



# Establishment of a predictive model for purulent meningitis in preterm infants

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**Background:** Purulent meningitis (PM) is an important cause of mortality and morbidity in the newborn population throughout the world. The subtle of specific clinical signs and low success rates of lumbar puncture make diagnosis of PM more difficult in preterm than in older children. The objective of this study was to establish a predict model for preterm PM in hopes of helping clinicians develop new diagnostic and treatment strategies.

**Methods:** Premature infants who were admitted to The First Affiliated Hospital of Zhengzhou University from September 2017 to March 2020 were enrolled in this study. All the patients underwent lumbar puncture. We collected data encompassing maternal diseases and neonatal clinical features. Cerebrospinal fluid (CSF) culture is the gold standard for diagnosing meningitis. The PM was diagnosed according to the diagnostic criteria. All statistical analyses were performed using R 3.63 (<https://www.r-project.org/>). Logistic regression and least absolute shrinkage and selection operator (LASSO) regression analyses were used to establish a risk prediction model of PM. The Brier score, calibration slope, and concordance (C)-index were used to verify the accuracy of prediction model.

**Results:** A total of 168 preterm infants were enrolled in this study, 80 boys and 88 girls, the gestational age (GA) was 26.43–36.86 weeks (32.45±2.79 weeks), the birth weight (BW) was 700–3,400 g (1,814.05±568.84 g). There were 77 preterm infants with PM while 91 without. We identified seven variables as independent risk factors for PM in preterm infants by LASSO analysis [the optimal  $\lambda$  was 0.080960, and  $\log(\lambda) = -2.5138$ ], including procalcitonin (PCT) on the 1st day after birth, prenatal glucocorticoid use, albumin, the 1-minute Apgar score, the use of non-invasive biphasic positive airway pressure, hemoglobin, and sex. These were used to construct a risk prediction nomogram and verified its accuracy. The Brier score was 0.17, the calibration slope was 0.966, and the concordance index was 0.82018.

**Conclusions:** Our prediction model could predict the risk of PM in preterm infants. Using this prediction model, it may be able to provide reference to determine whether lumbar puncture is performed and whether antibiotics are applied as soon as possible.

**Keywords:** Preterm infant; purulent meningitis (PM); prediction model

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## Introduction

Purulent meningitis (PM) is a common infectious disease in the neonatal period that may cause long-term neurological sequelae. The incidence of PM is significantly higher in the neonatal period than in other periods. Incidence of PM was 0.1–0.4 per 1,000 live births in neonates, and 10–15-fold higher in very low-birth weight (VLBW) infants reaching 2/1,000 (1). Even in developed countries, mortality from neonatal meningitis was 5–20% and neurological sequelae was 20–50% (2). Neonates are at higher risk of meningitis because of immaturity in humoral and cellular immunity. Premature infants are at higher risk of PM due to immaturity in humoral and cellular immunity and blood–brain barrier development. Neonatal PM lacks specific symptoms and may cause an abnormal body temperature, irritability, drowsiness, apnea, and convulsions. These symptoms in preterm infants are more atypical. It is difficult to differentiate PM from neonatal sepsis, bilirubin encephalopathy, and intracranial hemorrhage based on clinical symptoms alone. And no haematological test can distinguish PM from sepsis and other diseases.

PM is mainly diagnosed based on cerebrospinal fluid (CSF) test, and CSF specimens are usually obtained through a lumbar puncture (3). However, a lumbar puncture is an invasive and difficult operation that is prone to causing local injury or to puncture failure, and its success is highly dependent on the experience of the surgeon. Unsuccessful rates of lumbar puncture procedures reported ranging from 22.5% to 42.9% in neonates (4–6). Preterm is often accompanied by poor conditions, which could increase the difficulty and risk of puncture (7).

