

Development of a scoring scale for predicting the risk of postoperative complications after spinal tuberculosis debridement: a retrospective cohort study of 233 patients

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Background: This investigation established a scoring scale for predicting the incidence of postoperative complications in patients after spinal tuberculosis debridement.

Methods: A total of 232 spinal tuberculosis patients who underwent debridement surgery between January 2012 to May 2020 were included in this retrospective study. The study cohort was divided into 2 groups according to the presence or absence of postoperative complications. The complications were defined as abnormal or impaired body function caused by surgical factors (such as nerve injury and internal fixation looseness) or other factors (such as chemotherapy and bed stay). Clinical characteristics include age, body mass index (BMI), diabetes mellitus, pulmonary tuberculosis, smoking history, preoperative serum albumin, preoperative C-reactive protein (CRP), Cobb angle correction, surgical approach, operation time, etc. operative blood loss was recorded and analyzed. The clinical characteristics of spinal tuberculosis patients who suffered postoperative complications were evaluated, and a scoring scale was established using logistic regression analysis. The performance of this scoring scale was prospectively validated.

Results: Out of 232 patients, a total of 188 (81.03%) suffered postoperative complications after surgery. Multivariate binary logistic regression analysis showed that diabetes mellitus [adjusted odds ratio (OR) =1.110, P=0.046], pulmonary tuberculosis (adjusted OR =1.181, P=0.002), low preoperative serum albumin (adjusted OR =0.789, P=0.005), anterior surgical approach (adjusted OR =5.934, P=0.035), and long operation time (adjusted OR =1.019, P<0.01) were independent risk factors of postoperative complications after spinal tuberculosis debridement surgery. The above independent risk factors were assigned to establish a scoring scale for predicting postoperative complications, and receiver operating characteristic (ROC) analysis showed that the optimal cut-off value for the scoring scale was 4 points. The sensitivity and specificity of the scoring scale were 60.8% and 81.8%, respectively, based on the validation set.

Conclusions: Using the scoring scale, spinal tuberculosis patients with a score between 4 to 9 would be considered at high risk of postoperative complications, while patients with a score of 0 to 3 would likely be at low risk of developing postoperative complications.

Keywords: Spinal tuberculosis (STB); postoperative complications; risk factors; scoring scale

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Introduction

Spinal tuberculosis (STB) is common extrapulmonary tuberculosis, accounting for about 50% of osteoarticular tuberculosis (1). At present, anti-tuberculosis (TB) drug therapy combined with surgical treatment is considered the gold standard for STB treatment (2). The debridement of lesions is a key step in STB surgery as it enhances the control of tuberculosis changes, improves the efficacy of anti-TB drugs, promotes bone graft fusion, and reduces the risk of recurrence of STB (3,4).

However, debridement is an iatrogenic trauma for patients. In addition, most STB patients have comorbidities such as diabetes, anemia, and hypoalbuminemia, and thus, they have a significantly higher risk of postoperative complications than other patients with degenerative diseases (5-7). Therefore, minimizing complications after debridement is a key focus in STB surgery. Recently, research on postoperative complications of STB has been confined to specific complications such as nerve injury, postoperative intestinal obstruction, and others. However, these studies did not explore the risk factors for other complications and therefore have little significance for the prediction and risk assessment of postoperative complications in spinal tuberculosis patients. Several studies have determined that preoperative albumin values, preoperative comorbidities, and operation time are risk factors of postoperative complications in STB (7-9). These latter studies only examined the role of a single factor in predicting postoperative complications, and thus, an accurate assessment of complication risk cannot be conducted when patients have only some of these risk factors. In addition, to date, there is a paucity of large sample studies reporting the incidence of complications after STB (7-12).

This study retrospectively examined the case data of STB patients who underwent debridement surgery in our hospital to identify the risk factors of postoperative complications. The relative contribution of various risk factors was assessed using quantitative methods to establish a predictive scoring scale of postoperative complications. This scale was established to predict the risk of postoperative complications in STB patients.

We present the following article in accordance with the TRIPOD reporting checklist (available at https://dx.doi. org/10.21037/apm-21-851).

Methods

This study was conducted in accordance with the Declaration

of Helsinki (as revised in 2013) and was approved by the Institutional Ethics Board of The First Affiliated Hospital of Chongqing Medical University (No. ChiCTR1800019109). All participants provided written informed consent to participate in this study.

