



Risk factors for breakthrough urinary tract infection in children with vesicoureteral reflux receiving continuous antibiotic prophylaxis

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Background: To investigate the risk factors for breakthrough urinary tract infection (BT-UTI) in children with vesicoureteral reflux (VUR) receiving continuous antibiotic prophylaxis (CAP).

Methods: This was a single-centre cohort study (January 2016 to December 2019). The clinical data of 256 children with grade I–V VUR receiving CAP were analysed. In this study, exposure variables were sex, younger age at the initial diagnosis of UTI (≤ 12 months), high-grade VUR, bilateral VUR, aetiology, presence of renal scarring at the initial diagnosis, presence of renal function impairment at the initial diagnosis, ultrasound abnormalities, antibiotic used and bladder and bowel dysfunction (BBD). Outcome was BT-UTI.

Results: BT-UTI occurred in 81 out of 256 children with grade I–V VUR who received CAP, an incidence of 31.64%. Univariate analysis showed that younger age at the initial diagnosis of UTI (≤ 12 months), bilateral VUR, renal scarring on the dimercaptosuccinic acid (DMSA) scan at the initial diagnosis of UTI and BBD were correlated with the occurrence of BT-UTI. Multivariate analysis showed that younger age at the initial diagnosis of UTI (≤ 12 months) [hazard ratio (HR): 4.629; 95% confidence interval (CI): 1.302–16.462], bilateral VUR (HR: 2.078; 95% CI: 1.084–4.022) and BBD (HR: 3.194; 95% CI: 1.243–8.206) were independent risk factors for the occurrence of BT-UTI.

Conclusions: For VUR children receiving CAP, younger age at the initial diagnosis of UTI (≤ 12 months), bilateral VUR, and BBD were independent risk factors for the occurrence of BT-UTI.

Keywords: Vesicoureteral reflux (VUR); continuous antibiotic prophylaxis (CAP); breakthrough urinary tract infection (BT-UTI); children; bladder and bowel dysfunction (BBD)

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Introduction

Vesicoureteral reflux (VUR) is a common congenital anomaly of the kidney and urinary tract in children. Children with VUR have a high risk of urinary tract infection (UTI),

and 5–10% of children can have continuous renal injury and renal scarring, leading to the formation of reflux nephropathy, which eventually results in hypertension and end-stage renal disease (1–4). The current treatment strategies were designed to reduce new renal injury and

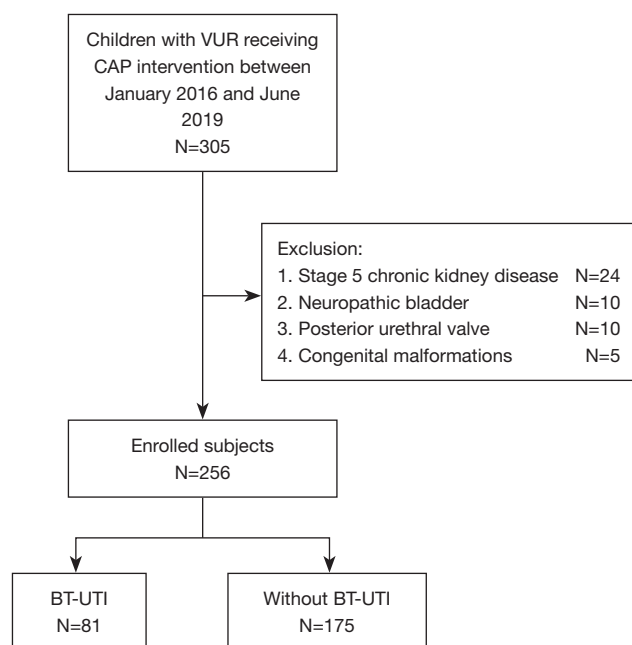


Figure 1 Selection and group information of the study subjects. VUR, vesicoureteral reflux; CAP, continuous antibiotic prophylaxis; BT-UTI, breakthrough urinary tract infection.

