

Development and validation of novel radiomics-based nomograms for the prediction of *EGFR* mutations and Ki-67 proliferation index in non-small cell lung cancer

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Background: We developed and validated novel radiomics-based nomograms to identify epidermal growth factor receptor (*EGFR*) mutations and the Ki-67 proliferation index of non-small cell lung cancer.

Methods: We enrolled 132 patients with histologically verified non-small cell lung cancer from four hospital institutions who underwent computed tomography (CT) scans. *EGFR* mutations and the Ki-67 proliferation index were measured from tumor tissues. A total of 1,287 radiomic features were extracted, and a three-stage feature selection method was implemented to acquire the most valuable radiomic features. Finally, the radiomic scores and nomograms of the two tasks were established and tested. Receiver operating characteristic curves, calibration curves, and decision curves were used to evaluate their prediction performance and clinical utility.

Results: In task [1], smoking status and histological type were significantly associated with *EGFR* mutations. After feature selection, 10 features were used to establish radiomic score, which showed good performance [area under the curve (AUC) =0.800] in the validation cohort. The radiomic nomogram had an AUC of 0.798 (95% CI: 0.664 to 0.931) with a C-index of 0.798 in the validation cohort. In task [2], gender, smoking status, histological type, and stage showed a significant correlation with Ki-67 proliferation index expression. A total of 28 features were selected to develop a radiomic score, with an AUC of 0.820 in the validation cohort. The final nomogram showed an AUC of 0.828 (95% CI: 0.703 to 0.953) with a C-index of 0.828 in the validation cohort.

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Conclusions: *EGFR* mutations and Ki-67 proliferation index in non-small cell lung cancer can be predicted efficiently by the novel radiomic scores and nomograms.

Keywords: Non-small cell lung cancer (NSCLC); epidermal growth factor receptor (*EGFR*); Ki-67; radiomics; nomogram

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Introduction

Non-small cell lung cancer (NSCLC) is one of the leading causes of cancer-related death worldwide, with the most common histological types of adenocarcinoma and squamous cell carcinoma with a high reoccurrence rate and a poor prognosis for patients (1,2). Epidermal growth factor receptor (*EGFR*) tyrosine kinase inhibitors (TKIs) can improve the survival of patients who have lung adenocarcinoma with sensitive mutations in the *EGFR* gene and improve their quality of life (3,4). However, patients cannot benefit from negative or non-sensitive mutations in the *EGFR* gene (5). Therefore, it is extremely important to detect the status of the *EGFR* gene before giving targeted drug therapy to patients with lung adenocarcinoma.

Currently Ki-67 is the most widely used marker for cell proliferation assessment (6), and its expression is related to the development, metastasis and prognosis of lung cancer (7). Studies show that the Ki-67 nuclear antigen is present only in proliferating cells, thus making it a reliable avenue for rapidly evaluating the growth fraction of both normal and abnormal cells (8). Hence, Ki-67 proliferation index (PI) has been used in a variety of tumors to reflect the proliferation of tumor cells, evaluate prognosis and design molecular targeted drugs (9). It has also been shown to be an important prognostic factor for lung cancer (10-12). According to Wei et al. (7), Ki-67 has different expression levels in different tissue types of lung cancer. Among them, small cell lung cancer has the highest expression, and lung squamous cell carcinoma has higher expression than adenocarcinoma. Therefore, predicting Ki-67 PI with high accuracy may highlight tumor invasive growth patterns, which might then allow for a precise evaluation of tumor biological behavior and aid in clinical treatment decision making for the individualized management of patients.

However, in clinical practice, EGFR and Ki-67 indicators

can only be obtained through immunohistochemical staining. The acquisition of tissue samples is invasive and there are certain subjectivity and sampling errors. At the same time, there are some patients without an inclination for surgery or needle biopsy for whom level of expression cannot be evaluated.

Radiomics analysis, which was proposed by Lambin et al. in 2012 (13), involves the extraction of a large number of quantitative features from digital images to determine relationships between such features and the underlying pathophysiology (14). Radiomics analysis of large imaging datasets has been successfully employed in the field of oncology for noninvasively profiling tumor heterogeneity (15,16), and there is a growing interest in devising maps that display the associations between tumor heterogeneity and imaging features (17). This involves the extraction of quantitative features from digital medical images, which enables mineable high-dimensional data to be applied within clinical decision support to offer improved diagnostic, prognostic, and predictive accuracy (18-22). Radiomics is gaining importance in personalized cancer therapy. Since computed tomography (CT) is routinely used in lung cancer diagnosis, this study intended to establish the CT imaging radiomics label of lung cancer patients, and to explore the feasibility of using it to predict the sensitive mutation of EGFR gene and Ki-67 expression level in lung cancer.

This study aimed to develop and evaluate novel radiomic scores (Rad-Scores) and nomograms to predict *EGFR* mutations and Ki-67 PI expression based on pre-treatment CT images of NSCLC patients. These useful radiomics-based nomograms could provide a non-invasive strategy to assess *EGFR* mutation status and cell proliferation, which may also help to guide proper clinical treatment.

We present the following article in accordance with the TRIPOD reporting checklist (available at https://qims. amegroups.com/article/view/10.21037/qims-21-980/rc).



