TCGA-LUAD dataset. First, we identified 1,833, 1,822, and 1,782 genes significantly correlated with the values of the standard deviation, median, and third quartile features (Spearman's rank correlation, P<0.05), respectively. We found these genes were significantly enriched in several functional pathways related to LUAD progress (hypergeometric test, FDR <0.05; *Figure 7A*), including cell cycle, p53 signaling pathway, and DNA replication. Then, we analyzed the correlation of 3-PET with the conventional PET prognostic index (SUV<sub>max</sub>) and found that the highrisk patients stratified by 3-PET had significantly higher values of SUV<sub>max</sub> than did the low-risk patients (Wilcoxon rank sum, P=5.30E-10; Figure 7B), which indicated the good prognostic ability of 3-PET.

#### **Discussion**

LUAD is a clinically heterogeneous disease with large variations in clinical outcomes even among patients with the same TNM stage (41). It is therefore necessary to develop a novel signature to improve the prediction of death or recurrence risk in patients with LUAD. Radiomics is an emerging technique that converts conventional medical images into high dimensional features, providing valuable data to develop noninvasive signatures for cancer prognosis (12,42,43). Compared with tumor phenotypic radiomic features derived from CT or PET/CT, which are sensitive to the multicenter effect (28), metabolic features are more repeatable and reproducible across multicenter cohorts and are more suitable for clinical application. In this study we have therefore developed a noninvasive radiomic signature based on PET-metabolic features with the aid of a transcriptomic module, which could be individually applied in a clinical setting to identify patients with LUAD who have a high risk of death and recurrence.

Thus far, the small-scale of <sup>18</sup>F-FDG PET datasets (TCGA, n=15; NR, n=78) has limited the development of a robust PET-metabolic radiomic signature for LUAD prognosis. Additionally, the survival information (OS and

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Figure 3 Validation of coexpression module for lung adenocarcinoma (LUAD) prognosis in the GSE31210 and GSE50081 datasets. (A) The homogeneity scores of 3 coexpression modules (top to bottom: GSE31210 and GSE50081). (B,C) Kaplan-Meier curves of overall survival for blue module (left to right: GSE31210 and GSE50081). (D,E) Kaplan-Meier curves of recurrence-free survival for the blue module (left to right: GSE31210 and GSE50081). (F) Kyoto Encyclopedia of Genes and Genomes (KEGG) functional enrichment analyses of the 186 genes in the blue module.



**Figure 4** Construction of 3-PET in the The Cancer Genome Atlas–lung adenocarcinoma dataset (TCGA-LUAD) discovery dataset. (A) The homogeneity scores of 3 coexpression modules and their distribution of 1,000 random scores in the TCGA-LUAD gene expression dataset. The dots of blue, turquoise, and gray represent the actual homogeneity scores of the 3 modules. (B) Scatter plots of correlations between the expression of the blue module and regression score of 3-PET. (C) Kaplan-Meier curves of overall survival for the 15 patients in the TCGA-LUAD discovery dataset. (D) Kaplan-Meier curves of recurrence-free survival for the 15 patients in the TCGA-LUAD discovery dataset.

RFS) of patients in TCGA dataset cannot not fully reflect the patients' malignancy degree because they receive different therapeutic strategies after surgery (44,45). Therefore, we hypothesized that using robust molecular information (such as gene expression patterns) related to the clinical outcomes of interest would be an effective method in identifying suitable radiomic features. In this study, we first identified 3 coexpression modules (blue, turquoise, and gray) in a gene expression profile consisting of 334 patients with stage I–IIIA LUAD (GSE68465) and found that the blue module had the highest homogeneity scores in 2 independent expression datasets (GSE31210 and GSE50081). Moreover, the blue module demonstrated better performance in predicting OS and RFS in the 2 independent datasets. The

functional enrichment analyses showed that the genes of the blue module were significantly enriched in pathways related to the prognosis of LUAD, such as cell cycle, DNA replication, and p53 signaling pathway. The results showed that the blue module is a robust prognostic factor for LUAD patients and is a worthwhile intermediary in the development of a noninvasive radiomic signature for clinical application.