Patient selection

A total of 232 patients with STB who underwent lesion debridement in our hospital from January 2012 to May 2020 were retrospectively included in this study.

Inclusion criteria

Patients were selected if they met the following inclusion criteria: (I) medical records were complete, including general information, preoperative laboratory examination, imaging results [including magnetic resonance imaging (MRI) and computed tomography (CT)], and clinical data on postoperative complications; (II) patients who underwent surgical treatment; and (III) lesion tissues were extracted during the surgery, and postoperative pathological diagnosis was confirmed as STB.

Exclusion criteria

Patients were excluded if they presented with the following: (I) suspected STB not confirmed by pathological examination; (II) preliminary and pathological diagnosis of diseases other than STB; or (III) a previous history of STB.

Measures and outcomes

Measures

Based on previous studies and our experience, the following possible predictors for the occurrence of postoperative complications in STB patients were assessed: patient's general conditions, laboratory examination indexes, and imaging examination indexes. Measures of general patient conditions included age, gender, height, body weight, body mass index (BMI), comorbidities, history of drinking, history of smoking, surgical approach, operation time, operative blood loss, course of disease, and postoperative hospital stay. Laboratory examination indexes included preoperative hemoglobin, preoperative serum albumin, preoperative lymphocytes, preoperative erythrocyte sedimentation rate (ESR), and preoperative C-reactive protein (CRP). Imaging examination indexes included Cobb angle correction and the number of fixation segments. Postoperative complications included operation-related complications (such as low serum albumin, anemia, high fever, cerebrospinal fluid leakage, delirium, delayed wound healing or infection, rupture of iliac vein, and internal fixation instability), anti-TB chemotherapy-related complications (such as abnormal liver function, abnormal kidney function, and limb nerve symptoms), stay-in-bed-related complications (such as gastrointestinal symptoms, electrolyte disorders, thrombus, and urinary tract infections), and others complications (such as restricted respiratory function, respiratory failure, and pleural effusion).

Development of the scoring scale

All included patients were divided into two groups according to the presence or absence of postoperative complications (POCs). Patients experiencing POCs were assigned the "with POC group", and those without POCs were assigned into the "without POC group".

Univariate analyses were conducted on the general conditions, laboratory examination indexes, and imaging examination indexes of patients in the two groups to identify possible predictors of POCs. Multivariate logistic regression analyses were subsequently performed to identify the predictors POCs in STB patients. These indicators were then used to develop the scoring scale.

Statistically significant continuous variables (preoperative serum albumin and operation time) were converted to dichotomous variables using receiver operating characteristic (ROC) curves analysis. The weighted score of each item was determined based on the relative size of the P-value according to the method reported by Kharbanda *et al.* and Zhou *et al.* (13,14).

The appropriate cut-off points for the scoring scale were determined using ROC curves corresponding to the curve nearest the ROC graph's upper left corner.

Follow up: validation of the scoring scale

From June 2020 to March 2021, a total of 62 STB patients were enrolled to validate the accuracy of the scoring scale. The inclusion and exclusion criteria for the validation set were identical to the criteria of the derivation set.

Clinicians followed up with all patients through phone communications and outpatient consultations. No clinical data were lost from any of the included patients.

Statistical analysis

The ROC curves analysis determined both the threshold

values for continuous variables and the appropriate cutoff points for the scoring scale. The prevalence of the included clinical characteristics was evaluated by calculating the sensitivity and specificity for each factor. The clinical characteristics were also subjected to univariate logistic regression analysis, and the significant factors were evaluated by multivariate logistic regression analysis. The items of the scoring system were determined by multivariate logistic regression, and the weighted score of each item was based on the relative size of the P value. P<0.05 was considered statistically significant. The SPSS version 23.0 software was used for statistical analyses.

Results

Patient population

Among the 232 patients enrolled in the study, 188 patients presented with postoperative complications, including 102 males and 86 females. A total of 44 patients, including 27 males and 17 females, did not have any postoperative complications (*Table 1*). The various complications experienced are shown in *Table 2*. The mean ages of patients with and without postoperative complications were 48.75 ± 16.32 and 41.57 ± 14.83 years, respectively (*Table 1*).