Figure 1 The study flowchart. EGFR, epidermal growth factor receptor; PI, proliferation index; Rad-Score, radiomic score.

Methods

Ethics and study design

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This retrospective study was approved by the Institutional Review Board of Shandong Cancer Hospital and individual consent for this retrospective analysis was waived. *Figure 1* shows the flowchart of our study. The current study contained two tasks: task [1] was designed to differentiate *EGFR* mutant and wild type, and task [2] was designed to differentiate high Ki-67 PI expression from low expression.

A total of 132 patients were analyzed retrospectively, and patients were divided into the primary cohort and an independent validation cohort according to the data source. The primary cohort included 87 patients from the first hospital between January 2018 and March 2019, and the validation cohort included 39 patients from the second hospital, 4 patients from the third hospital, and 2 patients from the fourth hospital between November 2018 and May 2020. Based on the dataset, the volume of interest (VOI) was manually delineated on each pre-processed CT image using ITK-SNAP. As a result, a total of 1,287 quantitative features were extracted. A three-stage feature selection method was then implemented for data mining in the primary cohort for the two tasks. Finally, the radiomic scores and nomograms of the two tasks were established in the primary cohort, and tested in the validation cohort.

Patients

Eligible patients in this study were those who had complete clinical information, histologically verified NSCLC, and confirmed sufficient tissue for *EGFR* and Ki-67 immunohistochemical staining. Clinical and histological characteristics of the patients (including gender, age, smoking status, tumor location, CT pattern, histological type, stage, *EGFR* gene status, and Ki-67 expression) in primary and validation cohorts were retrospectively obtained from medical records. Pathologic tumor stage was defined using the American Joint Committee on Cancer staging manual, the eighth edition (23). The stage was assigned retrospectively for patients whose tumors were staged before publication of the eighth edition.

In task [1], 75 patients had the *EGFR* mutations, and 57 patients had *EGFR* wild type. In task [2], 52 patients had high Ki-67 PI expression, and 80 patients had low Ki-67 PI expression. The basic clinical data of patients are provided in *Table 1*.

EGFR mutation status and Ki-67 PI assessment

In regards to molecular profiles, tumor specimens were obtained using surgical resection. EGFR mutations were identified on four tyrosine kinase domains (exons 18–21), which are frequently mutated in lung cancer. If any exon mutation was detected, the tumor was identified as an EGFR mutant; otherwise, the tumor was identified as EGFR

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Table 1 The basic clinical data of patients

Characteristics	All patients (N=132)	Primary cohort (N=87)	Validation cohort (N=45)	P*
Gender, n (%)				0.304
Male	68 (51.52)	42 (48.28)	26 (57.78)	
Female	64 (48.48)	45 (51.72)	19 (42.22)	
Age, mean [range] (years)	58.8 [27–80]	58.0 [27–80]	60.4 [38–78]	0.204
Smoking status, n (%)				0.149
Never	90 (68.18)	63 (72.41)	27 (60.00)	
Smoker	42 (31.82)	24 (27.59)	18 (40.00)	
Tumor location, n (%)				0.643
Right upper lobe	48 (36.36)	31 (35.63)	17 (37.78)	
Right middle lobe	7 (5.30)	5 (5.75)	2 (4.44)	
Right lower lobe	27 (20.45)	15 (17.24)	12 (26.67)	
Left upper lobe	36 (27.27)	27 (31.03)	9 (20.00)	
Left lower lobe	14 (10.61)	9 (10.34)	5 (11.11)	
CT pattern, n (%)				0.074
Pure solid nodule	74 (56.06)	44 (50.57)	30 (66.67)	
Part-solid nodule	48 (36.36)	35 (40.23)	13 (28.89)	
Ground glass nodules	10 (7.58)	8 (9.20)	2 (4.44)	
Histological type, n (%)				0.779
LAC	122 (92.42)	80 (91.95)	42 (93.33)	
LSC	10 (7.58)	7 (8.05)	3 (6.67)	
Stage, n (%)				0.001
Tis	3 (2.27)	0 (0.00)	3 (6.67)	
I	113 (85.61)	84 (96.55)	29 (64.44)	
II and III	16 (12.12)	3 (3.45)	13 (28.89)	
EGFR status, n (%)				0.835
Mutant-type	75 (56.82)	50 (57.47)	25 (55.56)	
Wild-type	57 (43.18)	37 (42.53)	20 (44.44)	
Ki-67 expression, n (%)				0.008
High	52 (39.39)	27 (31.03)	25 (55.56)	
Low	80 (60.61)	60 (68.97)	20 (44.44)	

*, the differences were assessed by Mann-Whitney U test or Chi-squared test. CT, computed tomography; LAC, lung adenocarcinoma; LSC, lung squamous carcinoma; *EGFR*, epidermal growth factor receptor.

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wild type. Therefore, in this study, we focused on predicting these binary outcomes (*EGFR* mutant and wild-type) for the patients.

The Ki-67 PI is recorded as the percentage of malignant cells stained positive. According to the St. Gallen International Expert Consensus (24), the Ki-67 PI is judged as follows: a Ki-67 PI \geq 14% is recorded as high expression, and Ki-67 PI <14% is recorded as low expression.