To confirm our hypothesis that robust molecular information (gene expression patterns) can be used to identify radiomic features related to specific clinical outcomes, we first validated the homogeneity score of the blue module (i.e., the prognostic coexpression module). We found that the homogeneity score was significantly higher



**Figure 5** The validation of 3-PET in the non-small cell lung cancer (NSCLC)–radiogenomics (NR) dataset. (A) Kaplan-Meier curves of overall survival for the 72 patients. (B) Kaplan-Meier curves of recurrence-free survival for the 72 patients. (C) Multivariate Cox analyses of overall survival for 3-PET after adjustment for the prognosis-associated clinical factors. (D) Multivariate Cox analyses of recurrence-free survival for 3-PET after adjustment for the prognosis-associated clinical factors.

than random in the TCGA-LUAD dataset with only 15 samples, supporting the robustness of transcriptomic characteristics within tumor cells in small-scale samples. In the TCGA-LUAD dataset, we further identified 7 PETmetabolic radiomic features whose levels were significantly associated with the expression of the blue module (Spearman's rank correlation, FDR <0.05). On the other hand, there were no features whose levels were significantly associated with patients' OS or RFS in the TCGA-LUAD dataset (univariate Cox analysis, P>0.05). Thereafter, by leveraging the expression of the blue module, we developed a PET-metabolic radiomic signature (3-PET) for LUAD prognosis in the TCGA-LUAD discovery dataset. 3-PET could be directly applied to an individual patient to determine the patient's death or recurrence risk based on the trained cutoff value without any cohort normalization. The prognostic performance of 3-PET was effectively validated in an independent dataset (NR dataset) in which both the 5-year survival and recurrence rate of the high-risk patients predicted by 3-PET was significantly lower than that predicted for the low-risk patients (5-year survival: high-risk vs. low-risk = 0.31 vs. 0.69; 5-year recurrence: high-risk vs. low-risk = 0.47 vs. 0.83). These results indicate good the prognostic performance and robustness of 3-PET in identifying LUAD patients with poor prognosis. Additionally, we compared 3-PET with a conventional metabolic prognostic index (SUV<sub>max</sub>) and a published PET phenotypic-based signature (Ahn's model) (42) in the NR dataset. Although 3-PET showed significant association with SUV<sub>max</sub>, the survival analyses in the NR dataset demonstrated the superior prognostic performance of 3-PET (OS: Cox P=0.0012, C-index =0.64; RFS: Cox P=0.0036, C-index =0.66) as compared to  $SUV_{max}$  with grouping by risk cutoff (2.5), with the latter being only potentially associated with OS and RFS of patients (OS: Cox P=0.1500, C-index =0.58; RFS: Cox P=0.0740, C-index =0.63). Similar results were observed for the other risk cutoff value (5.9) for SUV<sub>max</sub> (OS: Cox P=0.0023, C-index = 0.63; RFS: Cox P=0.1030, C-index =0.60), which has also been used in several studies (46). Next, for Ahn's model,



**Figure 6** A lung adenocarcinoma (LUAD) survival radiomic nomogram and its performance in the non-small cell lung cancer (NSCLC)– radiogenomics (NR) dataset. (A,B) The LUAD survival radiomic nomogram with 3-PET and a clinicopathological factor (TNM stage) trained in the NR dataset (left to right: radiomic nomogram for overall survival and recurrence-free survival). The points of the radiomic signature and clinical factor were obtained based on the top points bar with a scale of 0–100. Then, the total points were calculated by summing the 2 points, and a line was drawn downward to the survival axis to determine the likelihood of 1-, 3-, or 5-year survival. (C,D) The calibration curves for the radiomic nomogram (left to right: calibration curves for overall survival and recurrence-free survival). The diagonal gray line represents an ideal evaluation. (E,F) The decision curves for the nomogram for the radiomic nomogram (left to right: decision curves for overall survival and recurrence-free survival).