Results of univariate and multivariate logistic regression analysis

Univariate logistic regression analysis found that age, BMI, diabetes mellitus, pulmonary tuberculosis, smoking history, preoperative serum albumin, preoperative CRP, Cobb angle correction, anterior surgical approach, operation time, and perioperative blood loss were all risk factors for postoperative complications (*Table 3*). Multivariate logistic regression analysis on the above significant risk factors revealed that diabetes mellitus, pulmonary tuberculosis, preoperative serum albumin, anterior surgical approach, and operation time were independent risk factors for the incidence of postoperative complications (*Table 4*). ROC curves showed that the diagnostic threshold of preoperative serum albumin was 40 g/L (sensitivity: 0.841; specificity: 0.559) and the threshold of operation time was 181 minutes (sensitivity: 0.702, specificity: 0.659) (*Figure 1*).

Development of the scoring scale

The five clinical characteristics identified using the

Table 1 Comparison of perioperative characteristics between patients with and without postoperative complications

Characteristics	With POC (n=188, 81.0%)	Without POC (n=44, 19.0%)	P value
Age (year)	48.75±16.32	41.57±14.83	0.008*
Sex (n, %)			0.393
Female	86 (83.5)	17 (17.5)	
Male	102 (79.1)	27 (20.9)	
Height (cm)	162.07±6.67	163.39±6.65	0.241
Body weight (kg)	55.90±10.40	60.09±10.59	0.017*
BMI (kg/m²)	21.23±3.40	22.51±3.70	0.028*
Comorbidities (n, %)			
Diabetes	29 (96.7)	1 (3.3)	0.010*
Pulmonary tuberculosis	79 (94.1)	5 (5.9)	<0.001*
Smoking history (year)	8.61±1.00	3.61±0.98	0.001*
Drinking history (year)	5.02±0.80	3.05±1.22	0.180
Surgery approach (n, %)			0.038*
Anterior approach surgery	21 (95.5)	1 (4.5)	
Posterior approach surgery	167 (79.5)	43 (20.5)	
Operation time (min)	212.21±56.11	169.93±46.26	<0.001*
Operation blood loss (mL)	417.73±340.20	220.71±135.66	<0.001*
Postoperative hospital stay (day)	20.56±8.59	16.55±8.19	0.005*
Preoperative hemoglobin (×10)	119.67±16.79	128.73±14.36	0.001*
Preoperative lymphocytes (×10)	1.21±0.44	1.38±0.55	0.061
Preoperative serum albumin (g/L)	38.69±4.14	41.93±3.01	<0.001*
Preoperative CRP (mg/L)	33.57±34.29	21.85±20.37	0.004*
Preoperative ESR (mm/h)	56.75±26.54	50.02±33.02	0.213
Cobb angle correction (°)	9.33±7.91	6.70±6.82	0.043*
Number of fixation segments	4.73±1.32	4.11±1.19	0.005*
Course of disease (month)	11.99±30.05	6.97±11.03	0.278

*, P<0.05. POC, postoperative complication; BMI, body mass index; CRP, C-reactive protein; ESR, erythrocyte sedimentation rate.

multivariate analysis above were used to develop the scoring scale. According to the P value, diabetes mellitus (P=0.046) and anterior surgical approach (P=0.035) were assigned 1 point; pulmonary tuberculosis (P=0.002) and preoperative serum albumin (P=0.005) were assigned 2 points; and operation time (P<0.001) was assigned 3 points (*Table 5*). The ROC curve showed that the diagnostic threshold score of the scoring scale was 4 points (sensitivity: 0.654, specificity: 0.864) (*Figure 2*).

Validation of the scoring scale

The scoring scale was applied to 62 patient cases in the validation set. A comparison of the results of the score scale using the derivation set and the validation set is shown in *Table 5*. Based on the threshold value of 4 points, the sensitivity and specificity of the score for predicting STB postoperative complication were 65.4% and 86.4% in the derivation set, respectively, and 60.8% and 81.8% in the

 Table 2 Details of 188 patients with different postoperative complications

Complications	Number
Total	188
Low serum albumin	99
Anemia	72
High fever	28
Gastrointestinal symptoms	25
Cerebrospinal fluid leakage	9
Electrolyte disorders	10
Abnormal liver function	18
Abnormal kidney function	4
Delirium	2
Limb nerve symptoms	14
Drug side effect	8
Thrombus	3
Urinary tract infection	2
Wound delayed healing or infection	10
Restricted respiratory function	1
Pleural effusion	4
Rupture of iliac vein	1
Internal fixation instability	1
Respiratory failure	1

validation set, respectively (Table 6).