It has been estimated that 15–30% of infants with CSF proven meningitis have negative blood cultures and about 15% of neonates with negative blood cultures have positive CSF cultures (1). At present, it is controversial that patients need lumbar puncture to diagnosis or exclude PM. Many centers perform lumbar puncture for neonate with clinical symptoms, or with central nervous system symptoms such as apnea and convulsions, or with positive blood cultures. A previous multicenter study showed that a sequential algorithm based on five predictive factors, including clinical manifestations and laboratory results, could be used to screen for low-risk meningitis in full-term infants, negating the need to conduct invasive lumbar punctures in newborns (8). But there were no similar studies on PM in premature infants. Many factors are associated with

the occurrence of preterm PM. Organisms present in the mother can be passed to the fetus by blood, rectovaginal, amniotic fluid and placenta, causing neonate PM (9). Some hematology tests, such as white blood cell (WBC), C-reactive protein (CRP), and procalcitonin (PCT) tests, are of limited value individually due to their low sensitivity and cannot be used to predict PM (10).

The aim of the current study was to establish a convenient and effective clinical predictive model on the base of perinatal factors to assess the risk of PM, in hopes of helping clinicians develop new diagnostic and treatment strategies for preterm. We present the following article in accordance with the TRIPOD reporting checklist (available at <https://tp.amegroups.com/article/view/10.21037/tp-22-236/rc>).

## Methods

### *Data Collection and Diagnosis*

Premature infants who were admitted to The First Affiliated Hospital of Zhengzhou University from September 2017 to March 2020 were enrolled in this study. All the patients underwent lumbar puncture. CSF culture is the gold standard for diagnosing meningitis. Based on prior studies (11,12), the PM was diagnosed if met any criterion of the following: (I) positive CSF culture; or (II) CSF pleocytosis ( $WBC \geq 20/mm^3$ ) with a predominance of polymorphonucleocytes (>50%), and CSF glucose <2.2 mmol/L or CSF glucose 40% lower than normal blood glucose level or CSF protein is greater than 1880 mg/L. If the lumbar puncture generated hemorrhagic CSF, the CSF WBC count was calculated based on the ratio of the WBCs to neutrophils on the same day. Infants were excluded from the study if they met any of the following exclusion criteria: (I) full-term infants with gestational age (GA)  $\geq 37$  weeks; (II) congenital neurological developmental malformations, genetic metabolic diseases, chromosomal abnormalities, or had died; (III) their family members abandoned the treatment or refused to allow the lumbar puncture to be performed during the hospitalization; and/or (IV) incomplete general information.

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and was approved by the Ethics Committee of The First Affiliated Hospital of Zhengzhou University (ethics No. KY-2020-0514). As it was a retrospective study, informed consent was not required.

### Risk factors

Based on clinical experience and prior report (3), we collected data encompassing maternal diseases and neonatal clinical features. The maternal factors contain: maternal age, history of abnormal pregnancy, antenatal corticosteroids, delivery method, anesthesia during delivery, embryo transfer, gestational hypertension, gestational diabetes, liver injury during pregnancy, intrahepatic cholestasis of pregnancy (ICP), premature rupture of membranes, maternal WBC count and classification, and platelet count before delivery, single birth/multiple births, abnormalities of placental, umbilical and amniotic fluid. Neonatal factors include sex, GA, birth weight (BW), 1- and 5-minute Apgar scores, vital signs at admission (including temperature, heart rate, respiration, and blood pressure), partial pressure of oxygen, partial pressure of carbon dioxide, bases excess value (BE), blood glucose, hematological indicators within 24 hours after birth (including hemoglobin, urea nitrogen, creatinine, albumin, creatine kinase isoenzyme, C-reactive protein, PCT, and N-terminal brain natriuretic peptide (NT-proBNP)), mechanical ventilation, non-invasive biphasic positive airway pressure (BiPAP), continuous positive airway pressure (CPAP).

### Statistical analysis

All statistical analyses were performed using R 3.63 (<https://www.r-project.org/>). The “glmnet” package in R software was used to perform the least absolute shrinkage and selection operator (LASSO) regression to screen the best predictors of PM. The “rms” package was used to incorporate the factors selected by LASSO regression into the multivariate logistic regression analysis to build a prediction model. Differences with  $P < 0.05$  were considered statistically significant.