Image acquisition

All cases were evaluated with a spiral CT scan of the chest (Somatom Force, Somatom Flash dual-source CT, Siemens, Germany or Brilliance 256 iCT, Philips Healthcare, Cleveland, USA). Scanning parameters: tube voltage 120 kV, automatic tube current, pitch 0.984–1.200, matrix 512×512, FOV 350 mm × 350 mm. After the original data collection, all patients underwent interval reconstruction of 1.0–3.0 mm. High-resolution lung algorithm was adopted, with lung window width of 1,200 HU and window level of –500 HU. CT images were retrieved from the picture archiving and communication system workstation. Then, the image preprocessing (removing sensitive information, normalization, etc.) was implemented before radiomics analysis.

Tumor segmentation and feature extraction

All the VOIs were manually delineated slice by slice using ITK-SNAP software (version 3.8.0; www.itksnap.org). This was performed independently by two experienced thoracic radiologists (with 8 and 21 years of experience in chest CT imaging) blinded to the patients' clinical diagnosis and gene mutation status. Feature reproductive analysis was performed afterward. The details of image preprocessing were provided in Table S1. A total of 1,287 quantitative radiomic features were then extracted from each VOI using Pyradiomics (version 3.0.1; https://github.com/Radiomics/ pyradiomics) (25), which were shown in Table S2.

Feature selection

Feature selection was performed in the primary cohort. The interrelationship between all the features is provided in Figure S1. Here, a three-stage feature selection pipeline was designed for mining the valuable predictive factors (26). In the first stage, a Mann-Whitney U test was implemented to remove the features that showed no significant difference in *EGFR* mutations and no Ki-67 PI expressions. The

features with P value less than 0.05 were evaluated in the next stage. Next, a Spearman test was performed to examine the correlation between radiomic features. According to the rankings in the first stage, the redundant features were removed (correlation coefficient |r| > 0.80). Finally, a random forest (RF) based Boruta algorithm (27) was performed to select the final correlation features. *Figure 2* shows the details of the RF based Boruta selection. The red features were removed as unimportant factors, while the green and yellow features were selected as important factors. All the details of radiomic feature selection pipeline in the two tasks are provided in *Table 2*.

Radiomics models construction and validation

After feature selection, the Rad-Score was calculated with the respective weighted coeffcients by using a logistic regression algorithm, implemented in the primary cohort. Two Rad-Scores were tested in the validation cohort. Univariate and multivariate analyses were used to identify the potential valuable predictors among the clinical characteristics, and the clinical models were built by logistic regression. Then, the identified clinical characteristics and Rad-Score were selected to build the radiomics-based nomograms. To verify the robustness and clinical gain of the final nomograms, the receiver operating characteristic (ROC) curve, calibration curve, and decision curve were plotted, respectively. And the area under ROC curve (AUC), C-index, sensitivity, and specificity were calculated for assessment.

Statistical analysis

All statistical tests were performed using R software version 4.0.2 and SPSS version 25.0. SPSS software was used to perform the Mann-Whitney U test, Spearman test, and univariate/multivariate analyses for feature selection. The RF based Boruta algorithm was performed by using R "Boruta" package. The nomogram, calibration curve, and decision curve were calculated mainly by using R "rmc" and "rmda" packages. The statistically significant level was P<0.05.

Results

Patient characteristics

The details of characteristics in the whole dataset and two tasks are shown in *Table 1* and *Table 3*. Smoking status



Figure 2 RF based Boruta selection in the primary cohort. The boxplots and polylines depict radiomic features. The importance was calculated by random forest. Classifier Run is the number of algorithm runs. The three blue features named shadow variables indicate the minimal, average, and maximum boundaries of importance. Red features (unimportant) were removed; green (confirmed) and yellow (tentative) features were selected. (A,B) Ten features were selected for *EGFR* mutations prediction; (C,D) 28 features were selected for Ki-67 PI prediction. *EGFR*, epidermal growth factor receptor; PI, proliferation index; RF, random forest.

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Task	Extracted features	Mann-Whitney U test	Spearman test	RF based Boruta
EGFR	1,287	168	35	10
Ki-67	1,287	852	83	28

RF, random forest; EGFR, epidermal growth factor receptor.

(P=0.003) and histological type (P<0.001) showed a statistical difference between *EGFR* mutant and wild type. Gender (P=0.019), smoking status (P=0.001), histological type (P=0.001), and stage (P=0.005) all were significant factors to differentiate high Ki-67 PI expression from low Ki-67 PI expression. Other clinical and histological characteristics (age, tumor location, CT pattern, etc.) were not identified as potential factors for prediction in the two tasks.

The selected radiomic features

The extracted radiomic features contained 13 shapebased features, 18 first-order features, 73 texture features, and 1,183 transform features. The feature extraction examples are provided in https://github.com/JZK00/ NSCLC_prediction. As shown in Figure S1, there was much redundancy between 1,287 radiomic features, so a three-stage selection method was designed to select strong

 Table 3 Comparison of the characteristics in EGFR mutant/wild

 status and the Ki-67 PI high/low expression

Characteristics	EGFR mutant/wild-type status	Ki-67 PI high/low expression
Gender	NS	P=0.019
Age	NS	NS
Smoking status	P=0.003	P=0.001
Tumor location	NS	NS
CT pattern	NS	NS
Histological type	P<0.001	P=0.001
Stage	NS	P=0.005

NS, not significant; *EGFR*, epidermal growth factor receptor; PI, proliferation index; CT, computed tomography.

correlation and remove the redundant features. Through the Mann-Whitney U test and Spearman test, 35 and 83 features were selected in the two tasks, respectively. Then, RF based Boruta selected 3 tentative (yellow) and 7 confirmed (green) features as final features in task [1], and 6 tentative and 22 confirmed features were selected in task [2]. Table S3 showed the detailed parameters. The correlation between radiomic features and clinical characteristics is shown in Figure S2, and no significant correlation was found.