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Table 3 Prognostic performances of models for OS and RFS

Deremetere	C-index	(95% CI)
Farameters	OS	RFS
Radomic nomogram	0.67 (0.57–0.77)	0.72 (0.58–0.87)
3-PET	0.64 (0.55–0.73)	0.66 (0.54–0.79)
Clinical nomogram	0.62 (0.54–0.72)	0.67 (0.54–0.80)

OS, overall survival; RFS, recurrence-free survival.



**Figure 7** Molecular characteristics associated with 3-PET in lung adenocarcinoma and conventional metabolic features differential analysis. (A) Gene-enrichment analysis of correlated genes with the 3 PET-metabolic radiomic features in 3-PET based on the Kyoto Encyclopedia of Genes and Genomes (KEGG) database in the non-small cell lung cancer (NSCLC)–radiogenomics (NR) dataset. The corresponding significance is shown by asterisks in the circles (\*FDR <0.05; \*\*FDR <0.01). (B) The box plots of SUVmax between the 2 risk groups in the NR cohort.

the risk scores of LUAD patients were calculated based on a single PET phenotypic-based feature (neighborhoodgray-level-difference-matrix contrast) in the model. The risk scores were not significantly associated with patients' OS and RFS in the NR dataset (OS: Cox P=0.1036, C-index =0.59; RFS: Cox P=0.3939, C-index =0.58). More importantly, according to the risk cutoff (0.001) all patients were classified as high risk; this suggests that the risk cutoff of a PET/CT phenotypic-based radiomic signature derived from its discovery cohort cannot be directly applied to independent cohorts due to multicenter effects.

Furthermore, combining the clinical TNM stage with 3-PET in a radiomic nomogram significantly improved the prognostic performance of the clinical staging system in the NR dataset. Our results indicated that 3-PET could provide additional prognostic information for patients within the same clinical stage. Therefore, it is worthwhile to develop 3-PET as a noninvasive individual prognostic tool for clinical application. Here, we tentatively performed subgroup analyses and showed that 3-PET also could predict the prognosis of patients with T1 stage LUAD (Figure S4). A similar trend was observed in patients with N0 stage LUAD; that is, the high-risk patients had shorter OS and RFS than did the low-risk patients, but not significantly so. This needs further large-scale validation with multicenter clinical trials.

A radiomic signature that is accurately linked to the underlying biological progression of a disease is favorable for clinical application. Therefore, we also identified the genes corresponding to each feature within 3-PET and found that these correlated genes were also significantly enriched in cell cycle, p53 signaling pathway, and DNA replication functional pathways (Table S5). For example, all 3 features showed strongly positive correlations with genes involved in the cell cycle pathway, including cyclin B1 (*CCNB1*), cyclin B2 (*CCNB2*), and cyclin E1 (*CCNE1*), which have

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been reported to promote the occurrence and development of LUAD (47,48). These results indicate that 3-PET could reflect the underlying biological characteristics within tumor cells that are strongly associated with clinical outcomes.

#### Conclusions

In conclusion, the PET-metabolic radiomic signature was able to identify LUAD patients with high risk of death and recurrence who required further adjuvant therapy after surgery, thereby improving prognosis for high risk patients and sparing low risk patients complications associated with adjuvant therapy. This noninvasive approach can provide a more precise diagnosis from imaging studies and may serve as a tool to guide the personalized treatment of patients.

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#### Footnote

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at https://dx.doi. org/10.21037/qims-21-706). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). No ethical approval or written informed consent was required for this retrospective study.