### Establishment of the Predictive Model and Nomogram

Based on the collection data from premature infants, we use LASSO regression analysis to identify optimal risk factors affecting PM. The minimum turning parameter  $\lambda$  was determined with cross-validation. The factors screened by LASSO regression were used to establish the prediction model according to logistic regression analysis. Then we present the prediction model as a nomogram. A decision curve analysis was conducted to evaluate the clinical utility

of the nomogram.

The Brier score, calibration slope, and concordance (C)-index were used to evaluate the performance of the model. The Brier score closed to 0, the standard slope closed to 1, and the C-index closed to 1, indicating good predictive ability. In addition to these numeric measures, we used the calibration plot and receiver operating characteristic curve to display the calibration and discrimination aspects of our final model.

## Results

### Baseline clinical characteristics

A total of 168 infants were enrolled in this study, 80 boys and 88 girls. The GA was 26.43–36.86 weeks ( $32.45 \pm 2.79$  weeks), and the BW was 700–3,400 g ( $1,814.05 \pm 568.84$  g). The blood culture of 12 preterms were positive, 4 cases with *Staphylococcus*, 4 cases with *Klebsiella pneumoniae*, 2 cases with *Enterococcus faecalis*, 1 case with *Listeria*, and 1 case with *Streptococcus salivarius*. The CSF culture of 3 preterms were positive, 1 case with *Staphylococcus*, 1 case with *Klebsiella pneumoniae*, and 1 case with *Listeria*. Among the preterm infants, 77 with PM and 91 without PM. The general information of the included children is set out in *Table 1*.

### Selection of perinatal characteristics

LASSO regression was used to screen the independent risk factors for PM (*Figure 1A, 1B*). The coefficient,  $\lambda$ , decreases a greater number of variables. When  $\lambda$  is optimal, the coefficient of the excluded variables is compressed to 0, while the coefficients of the variables left in the model were nonzero. The results of our analysis indicated that the optimal value of  $\lambda$  was 0.080960, and the logarithm was ( $\lambda$ ) =  $-2.5138$ . According to LASSO analysis results, the 43 perinatal factors were reduced to 7 potential predictors. The influencing factors include PCT on the 1st day after birth, prenatal glucocorticoid use, albumin, the 1-minute Apgar score, the duration of BiPAP, hemoglobin, and sex (*Table 2*).

### Development of nomogram

On the basis of the logistic regression results, 7 variables with  $P$  value  $< 0.05$  were used to construct the risk prediction nomogram (*Figure 2*). The C-index of the

**Table 1** General data of the included pediatric patients (n=168)

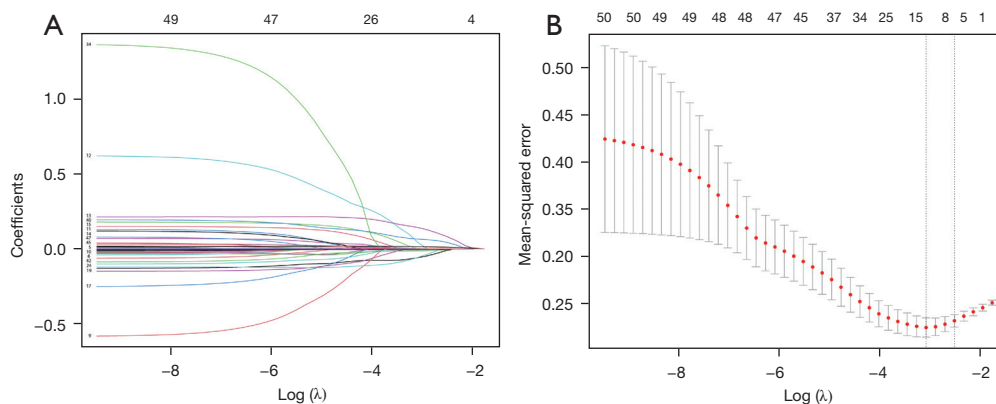
Variable	Overall cohort
Sex (male/female), (%)	80 (47.6)/88 (52.4)
Gestational age (Weeks)	32.45±2.79
Birth weight (g)	1,814.05±568.84
Single birth/multiple birth	129 (76.8)/39 (23.2)
1-min Apgar	7.77±2.1
5-min Apgar	8.94±1.47
Temperature (°C)	35.95±0.51
Heart rate (beats/min)	132.48±16.81
Respiratory rate (times/min)	41.08±10.46
Systolic blood pressure (mmHg)	62.39±10.42
Diastolic blood pressure (mmHg)	34.61±8.56
Partial pressure of oxygen (mmHg)	63.91±23.48
Partial pressure of carbon dioxide (mmHg)	49.38±12.98
Bases excess (mmol/L)	-4.34±3.98
Blood glucose (mmol/L)	3.78±1.91
Hemoglobin (g/L)	160.58±24.03
Blood urea nitrogen (mmol/L)	4.10±2.46
Creatinine (µmol/L)	59.98±23.21
Albumin (g/L)	30.29±5.16
Creatine kinase Isoenzyme (U/L)	216.98±278.80
C-reactive protein (mg/L, postnatal day 1)	6.10±9.68
Procalcitonin (ng/mL, postnatal day 1)	4.40±7.28
NT-proBNP (ng/L, postnatal day 1)	6,017.84±8,460.27