Rad-Score

The Rad-Scores of the two tasks were calculated and provided in Table S4, using 10 and 28 radiomic features, respectively. The validation performance (five-fold cross validation and independent validation) of Rad-Scores is shown in Figure S3. Rad-Score 1 for differentiation of *EGFR* mutations had a mean AUC of 0.83 in the primary cohort, and an AUC of 0.80 in the validation cohort. Rad-Score 2 of Ki-67 PI expression exhibited better performance (mean AUC of 0.92 in the primary cohort; AUC of 0.82 in the validation cohort). As shown in Figure S4, Rad-Score 1 in the *EGFR* mutation was significantly higher than wild type (P<0.001), and Rad-Score 2 in Ki-67 PI high expression was significantly higher than Ki-67 PI low expression (P<0.001).

Radiomics-based nomogram

Figure S5 shows the predicted performance of the clinical models. In task [1], the Rad-Score 1 and confirmed clinical

features (smoking status and histological type) were incorporated to establish the radiomics-based nomogram (Figure 3A), and the calibration curves in the primary cohort (*Figure 3B*) and the validation cohort (*Figure 3C*) were confirmed. The nomogram had a C-index of 0.891 and mean squared error (MSE) of 0.00025 in the primary cohort, and a C-index of 0.798 and MSE of 0.00124 in the validation cohort. In task [2], Rad-Score 2 and 4 potential factors (gender, smoking status, histological type and stage) were used to develop the nomogram for Ki-67 PI prediction, and the calibration curves were calculated (Figure 4). C-index of the nomogram was 0.981 in the primary cohort with MSE of 0.00052, and the C-index was 0.828 with MSE of 0.00333 in the validation cohort. All of the calibration curves showed good agreements between the observed and predicted results.

Next, we calculated the ROC curves of two nomograms in two tasks (*Figure 5*). The nomogram of *EGFR* mutations had an AUC of 0.798 (95% CI: 0.664 to 0.931), a sensitivity of 84.2%, and a specificity of 65.4% in the validation cohort. The nomogram of Ki-67 PI showed an AUC of 0.828 (95% CI: 0.703 to 0.953), a sensitivity of 80.8%, and a specificity of 73.7% in the validation cohort.

Clinical utility

For further confirming the clinical gain of radiomic models, the decision curves (clinical characteristics, Rad-Scores, and nomograms) were developed and compared in the two tasks, respectively. As shown in *Figure 6*, using radiomicsbased nomograms and Rad-Scores for *EGFR* and Ki-67 PI prediction added more benefit than using the treat-all scheme or the treat-none scheme at any given threshold of probability, in both the primary and validation cohorts. For *EGFR* mutation prediction, clinical characteristics added little benefit (threshold probabilities <40%). However, clinical characteristics added more benefit for Ki-67 PI prediction when threshold probabilities >20%. The clinical gain of Rad-Score and nomogram showed similar results.

Discussion

Research value

The relationship between tumor biomarkers and imaging features has always been a contentious point. Previous researchers mostly used positron emission tomography (PET)/CT, magnetic resonance imaging (MRI), etc. to

Α

В



Figure 3 Radiomics-based nomogram and calibration curves for EGFR mutations prediction. (A) Nomogram developed from Rad-Score, smoking status, and histological type. (B) Calibration curve of the nomogram in primary cohort. The sample size was 87. The MSE was 0.00025. The C-index was 0.891. (C) Calibration curve of the nomogram in a validation cohort. The sample size was 45. The MSE was 0.00124. The C-index was 0.798. Rad-Score, radiomic score; EGFR, epidermal growth factor receptor; MSE, mean squared error.

Nomogram predicted mutation

evaluate studies (28-30). However, most of these studies have complex or demanding imaging methods and often underutilized the digital information contained in medical images. In fact, CT images, which are more commonly used in tumor diagnosis, treatment and monitoring, can not only show routine descriptive signs, but also contain a huge amount of digital information that can be further extrapolated (16,31). Quantitative radiomics show that these imaging features correlate with the underlying gene expression profile of the tumor and can also predict the molecular typing of some tumors (32,33). CT has become a conventional imaging examination method for diagnosis, treatment and monitoring of lung cancer due to its noninvasive, convenient and quick advantages. Starting with

quantitative imaging and using CT images routinely conducted in clinical diagnosis and treatment of tumors, this study found that tumor molecular level information can be obtained from tumor texture analysis. The study further explored the biological behavior of tumors, which is of great importance for determining patients' prognoses and recommendations of clinical therapy. EGFR gene mutation determines the therapeutic efficacy of EGFR-TKIs, and the Ki-67 PI has been recognized as a valuable tumor biomarker, guiding the diagnosis, treatment, and prognosis of tumor patients. However, both tests are often invasive. Therefore, it is of great clinical significance to predict the EGFR mutation status and the expression of Ki-67 through the analysis of tumor CT images.