Risk prediction of the scoring scale

Based on the existing medical records of the 232 cases, the incidence of postoperative complications was obtained for each score in the score table (*Table 7*). A corresponding nomogram was created to facilitate the risk assessment of the scoring scale (*Figure 3*).

Discussion

In the present study, logistic regression analysis revealed that diabetes mellitus, pulmonary tuberculosis, low preoperative serum albumin values, anterior surgical approach, and long operation time were independent risk factors for postoperative complications in STB patients.

Clinical findings: comorbidities

Diabetes mellitus was identified as an independent risk factor for postoperative complications in STB, and STB patients complicated with diabetes were more likely to have postoperative complications. Diabetes has been associated with an increased risk of postoperative infections, postoperative blood transfusions, higher hospitalization costs, and longer hospital stays for patients who undergo spinal surgery (15), which was consistent with our findings. Sharma et al. found that patients with diabetes who underwent spinal surgery have significantly higher rates of postoperative complications and longer hospital stays (16). Previous studies on diabetes in surgical patients mainly focused on the specific clinical outcomes or complications; for example, satisfactory preoperative blood glucose management can reduce the incision infection rate and reduce the morbidity and mortality of patients in intensive care (17). In our study, blood glucose levels were maintained at 6-10 mmol/L by normative preoperative insulin regulation in all diabetic patients. Despite this, diabetes mellitus was still an independent risk factor for overall postoperative complications, suggesting that good preoperative blood glucose control in STB patients with diabetes can only reduce the occurrence of some specific complications, with little effect on the overall incidence of postoperative complications.

Pulmonary tuberculosis was also identified as an independent risk factor for postoperative complications of STB. An epidemiological study reported that 25.7% of STB patients are complicated by pulmonary tuberculosis (18). The increased incidence of postoperative complications in STB patients with pulmonary tuberculosis may be related to the increased burden of pulmonary tuberculosis on the body. Compared with patients with STB alone, patients with multi-site tuberculosis have poorer nutritional status, weaker immune function, and less tolerance to surgery (19,20), and these factors may contribute to a higher risk of overall postoperative complications.

Laboratory test: preoperative serum albumin values

This study demonstrated that preoperative serum albumin value is a risk factor for complications after spinal tuberculosis debridement, which is consistent with previous studies. Studies by Adogwa *et al.* and Kumar *et al.* found that preoperative albumin levels less than 35 g/L is an independent risk factor for complications after elective

Table 3 Univariate binary logistic regression analysis of risk factors between patients with and without postoperative complication	Table 3 Univariate bina	tic regression analysis of risk factor	rs between patients with and withou	it postoperative complications
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Characteristics	Crude odds ratio (OR)	95% CI	P value
Age	1.028	1.007–1.050	0.009*
Sex	0.747	0.382-1.461	0.394
BMI	0.904	0.825-0.990	0.030*
Diabetes mellitus	1.128	0.017-0.963	0.046*
Pulmonary tuberculosis	1.177	0.067-0.469	<0.001*
Smoking history	1.042	1.005-1.081	0.026*
Course of disease	1.021	0.987-1.056	0.228
Number of diseased vertebrae	0.914	0.690-1.211	0.533
Preoperative hemoglobin	0.965	0.944–0.986	0.052
Preoperative lymphocytes	0.477	0.242-0.941	0.063
Preoperative serum albumin	0.782	0.702-0.871	<0.001*
Preoperative CRP	1.018	1.002-1.034	0.032*
Cobb angle correction	1.056	1.001-1.115	0.048*
Surgery approach			
Anterior approach surgery	5.786	2.089-16.027	0.001*
Posterior approach surgery	0.463	0.195–1.102	0.082
Operation time	1.018	1.010-1.027	<0.001*
Operation blood loss	1.005	1.002-1.007	<0.001*

*, P<0.05. BMI, body mass index; CRP, C-reactive protein; OR, odds ratio.