prevent new UTIs (5,6). Current, there are two kinds of interventions for VUR patients, continuous antibiotic prophylaxis (CAP) and anti-reflux surgery. Anti-reflux surgery is based on the early published studies suggesting that VUR is unlikely to heal spontaneously. In recent years, it has been reported that patients with intermediate and high-grade VUR have higher spontaneous remission rates than expected (7). Our preliminary study was the first to report the long-term spontaneous remission rate of VUR in China and found that the spontaneous remission rate reached 44.4–56.2% within 2 years after diagnosis, even in children with severe VUR (2,8). The rate of spontaneous remission of VUR, especially in the first year after the diagnosis, was reported to be higher abroad (9). The guidelines developed by the American Urological Association and European Association of Urology still recommend CAP as the preferred treatment for most children with VUR. CAP can minimize bacterial growth and avoid breakthrough urinary tract infection (BT-UTI) as much as possible, thereby reducing the renal scarring (10–12). A meta-analysis on the role of CAP in children with VUR showed that CAP was effective in preventing the recurrence of BT-UTI (13). Despite the use of CAP, some VUR patients still experience BT-UTIs, thus requiring anti-

reflux surgery. The development of clinical strategies on the treatment course and indications of CAP intervention in VUR patients, the effects of CAP on reducing the occurrence of BT-UTI and renal scarring to protect renal function, and the appropriate timing of VUR surgery still requires more clinical evidence-based information.

We present the following article in accordance with the STROBE reporting checklist (available at <https://tp.amegroups.com/article/view/10.21037/tp-21-398/rc>).

Methods

This was a retrospective cohort study. Children seeking treatment due to febrile UTI at the outpatient clinic or inpatient ward of the Department of Nephrology, Children's Hospital of Fudan University, between January 2016 and June 2019 were enrolled in the study. These children were diagnosed with VUR by micturating cystourethrography (MCU) and received CAP intervention. The exclusion criteria were as follows: secondary VUR (neuropathic bladder, posterior urethral valve, congenital tethered cord syndrome), stage 5 chronic kidney disease, genital malformations (urethro-rectal fistula, recto-vesical fistula), and congenital immunodeficiency. A total of 305 children were enrolled in the study. According to the exclusion criteria, 256 children were included in the BT-UTI risk factor analysis (Figure 1). All subjects were diagnosed with VUR by MCU after their febrile UTI was relieved. The diagnosis and grading were based on the VUR classification (grade I–V) developed by the International Reflux Study Committee (14). The classification of bilateral VUR was determined according to the side with a higher reflux grade. After the diagnosis of VUR, all patients received CAP. CAP was given in a single oral dose per night (one-quarter to one-third of a daily dose). Antibiotics (one of nitrofurantoin enteric-coated tablets, sulfamethoxazole tablets, amoxicillin and cephalosporin) were selected according to the bacterial susceptibility and were orally administered. The treatment was individualized for each child. Kidney dimercaptosuccinic acid (DMSA) scan interpretation: ^{99m}Tc -DMSA renal static imaging was performed. After receiving an intravenous injection of 22.2–185.0 MBq imaging agent (1.85 MBq/kg body weight) for 3–4 h, children were placed in the supine position, and static imaging in the anteroposterior view was performed using a low-energy high-resolution parallel-hole collimator with a field of view that included both kidneys and the bladder, energy peak 140 keV, window width 20%, matrix 512×512, magnification

2.19, and radioactivity count 1.8 mSv. The ^{99m}Tc -DMSA renal imaging protocol and result assessment followed the clinical guideline of the Society of Nuclear Medicine and Molecular Imaging (15). Normal imaging was considered to be a normal location, morphology, and contour of both kidneys and a uniform distribution of radioactivity in the renal parenchyma. The percentage of renal function on each side (differential renal function) was calculated by delineating the kidney regions of interest and background through computer. A kidney with a differential function $<45\%$ was considered renal impairment. In addition, then the eGFR $<90 \text{ mL}/(\text{min}\cdot 1.73 \text{ m}^2)$ was also defined renal impairment, which calculated by the Schwartz formula (2,16). One or more focal radioactivity reductions or defects in the renal cortex, with contraction or volume reduction of the affected cortex, or wedge-shaped defects denoted renal scarring. Bladder and bowel dysfunction (BBD): a total of 54 VUR children who received potty training during the follow-up of this cohort were evaluated with the Dysfunctional Voiding Symptom Score (DVSS) questionnaire. Participants with ≥ 3 missing items in the DVSS were excluded from all analyses. Males with greater than 9 points and females with greater than 6 points were defined as having BBD (17,18).