Nomogram predicted mutation



Figure 4 Radiomics-based nomogram and calibration curves for Ki-67 PI expression prediction. (A) Nomogram developed from Rad-Score, gender, smoking status, histological type, and stage. (B) Calibration curve of the nomogram in primary cohort. The sample size was 87. The MSE was 0.00052. The C-index was 0.981. (C) Calibration curve of the nomogram in the validation cohort. The sample size was 45. The MSE was 0.00333. The C-index was 0.828. Rad-Score, radiomic score; PI, proliferation index; MSE, mean squared error.

In this multicentre study, we successfully solved two important clinical tasks (*EGFR* mutation prediction and Ki-67 PI expression prediction) using radiomics analysis based on pre-treatment CT images, and we provided the novel radiomic scores and nomograms for clinical application.

Model performance

By analyzing ROC curves, calibration curves and decision curves, we found that our novel radiomic models not only had high accuracy and robustness, but they also had high clinical gain. As shown in Table S5, several former studies (32,34-48) also tried to build radiomics-based classifiers to predict *EGFR* mutation or Ki-67 PI expression in lung cancer.

The radiomics research for *EGFR* prediction has made a lot of headway, but Ki-67 PI radiomics research has been relatively lacking. *EGFR* testing standards have been very mature and uniform, which is helpful for machine learning to implement supervised learning relying on the uniform standard. Although Ki-67 has important clinical application value, the definition of the threshold of its positive expression rate is still controversial, which brings some difficulties to clinical application and research. The three studies in Table S5 for task [2] had three different assessment criteria for high/low Ki-67 PI expression, which could influence comparison and how the research is communicated.

Some studies such as Liu et al. (34) and Gu et al. (48)



Figure 5 ROC curves of radiomics-based nomograms. The cross mark indicates cutoff value with sensitivity and specificity. (A,B) ROC curves of the nomogram for *EGFR* prediction in the primary and validation cohort. (C,D) ROC curves of the nomogram for Ki-67 PI prediction in the primary and validation cohort. *EGFR*, epidermal growth factor receptor; ROC, receiver operating characteristic; AUC, the area under the ROC curve; PI, proliferation index.

only modeled on a single central dataset, which limited the generalization of the produced models. An independent validation dataset helps determine the robustness and generalization of the model, which improves the quality of radiomics research. The radiomic signature established by Wang *et al.* (43) showed that the *EGFR* mutations could be evaluated in patients with brain metastasis from lung adenocarcinoma using MRI, which highlights a new potential of radiomics.

Zhao *et al.* (36) and Zhang *et al.* (45) focused on deep learning-based radiomics and showed high application potential. However, the "black box" problem has always hindered the application of deep learning prediction (49). Hand-crafted radiomics has remained the dominant method in radiomics research (50). Meanwhile, radiomic score and nomogram built by using logistic regression were the most popular forecasting tools.

In our study, the Rad-Scores and nomograms all showed appreciable performance in the independent validation cohort. The AUC of 0.798 for *EGFR* mutations prediction (*Figure 5B*) and AUC of 0.828 for Ki-67 PI prediction (*Figure 5D*) in the validation cohort all showed high diagnostic accuracy. DCA in *Figure 6* highlighted the clinical application value of Rad-Scores and nomograms. Compared to similar studies (Table S5), our study was complete and comprehensive, with relatively accurate and reliable results.

Main findings

In our study, smoking status and histological type all



Figure 6 Decision curve analysis (DCA) for Rad-Scores and nomograms. The green (using clinical characteristics), red (using Rad-Score), blue (using nomogram), grey (using the treat-all scheme), and black (using the treat-none scheme) lines represent the net benefits of different diagnostic models at given threshold probability. (A,B) DCA for *EGFR* mutations prediction in primary and validation cohort. (C,D) DCA for Ki-67 PI prediction in primary and validation cohort. *EGFR*, epidermal growth factor receptor; Rad-Score, radiomic score; PI, proliferation index.

showed strong correlation with *EGFR* and Ki-67 expression (*Table 3*), which was consistent with previous studies (44,48). In addition, more clinical characteristics showed significant correlation with Ki-67 PI expression, like gender and stage, which may provide reference for clinical work.

The CT radiomic features selected for modeling are provided in Table S5. Similar to previous studies, most of them were texture features, showing the value of intertumor heterogeneity in predicting gene expression.

Radiomics quality score (RQS) evaluation

RQS was the most mentionable tool for radiomics quality evaluation (18), which was worth generalizing to every radiomics study. The total RQS score was 36, and our score was 24 (Table S6), which is higher than most radiomics studies (51). This high RQS showed the scientific integrity and reproducibility of this research.

Study limitation

There are still some limitations to our current work. First, the retrospective analysis had its own potential bias, and some clinical information was missing, so we could not provide more analyses on other mutations. Second, although the reliability of multicentre validation was high, our dataset was not big enough to derive more generalizable evidence. In future studies, we need to reappraise the performance of radiomics analysis by designing a prospective multicentre study.

