



# Comparison of the effect of electromyogram activity during emergence on anesthetic depth monitoring between phase lag entropy and bispectral index: a prospective observational study

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**Background:** The bispectral index (BIS) is the most widely used algorithm for measuring anesthetic depth. The BIS has been demonstrated as inaccurate when neuromuscular blocking drugs (NMBDs) are used. Compared with BIS, phase lag entropy (PLE), which measures the anesthetic depth based on a 4-channel EEG signal, is less affected by EMG. The purpose of this study was to compare the effect of EMG activity during emergence on anesthetic depth monitoring between PLE and BIS.

**Methods:** Twenty five consecutive patients with physical status I–II of American Society of Anesthesiologists undergoing general anesthesia (age range, 20–60 years). The anesthesiologist attached the sensors of BIS and PLEM 100 on the patient's forehead. NMB reversal was performed by intravenously injecting sugammadex after confirmation of shallow NMB (TOF count 1–4) under neuromuscular monitoring. The BIS and PLE scores were recorded with neuromuscular monitoring at 1-min intervals for 5 min after administration of sugammadex.

**Results:** The BIS and BIS-EMG measured at 1 min after sugammadex injection were significantly higher at 1 min [51.650 (46.100, 62.225) ( $P < 0.001$ ); 28.500 (27.800, 31.075) ( $P = 0.003$ )] than at 0 min. However, there was no between-time point difference in the PLE score and PLE-EMG ( $P = 0.0843$ ,  $P = 0.329$ ).

**Conclusions:** In general anesthesia using propofol-remifentanyl, the BIS at 1 min after sugammadex reversal during emergence appears to be more affected by EMG activity than the PLE score. Therefore, immediately after sugammadex administration (within 1 min), it may be clinically useful to evaluate the consciousness status through the PLE score.

**Keywords:** Anesthetic depth; bispectral index (BIS); electroencephalography; emergence; general anesthesia; phase lag entropy (PLE)

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## Introduction

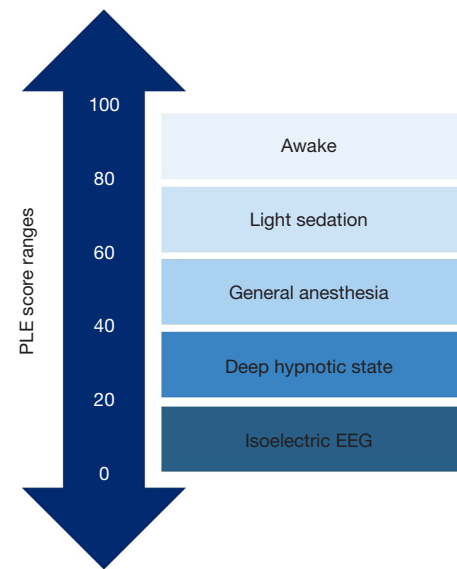
There is a need to monitor the level of consciousness (LOC) since intra-anesthetic awareness is a serious mental sequela that can result in post-operative sleep disturbances, nightmares, and anxiety (1,2). The bispectral index (BIS; Aspect Medical System, Inc., Norwood, MA, USA) is the most widely used algorithm in clinical practice for measuring anesthetic depth, which is quantified by bispectral analysis of the different frequency components obtained using a single-channel electroencephalograph (EEG) signal (3). High-frequency signals, including electrical devices, electrocardiograms, electromyograms (EMGs), and epileptiform patterns EEG can increase the BIS, and mislead to use toxic concentration of anesthetics (4,5). Specifically, the BIS has been demonstrated as inaccurate when neuromuscular blocking drugs (NMBDs) are used (6,7). Moreover, the change in the BIS is proportional to the anesthetic agent concentration in patients with complete neuromuscular blockade (NMB) (8-10). However, the BIS may not accurately reflect changes in the anesthetic depth in patients with incomplete NMB or during anesthesia arousal, where NMB reversal occurs. Compared with BIS, phase lag entropy (PLE), which measures the anesthetic depth based on a 4-channel EEG signal, is less affected by EMG (11) (Figure 1).

This study aimed to compare the effect of EMG activity during emergence on anesthetic depth monitoring between PLE and BIS. We present the following article in accordance with the STROBE reporting checklist (available at <https://apm.amegroups.com/article/view/10.21037/apm-21-847/rc>).

