

# The gut microbiome can be used to predict the gastrointestinal response and efficacy of lung cancer patients undergoing chemotherapy

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**Background:** Lung cancer has the highest incidence and mortality rate of any cancer worldwide. Platinumbased combination chemotherapy is still the standard treatment for advanced lung cancer. However, the clinical efficacy of this treatment can be affected by its adverse reactions, especially gastrointestinal mucositis. The adverse reactions often lead to delayed and reduced medication. The role played by gut microbiome in the treatment of cancer is becoming clearer, and evidence suggests that regulation of the gut microbiome may affect the response to multiple types of cancer treatment.

**Methods:** Sixty lung cancer patients who received chemotherapy for the first time and 17 healthy subjects were enrolled in this study. A metagenomic analysis of 137 fecal samples was performed using next-generation sequencing technology.

**Results:** The relative abundance of *Eubacterium*, *Ruminococcus*, and *Faecalibacterium* was higher in the lung cancer patients than in the healthy subjects; however, the relative abundance of *Prevotella*, *Streptococcus*, *Enterococcus*, and *Roseburia* showed the opposite result. The relative abundance of each gut microbiome changed significantly during chemotherapy. At the phylum level, the relative abundance of *Firmicutes* and *Euryarchaeota* was dramatically increased after chemotherapy. Lung cancer patients with a higher relative abundance of a particular bacterial genus, such as *Prevotella*, *Megamonas*, *Streptococcus*, *Faecalibacterium*, *Roseburia*, *Parabacteroides*, *Coprococcus*, *Oscillibacter*, *Dorea*, or *Chlamydia*, at baseline were more likely to experience gastrointestinal reactions. These results show that the intestinal flora can play a role in predicting the effect of chemotherapy in lung cancer patients.

**Conclusions:** The gut microbiome of patients with lung cancer differs from those of healthy people. The results of this study suggest that *Ruminococcus* and *Eubacterium* may be related to the occurrence and development of lung cancer. The gut microbiome of lung cancer patients changes significantly after treatment with cytotoxic drugs, which may be associated with the gastrointestinal reaction caused by chemotherapy. The gut microbiome also can be used to predict the efficacy of chemotherapy in lung cancer patients.

Keywords: Lung cancer; gut microbiome; gastrointestinal reaction; chemotherapy

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# Introduction

Lung cancer has the highest incidence and mortality rate of any tumor in the world. Despite the continuous advances in lung cancer treatment, patient outcomes are still unsatisfactory, and the 5-year survival rate is a meager 10–20% (1). Globally, approximately three-quarters of lung cancer cases are related to smoking, with the remainder caused by occupational workplace exposure, radon exposure, and air pollution (2).

The treatment approach for lung cancer is mainly determined by the molecular subtype and clinical stage. According to the pathological classification, lung cancer can be divided into non-small cell lung cancer (NSCLC) and small cell lung cancer (SCLC), with NSCLC accounting for 80-85% of all lung cancer cases. NSCLC can be further divided into histological subtypes, of which adenocarcinoma and squamous-cell carcinoma (SCC) comprise 70% and 20% of cases, respectively (3). For patients with early lung cancer, surgical resection is the standard treatment. For advanced NSCLC patients, there are also many options. In recent years, the rapid development of targeted therapy and immunotherapy has improved treatment strategies for patients with advanced NSCLC. Drugs targeting common driver gene mutations in NSCLC, such as EGFR (epidermal growth factor receptor) mutations, ALK (Anaplastic lymphoma kinase) rearrangements, and KRAS (V-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog) mutations, have been developed and have achieved clinical efficacy. Moreover, in the past 2 years, developments in immunotherapy have achieved excellent results in clinical practice. The detection of the expression level of programmed death ligand-1 can be used to guide the treatment of immunotherapy. Despite these advances, platinum-based two-drug combination chemotherapy regimens remain the standard treatment for patients with advanced NSCLC. However, attention should be paid to the adverse reactions associated with this treatment, especially myelosuppression and gastrointestinal mucositis. The adverse reactions often lead to delayed and reduced medication.