**Table 1** (continued)

**Table 1** (continued)

Variable	Overall cohort
Ventilation (days)	2.54±6.47
BiPAP (days)	1.30±3.78
CPAP (days)	5.98±8.30
Mothers' information	
Placenta anomalies	55 (32.7%)
Umbilical cord anomalies	49 (29.2%)
Amniotic fluid anomalies	28 (16.7%)
Premature rupture of membranes	57 (33.9%)
History of abnormal pregnancy	16 (9.5%)
Antenatal corticosteroids	38 (22.6%)
Cesarean delivery/natural labor, (%)	127 (75.6)/41 (24.4)
General anesthesia	47 (28.0%)
Embryo transplantation	35 (20.8%)
Gestational hypertension	42 (25.0%)
Gestational diabetes mellitus	29 (17.3%)
Abnormal liver function in pregnancy	2 (1.2%)
Intrahepatic cholestasis of pregnancy	1 (0.6%)
Age (years)	30.84±5.57
White blood cells (×10 <sup>9</sup> /L)	9.89±3.11
Neutrophil granulocytes (×10 <sup>9</sup> /L)	8.05±5.71
Lymphocytes (×10 <sup>9</sup> /L)	1.72±1.67
Blood platelet count (×10 <sup>9</sup> /L)	190.70±62.51

NT-proBNP, N-terminal brain natriuretic peptide; BiPAP, biphasic positive airway pressure; CPAP, continuous positive airway pressure.



**Figure 1** Perinatal factors were selected using LASSO regression. (A) LASSO regression was used to identify the predictive factors. (B) Cross-validation and minimum criteria were used to adjust the penalty coefficient in the LASSO model. The vertical black line represents the optimal lambda (i.e., the model that provided the best fit to the data). Thus, the optimal λ was 0.080960, and log (λ) = -2.5138. LASSO, least absolute shrinkage and selection operator.