## Methods

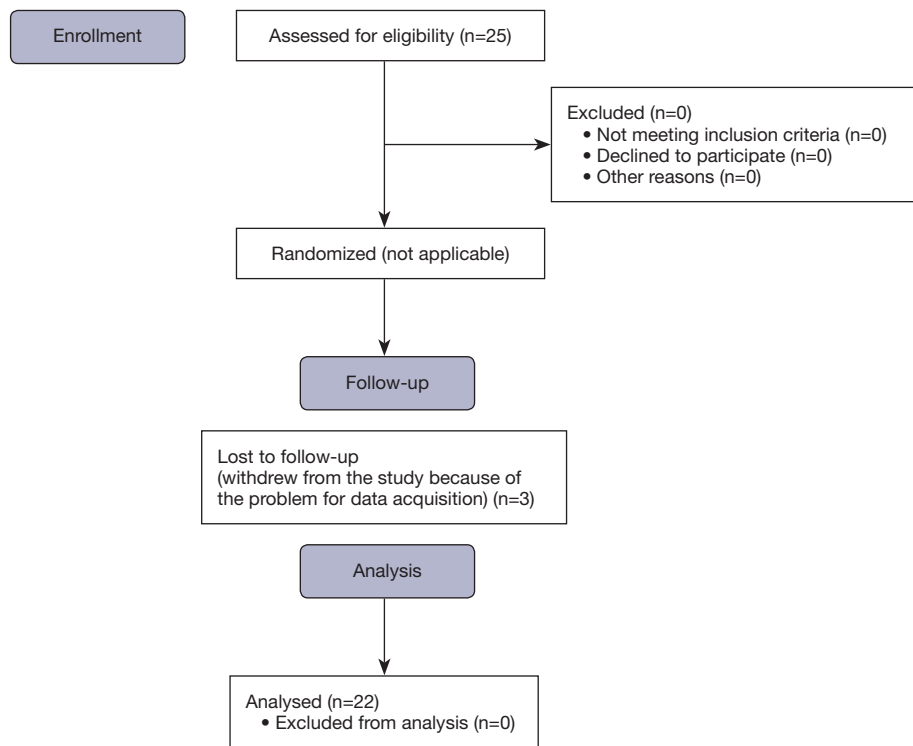
This study was approved by the Institutional Review Board of Pusan National University Yangsan Hospital (Ref: 03-2017-009) and registered on ClinicalTrials.gov (NCT03230929). This study included voluntary participants and written informed consent was obtained before the study was conducted. The exclusion criteria were lesions that can affect EEG, including cerebral hemorrhage, cerebral apoplexy, and epilepsy, and diseases that can affect EMG, including muscular dystrophy, muscle stiffness, inflammatory muscular lesions, metabolic myopathy, congenital myopathy, and myasthenia gravis. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

This study included 25 consecutive patients with



**Figure 1** PLE score range guideline. PLE, phase lag entropy; EEG, electroencephalograph.

physical status I-II of American Society of Anesthesiologists undergoing general anesthesia (age range, 20–60 years) from August 11, 2017, to November 10, 2017. The anesthesiologist attached the sensors of BIS and PLEM 100 (Inbody Co., Ltd, Seoul, Korea) on the patient's forehead; moreover, a neuromuscular monitoring device (Philips IntelliVue NMT Module 865,383, Phillips Healthcare, Amsterdam, the Netherlands) was attached to the medial wrist side and ipsilateral thumb for continuous monitoring of the state of consciousness and NMB before, during, and after surgery. For total intravenous anesthesia, 2% propofol and remifentanyl were intravenously administered using a target-controlled infusion pump. After intravenous injection of rocuronium 0.6 mg/kg for NMB, endotracheal intubation was performed. The degree of NMB was intraoperatively maintained within the deep block [train-of-four (TOF) count <2]; moreover, the 2% propofol concentration was adjusted to maintain the BIS and PLE scores between 40 and 60 during operation. There was no change of patients' position during emergence. NMB reversal was performed by intravenously injecting 2 mg/kg sugammadex after confirmation of shallow NMB (TOF count 1–4) under neuromuscular monitoring while infusion of the 2% propofol and remifentanyl was stopped after confirmation of the BIS and PLE scores between 40 and 60. Subsequently, the BIS and PLE scores were recorded with neuromuscular monitoring at 1-min intervals for 5 min.