The microbiome is a microbial community living in a specific environment, including bacteria, archaea, viruses, and some single-cell eukaryotes (4). There are an estimated  $1 \times 10^{14}$  colonized bacteria in human microbes, and each individual person has more than 160 bacteria and millions of genes (5-8). Among the bacteria in this huge population, those that live and settle in the gastrointestinal

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tract are referred to as intrinsic bacteria, and those that only temporarily pass through the gastrointestinal tract are known as heterologous bacteria. According to their concentration, the intrinsic bacteria in the gastrointestinal tract can be classified as dominant bacteria (>10<sup>7</sup> CFU/g) or inferior bacteria (<10<sup>7</sup> CFU/g) (9,10). In the small intestine, the density of human gut microbiome is relatively low; however, it gradually increases from the duodenum to the ileocecal, and reaches its highest concentration in the colon (4,11,12). Regional differences in gut microbial populations are caused by functional heterogeneity in various segments of the gastrointestinal tract (13).

Humans have two genomes, one that is inherited from the parents, and the other being the microbiome. The most important difference between these two genomes is that the genetic genome remains almost stable during the life cycle. The microbiome is a complete collection of all the genomic elements of a specific microorganism. The gut microbiome can hold as many as 1,000 bacterial species and encodes approximately 5 million genes, which can perform numerous functions essential to the host's physiology and survival (4). The gut microbiome is essential for human physiology, immune system development, digestion, and detoxification reactions. The proteins encoded by certain microorganisms found in the gut are essential to the health of the host, for example, the microbial fermentation of complex non-digestible dietary carbohydrates and hostderived glycans (14). However, the microbiome is extremely dynamic and may be influenced by many factors, including age (15), diet (16), hormone cycle (17), physical health, and medications (18). Gut microbiome play a vital role in innate and acquired immune responses throughout life, regulating inflammation, infection, and the balance between food and food antigen tolerance. The colonization and composition of the gut microbiome can influence the immune system and thus can affect various diseases, including asthma, allergies, and inflammatory bowel disease (19). The role played by the gut microbiome in cancer treatment is becoming increasingly clearer (20-23), and evidence suggests that the regulation of gut microbes may affect the response to multiple types of cancer treatment (24-27). The microbiome has received considerable attention owing to its impact on many human diseases, including cancer.

A significant proportion of the global cancer burden is attributable to microbial pathogens, such as human papillomavirus and H. pylori (28,29). An expert group believes that despite the mechanical and supporting evidence obtained from animal and human studies, there is currently

no direct evidence that the human symbiotic microbiome is a key determinant of cancer pathogenesis (30). Deep metatranscriptome sequencing analysis of 65 colorectal carcinoma patients showed that in addition to Fusobacterium, Leptotrichia and Campylobacter spp. are co-enriched in colorectal carcinoma (31). Also, to verify the correlation between changes in gut microbiome and the side effects of chemotherapy in cancer, eight patients with non-Hodgkin's lymphoma who underwent a single course of bone marrow transplantation conditioning chemotherapy were included in the study. The results showed that alpha diversity decreased sharply, and the composition of gut microbiome changed significantly during chemotherapy. Furthermore, the proportion of Faecalibacterium dropped drastically in the patient, while Escherichia increased. Changes in intestinal flora in immunocompromised cancer patients may be closely related to the side effects of chemotherapy (32). In a chemotherapy study of colorectal cancer model rats, irinotecan was found to be able to significantly reduce the total amount of intestinal flora and increase Clostridium cecum and Enterobacteriaceae, especially after intensive treatment (33). Cyclophosphamide was found to considerably reduce the secretion of sIgA in the intestine of mice and lead to the imbalance of the ratio of beneficial bacteria and harmful bacteria (34).

Metagenomic analysis can infer the type of microorganisms in the sample from the presence of its sequence characteristics. It has become a sensitive, culture-independent method for detecting new tumorassociated microorganisms in culture dishes (35). This study aimed to explore the correlation between changes in the gut microbiome and the side effects and efficacy of chemotherapy by conducting a metagenomic analysis of fecal samples collected from 60 lung cancer patients who received chemotherapy for the first time and 17 healthy subjects. We present the following article in accordance with the MDAR reporting checklist (available at http:// dx.doi.org/10.21037/apm-20-2183).

