

Simultaneous transthoracic intervention for multiple cardiac defects in children

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Background: Transthoracic intervention for isolated congenital heart disease (CHD) has been well tested for its technological feasibility and is increasingly used in clinical practice. We aimed to present our experience in simultaneous transthoracic intervention for multiple cardiac lesions in a series of pediatric patients.

Methods: Between March 2015 and December 2019, 20 patients with multiple CHD were referred to this study; mean age was 18.8±8.6 (range, 4–36) months. The transthoracic echocardiography (TTE) diagnosis was atrial septal defect (ASD) and perimembranous ventricular septal defect (pmVSD) in 7 patients, patent ductus arteriosus (PDA) and ASD in 6, pmVSD and PDA in 2, pmVSD and valvular pulmonary stenosis (PS) in 2, ASD and PS in 2, and doubly committed subarterial VSD (dcsVSD) and PS in 1 patient. These patients underwent simultaneous transthoracic interventions with transesophageal echocardiography guidance. The procedure sequence was PS→VSD→PDA→ASD. Electrocardiography and TTE were scheduled at discharge and follow-ups.

Results: All patients were occluded successfully without any thoracotomy conversion. Operation time was 56–120 (mean, 75±13) minutes. A 1.5–2.0-cm median sternum incision was performed in 6 ASD&PDAs, 2 ASD&PSs, and 1 dcsVSD&PS. In 11 other patients, a 1.5–2.0-cm incision in the inferior sternum was made and the chest closed with a drain. There were no serious complications before discharge and at follow-up.

Conclusions: Simultaneous transthoracic intervention for multiple cardiac defects in children is feasible with good short-term outcomes. For different lesions, the appropriate surgical incision and operational sequence can render the intervention minimally invasive and safer.

Keywords: Transthoracic intervention; multiple cardiac defects; children

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Introduction

Multiple cardiac defects are not rare in children with congenital heart disease (CHD). Historically, these were usually addressed with surgery. Although conventional open-heart repair with cardiopulmonary bypass (CPB) has been considered the standard treatment, recurrent respiratory tract infection and congestive heart failure are still risk factors for operative procedure, and the long midline incisions might lead to increased postoperative physical and psychological complications (1,2). With the advent of newer technologies and expertise, transcatheter treatment has evolved as an alternative option. However, the technique is limited in infants because of the small diameter of their blood vessels and is not acceptable for transcatheter intervention, and radiation exposure is still a disturbing problem for children (3). Transthoracic intervention is a new technology that combines the advantages of transcatheter intervention and traditional surgery. The treatment for isolated CHD has been well tested for its technological feasibility and is increasingly used in clinical practice. However, Transthoracic intervention for multiple CHDs, obviates the need for surgery or a multistaged interventional procedure with prolonged fluoroscopy time, has been reported in only a few studies (4,5). Therefore, we present our experience with simultaneous transthoracic interventions for multiple cardiac defects in children.

We present the following article in accordance with the STROBE reporting checklist (available at http://dx.doi. org/10.21037/tp-20-202).

Methods

Patient enrollment

Between March 2015 and December 2019, 133 patients were admitted to our center with multiple CHD and were allocated to groups on the basis of the surgical method selected by the patient. Patients with other malformations requiring surgical repair under CPB and patients with severe aortic valve or atrioventricular valve regurgitation were excluded. A group consisted of 20 patients who underwent an attempt of simultaneous transthoracic intervention were referred to this study. They were all clinically recommended for device closure or balloon valvuloplasty. These numbered 15 males and 5 females, with a mean age of 18.8 ± 8.6 (range, 4-36) months and a mean weight of 8.3 ± 2.5 (range, 4.8-12.5) kg. The transthoracic echocardiography (TTE) diagnosis before surgery was atrial septal defect (ASD) and perimembranous ventricular septal defect (pmVSD) in 7 patients, patent ductus arteriosus (PDA) and ASD in 6, pmVSD and PDA in 2, pmVSD and valvular pulmonary stenosis (PS) in 2, ASD and PS in 2, doubly committed subarterial VSD (dcsVSD), and PS in 1 patient. Other 113 patients who refused device closure received conventional surgical repair with CPB. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of The First Affiliated Hospital of Guangzhou Medical University (No. GYFYY-2014-23), and written informed consent was obtained from the parents or guardians of all patients.