**Figure 2** Consort flow diagram.

**Table 1** Patients characteristics

Variable	N (%) or mean $\pm$ SD
Gender	
Male	8 (36.4)
Female	14 (63.6)
Age (yrs)	45.17 $\pm$ 9.83
Height (cm)	168.46 $\pm$ 7.63
Weight (kg)	70.98 $\pm$ 16.42
ASA class	
1	12 (54.5)
2	10 (45.5)
Duration of operation (min)	62.27 $\pm$ 13.05
Total amount of rocuronium (mg)	68.77 $\pm$ 29.48
TOF	
Count at the immediate end of operation	0.80 $\pm$ 0.32
Ratio 5 min after administration of sugammadex (%)	97.80 $\pm$ 11.92

Normal continuous variables was expressed as mean  $\pm$  SD. SD, standard deviation; ASA, American Society of Anesthesiologists; TOF, train-of-four.

After NMB recovery, the trachea was extubated and the patient was transferred to the post-anesthesia care unit.

### Statistical analysis

We required a sample size of 20 to achieve 80% power for detecting a mean of paired differences of 8.3, with an estimated standard deviation of differences of 12.5 and a significance level (alpha) of 0.05, using a two-sided paired *t*-test with reference to Seo *et al.*'s study (12). Student's *t*-test was used to analyze the differences in the BIS and PLE scores after sugammadex administration. Data were expressed as median (Q1, quartile 1–Q3, quartile 3) or mean  $\pm$  standard deviation. Statistical significance was set at  $P < 0.05$ . All statistical analyses were performed using IBM SPSS Statistics 25.0 (IBM Corp., Armonk, NY, USA).

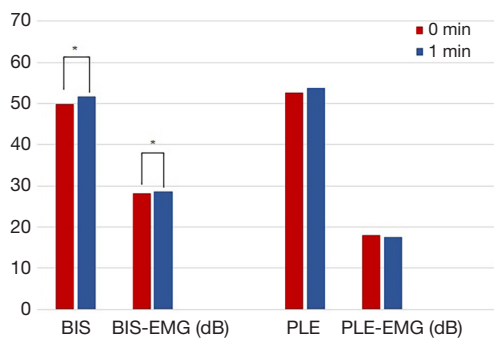
### Results

We recruited 25 patients; among them, we excluded three patients with data acquisition problems (Figure 2). Table 1 presents the demographic data of the participants. The BIS and BIS-EMG measured at 1 min after sugammadex

**Table 2** BIS and PLE values at 0 and 1 minute after administration of sugammadex (N=22)

Value	0 min	1 min	P value
BIS	49.650 (43.575, 58.125)	51.650 (46.100, 62.225)	<0.001
BIS-EMG (dB)	28.050 (27.400, 30.000)	28.500 (27.800, 31.075)	0.003
PLE	52.545±8.163	53.727±9.130	0.0843
PLE-EMG (dB)	18.000 (15.000, 21.750)	17.500 (13.000, 26.250)	0.329

Non-normal continuous variables was expressed as median (Q1–Q3) and normal continuous variables as mean ± SD. BIS, bispectral index; PLE, phase lag entropy; EMG, electromyography; SD, standard deviation; Q1, quartile 1; Q3, quartile 3.



**Figure 3** BIS and PLE values at 0 and 1 minute after administration of sugammadex (N=22). \*, P<0.05. BIS, bispectral index; EMG, electromyography; PLE, phase lag entropy.

injection were significantly higher [51.650 dB (46.100, 62.225 dB) (P<0.001); 28.500 dB (27.800, 31.075 dB) (P=0.003)] than at 0 min. However, there was no between-time point difference in the PLE score and PLE-EMG (P=0.0843, P=0.329) (Table 2, Figure 3).

According to study of Schuller *et al.* (13), the BIS-EMG value of 35 was used as a cutoff value, and when the BIS-EMG was <35 after 5 min of sugammadex administration, there was no difference in the BIS score at 0 and 5 min. However, when the BIS-EMG was >35 after 5 min, there was a significant difference in the BIS score after 5 min (69.300±9.584) and 0 min (55.108±10.472) (P=0.001). There was no difference in the PLE score after 0 and 5 min even when the PLE-EMG was <35 after 5 min. On the other hand, when the PLE-EMG was ≥35 after 5 min, there was a significant difference in the PLE score after 5 min (67.846±12.773) and 0 min (54.769±7.780) (P=0.004) (Table 3, Figure 4).