Conclusions

In conclusion, Rad-Scores and radiomics-based nomograms can be implemented as useful, non-invasive tools for identifying the *EGFR* mutations and Ki-67 PI expression in patients with NSCLC. Our methodology may provide a novel strategy to assess the *EGFR* mutation status and cell proliferation.

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Footnote

Reporting Checklist: The authors have completed the TRIPOD reporting checklist. Available at https://qims.amegroups.com/article/view/10.21037/qims-21-980/rc

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at https://qims.amegroups.com/article/view/10.21037/qims-21-980/coif). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Shandong Cancer Hospital and individual consent for this retrospective analysis was waived.

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Radiomics features

Figure S1 The interrelationship between radiomic features.



Figure S2 Correlation analysis between radiomic features and clinical characteristics. (A) Correlation map in task [1]. (B) Correlation map in task [2].



Figure S3 Diagnostic performance of Rad-Scores. (A,B) ROC curves of Rad-Score 1 for *EGFR* prediction in the primary and validation cohort. (C,D) ROC curves of Rad-Score 2 for Ki-67 PI prediction in the primary and validation cohort. Rad-Score, radiomic score; ROC, receiver operating characteristic; AUC, the area under the ROC curve; *EGFR*, epidermal growth factor receptor; PI, proliferation index.



Figure S4 Distribution of Rad-Scores of all patients. (A) The tumors with *EGFR* mutant had significantly higher score than those with *EGFR* wild-type (P<0.001). (B) The tumors with high Ki-67 PI expression had significantly higher score than those with low expression (P<0.001). Rad-Score, radiomic score; *EGFR*, epidermal growth factor receptor; PI, proliferation index.



Figure S5 Performance of clinical models. (A,B) ROC curves of clinical models for *EGFR* prediction in the primary and validation cohort. (C,D) ROC curves of clinical models for Ki-67 PI prediction in the primary and validation cohort. ROC, receiver operating characteristic; AUC, the area under the ROC curve; *EGFR*, epidermal growth factor receptor; PI, proliferation index.

Table S1 The details of image preprocessing before feature extraction

Table S3 The details of Boruta parameters

extraction	The details of Boruta parameters	Details	
Dataila af tha image announces in a arthings	The details of Dordta parameters	Details	
Details of the image preprocessing settings	Version	4.0.4	
Without normalization	doTrace	2	
The bin size was 25	maxRuns	200	
The voxel array shift was 1,000	ntree	500	
Resampled pixel spacing as [1, 1, 1]			

Table S2 The details of radiomic features

Feature type	Feature description*
Shape-based features	Elongation, Flatness, Least Axis Length, Major Axis Length, Maximum 2D Diameter Column, Maximum 2D Diameter Row, Maximum 2D Diameter Slice, Maximum 3D Diameter, Mesh Volume, Minor Axis Length, Sphericity, Surface Area, Surface Volume Ratio, Voxel Volume
Firstorder features	10 Percentile, 90 Percentile, Energy, Entropy, Interquartile Range, Kurtosis, Maximum, Mean Absolute Deviation, Mean, Median, Minimum, Range, Robust Mean Absolute Deviation, Root Mean Squared, Skewness, Total Energy, Uniformity, Variance
glcm features	Autocorrelation, Joint Average, Cluster Prominence, Cluster Shade, Cluster Tendency, Contrast, Correlation, Difference Average, Difference Entropy, Difference Variance, Joint Energy, Joint Entropy, Imc1, Imc2, Idm, Idmn, Id, Idn, Inverse Variance, Maximum Probability, Sum Entropy, Sum Squares
gIrlm features	Gray Level Non-Uniformity, Gray Level Non-Uniformity Normalized, Gray Level Variance, High Gray Level Run Emphasis, Long Run Emphasis, Long Run High Gray Level Emphasis, Long Run Low Gray Level Emphasis, Low Gray Level Run Emphasis, Run Entropy, Run Length Non-Uniformity, Run Length Non-Uniformity Normalized, Run Percentage, Run Variance, Short Run Emphasis, Short Run High Gray Level Emphasis, Short Run Low Gray Level Emphasis
glszm features	Gray Level Non-Uniformity, Gray Level Non-Uniformity Normalized, Gray Level Variance, High Gray Level Zone Emphasis, Large Area Emphasis, Large Area High Gray Level Emphasis, Large Area Low Gray Level Emphasis, Low Gray Level Zone Emphasis, Size Zone Non-Uniformity, Size Zone Non-Uniformity Normalized, Small Area Emphasis, Small Area High Gray Level Emphasis, Small Area Low Gray Level Emphasis, Zone Entropy, Zone Percentage, Zone Variance
gldm features	Dependence Entropy, Dependence Non-Uniformity, Dependence Non-Uniformity Normalized, Dependence Variance, Gray Level Non-Uniformity, Gray Level Variance, High Gray Level Emphasis, Large Dependence Emphasis, Large Dependence High Gray Level Emphasis, Large Dependence Low Gray Level Emphasis, Low Gray Level Emphasis, Small Dependence Emphasis, Small Dependence High Gray Level Emphasis, Small Dependence Low Gray Level Emphasis
ngtdm features	Busyness, Coarseness, Complexity, Contrast, Strength
Wavelet features	The above 91 features without shape-based features were calculated again after wavelet transformation (LLH, LHL, LHH, HLL, HLH, HHH, LLL). A total of 728 features
LoG features	The above 91 features without shape-based features were calculated again after LoG transformation with sigma of 1.0, 2.0, 3.0, 4.0, 5.0, respectively. A total of 455 features

*, some of them follow the Imaging Biomarker Standardization Initiative (https://pyradiomics.readthedocs.io/en/latest/index.html) (25). glcm, gray level co-occurrence matrix; glrlm, gray level run length matrix; glszm, gray level size zone matrix; gldm, gray level dependence matrix; ngtdm, neighbouring gray tone difference matrix; LoG, Laplacian of Gaussian; L, low-pass filtering; H, high-pass filtering.