Study design

This was a single-centre cohort study (January 2016 to December 2019). The clinical data of 256 children with grade I–V VUR receiving CAP were analysed. Children who had experienced BT-UTI was eligible. Exposure were sex, younger age at the initial diagnosis of UTI ≤ 12 months, high-grade VUR, bilateral VUR, aetiology, presence of renal scarring at the initial diagnosis, presence of renal function impairment at the initial diagnosis, ultrasound abnormalities, antibiotic used and BBD. Outcome was BT-UTI. The diagnostic criteria of BT-UTI included: (I) body temperature higher than 38°C ; cloudy, foul-smelling urine or symptoms such as urinary urgency, dysuria, and back pain; (II) abnormal midstream clean-catch urine laboratory values: leukocytes >5 /high-power field and positive urinary leukocyte esterase; (III) urine culture: bacterial growth in midstream urine $>10^5$ colony-forming units (CFU)/mL (18,19).

Statistical analysis

The primary endpoint was BT-UTI, which was analysed using a time to event model. The Kaplan-Meier method was used to compute the survival function and to build

survival curves for a time to event analysis. Single-variable time-to-event analysis was performed for each exposure variable to determine the hazard ratio (HR) for the outcome (time to BT-UTI). Univariate analysis of significance for each prognostic factor is done by using log-rank test. A multivariable time-to-event model was then built by using a forward stepwise procedure. Variables with univariable P values <0.05 and high-grade VUR were considered for entry into the model. Cox regression was used to evaluate individual variables as independent risk factors for BT-UTI. Measurement data were expressed as the mean \pm standard deviation, and count data were presented as percentages (%). Data analysis was performed with SPSS 22.0 software.

IRB approval

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by Ethical Committee of Children Hospital of Fudan University (No. 2017-133) and written informed consent was provided by the participants' legal guardian/next of kin.

Results

General information

A total of 256 children were included in this study, including 137 males and 119 females, with a median age of 8 (range, 1–56) months. The median follow-up time was 14.2 (range, 0–41) months. None of the male patients had been circumcised. All VUR children received MCU after being diagnosed with febrile UTI. Of these patients, 110 patients had unilateral VUR, 146 patients had bilateral VUR, and VUR was present in a total of 366 ureters. There were 13 children with grade I VUR (5.08%), 42 grade II (16.41%), 92 grade III (35.94%), 86 grade IV (33.59%), and 23 grade V (8.98%) (Table 1).

Risk factors for BT-UTI

Among the 256 children, 81 developed BT-UTI while received CAP, for an incidence rate of 31.64%. The median time of BT-UTI was 7 [3, 15] months. Univariate analysis found that younger age at the initial diagnosis of UTI (≤ 12 months) ($P=0.001$), bilateral VUR ($P=0.002$), the presence of renal scarring at the initial diagnosis ($P=0.001$), and BBD ($P=0.014$) were correlated with the occurrence of