Table 4 Multivariate binary logistic regression analysis of risk factors between patients with and without postoperative complications

Characteristics	Adjusted odds ratio (OR)	Regression coefficients (β)	95% CI	P value
Age	1.013	0.014	0.984–1.045	0.360
BMI	0.928	-0.079	0.811-1.051	0.226
Diabetes mellitus	1.110	-2.211	0.0128-0.964	0.046*
Pulmonary tuberculosis	1.185	-1.687	0.063-0.542	0.002*
Smoking history	1.050	0.048	0.993-1.100	0.058
Preoperative serum albumin	0.789	-0.199	0.713–0.941	0.005*
Cobb angle correction	0.824	-0.006	0.937-1.061	0.924
Anterior approach surgery	5.934	1.781	1.126–26.917	0.035*
operation time	1.018	0.018	1.009–1.031	<0.001*
Operation blood loss	1.001	0.001	0.998–1.004	0.491

*, P<0.05. BMI, body mass index; OR, odds ratio.

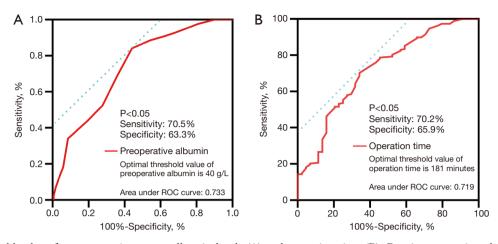


Figure 1 Threshold values for preoperative serum albumin levels (A) and operation time (B). Receiver operating characteristic (ROC) curve analysis showed the optimal threshold values of preoperative serum albumin levels and operation time were 40 g/L and 181 minutes, respectively. ROC, receiver operating characteristic.

Table 5 Scoring system		

Scoring item	Score			
Diabetes mellitus				
Yes	1			
No	0			
Pulmonary tuberculosis				
Yes	2			
No	0			
Preoperative serum albumin				
≤40	2			
>40	0			
Anterior approach surgery				
Yes	1			
No	0			
Operation time				
181	0			
>181	3			

degenerative and malformed spinal fusion (21,22). In contrast, this current study determined that preoperative albumin values less than 40 g/L is an independent risk factor for overall postoperative complications. Two reasons may explain the higher preoperative serum albumin requirements in patients with STB. First, patients

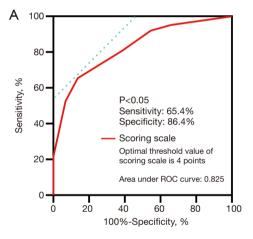


Figure 2 Receiver operating characteristic (ROC) curve analysis of the scoring scale. The optimal cut-off point based on ROC curve analysis was 4 points. ROC, receiver operating characteristic.

with STB are prone to be malnourished, and disease and surgery can increase the likelihood of postoperative complications (18). Second, STB debridement causes more blood loss and requires longer operation times than spinal degenerative disease surgery, and thus patients are more likely to experience complications such as hypoalbuminemia after surgery with a greater decrease in postoperative albumin (22). Although preoperative albumin is associated with an increased incidence of postoperative complications, the precise complications and the mechanisms involved remain unclear and warrant further research.

0	Derivation set			Validation set		
Groups	With POC	Without POC	Total	With POC	Without POC	Total
Clinical diagnosis						
With POC	123	64	187	33	17	50
Without POC	6	39	45	1	11	12
Total	129	103	232	34	28	62
Sensitivity (%)		65.4			60.8	
Specificity (%)		86.4			81.8	

Table 6 Comparison of performance of the scoring scale on derivation set and validation set

POC, postoperative complication.

 Table 7 Incidence of POC's occurrence with different scores

Score	Patients with POC	Total patients	Incidence of POC (%)
0	9	24	37.5
1	6	11	54.5
2	19	28	67.9
3	30	40	75.0
4	25	27	92.6
5	58	61	95.1
6	9	9	100
7	27	27	100
8	4	4	100
9	1	1	100

POC, postoperative complication.