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#### Supplementary

#### Weighted gene coexpression network analysis

The "pickSoftThreshold" function was used to determine the proper soft-thresholding power ( $\beta$ ) that fit the criteria of the approximate scale-free topology of the network. An adjacency matrix was then built with a soft-thresholding power of 6 in this study. The correlation matrix was transformed into an adjacency matrix (matrix of connection strength) using the power function, and pairwise topologic overlap between genes was calculated. Hierarchical clustering was constructed to identify distinct modules using the "blockwiseModules" function with the parameters minModuleSize and mergeCutHeight set to 50 and 0.25, respectively, in the weighted gene coexpression network analysis. Genes that were not assigned to any module were labeled in gray color.

#### Calculation formula for the radiomic signature

Risk score = 0.12310108 × (third quartile) - 0.09250096 × (SD) - 0.11141270 × (median) - 0.03370519.



Figure S1 The inclusion criteria and flowchart of the analyzed datasets. FDG, fluorodeoxyglucose; LUAD, lung adenocarcinoma; NSCLC, non-small cell lung cancer; PET; positron emission tomography; TCGA, The Cancer Genome Atlas.

Table S1 Summary of key PET/CT parameters in the analyzed dataset

Parameter	Value
FDG dose (MBq)	138.90–572.25
FDG uptake time (min)	23.08–128.90

FDG, fluorodeoxyglucose; PET, positron emission tomography; CT, computed tomography.

Table S2 Overview	of the image-derived	features that were used

Feature name	Description	Feature type
SUV <sub>mean</sub>	SUV mean of ROI	С
SUV <sub>min</sub>	SUV minimum of ROI	Ν
SUV <sub>max</sub>	SUV maximum of ROI	С
SUV <sub>peak</sub>	Maximum average gray value that is calculated from a 1 cm <sup>3</sup> sphere placed within the ROI	С
MTV	Metabolic tumor volume	С
TLG	Total lesion glycolysis	С
SD	Standard deviation of ROI	Ν
First quartile	25th percentile value in ROI	Ν
Median	50th percentile value in ROI	Ν
Third quartile	75th percentile value in ROI	Ν
Upper adjacent	First value in ROI not greater than 1.5 times the interquartile range	Ν
RMS	Root mean square value in ROI	Ν
Glycolysis Q1	Lesion glycolysis calculated from the 1st quarter of the grayscale range within the ROI	Ν
Glycolysis Q2	Lesion glycolysis calculated from the 2nd quarter of the grayscale range within the ROI	Ν
Glycolysis Q3	Lesion glycolysis calculated from the 3rd quarter of the grayscale range within the ROI	Ν
Glycolysis Q4	Lesion glycolysis calculated from the 4th quarter of the grayscale range within the ROI	Ν
Q1 distribution	Percent of gray values that fall within the 1st quarter of the grayscale range within the ROI	Ν
Q2 distribution	Percent of gray values that fall within the 2nd quarter of the grayscale range within the ROI	Ν
Q3 distribution	Percent of gray values that fall within the 3rd quarter of the grayscale range within the ROI	Ν
Q4 distribution	Percent of gray values that fall within the 4th quarter of the grayscale range within the ROI	Ν
SAM	Standardized added metabolic activity	Ν
SAM background	Local background estimator near ROI	Ν

ROI, region of interest; C, conventional; N, new.