# Methods

# Patients

Sixty patients who were diagnosed with lung cancer by cytology or histology between July 2018 and November 2019 were enrolled. All of the patients receiving single or dual drug combination chemotherapy for the first time. None of the patients had a second primary malignancy. Seventeen healthy subjects, who were matched to the lung cancer patients by age and sex, were enrolled as the control group. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and approved by the institutional ethics committee of The Second Hospital of Dalian Medical University (No. 41, 2016).

# Sample collection

Fecal samples were collected from the study subjects in accordance with the principle of voluntariness, and each subject signed an informed consent form for the collection of samples and data. In lung cancer group, fresh fecal samples were collected from all 60 patients (A1–A60) before chemotherapy and from 37 patients (B1–B37) after chemotherapy. A sample was collected from each of the 17 controls (C1–C17). After collection, the samples were frozen and stored in a refrigerator at –80 °C.

### Extraction and purification of fecal DNA

Fecal DNA was extracted using the cetyltrimethylammonium ammonium bromide method. For DNA purification, first, the degree of DNA degradation and potential contamination was assessed on a 1% agarose gel. The DNA concentration was then measured using a dsDNA analysis kit with a Qubit<sup>®</sup> 2.0 Fluorometer (California Life Technologies). Only DNA samples with an OD (Optical Density) value of 1.8–2.0 and the DNA content above 1 µg were used to construct the library.

## Construction of DNA library and sequencing

When the library is constructed, each sample with a total amount of DNA of 1 µg was used as input material for DNA sample preparation. The NEBNext<sup>®</sup> Ultra<sup>TM</sup>Ultrat was used as input mate (Illumina, NEB, USA) was used to generate a sequencing library and to add an index code to the attribute sequence of each sample. Briefly, DNA sample fragments were sonicated and connected to a fulllength adapter for Illumina sequencing, before further amplification by polymerase chain reaction (PCR). Finally, PCR products were purified using the AMPure XP system. The size distribution of the library was analyzed with the Agilent 2100 Bioanalyzer and quantified using real-time PCR. After DNA library passing the test, sequencing was performed on the Illumina HiSeq platform and paired-end reads were generated. 
 Table 1 Baseline demographic and clinical characteristics of the patients.

patients.	
Patient characteristic	Value
Ν	60
Age	
Mean (SD)	63.4
Range	47–77
Sex (%)	
Female	19 [32]
Male	41 [68]
Smoking history (%)	
Nonsmoker	25 [42]
Smoker	35 [58]
Histology (%)	
Squamous carcinoma	13 [22]
Adenocarcinoma	38 [63]
Small cell carcinoma	9 [15]
Surgery (%)	
Yes	13 [22]
No	47 [78]
Gastrointestinal reactions (%)	
Yes	26 [43]
No	34 [57]

# Statistical analysis

Raw data obtained by the Illumina HiSeq sequencing platform were preprocessed with Readfq. If contamination was found, raw data was compared with the host database to obtain valid data. SOAPdenovo software (BGI, China) was used for the assembly of preprocessed data for metagenomic analysis. MetaGeneMark was used to predict the open reading frame of each sample, and redundant data were removed with CD-HIT software (Weizhong Li, Philadelphia, USA). The abundance of information in each sample was calculated. The functional genes were compared with the microbial population extracted from the NR (Non-Redundant) protein sequence database, and the filtered species was analyzed using the LCA (Least Common Ancestors) algorithm to determine the species annotation information of the sequence. Based on the results and gene abundance table, the abundance information and gene number table of each sample at each classification level was obtained. For abundance tables, Krona analysis, PCA (Principal Component Analysis), and NMDS (Nonmetric Multidimensional Scaling) dimensionality reduction analyses were performed. Analysis of similarities was used to test for differences between groups, and then differential species were identified with Metastats and linear discriminant analysis effect size (LEfSe).