**Table 2** Predictive factors for PM in preterm infants

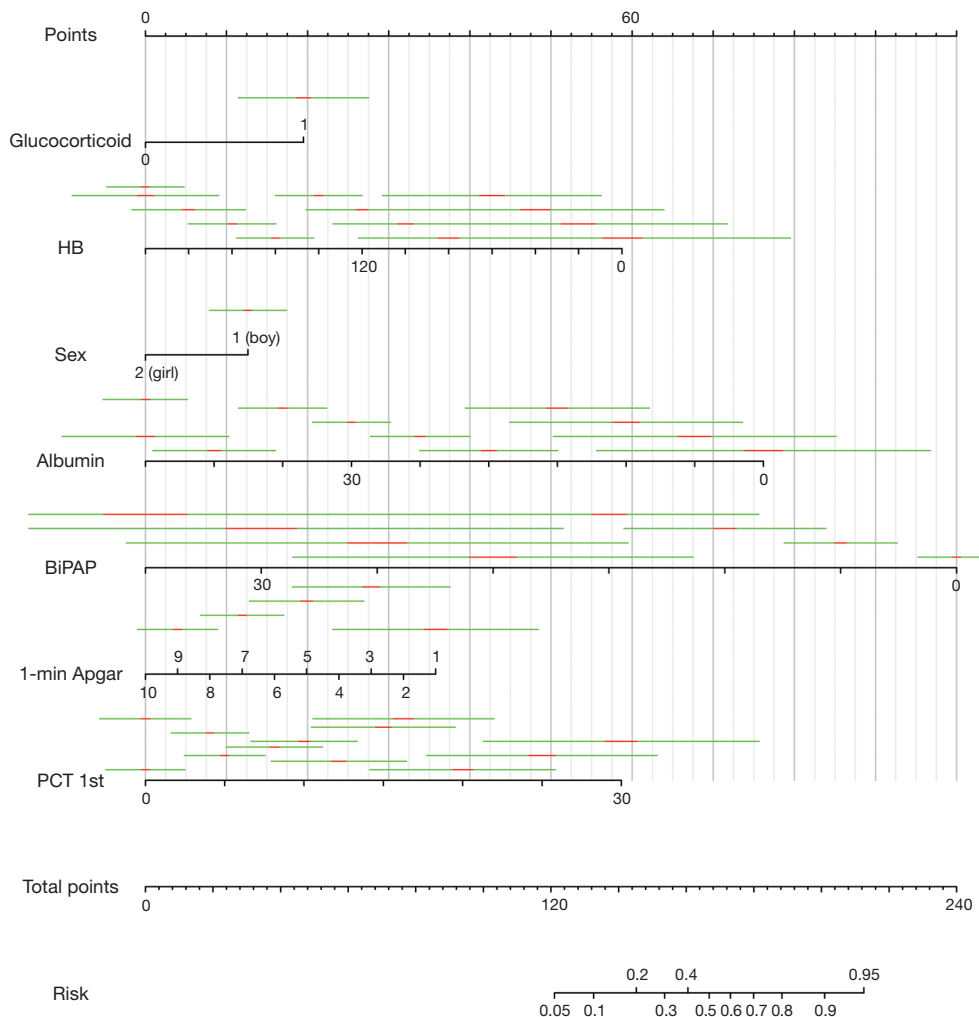
Variable	$\beta$	P value
PCT 1st	0.1258	0.0006
Glucocorticoid	1.2542	0.0096
Albumin	-0.1089	0.0066
1-min Apgar	-0.2557	0.0118
BiPAP	-0.1838	0.0195
Hb	-0.0172	0.0290
Sex	-0.8131	0.0354

PM, purulent meningitis; PCT 1st, procalcitonin on the 1st day after birth; BiPAP, biphasic positive airway pressure; Hb, hemoglobin.

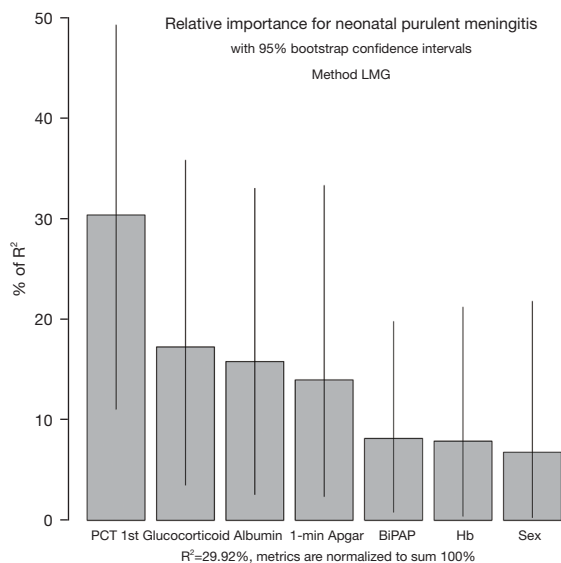
nomogram was 0.82018 (95% confidence interval: 0.75463–0.88573), indicating that the model had good discriminant and predictive abilities. Additionally, we analyzed the degree of variance explained by each influencing factor for PM in the preterm infants, and the results showed that PCT on the 1st day after birth, prenatal glucocorticoid use, albumin, and the 1-minute Apgar score contributed the most to PM (Figure 3).