## Discussion

This study compared the BIS and PLE scores during

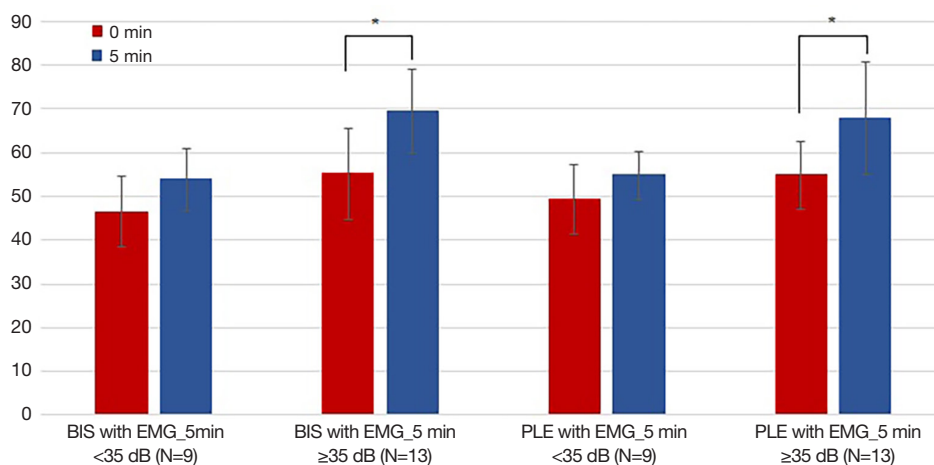
emergence in patients who received propofol-remifentanyl anesthesia. In this study, the BIS and BIS-EMG measured at 0 and 1 min after sugammadex injection were all high at 1 min and showed significant differences. However, there was no difference in the PLE score and PLE-EMG between 0 and 1 min. NMB recovery can be assumed to have occurred by 1 min after sugammadex reversal, with an increase in the BIS-EMG and BIS. This is consistent with a previous report that NMB recovery can be restored to a T4/T1 ratio of 0.9 at approximately 1 min after sugammadex administration in a shallow NMB (TOF count 1–4) (14). Contrastingly, there was no significant difference in the PLE-EMG at 1 min after sugammadex administration. BIS-EMG is a logarithmic scale of the total signal power between 70 and 110 Hz while PLE-EMG is a calculated parameter using signals >45 Hz (12). Additionally, when the EMG was <35 after 5 min of sugammadex reversal, there was no difference in both the BIS and PLE score after 0 and 5 min. However, when the EMG score was ≥35 after 5 min, the BIS and PLE scores after 5 min were significantly greater than those after 0 min. After 5 min of sugammadex reversal, consciousness recovery has already progressed; moreover, similar to the BIS, the PLE score at 5 min increases compared with that at 0 min when the EMG was ≥35.

LOC evaluation during general anesthesia is important for preventing intra-anesthetic arousal and excessive administration of anesthetic agents, with the use of BIS being clinically useful (15). The LOC is associated with the complex and diverse linkages between brain regions (16), which are reduced during general anesthesia (17). Emergence from general anesthesia is a vital process; moreover, compared with using clinical signs such as eye opening, extubation, and orientation, using parameters including the BIS improves the quality of recovery (18). It is important to control concentration of anesthetics, and the level of anesthesia delicately under the guidance of the BIS, which is one of the most widely used processed EEG-based

**Table 3** BIS and PLE values at 0 and 5 minutes according to EMG value at 5 minutes, after administration of sugammadex

Value	0 min	5 min	P value
BIS with EMG_5 min <35 dB (N=9)	46.489±8.042	53.833±7.116	0.057
BIS with EMG_5 min ≥35 dB (N=13)	55.108±10.472	69.300±9.584	0.001
PLE with EMG_5 min <35 dB (N=9)	49.333±8.031	54.778±5.333	0.110
PLE with EMG_5 min ≥35 dB (N=13)	54.769±7.780	67.846±12.773	0.004

Normal continuous variables was expressed as mean ± SD. BIS, bispectral index; PLE, phase lag entropy; EMG, electromyography.