Procedures

The procedures underwent by these patients included ASD closure, VSD closure, PDA closure, and pulmonary valvuloplasty. Under endotracheal intubation and general anesthesia, transesophageal echocardiography (TEE; Philips IE33, Philips Medical Systems, Andover, MA, USA) was carried out at the beginning of the procedure and further estimates made of the cardiac abnormalities. The midesophageal (ME) four-chamber view, ME long-axis view, ME aortic valve short-axis view, upper-esophageal aortic arch long- and short-axis views showed the diameter of defects and surrounding structures. The size, location, and tissue rim of the defects and pulmonary valve annulus size is important in estimating the suitability of the transthoracic intervention. After making a 1.5-2.0-cm median sternum incision or inferior sternum incision, 1 mg/kg heparin was administered to the patient. A purse-string suture was placed on the free wall of the right ventricle (RV) or the lateral wall of the right atrium (RA), which was precisely localized using TEE and palpation of the thrill. The technical details regarding closure of the VSD, ASD, PDA, and the pulmonary valvuloplasty procedure have been described in previous reports (6-8). The strict procedure sequence of occlusion was $PS \rightarrow VSD \rightarrow PDA \rightarrow ASD$, with TEE guidance and ensuring that each device was successfully positioned without any residual shunt or impingement of the atrioventricular valves. Finally, the purse string was tied and the procedure terminated. Upon occlusion failure or in case of complete atrioventricular block, the patient's incision was lengthened, and open-heart surgery was performed under cardiopulmonary bypass.

All patients with device implantation received antibiotics



Figure 1 Chest X-ray shows atrial septal occluder (a) and patent ductus arteriosus occluder (b).



Figure 2 Chest X-ray shows atrial septal occluder (a) and ventricular septal occluder (b).

during the operative procedure (cefuroxime sodium, 30 mg/kg) and the following day (cefuroxime sodium, 100 mg·kg⁻¹·d⁻¹, q8h). Oral aspirin (dose, 3–5 mg/kg) was prescribed for 6 months to children with an occluder device. Chest X-ray was performed after surgery (*Figures 1,2*). Physical examination, including of the nervous system, and electrocardiography, TTE were scheduled at discharge, 3, 6, 12 months, and yearly thereafter during follow-up.

Statistical analysis

Data are expressed as the mean \pm standard deviation for continuous variables and as percentages for nominal variables. Differences between groups were compared using unpaired, 2-sided Student *t*-tests for continuous variables. P<0.05 was considered statistically significant. Lu et al. Simultaneous transthoracic intervention for multiple CHD

Results

Twenty cases were occluded successfully without any thoracotomy conversion. Patients' demographic and lesion characteristics are summarized in Table 1. TTE imaging of defect diagnose and the corresponding device are shown in Figure 3. On TTE, the mean size of VSDs was 3.3-5.5 (mean, 4.7±0.7) mm, and that of ASDs was 5.0-10.0 (mean, 7.4±1.5) mm. PDAs measured 4.0-6.0 (mean, 4.9±0.8) mm on TTE. The mean systolic pressure gradient across the pulmonary valve was 58-70 (mean, 62.6±4.9) mmHg. The anesthesia time and operation time were 130-200 (mean, 158±22) minutes and 56-120 (mean, 75±13) minutes respectively. The amount of intraoperative blood loss was 0.7-6.9 (mean, 3.3 ± 1.9) mL/kg. The length of postoperative ventilation ranged from 0.3 to 4 (mean, 2.2±1.3) hours with postoperative hospital stay ranging from 2 to 8 (mean, 5.5±1.6) days. In 15 patients complicated with secundum ASD, the diameter of the Amplatzer septal occluder was 10-16 (mean, 12.1±1.8) mm. The diameter of the VSD occluder was 5-8 (mean, 6.8±0.9) mm, as 11 pmVSDs were closed with a symmetric occluder and 1 dcsVSD with an asymmetric occluder. A total 8 PDAs were closed with an Amplatzer duct occluder; the size was 8-10 mm in 5 patients and 6-8 mm in 3 patients. Five patients complicated with PS underwent successful pulmonary valvuloplasty using cristal balloons; the size was 15 mm in 2 patients, 16 mm in 1, and 18 mm in 2, resulting in reduction of the gradient across the valve to ≤30 mmHg. In 11 patients, a 1.5–2.0-cm inferior sternum incision (Figure 4) was made and the chest closed with a drain. A 1.5-2.0 cm median sternum incision (Figure 4C) was made in other 6 cases of ASD&PDA, 2 of ASD&PS, and in 1 dcsVSD&PS.