# **Results**

# Patient characteristics

Sixty lung cancer patients who received chemotherapy for the first time were enrolled in this study to explore the differences in gut microbiome before and after chemotherapy, as well as the correlation between gastrointestinal reactions and gut microbiome. The lung cancer patients in this study had an average age of 63.4 years old, and the majority were male (68%, n=41). Thirty-five patients had a history of smoking, and 13 had received surgical treatment previously. Of the 60 patients in the group, 38 had adenocarcinoma, 13 had SCC, and 9 had SCLC. Gastrointestinal reactions were observed in 26 patients after receiving chemotherapy. Baseline characteristics of the patients are summarized in *Table 1*.

# The gut microbiome of patients with lung cancer differs from that of healthy people

To clarify the difference of gut microbiome between lung cancer patients and healthy individuals, 60 fecal samples (Group A) were collected from the lung cancer patients before chemotherapy and 17 samples (Group C) were collected from the healthy controls. Analysis of similarities based on species abundance was used to assess whether the differences between groups were significantly larger than the variation within groups in order to determine whether the grouping was meaningful. The results (R>0 and P<0.05) indicated that this grouping was meaningful at the genus level (Figure 1A). Based on the relative abundance at the genus level, the top 10 bacterial genera with the largest relative abundance in each sample were identified to be Bacteroides, Prevotella, Eubacterium, Alistipes, Megamonas, Ruminococcus, Streptococcus, Enterococcus, Faecalibacterium, and Roseburia (Figure 1B). Notably, the top 10 bacterial genera with the highest relative abundance were the same between the lung cancer patients and healthy controls. The relative abundance of



**Figure 1** Analysis of similarities box plot based on genus level; horizontal axis is grouping information, vertical axis is distance information, and between is two sets of merged information (A). In the relative abundance at the genus and phylum level, the top 10 species with the largest relative abundance in all samples are shown, and "Others" represents other species detected. In the figure, the vertical axis represents the relative proportion of the species annotated to a certain type; the horizontal axis represents the sample name; the species category corresponding to each color block is shown in the legend on the right (B,E). Box plots showing species with significant differences in abundance at the genus level (C,D). The horizontal axis is the sample grouping and the vertical axis is the relative abundance of the corresponding species. \* shows a significant difference between two groups (P value <0.05).

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*Eubacterium*, *Ruminococcus*, and *Faecalibacterium* in lung cancer patients was higher than that in the healthy subjects; however, the lung cancer patients had a lower relative abundance of *Prevotella*, *Streptococcus*, *Enterococcus*, and *Roseburia*. The abundance difference between *Ruminococcus* and *Eubacterium* was found to be the largest through MetaStat Analysis (*Figure 1C*,D). The top 10 bacterial with the highest relative abundance at the phylum level were also found to be the same between the lung cancer patients and healthy subjects (*Figure 1E*).

# The gut microbiome of lung cancer patients with different pathological types are considerably different

Of the 60 lung cancer patients included in this study, the 38 patients with lung adenocarcinoma were classified as group A1, the 13 patients with SCC were classified as group A2, and the 9 patients with SCLC were classified as group A3. The gut microbial composition was analyzed in these patients at the time of diagnosis, and the relative abundance of each gut microbiota species at the order level was calculated (Figure 2A). As shown in Figure 2B, the composition of the gut microbiome differed significantly between the groups. In the A1 (lung adenocarcinoma) group, there was a relatively high abundance of Desulfovibrionales, Cytophagales, Coriobacteriales, Bacteroidales, Vibrionales, Rhizobiales, Synergistales, and Rhodospirillales. In the A2 (SCC) group, there was a relatively high abundance of Fusobacteriales, Acidaminococcales, Fibrobacteriales, Enterobacteriales, Thermoanaerobacteriales, Bifidobacteriales, and Sphingobacteriales. In the A3 (SCLC) group, there was a relatively high abundance of Tissierellales, Methanobacteriales, Chlamydiales, Caudovirales, Chitinophagales, Aeromonadales, Erysipelotrichales, and Selenomonadales. Next, we analyzed the species with large differences in relative abundance and found that Desulfovibrionales had the largest difference in relative abundance at the order level (Figure 2C). The top 10 species with the largest relative abundance in the three groups were selected. As shown in Figure 2D, the top two species with the highest relative abundance were the same in each group (Bacteroidales and Clostridiales).

