



# The efficacy and safety of intravenous chlorpromazine treatment for sleep disturbance in patients with incurable cancer, with oral administration difficulty: a 1-week, prospective observational study

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**Background:** Sleep disturbance is a common psychiatric disorder in patients with cancer. However, many patients with incurable cancer have difficulty receiving oral administrations, which limits treatment options during disease progression. The aim of the present study was to assess the efficacy and safety of intravenous chlorpromazine treatment for sleep disturbances in patients with incurable cancer, with oral administration difficulty.

**Methods:** A prospective observational study was conducted among 52 patients with incurable cancer, with oral administration difficulty received daily intravenous chlorpromazine treatment for sleep disturbance from 2018 to 2020 at a single-unit university hospital. St. Mary's Hospital Sleep Questionnaire (SMHSQ) compared sleep before and after intravenous chlorpromazine administration. The primary endpoint was the efficacy rate of sleep quality [defined as a score of  $\geq 4$  (range, 1–6)] 7 days after receiving chlorpromazine.

**Results:** Beginning the day after receiving chlorpromazine, sleep quality significantly improved from a mean score of  $1.6 \pm 0.7$  to  $4.3 \pm 1.2$ , and 80.8% [95% confidence interval (CI): 66.5–89.1%] and 69.2% (95% CI: 53.8–79.6%) of patients reported good sleep quality 3 and 7 days after receiving chlorpromazine, respectively. The patients reported increased total sleep time and fewer awakenings during sleep, and satisfaction with sleep and difficulty falling asleep improved. Some adverse events occurred [akathisia (n=2), dry mouth (n=2), and somnolence (n=3)]; all were Grade 1 (CTCAE ver5.0) and improved with chlorpromazine discontinuation. Systolic blood pressure and heart rate displayed no clinically problematic changes.

**Conclusions:** Intravenous chlorpromazine has a high tolerability and effectively treats sleep disturbances in patients with incurable cancer with oral administration difficulties.

**Keywords:** Chlorpromazine; sleep disturbance; cancer patient; intravenous; St. Mary's Hospital Sleep Questionnaire (SMHSQ)

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## Introduction

Sleep disturbances are experienced by many patients with cancer, including 23–70% of patients with incurable cancer (1). Sleep disturbances are a distressing symptom for patients with cancer and decrease their quality of life (QOL) (2). Additionally, sleep disturbances in patients with incurable cancer contribute to delirium.

Pharmacotherapy is one of the main treatment options to improve decreased QOL in patients with cancer due to sleep disturbances (3). However, without randomized trials for cancer-related sleep disturbances, the use of sleep medications is recommended based on the treatment of primary sleep disturbances (4). Many patients with incurable cancer have difficulty with oral administration as their disease progresses; thus, they have a limited choice of antipsychotics for parenteral administration.

Benzodiazepines have been empirically administered intravenously; however, they are associated with problems such as the development of delirium, respiratory depression, and tolerance (5–7). In particular, delirium has been reported to occur in 42–88% of patients with incurable cancer (8). There is no specific drug for sleep disturbances in patients with incurable cancer, and the best drug should be selected according to each patient's condition (9).

Intravenous chlorpromazine has been used empirically as supportive care for patients with cancer, with severe delirium and sleep disturbance (10,11). Chlorpromazine is characterized by its strong sedative effects including histamine H1 receptor and alpha1 adrenergic receptor blockades (12,13). For this reason, it does not require continuous intravenous infusion and thus significantly reduces the patients' burden compared with midazolam, which requires continuous administration.

Intravenous chlorpromazine has been used off-label for the treatment of delirium and sleep disturbances; and it has been reported that 13% of the off-label use in one acute palliative care unit was intravenous chlorpromazine (14). To our knowledge, our previous study is the only report on the efficacy of intravenous chlorpromazine against sleep disturbances in patients with cancer, or other patient populations (15).