Other 113 patients with multiple congenital cardiac defects were corrected by cardiac surgery. Both time of anesthesia and time of surgery, length of postoperative ventilation and length of postoperative hospital stay were significantly shorter in the intervention group compared with the CPB group (P<0.05). A comparison of two groups is presented in *Table 2*.

The follow-up period ranged from 5 to 56 (mean, 25.2 ± 16.1) months. All patients showed good growth and development. Data of TTE were available for all patients and indicated the absence of residual shunts and geometry reconstruction of the heart. There were no deaths, no complete atrioventricular block, no hemolysis, no stroke event, no intracardiac infection, no occluder detachment or displacement, or other complications during hospitalization

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	Table 1 Patients'	demographic	information	and lesion	characteristics
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	Outcome
Male	15
Female	5
Mean age (months)	18.8±8.6 (range, 4–36)
Mean weight (kg)	8.3±2.5 (range, 4.8–12.5)
Co-morbidities	9
Prematurity	1
Respiratory tract infection	7
Mediterranean anemia	1
Previous interventions	None
Previous drug therapy	None
VSD	12
Diameter (mm)	3.3–5.5 (mean, 4.7±0.7)
Closure diameter (mm)	5–8 (mean, 6.8± 0.9)
pmVSD	11
dcsVSD	1
Secundum ASD	15
Diameter (mm)	5.0–10.0 (mean, 7.4±1.5)
Closure diameter (mm)	10–16 (mean, 12.1±1.8)
PDA	8
Diameter (mm)	4.0-6.0 (mean, 4.9±0.8)
Closure diameter (mm)	8–10 [5]; 6–8 [3]
Valvular PS	5
Balloon size (mm)	15 [2]; 16 [1]; 18 [2]
Inferior sternum incision	11
pmVSD + ASD	7
pmVSD + PDA	2
pmVSD + PS	2
Median sternum incision	9
ASD + PDA	6
ASD + PS	2
dcsVSD + PS	1

VSD, ventricular septal defect; pmVSD, perimembranous VSD; dcsVSD, doubly committed subarterial VSD; ASD, atrial septal defect; PDA, patent ductus arteriosus; PS, pulmonary stenosis. and the post-operation period.

Discussion

Congenital heart defects are common birth defects and patients with complex CHD account for 2.5/1,000 to 3/1,000 live births (9). The first case of simultaneous treatment of an ASD and balloon dilation of valvular PS was reported in 1997 by Yip et al. who treated a 7-yearold girl with transcatheter intervention (10). Transthoracic intervention, a hybrid technique for isolated CHD has been widely used in the past decades, associated with no age or weight limitation, shorter distance and directional performance, decreased surgical morbidity, shorter duration of hospital stay, and avoidance of radiation exposure and issues with satisfactory vascular access (11-13). Moreover, with the real-time echocardiography monitor, the operative process can be better observed and guided. Once the device fails or procedure unsuccessful, it can be easily converted to conventional surgery with CPB (14). Of course, the new technology still has its limitations. It must be performed under general anesthesia with tracheal intubation. And its minimally invasion has been questioned (15). Based on this initial successful experience, we found that in dealing with multiple cardiac defects simultaneously, transthoracic intervention is a feasible and safe procedure with satisfying early results in children. We believe that this hybrid technique may involve staged therapy for complex CHD in the future.

Effective and safe transcatheter interventions are considered viable alternatives to surgical closure. At our institution, transthoracic intervention for isolated CHD has been performed since August 2013, and more than 1,200 patients have successfully undergone implantation. However, the procedure for multiple CHDs is not simple a combination of what is used in isolated CHDs. The choice of incision is the first step and this is also a crucial step in the operation. Based on a literature review, most clinical practitioners report using an inferior sternum incision (Figure 4A) and left second intercostal incision (Figure 4B) for transthoracic intervention (4,16). Normally, the free wall of the RV is exposed via a minor inferior sternum incision for the closure of a pmVSD, with a shorter distance and directional performance can vertically advance the delivery sheath across the VSD. However, for a dcsVSD, ASD&PDA, ASD&PS, or PDA&PS, it is usually necessary to extend the inferior sternum incision or make the intercostal incision. Therefore, we recommend a median