*Verification of accuracy for the prediction model*

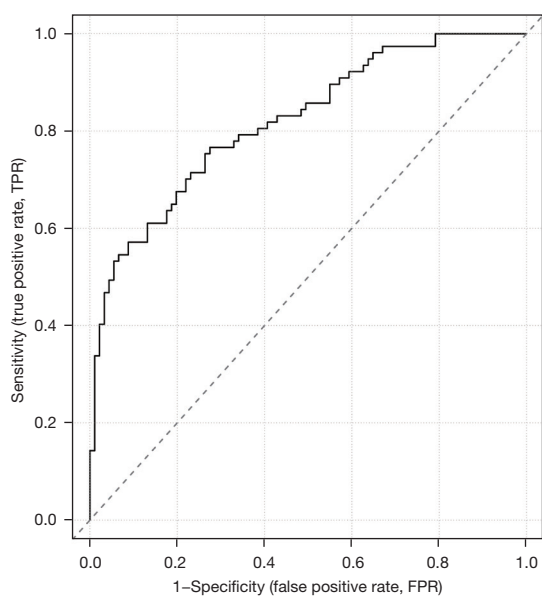
The accuracy of the prediction model was verified. The ROC of the nomogram showed that indicated that the model had relatively high accuracy (Figure 4). Additionally, a calibration



**Figure 2** The nomogram for PM in preterm infants. PM, purulent meningitis; PCT 1st, procalcitonin on the 1st day after birth; BiPAP, biphasic positive airway pressure; Hb, hemoglobin.

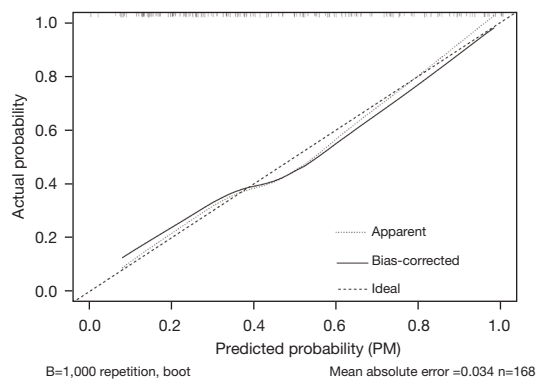


**Figure 3** Analysis of the degree of variance explained by each influencing factor for PM in preterm infants. PM, purulent meningitis; PCT 1st, procalcitonin on the 1st day after birth; BiPAP, biphasic positive airway pressure; Hb, hemoglobin.

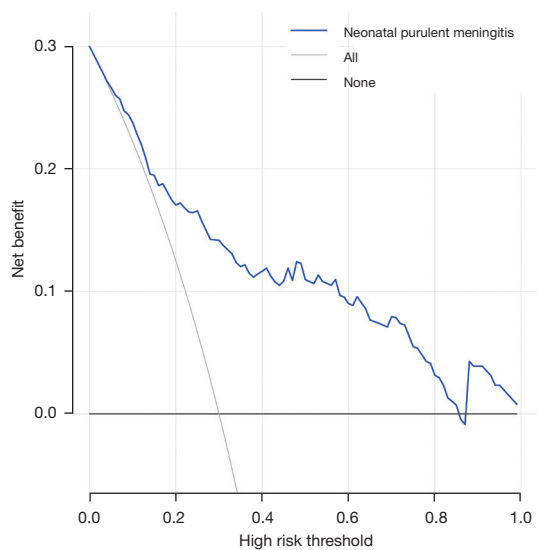


**Figure 4** Receiver operating characteristic curve for the predictive model for PM in preterm infants. PM, purulent meningitis.

curve (Figure 5), decision curve (Figure 6), and clinical impact curve (Figure 7) were plotted to evaluate the prediction model. The Brier score was 0.17, the calibration slope was 0.966, and the C-index was 0.82 (95% CI: 0.75–0.89).