Our previous study showed a 63.0% efficacy rate 3 days after intravenous chlorpromazine treatment for sleep disturbance in patients with incurable cancer, with oral administration difficulty, which suggests that intravenous chlorpromazine may be a short-term treatment option for sleep disturbances in patients with incurable cancer. However, despite 28 patients (93.3%) continuing treatment

after day 3, the feasibility of medium-term or long-term treatment could not be determined given the study's observational and retrospective nature, missing data, and other factors. Conversely, if a prospective observational study shows high feasibility 7 days after the chlorpromazine dose, it may be useful for providing more clinically relevant pharmacotherapy options for treating sleep disturbances.

We present the following article in accordance with the STROBE reporting checklist (available at <https://dx.doi.org/10.21037/apm-21-948>).

## Methods

### Objective

The primary objective of this study was to reassess the efficacy and safety of intravenous chlorpromazine treatment for sleep disturbances in patients with incurable cancer, with oral administration difficulty and to determine the feasibility 7 days after the dose.

### Study design

This was a single-center prospective observational study conducted at a university hospital located in the largest metropolitan area in western Japan. The study subjects' data were extracted from medical records and included age, sex, primary cancer site, Eastern Cooperative Oncology Group performance status (ECOG PS), blood pressure, heart rate, psychotropic use before chlorpromazine treatment, cause of difficulty in oral administration, chlorpromazine dose, days to live after chlorpromazine treatment, adverse events [e.g., falls, delirium, extrapyramidal symptoms (EPS), vasculitis and subcutaneous induration at intravenous drip site], and assessments of sleep with the St. Mary's Hospital Sleep Questionnaire (SMHSQ) immediately before and 1, 3, and 7 days after the dose. Three physicians specializing in palliative care with more than 15 years of experience diagnosed sleep disturbances using the American Psychiatric Association's diagnostic criteria (DSM-V) and treated the patients with intravenous chlorpromazine. In addition, the presence or absence of delirium between day 0 (pre-dose) and days 3 and 7 post-dose was diagnosed using DSM-V.

This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and received approval from the Medical Ethics Committee of Kansai Medical University, Japan (reference number: 2017323). Informed consent was obtained from all study participants.

This study was registered at the University Hospital Medical Information Network Clinical Trials Registry (approval number: UMIN000043869) on April 8, 2021 (registered retrospectively).

### **Outcomes**

The primary endpoint was the efficacy rate of the SMHSQ on sleep quality 7 days after the intravenous chlorpromazine dose. The secondary endpoints were as follows: (I) before/after comparison of hours of total sleep and hours of sleep latency; (II) before/after comparisons of the scores for the number of awakenings during sleep time, clear-headedness on arising, satisfaction with sleep, difficulty falling asleep, and difficulty falling asleep again; and (III) evaluation of adverse events. These endpoints were assessed with the SMHSQ 3 and 7 days after the treatment.

### **Study participants**

Inclusion criteria of the study were as follows: patients with incurable cancer, with oral administration difficulty who were diagnosed with sleep disturbances; informed consent for intravenous chlorpromazine was obtained from these patients. Exclusion criteria were as follows: patients <20 years of age, secondary insomnia attributed to delirium or medications, or patients with psychiatric disorder with communication difficulty, such as dementia. This study was conducted at Kansai Medical University Hospital from May 2018 to December 2020. A total of 1,919 patients with cancer who visited the Department of Palliative Care during this period were consecutively enrolled in this study. Among them, 73 met the inclusion criteria, and of these, 21 met the exclusion criteria. A final total of 52 patients with cancer were included in the study. In this study, compounding cardiac risks were also considered as exclusion criteria, but the patient was not applicable.

We have empirically administered intravenous chlorpromazine at bedtime for the relief of sleep disturbances in patients with oral administration difficulty, who were benzodiazepines refractory or intolerant. The initial dose of intravenous chlorpromazine was 7.5–25 mg/day with an administration rate of 25 mg/h and was administered at ~9 pm and adjusted depending on the patient's symptoms.