Rad-Score	Formula
Rad-Score 1	-0.26637586 × (wavelet-HLL_glcm_MaximumProbability)
	-0.36415474 × (wavelet-LLL_glcm_MaximumProbability)
	+0.88922437 × (original_glcm_SumEntropy)
	+0.35225551 × (log-sigma-1-0-mm-3D_glcm_MaximumProbability)
	$-0.63638105 \times (wavelet-LHL_firstorder_Kurtosis)$
	+0.3393241 × (wavelet-LLL_firstorder_Skewness)
	+0.87795737 × (log-sigma-2-0-mm-3D_firstorder_Kurtosis)
	-0.30623909 × (original_shape_Sphericity)
	$-0.59557871 \times (wavelet-LHL_glszm_LargeAreaHighGrayLevelEmphasis)$
	-0.26788923× (original_glcm_ClusterTendency)
Rad-Score 2	$-0.33933662 \times (wavelet-HLL_gldm_LargeDependenceHighGrayLevelEmphasis)$
	$-0.24501119 \times (log-sigma-1-0-mm-3D_glszm_SmallAreaLowGrayLevelEmphasis)$
	+0.06149887 × (log-sigma-5-0-mm-3D_glcm_ldm)
	+0.66555733 × (log-sigma-5-0-mm-3D_glcm_InverseVariance)
	+0.5809558 × (original_firstorder_Median)
	$+0.41924958 \times (log-sigma-2-0-mm-3D_glszm_SmallAreaLowGrayLevelEmphasis)$
	+0.23932351 × (wavelet-LHL_glszm_LargeAreaLowGrayLevelEmphasis)
	+0.77765524 × (wavelet-LHL_firstorder_Maximum)
	$-0.08881509 \times (wavelet-HLL_glszm_GrayLevelNonUniformityNormalized)$
	–0.63052453 × (log-sigma-3-0-mm-3D_firstorder_Median)
	-0.57778378 × (log-sigma-3-0-mm-3D_firstorder_90Percentile)
	+0.14979279 × (log-sigma-4-0-mm-3D_glszm_SmallAreaEmphasis)
	$-0.19062698 \times (wavelet-LHL_glszm_GrayLevelNonUniformityNormalized)$
	+0.08261748 × (original_shape_SurfaceVolumeRatio)
	+0.48340228 × (wavelet-LHH_firstorder_Kurtosis)
	$+0.68332893 \times (wavelet-HHL_glrlm_LongRunHighGrayLevelEmphasis)$
	+0.6523309 × (log-sigma-1-0-mm-3D_glcm_Correlation)
	+0.16729185 × (wavelet-LHH_glcm_Correlation)
	$-0.24171584 \times (original_gldm_LargeDependenceLowGrayLevelEmphasis)$
	$-0.02439305 \times (wavelet-LLL_gldm_LargeDependenceLowGrayLevelEmphasis)$
	$+0.29861927 \times (wavelet-HLL_glszm_LargeAreaLowGrayLevelEmphasis)$
	-0.29627875 × (wavelet-HLL_firstorder_Maximum)
	$+0.41290256 \times (log-sigma-1-0-mm-3D_glrlm_GrayLevelNonUniformityNormalized)$
	+0.78760983 × (wavelet-HHH_glcm_Correlation)
	$-0.56817862 \times (original_firstorder_Skewness)$
	+0.38850808 × (wavelet-LHH_glcm_ldn)
	$-0.18475777 \times (wavelet-LHH_gldm_SmallDependenceLowGrayLevelEmphasis)$
	-0.08823505 × (wavelet-HLH_glcm_ldn)

Table S4 Rad-Scores for predicting EGFR mutations and Ki-67 PI expression

The intraclass correlation coefficient of selected features all >0.6. Rad-Score, radiomic score; glcm, gray level co-occurrence matrix; glszm, gray level size zone matrix; gldm, gray level dependence matrix; glrlm, gray level run length matrix.