Operation-related findings: operation time and surgical approach

Studies have shown that operation time is related to the rate of postoperative incision infections after spinal surgery (23,24). Furthermore, intraoperative blood loss, surgical trauma, and duration of anesthesia are risk factors of postoperative complications (24-26). In this current study, operation time, which can be used to reflect intraoperative blood loss, surgical trauma, and duration of anesthesia, was shown to be an independent risk factor of overall postoperative complications. The average operation time of patients who presented with postoperative complications was 212.21 \pm 56.11 minutes compared to 169.93 \pm 46.26 minutes in patients who did not experience postoperative complications (P<0.001). Analysis using the ROC curve determined that

operation time of 181 minutes was the diagnostic threshold for the occurrence of postoperative complications. In a study addressing the risk of spinal infections, Beiner *et al.* suggested that an operation time longer than 180 minutes predisposes the patients to postoperative infections, and antibiotics should be administered when surgery lasts longer than 180 minutes (27). The results of the latter study concur with our data and, taken together, suggest that controlled operation time within 180 minutes can reduce the risk of postoperative incision infections and the risk of overall postoperative complications. Therefore, optimizing operation time in STB patients to 180 minutes or less may be a crucial step in minimizing postoperative complications.

The anterior and posterior surgical approaches are commonly used in STB focus debridement. Our study indicated that using the anterior approach is an independent Jiang et al. Scoring scale for predicting the postoperative complications

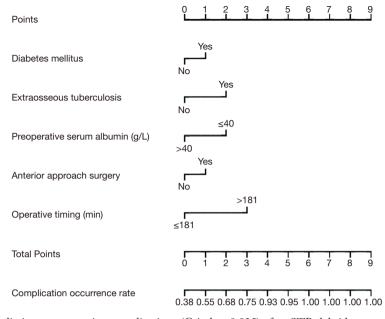


Figure 3 Nomogram for predicting postoperative complications (C-index: 0.825) after STB debridement. The rate of complications was estimated by adding the scores of diabetes mellitus, pulmonary tuberculosis, preoperative serum albumin, anterior approach surgery, and operation time. STB, spinal tuberculosis.

risk factor of postoperative complications, while the posterior approach is not a risk factor. Interestingly, the anterior approach is superior to the posterior approach regarding operative time, intraoperative bleeding, and correction of the Cobb angle (28,29). Furthermore, the anterior approach can achieve adequate debridement, better decompression, less muscle injury, and better interbody fusion cage placement. However, it cannot provide a satisfactory orthopedic result, causes more serious postoperative pain, and results in more complications related to the chest and abdomen due to the surgical channel (30). The risk of postoperative intestinal obstruction is 7.0% in anterior lumbar spinal surgeries (29).

On the contrary, the posterior surgical approach has the advantages of better implant fixation and better kyphosis correction. However, it is associated with insufficient nerve decompression and lesion removal, increased intraoperative bleed, and longer operative times (29). In summary, the anterior approach has better decompression effects but increased risk of postoperative complications, while the posterior approach has better orthopedic effects and fewer postoperative complications.

Scoring scale

STB patients have poor immunity, severe surgical trauma,

and a high risk of postoperative complications (6,25,31). The development of a scoring scale to predict postoperative complications after STB debridement surgery is vital for the perioperative management of patients and can effectively help physicians decide on postoperative treatment strategies. This study is the first to quantify the risk of postoperative complications in spinal tuberculosis to the best of our knowledge. However, there were some limitations to the development of the scoring scale. Due to the small sample size of the validation set, the diagnostic accuracy of the scale cannot be fully validated. As the scale's sensitivity was not high, there may be a significant rate of missed diagnosis. In addition, there may have been other independent clinical risk factors that were not included in the present study. Further studies are warranted to confirm the validity and improve upon the scoring scale for risk assessment of postoperative complications in patients with STB.

Conclusions

This investigation identified five independent risk factors for postoperative complications in patients with STB, which were subsequently used to develop a scoring scale. A score of more than 4 points is considered to be associated with postoperative complications with high sensitivity and

specificity. This scale is mainly dependent on clinical data, which is suitable for doctors to predict the changes in a patient's postoperative condition.

Study limitations

There were some limitations in this investigation. First, there may be some risk factors we didn't take into inclusion. Second, the risk factors for different levels of postoperative complications were not investigated (32). Third, the sample size of the validation set was small. Future studies addressing these issues will be required to confirm these results.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and was approved by the Institutional Ethics Board of The First Affiliated Hospital of Chongqing Medical University (No. ChiCTR1800019109). All participants provided written informed consent to participate in this study.

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Jiang et al. Scoring scale for predicting the postoperative complications

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9382