**Figure 4** BIS and PLE values at 0 and 5 minutes according to EMG value at 5 minutes, after administration of sugammadex. \*, P<0.05. BIS, bispectral index; EMG, electromyography; PLE, phase lag entropy.

parameter for monitoring anesthetic depth (3,19). However, the BIS has limitations because the BIS and BIS-EMG are obtained using EEG signals in the range of 0–47 Hz and 70–110 Hz, respectively. Since EMG signals exist in the 30–300 Hz range, those in the 30–47 Hz range can be interpreted as EEG signals, which can falsely indicate an elevated BIS or low BIS-EMG value (3). Messner *et al.* reported that BIS simultaneously decreased with EMG activity disappearance after succinylcholine administration in awake patients (6); further, Liu *et al.* reported that NMBD decreased EMG activity and therefore reduces the BIS (20). Additionally, based on the afferentation theory that the muscle stretch receptor stimulates the brain's arousal center (21), NMBD administration can reduce the BIS by blocking the muscle stretch receptor and reducing the stimulation that causes brain arousal. A previous study reported no changes in the BIS score even after 10 min of sugammadex reversal (22); however, the EMG activity was a single entity rather than dividing it into low and high groups, which may differ from other studies.

The PLE monitor has been recently approved for clinical use, with several studies assessing its usefulness and comparison with the BIS; moreover, it has been shown as useful for LOC evaluation in general anesthesia (11,12,23,24). PLE employs EEG signals with ranges of 0.1–1 and 32–45 Hz while PLE-EMG uses signals >45 Hz. Therefore, PLE can interpret 30–45 Hz EMG as an EEG signal; however, PLE obtains a phase relationship from two channels and converts it into entropy after binarized calculation. Additionally, electrical artifacts may occur on the two EEG channels; however, they are removed during binarization (12). Therefore, theoretically, the PLE score could be less affected by EEG than the BIS; however, there have been few studies on the relationship between the PLE score and PLE-EMG. Although several studies showed PLE score has correlation with BIS for evaluating consciousness and depth of anesthesia during general anesthesia (11,12,24), the present study is meaningful since it is the first to investigate the PLE-EMG effect on the PLE score. Additionally, a previous study reported that the BIS does



not increase during deep propofol-remifentanyl anesthesia (BIS 30–33) and NMB reversal using sugammadex (22); moreover, the BIS in this study was >45 after sugammadex reversal (0, 1, 5 min), which could be sufficiently influenced and increased by reversal.

This study has several limitations. First, since two sensors were attached to each patient's forehead, there were slightly different sensor attachment sites, which could result in differences in the BIS, BIS-EMG, PLE, and PLE-EMG measurements. Second, we did not measure the TOF count or ratio at 1 min after sugammadex administration. However, sugammadex can reverse deep NMB within 3 min (25) and a shallow NMB to T4/T1 ratio 0.9 after 1 min (14). Third, the surgery type was not unified; therefore, the effect of nociceptive-antinociceptive balance on LOC and EEG could not be excluded. Third, although BIS-EMG measured at 1 min than 0 min after sugammadex injection was significantly higher, the difference of mean value is about 0.5 dB. This can lead to doubts of clinical significance. However, the maximal or normal BIS-EMG value is about 60 dB by the study of Schuller *et al.* (13), and tiny change of BIS-EMG value could have clinical significance.

In conclusion, in general anesthesia using propofol-remifentanyl, the BIS at 1 min after sugammadex reversal during emergence appears to be more affected by EMG activity than the PLE score. Furthermore, after 5 min, there was no difference in the effect of EMG activity on the BIS and PLE score. Therefore, immediately after sugammadex administration (within 1 min), it may be clinically useful to evaluate the consciousness status through the PLE score.

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### Footnote

*Reporting Checklist:* The authors have completed the STROBE reporting checklist. Available at <https://apm.amegroups.com/article/view/10.21037/apm-21-847/rc>

*Data Sharing Statement:* Available at <https://apm.amegroups.com/article/view/10.21037/apm-21-847/dss>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://apm.amegroups.com/article/view/10.21037/apm-21-847/coif>).

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Institutional Review Board of Pusan National University Yangsan Hospital (Ref: 03-2017-009) and registered on ClinicalTrials.gov (NCT03230929). This study included voluntary participants and written informed consent was obtained before the study was conducted. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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