### **SMHSQ**

The SMHSQ is a tool designed to assess sleep problems

in hospitalized patients (16). The focus is on subjective assessments of sleep quality, with assessments of the last 24 hours of sleep. This self-administered questionnaire has been shown to be reliable enough for use in psychiatric and medical inpatients (17). The SMHSQ consists of a total of 14 questions, typical of which are sleep quality (range, 1–6), hours of total sleep, hours of sleep latency, number of awakenings during sleep time (range, 0–7), satisfaction with sleep (range, 1–5), difficulty falling asleep (range, 1–4), difficulty falling asleep again (range, 1–2), and clear-headedness on arising (range, 1–6). Low scores, indicate more disturbed sleep. Subjects in this study completed the SMHSQ through interviews by the trained nurse at the time of awakening, at pre-dose on the dosing day (day 0) and days 1, 3, and 7 post-dose.

### **Efficacy of intravenous chlorpromazine**

The primary endpoint was sleep quality, in agreement with previous studies (18,19). Secondary endpoints included total sleep time, sleep latency time, number of awakenings during sleep time, satisfaction with sleep, difficulty falling asleep, difficulty falling asleep again, and clear-headedness on arising. Sleep quality was assessed based on a comparison between pre-dose and post-dose scores and the mean chlorpromazine efficacy rate on day 7. The efficacy rate of chlorpromazine is defined as the number of patients with a score of  $\geq 4$  based on answers to sleep quality questions in the SMHSQ (1= very poor, 2= poor, 3= fairly poor, 4= fairly good, 5= good, and 6= very good).

### **Safety of intravenous chlorpromazine**

Adverse events were assessed according to the Common Terminology Criteria for Adverse Events (CTCAE) Version 5.0 established by the National Cancer Institute. The presence or absence of EPS was assessed, using the EPS rating scale developed to assess drug-induced movement disorders (20). The presence or absence of delirium as a typical adverse event was assessed by a psycho-oncology expert, using DSM-V. Hemodynamic effects were assessed by comparing blood pressure and heart rate between day 0 (pre-dose) and days 3 and 7 post-dose, and the presence or absence of clinical adverse episodes during intravenous administration. Potential vascular or skin lesions around the site of administration were assessed based on the presence or absence of vasculitis and subcutaneous callus.

### Sample size calculation

Forty-four evaluable patients would provide an 80% power to detect an effect size as small as 0.5 using a two-sided paired *t*-test with a significance level of 5% to compare the change in sleep quality. The required sample size was 50, given an estimated dropout rate of 10%.

### Statistical analysis

The main analysis was performed for patients who used chlorpromazine more than once according to the intention-to-treat principle, and all data were reported as means with 95% confidence intervals (CIs), ranges, average or median values, or frequencies (%), as appropriate. We calculated the 95% CIs of the patients with scores of  $\geq 4$  based on answers to sleep quality questions in the SMHSQ, and we compared the changes in the chlorpromazine efficacy rate (days 0, 1, 3, and 7) using Fisher's exact test. Each continuous variable of the SMHSQ, blood pressure, and heart rate, was compared using Wilcoxon signed-rank test between pre-dose and post-dose time points. For missing values, we applied the last observation carried forward method and confirmed the same result using the worst observation carried forward method. We assumed statistical significance at 0.05. We decided not to adjust for multiple comparisons because of the completely exploratory nature of this study. All analyses were performed using JMP® 14.2.0 (SAS Institute Inc., Cary, NC, USA).

## Results

The demographic and clinical characteristics of the 52 study subjects are shown in *Table 1*. The median subject age was 65.5 (range, 41–92) years. The mean performance status was  $2.6 \pm 1.0$ . The median survival period after chlorpromazine treatment was 34.5 (range, 1–790) days. All patients received intravenous chlorpromazine at a dose of  $13.3 \pm 4.3$  (range, 7.5–25) mg/day,  $0.26 \pm 0.11$  (range, 0.11–0.61) mg/kg. Study discontinuation occurred for the following reasons: 7 adverse events (13.5%), 6 deaths (11.5%), 4 patients' wishes (7.7%), and 1 transfer to another hospital (1.9%). A total of 17 patients (32.7%) used opioid from chlorpromazine pre-dose; however, opioid was not adjusted or switched during the chlorpromazine treatment.