	Univariate		Multivariate	
Variables	HR (95% CI)	P value	HR (95% CI)	P value
GSE31210				
Overall survival analysis				
Expression of blue module	1,685 (24–114,404)	0.0006	233 (1.76–31,077)	0.0288
Stage (III vs. II vs. I)	4.07 (1.90-8.70)	0.0003	3.00 (1.37–6.55)	0.0058
Age (>60 <i>vs</i> . ≤60 years)	1.24 (0.58–2.65)	0.5770	-	-
Sex (male vs. female)	2.24 (1.02–4.88)	0.0438	1.28 (0.44, 3.72)	0.6462
Smoking status (smoker vs. non-smoker)	2.52 (1.13–5.62)	0.0238	1.48 (0.49, 4.50)	0.4866
GSE31210				
Recurrence-free survival analysis				
Expression of blue module	1,758 (93–32,917)	50.79E-07	845 (34.53–20,725)	30.62e-05
Stage (III vs. II vs. I)	3.55 (2.03–6.21)	80.48E-06	2.73 (1.55–4.82)	0.0005
Age (>60 <i>vs</i> . ≤60 years)	1.53 (0.88–2.65)	0.1320		
Sex (male vs. female)	1.46 (0.85–2.50)	0.1730		
Smoking status (smoker vs. non-smoker)	1.48 (0.86–2.55)	0.1530		
GSE50081				
Overall survival analysis				
Expression of blue module	60.96 (3.06–1213)	0.0071	56.42 (2.61–1,221)	0.0102
Stage (III vs. II vs. I)	2.19 (1.19–4.04)	0.0114	2.09 (1.14–3.85)	0.0174
Age (>60 <i>vs</i> . ≤60 years)	2.03 (0.73–5.68)	0.1780		
Sex (male vs. female)	1.53 (0.83–2.81)	0.1690		
Smoking status (smoker vs. non-smoker)	2.49 (0.89–7.03)	0.0832		
GSE50081				
Recurrence-free survival analysis				
Expression of blue module	12.76 (0.44–368)	0.1380	9.68 (0.29–318.54)	0.2028
Stage (III vs. II vs. I)	2.57 (1.32–5.01)	0.0054	2.48 (1.27–4.83)	0.0077
Age (>60 <i>vs.</i> ≤60 years)	1.01 (0.42–2.43)	0.9810		
Sex (male vs. female)	1.27 (0.66–2.46)	0.4730		
Smoking status (smoker vs. non-smoker)	0.97 (0.44–2.13)	0.9300		

Table S3 Univariate and multivariate Cox regression analysis in the analyzed gene expression datasets

HR, hazard ratio.



**Figure S2** Scatter plots of correlations between the expression of blue module and PET parameters in the 3-PET. (A) Standard deviation. (B) Median. (C) Third quartile. ME, module eigengene; PET, positron emission tomography.

Variablea	Overall surviv	val	Recurrence-free survival		
Valiables	HR (95% CI)	P value	HR (95% CI)	P value	
3-PET (high risk <i>vs.</i> low risk)	3.64 (1.66–7.98)	0.0012	4.96 (1.69–14.57)	0.0036	
TNM stage (III vs. II vs. I)	3.25 (1.81–5.86)	80.6e-05	4.19 (2.03–8.64)	0.0001	
Age (>60 <i>vs.</i> ≤60 years)	1.56 (0.37–6.60)	0.5460	1.80 (0.23–13.75)	0.5720	
Sex (male vs. female)	2.04 (0.70–5.92)	0.1900	0.94 (0.29–2.99)	0.9140	
Grade (high vs. medium vs. low)	1.38 (0.77–2.47)	0.2840	1.80 (0.82–3.95)	0.1420	
Smoking status (smoker vs. non-smoker)	1.32 (0.45–3.84)	0.6090	0.91 (0.25–3.27)	0.8870	
Lymphovascular invasion (present vs. absent)	1.86 (1.01–3.43)	0.0470	1.86 (0.72–4.78)	0.1990	
Pleural invasion (yes vs. no)	2.10 (0.90–4.88)	0.0852	1.91 (0.60–6.08)	0.2760	
SUV <sub>max</sub> (>2.5 <i>vs.</i> ≤2.5)	1.96 (0.78–4.88)	0.1500	3.92 (0.88–17.57)	0.0740	

<b>Lable D</b> Chivallate Con regression analysis in the rate and attaction datase	Table S4 Univariate	Cox regression	analysis in th	e NR	validation	datasets
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NR, non-small cell lung cancer (NSCLC)-radiogenomics; HR, hazard ratio.