# Differences in the gut microbiome of lung cancer patients before and after platinum-based chemotherapy

Clustering diagram showing species abundance at the phylum and genus level (*Figure 3*). In each diagram, the horizontal axis is sample information, and the vertical axis

is species annotation information. On the left of the figure is a species clustering tree. The value corresponding to the middle heat map is the Z value obtained by normalizing the relative abundance of each row of species (*Figure 3A,B,C*). In the relative abundance at the phylum and genus level, the top 10 species with the largest relative abundance in each sample are shown, and "Others" represents other species detected. In the figure, the vertical axis represents the relative proportion of the species annotated to a certain type; the horizontal axis represents the sample name; the species category corresponding to each color block is shown in the legend on the right (*Figure 3D,E*). Clustering heat map showing species abundance at the genus level (*Figure 3F*), and box plots (*Figure 3G*) showing species with significant differences in abundance.

Metagenomics sequencing was applied to assess the composition of intestinal microorganisms in all fecal samples. Fecal samples collected before chemotherapy were classified as A, and those collected after chemotherapy were classified as B. The relative abundance of intestinal bacterial at the levels of phylum, class, order, family, genus, and species was calculated for all lung cancer patients and healthy subjects. At the phylum and genus level, we analyzed the relative abundance of 35 bacteria in feces specimens from lung cancer patients before and after chemotherapy (Figure 3A, B, C). The top 10 bacteria with the largest relative abundance at the phylum and genus levels were identified in all samples and were found to be the same both before and after chemotherapy (*Figure 3D*,E). However, the relative abundance of each bacterium changed significantly during chemotherapy. At the phylum level, the relative abundance of Firmicutes, Euryarchaeota, and Synergistetes was significantly increased after chemotherapy, while the abundance of Bacteroides, Proteobacteria, Actinobacteria, Verrucomicrobia, Fusobacteria, Chlamydiae, and Tenericutes was significantly decreased (Figure 3D). At the genus level, the relative abundance of Prevotella, Faecalibacterium, Streptococcus, Ruminococcus, Veillonella and Eubacterium was significantly increased after chemotherapy, while the abundance of Bacteroides, Alistipes, Megamonas and Roseburia was significantly decreased (Figure 3E). A significant difference analysis of gut microbial abundance in stool samples before and after chemotherapy was also conducted (Figure 3F). Weissella was the bacterial genus with the significantly difference in abundance before and after chemotherapy at the genus level, followed by Pamx74virus, Candidatus Pelagibacter, and Cvm10virus (Figure 3G). The other 31 bacterial genera with substantial differences in



**Figure 2** Clustering diagram showing species abundance at the order level. In each diagram, the horizontal axis is sample information, and the vertical axis is species annotation information. On the left of the figure is a species clustering tree. The value corresponding to the middle heat map is the Z value obtained by normalizing the relative abundance of each row of species (A,B). Box plots showing species with significant differences in relative abundance at the order level (C). In the relative abundance at the phylum and genus level, the top 10 species with the largest relative abundance in each sample are shown, and "Others" represents other species detected. In the figure, the vertical axis represents the relative proportion of the species annotated to a certain type; the horizontal axis represents the sample name; the species category corresponding to each color block is shown in the legend on the right (D).



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**Figure 3** Clustering diagram showing species abundance at the phylum and genus level. In each diagram, the horizontal axis is sample information, and the vertical axis is species annotation information. On the left of the figure is a species clustering tree. The value corresponding to the middle heat map is the Z value obtained by normalizing the relative abundance of each row of species (A,B,C). In the relative abundance at the phylum and genus level, the top 10 species with the largest relative abundance in each sample are shown, and "Others" represents other species detected. In the figure, the vertical axis represents the relative proportion of the species annotated to a certain type; the horizontal axis represents the sample name; the species category corresponding to each color block is shown in the legend on the right (D,E). Clustering heat map showing species abundance at the genus level (F), and box plots (G) showing species with significant differences in abundance. \*, shows a significant difference between two groups (P value <0.05).

abundance before and after chemotherapy are shown in *Figure 3D*.