### Effectiveness of chlorpromazine

Sleep quality significantly improved from a mean score

of  $1.6 \pm 0.7$  to  $4.3 \pm 1.2$  on day 1 ( $P < 0.0001$ ) (*Figure 1*). No patients had sleep quality scores of  $\geq 4$  before intravenous chlorpromazine. Furthermore, 80.8% (95% CI: 66.5–89.1%) and 69.2% (95% CI: 53.8–79.6%) of patients had good sleep quality on days 3 and 7, respectively. The patients reported increased hours of total sleep, fewer awakenings during sleep time, and improvements for hours of sleep latency, clear-headedness on arising, satisfaction with sleep, difficulty falling asleep, and difficulty falling asleep again ( $P < 0.0001$ ). Three patients (5.8%) only took chlorpromazine once, and reasons for not continuing were two adverse events and one death. In addition, no patients improved to a score of  $\geq 4$  to sleep quality on day 1. No significant effect of opioid was observed on sleep quality on days 3 ( $P = 0.0616$ ) and 7 ( $P = 1.0000$ ).

### Safety of intravenous chlorpromazine

Six patients (11.5%) died during chlorpromazine treatment; however, these deaths were due to disease progression and were not causally related to chlorpromazine. A causal relationship with chlorpromazine could not be ruled out for 7 adverse events (13.5%) that occurred within 3 days after chlorpromazine treatment: two events of akathisia, two events of dry mouth, and three events of somnolence. All adverse events were Grade 1 (CTCAE) and improved with treatment discontinuation. The two acute cases of akathisia included a 79-year-old male patient with a history of EPS who developed akathisia on day 1 and a 47-year-old male patient who developed akathisia on day 2. No falls, delirium, vasculitis, or subcutaneous induration at the intravenous site occurred after chlorpromazine treatment.

No significant effect of chlorpromazine was observed on any vital signs (systolic blood pressure, heart rate). Systolic blood pressure did not differ among days 0, 3, and 7 [ $124.2 \pm 21.3$ ,  $119.8 \pm 24.4$  ( $P = 0.0819$ ), and  $120.7 \pm 25.0$  mmHg ( $P = 0.1494$ ), respectively]. Similarly, heart rate did not differ among days 0, 3, and 7 [ $91.8 \pm 14.6$ ,  $96.0 \pm 19.9$  ( $P = 0.0765$ ), and  $96.8 \pm 22.3$  bpm ( $P = 0.0639$ ), respectively]. However, one patient developed paroxysmal supraventricular tachycardia (PSVT) on day 4. According to the cardiologist, hypotension due to dehydration after ascites drainage was the cause of PSVT. Given the lack of a causal relationship with chlorpromazine, chlorpromazine administration could be continued in that patient. No clinically important episodes resulting in discontinuation occurred during the intravenous chlorpromazine treatment.

**Table 1** Clinical characteristics of study subjects at the time of enrolment

Characteristic	N	%
Sex		
Male	29	55.8
Female	23	44.2
Age, years		
40–49	8	15.4
50–59	10	19.2
60–69	18	34.6
70–79	12	23.1
≥80	4	7.7
Primary cancer site		
Gastrointestinal	15	28.9
Liver, pancreas, biliary system	14	26.9
Breast	4	7.7
Gynecological	8	15.4
Urological	3	5.8
Head and neck	6	11.5
Other	2	3.8
ECOG PS		
1	7	13.5
2	16	30.8
3	19	36.5
4	10	19.2
Duration from chlorpromazine treatment until death, days		
≤7	9	17.3
8–14	4	7.7
15–21	4	7.7
22–28	4	7.7
29–42	10	19.2
43–99	9	17.3
100–365	7	13.5
366–730	4	7.7
≥731	1	1.9

**Table 1** (continued)

Table 1 (continued)

Characteristic	N	%
Cause of difficulty in oral administration		
Severe prostration	7	13.5
Dysphagia	12	23.1
Gastrointestinal obstruction	16	30.8
Vomiting	7	13.5
Other	10	19.2
Psychotropic use before chlorpromazine treatment		
Nonbenzodiazepine PO	1	1.9
Benzodiazepine PO or IV	5	9.7
Benzodiazepine PO + ramelteon PO	1	1.9
Benzodiazepine PO + suvorexant PO	1	1.9
Suvorexant PO	4	7.7
Multi-acting receptor targeted antipsychotics PO	4	7.7
Haloperidol IV	9	17.3
Haloperidol IV + suvorexant PO	1	1.9
No use	26	50.0

ECOG PS, Eastern Cooperative Oncology Group performance status; PO, per os; IV, intravenous.