**Figure S3** The clinical nomogram and performance improvement evaluation of the radiomic nomogram. (A) The clinical nomogram for overall survival; (B) the clinical nomogram for recurrence-free survival; (C) performance improvement of the radiomic nomogram compared with the clinical nomogram model and 3-PET estimated for overall survival by net reclassification improvement (NRI) methods; (D) performance improvement of the radiomic nomogram compared with clinical nomogram model and 3-PET estimated for recurrence-free survival by net reclassification improvement (NRI) methods. The corresponding significance is shown by asterisks in the circles (\*P<0.05 and \*\*P<0.01).



**Figure S4** Kaplan-Meier curves for patients with early-stage disease in the non-small cell lung cancer (NSCLC)–radiogenomics (NR) dataset. (A) Kaplan-Meier curves for overall survival of 45 patients with stage T1 disease; (B) Kaplan-Meier curves for recurrence-free survival of 45 patients with stage T1 disease; (C) Kaplan-Meier curves for overall survival of 63 patients with stage N0 disease; (D) Kaplan-Meier curves for recurrence-free survival of 63 patients with stage N0 disease.

Feature	Pathway	FDR	Gene symbol
Standard deviation	Cell cycle	2.66E-05	TTK, SKP1, PRKDC, CDC6, PLK1, CDC20, MCM6, CCND1, CDC25C, MAD2L1, YWHAQ, CCNE1, CCNA2, CDC16, MAD2L2, TFDP1, HDAC1, CCNB2, CDK1, CCNB3, MCM4, ORC1, CCNB1, ANAPC11, BUB1B
Standard deviation	Huntington's disease	0.0009	PSMB5, POLR2I, TUBA1C, POLR2F, PSMA7, CYCS, ATP5PF, WIPI2, UQCRH, PSMC3, PIK3C3, CASP3, NDUFA6, UQCRHL, BBC3, GPX8, MAP3K5, PSMC4, PSMB2, KLC3, PSMB7, HDAC1, ATG2A, NDUFB6, DNAH1, TUBA1B, HAP1, UQCRFS1, CLTA, NDUFA4, VDAC2, PSMC1, SDHB, UQCRQ, TUBB2B, PLCB2, POLR2D, COX6B1
Standard deviation	Parkinson's disease	0.0037	PSMB5, TUBA1C, PSMA7, CYCS, ATP5PF, UQCRH, PSMC3, CASP3, NDUFA6, UQCRHL, PARK7, MAP3K5, PSMC4, PSMB2, KLC3, GNAI3, PSMB7, EIF2S1, MAOB, NDUFB6, SNCA, TUBA1B, UBE2L3, UQCRFS1, NDUFA4, VDAC2, PSMC1, SDHB, UQCRQ, TUBB2B, COX6B1
Standard deviation	Progesterone-mediated oocyte maturation	0.004	RPS6KA2, PLK1, SPDYE2, CDC25C, MAD2L1, CCNA2, CDC16, MAD2L2, GNAI3, AURKA, CCNB2, CDK1, MOS, CCNB3, CCNB1, ANAPC11, ADCY9
Standard deviation	Oocyte meiosis	0.0105	RPS6KA2, SKP1, PLK1, CDC20, SPDYE2, PPP2CA, CDC25C, MAD2L1, YWHAQ, CCNE1, CDC16, MAD2L2, AURKA, CCNB2, CDK1, MOS, CCNB1, ANAPC11, ADCY9