# Gut microbiota are associated with gastrointestinal reactions caused by chemotherapy

To examine the relationship between gastrointestinal reactions caused by platinum-based chemotherapy and gut microbiota in lung cancer patients, we classified the 26 patients with gastrointestinal reactions as the A1 group and the 34 patients without gastrointestinal reactions as the A2 group. The rate of gastrointestinal reactions was similar between lung cancer patients with the three pathological types. Of the patients with adenocarcinoma, 42% (16/38) suffered gastrointestinal reactions, compared with 46% (6/13) and 44% (4/9) of SCC and SCLC patients, respectively. Analysis of similarities based on species abundance was used to assess whether the differences between groups were significantly larger than the variation within groups in order to determine whether the grouping was meaningful. The results (R>0 and P<0.05) indicated that this grouping was meaningful at the genus level (Figure 4A). We found that the 10 bacterial genera with the highest relative abundance at baseline were the same whether or not a digestive tract reaction occurred (Figure 4B). Interestingly, our results showed that lung cancer patients with a higher relative abundance of Bacteroides, Eubacterium, Fusobacterium, Lachnoclostridium, Enterococcus, Alistipes, Blautia, Akkermansia, Butyricimonas, Veillonella, Paraprevotella, Bifidobacterium, Ruminococcus, Subdoligranulum, Phascolarctobacterium, or Clostridium at baseline were less likely to have a gastrointestinal reaction (Figure 4B,C). However, patients with a higher relative abundance of Prevotella, Megamonas, Streptococcus, Faecalibacterium, Klebsiella, Roseburia, Lactobacillus, Parabacteroides, Coprococcus, Oscillibacter, Dorea, or Chlamydia, at baseline were more likely to have a gastrointestinal reaction (Figure 4B,C). At the phylum level, patients with a higher relative abundance of Bacteroides, proteobacteria, or Chlamydiae were more likely to experience gastrointestinal reactions. In contrast, a higher relative abundance of Firmicutes, Actinobacteria, Verrucomicrobia, Fusobacteria, Euryarchaeota, Tenericutes, or Synergistetes was associated with a lower risk of gastrointestinal reactions (Figure 4D,E). Also, through a comparative analysis of the gut microbiome of the two groups, 35 bacterial genera with substantial differences in abundance were found (Figure 4F). These results suggested that gastrointestinal reactions caused by chemotherapy were closely related to the gut microbiome.

# Gut microbiome can be used to predict the prognosis of lung cancer patients after chemotherapy

Fifty-one of the 60 lung cancer patients in this study were evaluated after 2 cycles of chemotherapy. Forty-one patients with stable disease, partial remission, and complete remission were classified into group A1, and 10 patients with progressive disease were classified into group A2. The relative abundance of gut microbiome before chemotherapy in these 51 patients was analyzed at the genus level (Figure 5A). The results showed that patients with a relatively high abundance of Faecalibacterium, Klebsiella, Coprococcus, Roseburia, Lactobacillus, Streptococcus, Prevotella, Dorea, \_or\_ Collinsella were more likely to experience disease progression, while patients with a relatively high abundance of Veillonella, Ruminococcus, Paraprevotella, Lachnoclostridium, Akkermansia, or Clostridium were less likely to experience disease progression (Figure 5B). Nevertheless, the two bacterial genera with the highest abundance at the genus level (Bacteroides and Prevotella) were the same in patients with stable disease and those with disease progression (Figure 5C).

The Kyoto Encyclopedia of Genes and Genomes (KEGG) database was used to associate gene catalogs with higher-level cellular, species, and ecosystem-level system functions. In the KEGG PATHWAY database, biological metabolic pathways are divided into six categories: cellular processes, environmental information processing, genetic information processing, human diseases, metabolism, and organismal systems. We found that the genes associated with the gut microbiome in these lung cancer patients were the highest in metabolic pathways, which indicated that the gut microbiome of lung cancer patients is the most abundant in the metabolic pathway (Figure 5D). Next, we analyzed the gut microbiome of these patients at the second level. Interestingly, the relative abundance of gut microbiome of these two groups of patients was significantly different in different pathways. Patients with a higher relative abundance of enzymes in human diseases pathways were more likely to experience disease progression, while patients with a higher relative abundance of enzymes in the metabolic pathways of terpenoids and polyketides, the cellular processes pathways of cell growth and death, and the genetic information processing pathway of translation were less likely to experience disease progression (Figure 5E). Together, these results show that the gut