## Discussion

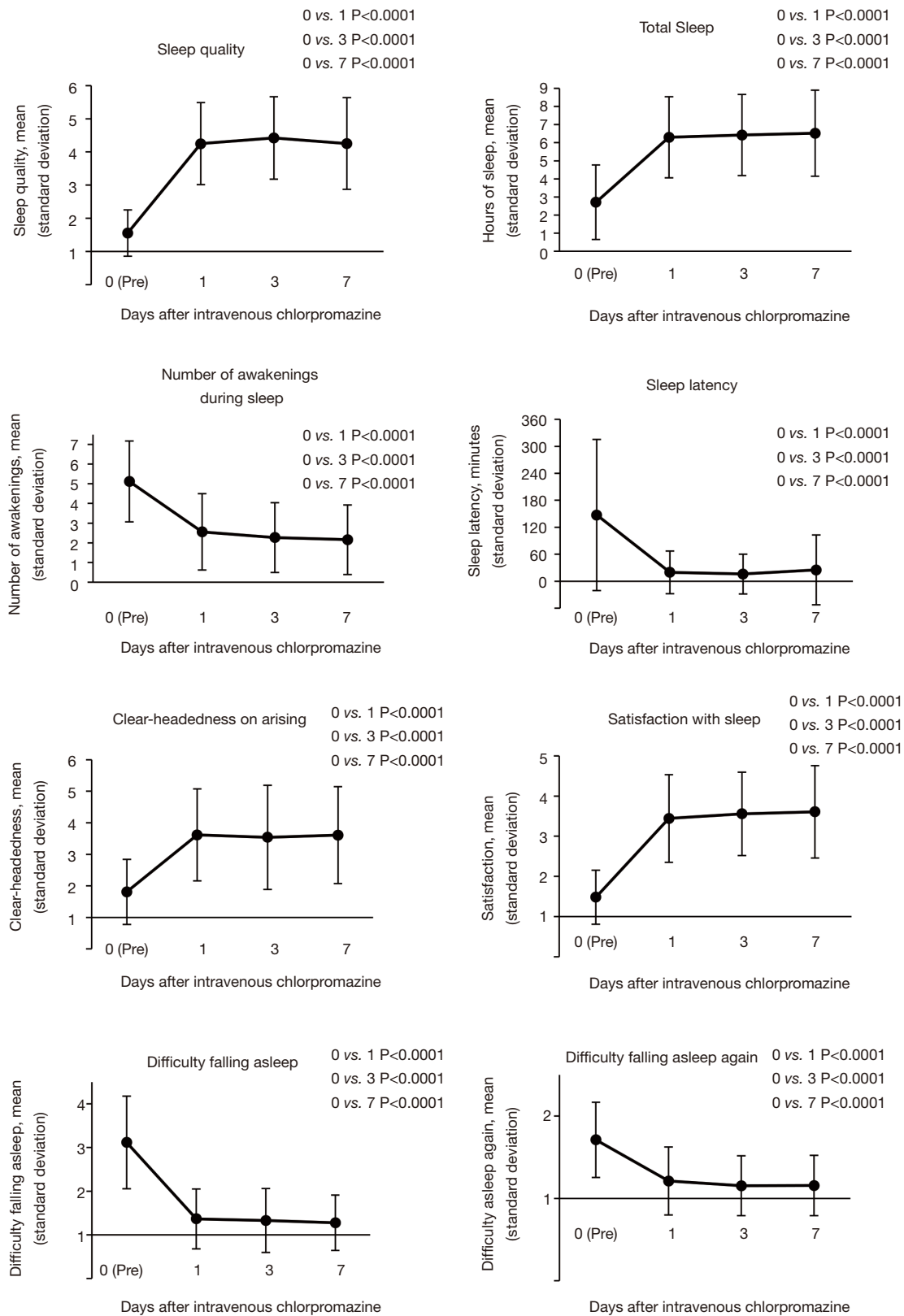
This is, to our knowledge, the first study to prospectively assess the efficacy and safety of intravenous chlorpromazine for sleep disturbances in patients with incurable cancer, with oral administration difficulty and the first study to clarify a high feasibility of this treatment on day 7.