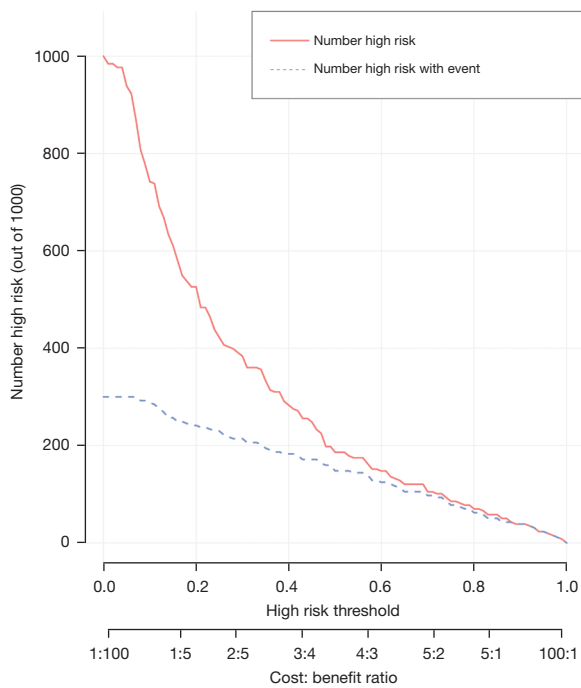
**Figure 5** Calibration curve of the model for predicting PM in preterm infants. PM, purulent meningitis.



**Figure 6** Decision curve analysis of the nomogram for the prediction model for PM in preterm infants. PM, purulent meningitis.

### Discussion

This study established a prediction model for PM in preterm infants. We found that PCT on the 1st day after birth, prenatal glucocorticoid use, albumin, the 1-minute Apgar score, the duration of BiPAP and CPAP, hemoglobin, and sex were influencing factors. The nomogram comprising these clinical variables predicted the risk of PM in preterm infants well. The use of the prediction model can help clinicians decide whether to perform lumbar puncture and antibiotic use in preterm infants with high-risk factors, in turn reduce missing meningitis diagnosis



**Figure 7** The clinical impact curve of the nomogram for the prediction model for PM in preterm infants. PM, purulent meningitis.

and the occurrence of sequelae, also reduce unnecessary operations and treatments.

Our prediction model showed that PCT levels and prenatal glucocorticoids on the 1st day after birth are positively correlated with PM in preterm infants. Conversely, the serum albumin level, the Apgar score at 1 minute after birth, the duration of non-invasive BiPAP and CPAP, and hemoglobin level 1 day after birth are negatively correlated with PM in preterm infants. In addition, the occurrence of PM in preterm infants is also correlated with sex.

Among the factors affecting PM in preterm infants, the PCT level on the 1st day after birth had the greatest effect. PCT is a pre-peptide of calcitonin derived from the calcitonin gene-related peptide I located on chromosome 11. PCT can be detected within 2 hours of infection and peaks at approximately 12–24 hours (13). PCT can be used to diagnose infectious diseases at an early stage and predict the severity of infection and has a sensitivity and specificity of 83–100% and 70–100%, respectively (14). A physiological increase in PCT occurs in newborns 24–48 hours after birth and is negatively correlated with GA and BW. A 100-g increase in BW has been shown to be associated with a 2.2% decrease in PCT, and a 1-week

increase in GA has been shown to be associated with an 11.4% decrease in PCT (15). A previous study in children <2 months of age shown that serum PCT combined with routine urine analysis and neutrophil count results can be used to identify febrile infants at risk of severe bacterial infection, which reduces the need to use lumbar punctures to exclude PM (16). This study found that the higher the PCT level on the 1st day after birth, the higher the risk of PM in preterm infants. The mean PCT in the PM group and non-PM group were  $7.12 \pm 0.98$  ng/mL and  $2.09 \pm 0.52$  ng/mL, respectively. PCT needs to be dynamically assessed based on GA, BW, and age. If PCT is significantly higher than the upper limit of the normal range and other factors in the prediction model are abnormal, then it is necessary to actively evaluate whether a lumbar puncture is necessary.