**Figure 4** Analysis of similarities box plot based on genus level, horizontal axis is grouping information, vertical axis is distance information, and group between is group A1 and A2 of merged information (A). Clustering diagrams showing species abundance at the phylum and genus levels; the horizontal axis shows grouping information and the vertical axis shows annotation information; and on the left is a species clustering tree (B,C). In the relative abundance at the phylum and genus level, the top 10 species with the largest relative abundance in each sample are shown, and "Others" represents other species detected. In the figure, the vertical axis represents the relative proportion of the species annotated to a certain type; the horizontal axis represents the sample name; the species category corresponding to each color block is shown in the legend on the right (D,E). Heat maps showing species with significant differences in abundance before and after chemotherapy at the genus level (F).

microbiome can play a role in predicting the prognosis of lung cancer patients after chemotherapy.

## Conclusions

A study by Zheng *et al.* revealed that patients' microbiome profiles could potentially be used to predict prognosis in early-stage lung cancer (36). Our study uncovered the spectrum of microbiota in lung cancer patients and established the specific gut microbial signature for the potential prediction of the lung cancer. The current study included 60 lung cancer patients and 17 healthy subjects. We compared the abundance and diversity of gut microbiota in the two groups through metagenomic sequencing technology.

The relative abundance of *Eubacterium*, *Ruminococcus*, and *Faecalibacterium* was found to be higher in lung cancer patients than in healthy individuals; however, the opposite relationship was observed in the relative abundance of *Prevotella*, *Streptococcus*, *Enterococcus*, and *Roseburia*. The

abundance of Ruminococcus and Eubacterium in lung cancer was found to be statistically significantly increased at the genus level in lung cancer patients, suggesting that Ruminococcus and Eubacterium may be related to the occurrence and development of lung cancer. Zhuang et al. examined the gut microbiota of 30 lung cancer patients and 30 healthy controls using next-generation sequencing of 16S ribosomal RNA, focusing on microbial diversity and potential biomarkers. They reported no significant decrease in microbial diversity (alpha diversity) in lung cancer patients compared to healthy people, although the composition (beta diversity) differed significantly between the two groups. Healthy individuals were also found to have a higher abundance of the bacterial phylum Actinobacteria and genus Bifidobacterium, while patients with lung cancer showed elevated levels of Enterococcus (37). In the present study, we found that the intestinal microbiota of lung cancer patients with different pathological types also show considerable differences. Zhuang et al.'s findings are very similar to those of the current study, which indicated that



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**Figure 5** Cluster diagram showing species abundance at the genus level. In each diagram, the horizontal axis is sample information, the vertical axis is species annotation information. On the left of the figure is a species clustering tree. The value corresponding to the middle heat map is the Z value obtained by normalizing the relative abundance of each row of species (A,B). In the relative abundance at the genus level, the top 10 species with the largest relative abundance in each sample are shown, and "Others" represents other species detected. In the figure, the vertical axis represents the relative proportion of the species annotated to a certain type; the horizontal axis represents the sample name; the species category corresponding to each color block is shown in the legend on the right (C). Heatmap showing the number of genes annotated from each sample at the first level (D). Cluster diagram showing the relative abundance of enzymes on the second tier in patients in group A1 and group A2 (E).

gut microbiome was found to be possible biomarkers for lung cancer. These systematic and multi-layered results provide essential information on the role of intestinal microbes in lung cancer, which hold promise for early prevention and targeted intervention for lung cancer patients. The gut microbiome and its interaction with the host's innate immune system are believed to play a key role in the development of gastrointestinal reactions caused by chemotherapy; however, comprehensive bioinformatics modeling has not been carried out (38). Furthermore, to verify the correlation between changes in intestinal