Defect type	TTE imaging	Device type
Secundum ASD		
pmVSD		
dcsVSD		
PDA		

Figure 3 TTE imaging of defect diagnose and the corresponding device are shown. ASD, atrial septal defect; VSD, ventricular septal defect; pmVSD, perimembranous VSD; dcsVSD, doubly committed subarterial VSD; PDA, patent ductus arteriosus.

sternum incision (*Figure 4C*) for these patients. Technically, a traction stitch can be placed through a 1.5-2-cm incision, such as at the third rib level, and pulled left or right down to the right ventricular outflow tract or RA, which is exposed with the assistance of a retractor. This provides a shorter delivery path and more flexible handling, with the sheath perpendicular to the plane of defects rather than through the inferior sternum incision. Moreover, the operational details via a median sternum incision are similar to those through a left intercostal incision, ensuring the integrity of the chest, and reducing pain and the likelihood of thoracic deformity post operation. However, if the closure fails, a minor median sternum incision is more easily converted

to conventional open-heart surgery than a left intercostal incision.

Standardization of the operational order in simultaneous interventions remains a controversial topic in clinical practice. Hu *et al.* recommend the procedure sequence of occlusion VSD \rightarrow ASD \rightarrow PDA \rightarrow PS (4). However, the common view among Chinese medical experts for intervention treatment of compound congenital cardiac anomalies is the sequence PS \rightarrow VSD \rightarrow PDA \rightarrow ASD (17). As is known, VSD occlusion leads to the most complications, and the failure incidence of CHD declines from highest to lowest in the order VSD \rightarrow PDA \rightarrow ASD. The simple principle to follow is, "the most difficult first, then the



Figure 4 Different surgical incisions: inferior sternum incision (A), intercostal incision (B) and median sternum incision (C).

Table 2 Comparison of clinical outcomes in both groups								
	Time of anesthesia (minutes)	Time of surgery (minutes)	Postoperative ventilation (hours)	Postoperative hospital stay (days)	Intraoperative blood loss (mL/kg)	Postoperative pneumonia (n)	Blood transfusion (n)	
Intervention	158±22	75±13	2.2±1.3	5.5±1.6	3.3±1.9	1	0	
CPB	227±47	181±43	3.3±2.3	6.8±2.4	10.1±4.1	3	93	
P value	<0.001	<0.001	0.03	0.02	<0.001	0.59	<0.001	

Values are mean ± standard deviation. CPB, cardiopulmonary bypass.

easiest" or "the most complex first, then the simplest". However, in pulmonary valvuloplasty or defect closure, the question remains as to which should be performed first. In theory, correcting the defects first will diminish the shunt volume subsequently reducing the gradient across the pulmonic valve (18). And, there was a fear of acute congestive heart failure and pulmonary edema as a result of increased pulmonary blood flow into previously protected pulmonary artery circulation (19). However, when both PS and defects coexist, left-to-right shunting is prevented if right ventricular pressures suddenly increase owing to the outflow obstruction caused by the pulmonary valvuloplasty



Figure 5 Transesophageal echocardiography (TEE) shows the procedure of perimembranous ventricular septal defect and valvular pulmonary stenosis (pmVSD&PS). A left-to-right shunting (\rightarrow) confirmed using color Doppler ultrasound as the pulmonary balloon (*) is in "close" (A). The left-to-right shunting was reduced (B) and even temporarily became right-to-left shunting (C) with the pulmonary balloon in "open" (*). RV, right ventricle.

(*Figure 5*). Moreover, from a technical view, performing a balloon valvuloplasty prior to deployment of an occluder device would limit the possibility of potentially dislodging

the device (20). Careful review of the hemodynamic data is critical in the clinical decision-making strategy, and $PS \rightarrow VSD \rightarrow PDA \rightarrow ASD$ may be the most widely acceptable

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sequence.

However, the costs of simultaneous transthoracic interventions for multiple cardiac defects are twice as much as those of traditional surgery. At our center, only about 15% of patients are willing to undergo the new procedure. We need to do more in the future, such as establishing available funds, minimizing costs, and expanding publicity of this option.

Conclusions

This initial study demonstrates that simultaneous transthoracic intervention for multiple cardiac defects in children is technically feasible, with excellent procedural and short-term outcomes. For different lesions, the appropriate surgical incision and operational sequence can render the intervention minimally invasive and safer. Additional studies including more patients and long-term outcomes are needed.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of The First Affiliated Hospital of Guangzhou

Medical University (No. GYFYY-2014-23), and informed consent was taken from the parents or guardians of all patients.

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