Notably, this study clarified the degree of sleep quality improvement in patients with incurable cancer, with oral administration difficulty who received intravenous chlorpromazine. A high efficacy rate of 69.2% was reported on day 7.

The efficacy rate on day 3 was 80.8%, which was higher than the 63.0% reported in a previous study (15). Patients with cancer with a prognosis of less than 2 months were reported to have more severe sleep disturbances than those with a prognosis of 3 to 5 months (21). Thus, the shorter the prognosis, the greater the extent of intractable sleep disturbances. The mean survival time after chlorpromazine treatment in the previous study was  $28.7 \pm 16.8$  days, which was shorter than the  $110.1 \pm 182.6$  days in this study. That difference may contribute to the difference in efficacy rates.

The efficacy rate on day 7 (69.2%) could be considered relatively high; however, it could not be compared with previous studies given the lack of relevant studies on sleep disturbances in patients with cancer or other patient populations. According to the American Academy of Sleep Medicine's practice guidelines, the threshold for change in total sleep time is considered clinically meaningful when the subjective total sleep time increases by more than 30 minutes, and the threshold for the amount of change in sleep onset latency is considered clinically meaningful when the subjective sleep onset latency is reduced by more than 20 minutes (22). In this study, the percentages of patients whose subjective total sleep time was prolonged by more than 30 minutes and whose subjective sleep onset latency was shortened by more than 20 minutes at each evaluation time point, which were defined as clinical improvement, are shown in Table 2. In both cases, clinical improvement was observed from day 1 compared with day 0. Intravenous chlorpromazine can cover the normal sleep time, because the mean residence time of 8.88 hours irrespective of the dose level (12,13). Therefore, intravenous chlorpromazine has both quick-acting and long-lasting effects on sleep





**Figure 1** Change in mean scores based on SMHSQ over the first 7 days after treatment. SMHSQ, St. Mary's Hospital Sleep Questionnaire.

**Table 2** Efficacy rate and change in mean scores based on SMHSQ over the first 7 days after treatment

Characteristic	Days after intravenous chlorpromazine				P
	0 (pre)	1	3	7	
Primary end point					
Efficacy rate of sleep quality <sup>†</sup> (%)	–	76.9	80.8	69.2	<0.0001
95% CI (n=52)	–	62.1, 86.0	66.5, 89.1	53.8, 79.6	
Sleep quality	1.6	4.3	4.4	4.3	<0.0001
SD	0.7	1.2	1.2	1.4	
Secondary end points					
Efficacy rate of total sleep <sup>‡</sup> (%)	–	96.2	90.4	88.5	<0.0001
95% CI (n=52)	–	85.5, 99.7	77.9, 96.2	75.5, 94.8	
Total sleep (h)	2.7	6.3	6.4	6.5	<0.0001
SD	2.1	2.2	2.2	2.4	
Efficacy rate of sleep latency <sup>§</sup> (%)	–	69.2	71.2	76.9	<0.0001
95% CI (n=52)	–	53.8, 79.6	55.8, 81.2	62.1, 86.0	
Sleep latency (min)	147.1	19.8	16.1	25.0	<0.0001
SD	168.1	47.4	44.2	77.6	

<sup>†</sup>, the efficacy rate of chlorpromazine is defined as the number of patients with a score of  $\geq 4$  based on answers to sleep quality questions in the SMHSQ; <sup>‡</sup>, the efficacy rate of chlorpromazine is defined as the number of patients with total sleep time prolonged by more than 30 minutes; <sup>§</sup>, the efficacy rate of chlorpromazine is defined as the number of patients with sleep latency time shortened by more than 20 minutes. SMHSQ, St. Mary's Hospital Sleep Questionnaire; CI, confidence interval; SD, standard deviation.

quality, contributing to both shortening sleep latency time and lengthening total sleep time. Taken together, the efficacy of intravenous chlorpromazine for treating sleep disturbance in patients with incurable cancer, with oral administration difficulty can be expected in the medium term during the administration period.