The prenatal use of glucocorticoids is one of the most important methods for preventing respiratory distress syndrome (RDS) in preterm infants. It can reduce the incidence and mortality of neonatal RDS, intraventricular hemorrhage (IVH), and necrotizing enterocolitis, and has been widely used in clinical practice. The effects of the prenatal use of exogenous glucocorticoids by mothers on the immune system of fetuses during the developmental process is still unclear. However, a few studies have shown that glucocorticoid exposure can change the long-term physiological and cellular responses of offspring to infection and inflammation (17,18). A clinical study shown that after prenatal glucocorticoid treatment, the number of lymphocytes in preterm infants decreases and the total number of WBC and neutrophils increases (19). Additionally, animal experiments have shown that treating sows with cortisol during pregnancy increases the febrile response of female offspring to lipopolysaccharide stimulation (20). Roberts *et al.* showed that the routine use of glucocorticoids promotes fetal lung maturity in women with premature rupture of membranes and at risk of preterm birth, GA <34 weeks, and did not increase the risk of maternal and neonatal infections (21). However, glucocorticoids may lead to fetal growth restriction (22) and increase the risk of metabolic diseases, such as elevated blood pressure and increased insulin resistance (23,24). The use of multiple courses of prenatal glucocorticoids increases the risk of neonatal sepsis and mortality (25,26). In some pregnant women with special conditions, such as twin pregnancies and intrauterine growth retardation (27,28), the application of glucocorticoids will not promote fetal lung maturation. The results of our study showed that the

prenatal use of glucocorticoids increased the risk of PM in preterm infants; however, the specific mechanism needs to be further studied.

The prediction model showed that a low Apgar score at 1 minute after birth, hypoalbuminemia, anemia, and the duration of BiPAP and CPAP were associated with PM in preterm infants. The Apgar score is a method for rapidly assessing the general status of newborns after birth. Many factors can lead to low Apgar scores after birth, including intrauterine hypoxia, delivery under general anesthesia, and congenital developmental malformations. The albumin level in children with sepsis is significantly reduced and further decreases with disease aggravation (29). Anemia is a common symptom of severe infection and is associated with iatrogenic blood loss and serum iron content (30). After birth, preterm infants often need BiPAP and CPAP to improve respiration for various reasons. The more severe the disease, the longer use duration of assisted ventilation (31). Compared to female preterm infants, male preterm infants are more likely to develop PM (32); however, the specific mechanism needs to be further studied.

A study showed that the premature rupture of membranes is also a high-risk factor for PM in preterm infants (33). However, preterm infants with prematurely ruptured membranes have increased PCT after birth. This study had a multicollinearity problem, such that variables with multicollinearity were excluded by the LASSO regression; thus, this factor was not included in the model developed in this study.

This study had several limitations. First, the sample size was relatively small; a larger sample size would have had better predictive value. Second, while an internal verification was conducted, no external verification was conducted, which limits the large-scale generalization and application of this model.

## Conclusions

Our prediction model could predict the risk of PM in preterm infants. Using this prediction model, it may be able to provide reference to determine whether lumbar puncture is performed and whether antibiotics are applied as soon as possible.

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## Footnote

*Reporting Checklist:* The authors have completed the TRIPOD reporting checklist. Available at <https://tp.amegroups.com/article/view/10.21037/tp-22-236/rc>

*Data Sharing Statement:* Available at <https://tp.amegroups.com/article/view/10.21037/tp-22-236/dss>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://tp.amegroups.com/article/view/10.21037/tp-22-236/coif>). The authors have no conflicts of interest to declare.

*Ethics Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and was approved by the Ethics Committee of The First Affiliated Hospital of Zhengzhou University (ethics No. KY-2020-0514). As it was a retrospective study, informed consent was not required.

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