Standard deviation	Ribosome	0.022	RPL38, RPL9, MRPS16, RPL27, RPS8, RPL26L1, RPS17, RPS21, RPL17-C18orf32, RPL35, MRPL11, MRPL15, MRPL30, RPL11, RPL21, MRPS14, RPL4, MRPS7, MRPL12
Standard deviation	Alzheimer's disease	0.0343	ATP2A1, PSMB5, TUBA1C, PSMA7, CYCS, ATP5PF, WIPI2, UQCRH, PSMC3, PIK3C3, CASP3, NDUFA6, CACNA1F, UQCRHL, RTN3, AXIN1, NRAS, MAP3K5, PSMC4, PSMB2, KLC3, PSMB7, EIF2S1, ATG2A, NDUFB6, SNCA, TUBA1B, UQCRFS1, NDUFA4, CACNA1S, VDAC2, PSMC1, SDHB, UQCRQ, TUBB2B, PLCB2, COX6B1
Standard deviation	DNA replication	0.0462	RNASEH2B, RFC5, POLE2, RFC3, RNASEH2A, MCM6, RFC2, MCM4
Standard deviation	P53 signaling pathway	0.0483	CYCS, CASP3, GTSE1, RRM2, BBC3, CCND1, CCNE1, TNFRSF10A, TNFRSF10B, CCNB2, CDK1, CCNB1
Median	Cell cycle	1.57E-07	YWHAB, ANAPC1, CUL1, PRKDC, CDC6, CDK2, PLK1, STAG1, SMC3, CDC20, MCM6, CDC25C, ESPL1, CDKN1B, GADD45G, CCNA2, TFDP1, CCNB2, E2F4, CD- C25A, GADD45B, MCM2, MCM4, MCM3, CDK6, ORC1, CCNB1, BUB1B, CDC23
Median	RNA transport	0.0007	TGS1, UPF1, ALYREF, NUP188, EIF4EBP2, XPO5, TACC3, EIF3B, XPOT, POP1, PABPC3, NCBP2, THOC6, EIF2S1, EIF2B2, NUP214, POM121C, RPP38, EIF5B, EIF4G1, PRMT5, UPF3B, RANGAP1, NUP93, NUP50, NUP153, EIF4A2
Median	Oocyte meiosis	0.0295	YWHAB, ANAPC1, CUL1, CALM2, CDK2, PLK1, SMC3, CDC20, CDC25C, ESPL1, AURKA, CCNB2, PPP2R5D, MOS, PPP2R1B, PPP2R5E, PPP2CB, CCNB1, CDC23
Third quartile	Cell cycle	3.37E-10	YWHAB, CUL1, TTK, PRKDC, CDC6, CDC45, CDK2, PLK1, CDC20, MCM6, CDC25C, MAD2L1, ESPL1, CCNE1, GADD45G, CCNA2, CDC16, TFDP1, HDAC1, CCNB2, CDK1, BUB1, RBL1, CDC25A, GADD45B, MCM2, MCM4, MCM3, ORC1, CCNB1, BUB1B
Third quartile	DNA replication	0.0004	RFC5, FEN1, POLE2, RFC3, RNASEH2A, PRIM1, MCM6, MCM2, RFC2, MCM4, MCM3
Third quartile	Proteasome	0.0128	PSMB5, PSMA7, PSMC3, ADRM1, PSMC4, PSMB2, PSMD2, PSMB7, POMP, PSMC1
Third quartile	P53 signaling pathway	0.0128	ADGRB1, CDK2, GTSE1, SESN2, RRM2, BBC3, CCNE1, GADD45G, TNFRSF10B, CCNB2, CDK1, GADD45B, CCNB1
Third quartile	Oocyte meiosis	0.0128	YWHAB, CUL1, RPS6KA2, CDK2, PLK1, CDC20, CDC25C, MAD2L1, ESPL1, CCNE1, CDC16, AURKA, CCNB2, CDK1, BUB1, MOS, PPP2CB, CCNB1
Third quartile	Progesterone-mediated oocyte maturation	0.0453	RPS6KA2, CDK2, PLK1, CDC25C, MAD2L1, CCNA2, CDC16, AURKA, CCNB2, CDK1, BUB1, MOS, CDC25A, CCNB1

Table S5 Gene enrichment analysis of significantly correlated genes with radiomic features in 3-PET based on the KEGG database

FDR, false discovery rate; KEGG, Kyoto Encyclopedia of Genes and Genomes; PET, positron emission tomography.