Table 1 Baseline demographic and clinical characteristics

Characteristics	BT-UTI (n=81), n (%)	Without BT-UTI (n=175), n (%)	P
Median, months	4	6	0.212
25th and 75th quartile, months	4–10	3–15.5	
Age group			0.001
≤12 months	62 (24.21)	97 (37.89)	
>12 months	19 (7.42)	78 (30.48)	
Sex			0.656
Male	45 (17.58)	92 (35.94)	
Female	36 (14.06)	83 (32.42)	
VUR grade			
I–II	13 (5.08)	42 (16.41)	0.150
III–V	68 (26.56)	133 (51.95)	
I–III	42 (16.41)	105 (41.02)	0.220
IV–V	39 (15.23)	70 (27.34)	
VUR			0.002
Bilateral	46 (17.97)	63 (24.61)	
Unilateral	35 (13.67)	112 (43.75)	
Aetiology			0.890
<i>E. coli</i>	22 (16.94)	31 (25.00)	
Others	29 (23.39)	43 (34.68)	
DMSA at the initial diagnosis			
Renal scarring	30 (11.72)	30 (11.72)	<0.0001
Without renal scarring	51 (19.92)	145 (56.64)	
Differential renal function ≥45%	53 (21.09)	105 (41.02)	0.460
Differential renal function <45%	28 (10.55)	70 (27.34)	
Ultrasound abnormalities			0.491
Hydronephrosis	22 (8.71)	59 (23.32)	
Ureter duplication	56 (22.13)	116 (45.84)	
BBD			0.012
Yes	13 (24.07)	8 (14.81)	
No	9 (16.67)	24 (44.44)	
Antibiotic used			0.660
Amoxicillin or cephalosporin	60 (23.43)	125 (48.83)	
Nitrofurantoin enteric-coated tablets or sulfamethoxazole tablets	21 (8.21)	50 (19.53)	

BT-UTI, breakthrough urinary tract infection; VUR, vesicoureteral reflux; *E. coli*, *Escherichia coli* Castellani; DMSA, dimercaptosuccinic acid; BBD, bladder and bowel dysfunction.

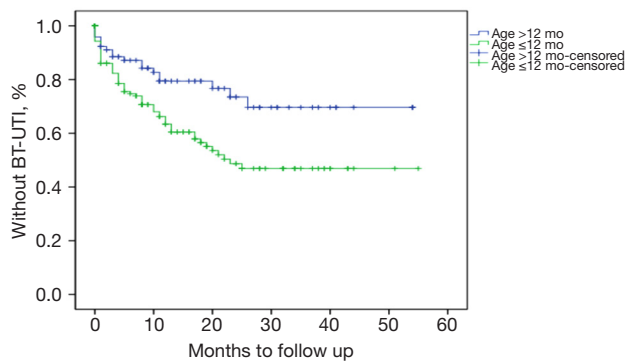


Figure 2 Time to first BT-UTI. Life-table analysis (Kaplan-Meier curves) showing the time of BT-UTI in VUR children with age at the initial diagnosis of UTI (≤ 12 and > 12 months). The difference was significant ($P=0.001$, log-rank test). BT-UTI, breakthrough urinary tract infection; VUR, vesicoureteral reflux.

BT-UTI. The differences in other parameters such as the factor of sex between the BT-UTI and without BT-UTI groups were not significant. Multivariate analysis included the correlated factors with $P < 0.05$ in the univariate analysis and VUR grade. The results suggested that younger age at the initial diagnosis of UTI (≤ 12 months) [HR: 4.629; 95% confidence interval (CI): 1.302–16.462; $P=0.001$], bilateral VUR (HR: 2.078; 95% CI: 1.084–4.022; $P=0.002$) and BBD (HR: 3.194; 95% CI: 1.243–8.206; $P=0.014$) were independent risk factors for BT-UTI. *Figure 2* shows the survival curve for the event BT-UTI for the two age groups. When children were divided into the high-grade group (III–V grade) and the low-grade group (I–II grade). High-grade VUR did not show any significant effect on the occurrence of BT-UTI on univariate analysis ($P=0.152$) in our group. Multivariate regression was performed, and no significant difference was observed neither (HR: 1.062; 95% CI: 0.197–5.714; $P=0.945$). Moreover, when children were divided into grade IV–V and grade I–III groups, univariate analysis showed that grade IV–V VUR did not show significant effect on BT-UTI occurrence ($P=0.221$). Multivariate regression again showed no significant difference (HR: 1.194; 95% CI: 0.460–3.099; $P=0.716$) (*Table 2*).

A total of 54 VUR children who received potty training during the follow-up were evaluated with the DVSS questionnaire, including 33 males and 22 females. There were 21 (38.89%) children with BBD, including 10 males and 11 females. Among these 21 patients with BBD, 2 were grade I–II VUR and the other 19 were grade III–V VUR.