The second and most important finding was the clarification of the high safety of intravenous chlorpromazine for use in patients with incurable cancer, with oral administration difficulty.

Adverse events often associated with chlorpromazine treatment, including falls, and effects on circulation dynamics such as vasculitis were not observed throughout this study. However, there were two events of akathisia, two events of dry mouth, and three events of somnolence. Akathisia is among the most common adverse drug effect patients experience from dopamine-receptor blocking agents. In schizophrenic patients, the incidence of akathisia was 31.3% with a mean dose of 328 mg/day of chlorpromazine equivalent (23). The higher the antipsychotic dose, the stronger the degree and the

higher the frequency of akathisia (24). Consequently, it is not possible to make a simple comparison, although the frequency was low (3.9%) in this study. Acute akathisia usually occurs within 4 weeks after starting or increasing the antipsychotic dose (25), and a history of EPS and the male gender are risk factors for EPS (26,27), which were similar to this study. In the two events of dry mouth, the anticholinergic effect of chlorpromazine was a direct cause of the event onset. The three somnolence events showed improvements in sleep quality due to the sedative effect of chlorpromazine by blocking histamine H1 and alpha1 adrenergic receptors. However, excessive sedation may have been a direct cause of somnolence, suggesting that the treatment could have been continued by reducing the chlorpromazine dose.

By contrast, no instances of delirium, which often occurs with pharmacotherapy for sleep disorders, were noted in this study. Delirium is also said to be induced by chlorpromazine, and the dose level inducing delirium was reported to be 40 mg/day of intravenous chlorpromazine in advanced patients with cancer and 36–50 mg/day of



intramuscular chlorpromazine in patients with hospitalized acquired immunodeficiency syndrome (10,14). The low dose used in this study (13.3 mg/day) may have prevented excessive sedation, which is a direct factor in the development of delirium.

The incidence of adverse events in this study was 13.5%, which was higher than the 6.7% reported in the previous study (15), although all events were mild (Grade 1) and did not become severe due to early response. Based on the results of the previous study and this study, a low dose of intravenous chlorpromazine is expected to be a safe treatment option for sleep disturbances in patients with a high risk of delirium.

This study has several large limitations. Firstly, because only patients referred for treatment by the palliative care team were assessed, many intractable and treatment-resistant sleep disturbance patients were included, and this study cannot be generalized due to bias in the selection of study subjects. Secondly, there is no validated subjective sleep assessment tool for patients with incurable cancer, including the SMHSQ. However, many real-world practices routinely use the SMHSQ as a tool to assess hospitalized patients. Third, this was a prospective observational study without a control cohort. Thus, common drug interactions with other medications used in hospice, such as morphine, were not investigated. This may be believed as an acceptable limitation because sleep medications or antipsychotics were not adjusted during the chlorpromazine treatment. Lastly, this study was conducted at a single institution, and future studies should use a larger dataset. Based on these limitations, this is considered a preliminary study.

## Conclusions

This study indicates that intravenous chlorpromazine has a high tolerability and can be used effectively to treat sleep disturbance in patients with incurable cancer, with oral administration difficulty. Additionally, intravenous chlorpromazine is an excellent therapeutic option for sleep disturbances in patients with a high risk of delirium.

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## Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and received approval from the Medical Ethics Committee of Kansai Medical University, Japan (reference number: 2017323). Informed consent was obtained from all study participants. This study was registered at the University Hospital Medical Information Network Clinical Trials Registry (approval number: UMIN000043869) on April 8, 2021 (registered retrospectively).

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