Table S5	Comparison	of different radi	omics studies

Task/author	Years	Sample size	AUC (training)	AUC (validation)	Best modeling algorithm
Task [1]					
Liu <i>et al.</i> (34)	2016	298	0.709	_	Logistic regression
Zhang <i>et al.</i> (32)	2018	180	0.8618	0.8725	Logistic regression
Jia <i>et al.</i> (35)	2019	503	_	0.828	Random forest
Zhao <i>et al.</i> (36)	2019	579	_	0.758	3D DenseNet
Zhao <i>et al.</i> (37)	2020	637	_	0.757	Logistic regression
Hong <i>et al.</i> (39)	2020	201	_	0.851	Logistic regression
Tu <i>et al</i> . (38)	2019	404	0.798	0.818	Logistic regression
Lu <i>et al.</i> (40)	2020	104	0.90	0.894	Logistic regression
Koyasu <i>et al.</i> (41)	2020	138	_	0.843	XGB
Nair <i>et al.</i> (42)	2021	50	_	0.87	Logistic regression
Wang <i>et al.</i> (43)	2021	52	0.987	0.871	Logistic regression
Rossi <i>et al.</i> (44)	2021	109	0.85	0.833	SVM
Zhang <i>et al.</i> (45)	2020	914	_	0.910	SE-CNN
Le <i>et al.</i> (46)	2021	179	0.89	_	Genetic algorithm
Our study	2021	132	0.891	0.798	Logistic regression
Task [2]					
Zhou <i>et al.</i> (47)	2018	110	0.77	_	Logistic regression
Gu et al. (48)	2019	245	0.782	_	Random forest
Our study	2021	132	0.981	0.828	Logistic regression

AUC, area under the curve; DenseNet, dense convolutional network; XGB, eXtreme Gradient Boosting; SVM, supported vector machine; SE, squeeze-and-excitation; CNN, convolutional neural network.

Table S6 The RQS (18) analysis of our study

Criteria	Points	RQS
Image protocol quality—well-documented image protocols (for example, contrast, slice thickness, energy, etc.) and/or usage of public image protocols allow reproducibility/replicability	+1 (if protocols are well-documented) +1 (if public protocol is used)	+2
Multiple segmentations—possible actions are: segmentation by different physicians/algorithms/software, perturbing segmentations by (random) noise, segmentation at different breathing cycles. Analyse feature robustness to segmentation variabilities	1	1
Phantom study on all scanners-detect inter-scanner differences and vendor- dependent features. Analyse feature robustness to these sources of variability	1	0
Imaging at multiple time points—collect images of individuals at additional time points. Analyse feature robustness to temporal variabilities (for example, organ movement, organ expansion/shrinkage)	1	0
Feature reduction or adjustment for multiple testing—decreases the risk of overfitting. Overfitting is inevitable if the number of features exceeds the number of samples. Consider feature robustness when selecting features	-3 (if neither measure is implemented) +3 (if either measure is implemented)	3

Table S6 (continued)

Table S6 (continued)

Criteria	Points	RQS
Multivariable analysis with non-radiomics features (for example, <i>EGFR</i> mutation)— is expected to provide a more holistic model. Permits correlating/inferencing between radiomics and non-radiomics features	1	1
Detect and discuss biological correlates-demonstration of phenotypic differences (possibly associated with underlying gene-protein expression patterns) deepens understanding of radiomics and biology	1	1
Cut-off analyses—determine risk groups by either the median, a previously published cut-off or report a continuous risk variable. Reduces the risk of reporting overly optimistic results	1	1
Discrimination statistics—report discrimination statistics (for example, C-statistic, ROC curve, AUC) and their statistical significance (for example, p-values, confidence intervals). One can also apply resampling method (for example, bootstrapping, cross-validation)	+1 (if a discrimination statistic and its statistical significance are reported) +1 (if a resampling method technique is also applied)	+2
Calibration statistics—report calibration statistics (for example, Calibration-in- the-large/slope, calibration plots) and their statistical significance (for example, P values, confidence intervals). One can also apply resampling method (for example, bootstrapping, cross-validation)	+1 (if a calibration statistic and its statistical significance are reported) +1 (if a resampling method technique is also applied)	+2
Prospective study registered in a trial database—provides the highest level of evidence supporting the clinical validity and usefulness of the radiomics biomarker	+7 (for prospective validation of a radiomics signature in an appropriate trial)	+0
Validation—the validation is performed without retraining and without adaptation of the cut-off value, provides crucial information with regard to credible clinical performance	-5 (if validation is missing) +2 (if validation is based on a dataset from the same institute) +3 (if validation is based on a dataset from another institute) +4 (if validation is based on two datasets from two	5
	distinct institutes) +4 (if the study validates a previously published	
	signature) +5 (if validation is based on three or more datasets from distinct institutes)	
	*Datasets should be of comparable size and should have at least 10 events per model feature	e
Comparison to 'gold standard' —assess the extent to which the model agrees with/is superior to the current 'gold standard' method (for example, TNM-staging for survival prediction). This comparison shows the added value of radiomics	2	0
Potential clinical utility—report on the current and potential application of the model in a clinical setting (for example, decision curve analysis)	2	2
Cost-effectiveness analysis—report on the cost-effectiveness of the clinical application (for example, QALYs generated)	1	0
Open science and data—make code and data publicly available. Open science facilitates knowledge transfer and reproducibility of the study	+1 (if scans are open source) +1 (if region of interest segmentations are open source) +1 (if code is open source)	+4
	+1 (if radiomics features are calculated on a set of representative ROIs and the calculated features and representative ROIs are open source)	
Total points (36 =100%)	24 ≈66.67%	

RQS, radiomics quality score; *EGFR*, epidermal growth factor receptor; ROC, receiver operating characteristic; AUC, area under the ROC curve; QALY, quality-adjusted life year.