Meanwhile, 12 were bilateral VUR. Chi-square test found that bilateral VUR was correlated with BBD ($P < 0.05$), while there was no difference in other parameters (sex, age and VUR grade) between the BBD and without BBD groups ($P > 0.05$) (*Table 3*).

Discussion

In this study, the clinical data of 256 children with grade I–V VUR receiving CAP at the Department of Nephrology, Children's Hospital of Fudan University were analysed. BT-UTI while receiving CAP was analyzed as outcome in a time-to-event model. Children who were younger at the initial diagnosis of UTI (≤ 12 months), who had bilateral VUR and who had BBD were more prone to BT-UTI.

Despite receiving CAP and regular follow-up, some children with VUR still experienced BT-UTI. The incidence of BT-UTI was 31.64%, and the incidence of more than one bout of BT-UTI was 14.11%, which was consistent with the data of the cohort study (36.7%) reported by Smellie *et al.* (20), which included 226 British VUR children receiving CAP. They are also similar to the incidence of symptomatic recurrent UTI reported in the International Reflux Study in Children, which performed 10 years of follow-up, during which the incidence of UTI (fever and non-fever) in the CAP group was 37.8% (21). Panaretto *et al.* (22) conducted a retrospective analysis of 290 children seeking treatment due to UTI in Australia and found that age less than 6 months and high-grade VUR were risk factors for BT-UTI. Dias *et al.* (23) performed a retrospective analysis of 740 children with grade I–V VUR who had received CAP and were followed up for at least 3 months in Brazil. They also found that younger age (≤ 12 months) at the initial diagnosis of UTI, higher grade of VUR (III–V), BBD, and female sex were independent risk factors for BT-UTI. The incidence of BT-UTI in patients aged ≤ 12 months was 2.4 times that of patients aged > 12 months. In this study, the HR of BT-UTI in VUR children younger than 12 months *vs.* VUR children older than 12 months was 4.6, in line with the above two studies. In our study, there were only 4 boys who had BT-UTI beyond 12-month-old, while 15 girls with BT-UTI more than 1-year-old. The risk of BT-UTI decreases significantly after the first year of life in boys (24). In that way, discontinuation of antibiotic prophylaxis can be discussed, especially in boys with a low-grade reflux and normal renal parenchyma in DMSA scan. For girls, the risk for BT-UTI remains higher overall and the new scars were acquired and

Table 2 Time-to-event analysis for risk of BT-UTI

Variables	Univariable			Multivariable		
	HR	95% CI	P	HR	95% CI	P
Age group						
≤12 months	2.624	1.449–4.753	0.001	4.629	1.302–16.462	0.018
>12 months	Ref.					
VUR grade						
I–II	Ref.					
III–V	1.652	0.831–3.284	0.152	1.062	0.197–5.714	0.945
I–III	Ref.					
IV–V	1.393	0.819–2.378	0.221	1.194	0.460–3.099	0.716
VUR						
Bilateral	2.337	1.365–3.998	0.002	2.078	1.084–4.022	0.033
Unilateral	Ref.					
DMSA at the initial diagnosis						
Renal scarring	2.843	1.563–5.172	0.001	1.141	0.457–2.701	0.817
Without renal scarring	Ref.					
BBD						
Yes	4.333	1.349–3.924	0.014	3.194	1.243–8.206	0.016
No	Ref.					

BT-UTI, breakthrough urinary tract infection; HR, hazard ratio; CI, confidence interval; VUR, vesicoureteral reflux; DMSA, dimercaptosuccinic acid; BBD, bladder and bowel dysfunction.

found to be related to severe inflammatory processes, while in boys the renal damage was often congenital (24). Thus, prophylaxis also had a protective effect against new renal scarring in girls during 2 years of follow-up, but there were no effects on UTI recurrence or renal damage in boys (25). For girls the timing for CAP discontinuation should be extended after the patient is toilet-trained. Mattoo *et al.* (18) conducted a prospective randomized controlled clinical trial [Randomized Intervention for Vesicoureteral Reflux trial (RIVUR)] and found that children with grade IV–V VUR were more prone to renal scarring and BT-UTI, which were 24.2 and 1.88 times the rates in grade I–III VUR children, respectively. In our study, neither univariate analysis nor multivariate analysis found a correlation between VUR grade and BT-UTI, but the results suggested that children with bilateral VUR were more likely to develop BT-UTI. This result may be related to the higher proportion of children with high-grade VUR included in this cohort (78.52% of children with grade III–V VUR