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microbiota and the side effects of chemotherapy, one study enrolled eight patients with non-Hodgkin's lymphoma who underwent a single course of bone marrow transplantation conditioning chemotherapy. They observed a sharp decrease in alpha diversity during chemotherapy, and the composition of the gut microbiome also changed significantly. Changes in gut microbiome in immunocompromised cancer patients may be closely related to the side effects of chemotherapy (32). Montassier et al.'s experimental results are slightly different from ours (32). In the present study, we found that the relative abundance of Firmicutes, Euryarchaeota, and Synergistetes in lung cancer patients increased significantly after chemotherapy. In contrast, the abundance of Bacteroides, Proteobacteria, Actinobacteria, Verrucomicrobia, Fusobacteria, Chlamydiae, and Tenericutes decreased significantly at the phylum level. At the genus level, the relative abundance of Prevotella, Faecalibacterium, Streptococcus, Ruminococcus, Veillonella, and Eubacterium in lung cancer patients increased significantly after chemotherapy, while an abundance of Bacteroides, Alistipes, Megamonas, and Roseburia showed a considerable decrease. This shows that the gut microbiome of lung cancer patients changes dramatically after treatment with cytotoxic drugs, which may be related to the gastrointestinal reaction caused by chemotherapy. Our results showed that lung cancer patients with a higher relative abundance of Bacteroides, proteobacteria, or Chlamydiae were more likely to have gastrointestinal reactions at the phylum level. In contrast, patients with a higher relative abundance of Firmicutes, Actinobacteria, Verrucomicrobia, Fusobacteria, Euryarchaeota, Tenericutes, or Synergistetes were less likely to have gastrointestinal reactions. Similarly, at the genus level, lung cancer patients with a higher relative abundance of Bacteroides, Eubacterium, Fusobacterium, Lachnoclostridium, Enterococcus, or Alistipes at baseline were less likely to experience gastrointestinal reactions. However, lung cancer patients with a higher relative abundance of *Prevotella*, Megamonas, Streptococcus, Faecalibacterium, Roseburia, or Parabacteroides, at baseline were more likely to have gastrointestinal reactions.

One of the most common side effects of cancer treatment is diarrhea. The pathogenesis of adverse gastrointestinal reactions can be summarized with the Sonis model. This model includes the following stages: (I) the initial stage, with active oxygen formation; (II) significant injury response to inflammation and apoptosis; (III) signal

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amplification, in which a cascade reaction promotes inflammation and apoptosis; (IV) ulcer formation, which leads to a discontinuous epithelial barrier, which in turn supports bacterial translocation; (V) treatment, during which cell proliferation stops after chemotherapy or radiotherapy (39). Intestinal microorganisms play a crucial part in maintaining the balance and integrity of the gut. Probiotics to prevent mucositis caused by cancer treatment have been studied in preclinical trials and randomized clinical trials, and have achieved some promising results. Probiotic mixture has been reported to reduce the weight loss of mice before and after irinotecan treatment, and can also prevent moderate and severe diarrhea. These effects are related to a significant increase in crypt proliferation and the suppression of apoptosis in cells of the small and large intestine. The addition of probiotics also prevented irinotecan from inducing an increase in goblet cells in jejunal crypts. Probiotic mixture can also effectively prevent severe diarrhea after irinotecan chemotherapy, so may be used to treat cancer patients (40).

Most importantly, we found in this study that lung cancer patients with a relatively high abundance of *Faecalibacterium*, Klebsiella, Coprococcus, Roseburia, Lactobacillus, Streptococcus, Prevotella, Dorea, or Collinsella are not only susceptible to gastrointestinal reactions, but are also prone to disease progression after two cycles of chemotherapy. Moreover, the results of the study show that if the relative abundance of Veillonella, Ruminococcus, Paraprevotella, Lachnoclostridium, Akkermansia, Clostridium in the gut microbiome of lung cancer patients is high, then the possibility of gastrointestinal reactions is low, and the risk of disease progression is lower. Therefore, our experimental results suggest that the use of microbial preparations to reduce gastrointestinal mucositis caused by chemotherapy is feasible and could improve the effect of chemotherapy in cancer patients. However, due to the heterogeneity of the human body, some studies have found that the application of microbial preparations increases the risk of infection for patients. Thus, further in-depth exploration of the microbial composition in lung cancer patients is needed to provide theoretical support for individualized treatment in the future.

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# Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the institutional ethics committee of The Second Hospital of Dalian Medical University (No. 41, 2016), and written informed consents were obtained from all patients.

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