and 42.58% with grade IV–V VUR). Loukogeorgakis *et al.* (26) performed a retrospective analysis on 61 grade I–V VUR children, in which grade III–V VUR children were treated with CAP and grade I–II VUR children did not receive any treatment. The presence of renal scarring after the initial diagnosis of UTI was the only independent risk factor for the occurrence of BT-UTI (HR: 3.3; 95% CI: 1.4–7.4). Signorelli *et al.* (1) analysed 732 children in two cohort studies, RIVUR and the Careful Urinary Tract Infection Evaluation (CUTIE) trial, and found that after the initial diagnosis of UTI, the recurrence rate of BT-UTI in patients with renal scarring on DMSA scans was 2.88 times that of children without renal scarring. In this study by univariate analysis, the presence of renal scarring at the initial diagnosis is statistically significant, but in the multivariate analysis, it is not an independent risk factor for BT-UTI (HR: 1.141; 95% CI: 0.457–2.701; P=0.817).

BBD includes bladder and bowel dysfunction. In a cohort study, Burger *et al.* found that BBD was an independent risk

Table 3 Risk factor analysis of BBD in children with VUR during prophylactic antibiotic intervention

Characteristic	BBD (n=21), n (%)	No BBD (n=33), n (%)	P
Sex			0.135
Male	10 (18.52)	22 (40.74)	
Female	11 (20.37)	11 (20.37)	
Age group			0.381
≤12 months	13 (24.07)	23 (42.59)	
>12 months	8 (14.81)	10 (18.52)	
VUR grade			
I-II	2 (3.70)	5 (9.26)	0.437
III-V	19 (35.19)	28 (51.85)	
I-III	10 (18.52)	18 (33.33)	0.414
IV-V	11 (20.37)	15 (27.78)	
VUR			0.028
Bilateral	12 (22.22)	9 (16.67)	
Unilateral	9 (16.67)	24 (44.44)	

BBD, bladder and bowel dysfunction; VUR, vesicoureteral reflux.

factor for BT-UTI in VUR children receiving CAP (27). It was an independent risk factor for BT-UTI in children with VUR, and the risk of BT-UTI in VUR children with BBD while receiving CAP was 2.07 times that of VUR children without BBD. Shaikh *et al.* (28) analysed 802 children in the RIVUR and CUTIE cohort studies and found that VUR children with BBD were more prone to BT-UTI than VUR children without BBD. A second BT-UTI occurred in 51% of VUR children with BBD, while a second BT-UTI occurred in only 20% of VUR children without BBD. This study also found that although they received CAP, the HR of experiencing BT-UTI was 3.194 for VUR children with BBD *vs.* VUR children without BBD. Currently, BBD can be treated through potty training, animated biofeedback, sacral nerve stimulation, and active bowel management. Bilateral ureteral reimplantation can be performed for VUR children with BBD while CAP is provided, thereby reducing the rate of BT-UTI in clinical practice.

This study has some limitations. First, the study was a single-centre, small cohort study. Second, although the children were regularly followed up in our hospital, there was no quantitative standard for CAP compliance. In addition, there were some data defects during data acquisition, such as the lack of aetiology during the initial

diagnosis of UTI. These factors may have led to biased results. Multicentre prospective clinical studies are needed to confirm the reliability of our results.

Conclusions

This study found that younger age at the initial diagnosis of UTI (≤12 months), bilateral VUR and BBD were risk factors for BT-UTI recurrence. All of these factors need attention in clinical diagnosis and treatment.

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Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <https://tp.amegroups.com/article/view/10.21037/tp-21-398/rc>

Data Sharing Statement: Available at <https://tp.amegroups.com/article/view/10.21037/tp-21-398/dss>

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by Ethical Committee of Children Hospital of Fudan University (No. 2017-133) and written informed consent was provided by

the participants' legal guardian/next of kin.

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