



Developing and validating a prediction model for in-hospital mortality in patients with ventilator-associated pneumonia in the ICU

Xiang Han¹, Weiqin Wu¹, Hongmei Zhao¹, Shuming Wang²

¹Department of Emergency, The Affiliated Huai'an No. 1 People's Hospital of Nanjing Medical University, Huai'an, China; ²Department of Hepatobiliary and Pancreatic Surgery, The Affiliated Huai'an No. 1 People's Hospital of Nanjing Medical University, Huai'an, China

Contributions: (I) Conception and design: X Han, S Wang; (II) Administrative support: None; (III) Provision of study materials or patients: None; (IV) Collection and assembly of data: X Han, W Wu, H Zhao; (V) Data analysis and interpretation: X Han, W Wu, H Zhao; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Shuming Wang. Department of Hepatobiliary and Pancreatic Surgery, The Affiliated Huai'an No. 1 People's Hospital of Nanjing Medical University, No. 1 Huanghe West Road, Huai'an 223300, China. Email: wangsmcct@outlook.com.

Background: Ventilator-associated pneumonia (VAP) is a common nosocomial infection in the intensive care unit (ICU), with high in-hospital mortality. Current scoring systems are limited in predicting nosocomial death of VAP. This study aimed to develop and validate a more accurate and effective prediction model for in-hospital mortality in ICU patients with VAP.

Methods: This was a retrospective cohort study. The demographic and clinical data of 8,182 adult patients with VAP were extracted from the Medical Information Mart for Intensive Care (MIMIC-III) database. All patients were randomly classified as a training set (n=4,629) and a test set (n=1,984) with a ratio of 7:3. The outcome was in-hospital mortality and the follow-up was terminated at discharge. Univariate and multivariate logistic regression analyses were used to identify the independent predictors and develop the prediction model in the training set, and internal validation was carried out in the test set. The receiver operating characteristic (ROC) curve and calibration curve were plotted to evaluate the performance of the model.

Results: Ethnicity, lung cancer history, septicemia history, hospital length of stay (LOS), fraction of inspired oxygen (FIO₂) level, oxygen saturation (SPO₂) level, Simplified Acute Physiology Score (SAPS II) score, Sequential Organ Failure Assessment (SOFA) score, and duration of invasive ventilation were all independently associated with the mortality of VAP. The algorithm of the prediction model was as follows: $\ln P/(1-P) = -0.700 + 0.493 \text{ Other Ethnicity} + 0.789 \text{ Lung Cancer (Yes)} + 0.693 \text{ Septicemia (Yes)} - 0.074 \text{ Hospital LOS} - 0.008 \text{ FIO}_2 - 0.032 \text{ SPO}_2 + 0.104 \text{ SOFA Score} + 0.047 \text{ SAPS II} + 0.004 \text{ Invasive Ventilation}$. The AUC was 0.837 in the training set and 0.817 in the test set, which indicated that the model performed well. The calibration curve also confirmed good calibration.

Conclusions: A model with good performance was developed to predict the individual death risk of VAP patients in the ICU, which might have the potential to provide ancillary data to support decision-making by physicians. External validation requires further evaluation of the model performance.

Keywords: Ventilator-associated pneumonia (VAP); prediction model; MIMIC database

Submitted Apr 07, 2022. Accepted for publication May 19, 2022.

doi: 10.21037/apm-22-502

View this article at: <https://dx.doi.org/10.21037/apm-22-502>

Introduction

Ventilator-associated pneumonia (VAP) is a very common nosocomial infection in the intensive care unit (ICU) (1-3). VAP is defined as pneumonia occurring in patients who were subject to invasive mechanical ventilation at least 48 hours before the onset of infection (4,5), and its incidence is reported to be 5–40% of patients receiving invasive mechanical ventilation (2,6). VAP is associated with some adverse events, such as atelectasis, aspiration, pulmonary edema, venous thromboembolism, delirium, and acute respiratory distress syndrome (ARDS), leading to continuing high morbidity and mortality in the ICU (7,8).

Previous clinical studies have investigated a wide range of risk factors associated with the mortality of patients with VAP, including age, inappropriate initial treatment, duration of mechanical ventilation, length of hospital stay, comorbidities, and invasive operations (9-15). However, the judgment criteria of these risk factors vary between studies and cannot be applied directly to clinical practice, which requires a scoring system convenient for clinical use. At present, only a few scoring systems for predicting the mortality risk of VAP are recognized as effective, such as the Acute Physiology and Chronic Health Evaluation (APACHE II), the Simplified Acute Physiology Score (SAPS II), and the Sequential Organ Failure Assessment (SOFA). These models have limitations when used for predicting the risk of VAP mortality. APACHE II and SAPS II are both time-consuming to use and require large amounts of data for accurate analysis (16). In addition, APACHE II does not include the effects of mechanical ventilation and the use of vasopressor drugs and so may not be appropriate for identifying organ dysfunction and mortality associated with VAP (17). Moreover, Gaudet *et al.* reported that SOFA has low sensitivity, poor accuracy, and cannot be used to distinguish ventilator-associated tracheobronchitis (VAT) from VAP (18). Furthermore, the number of measurement time points (19) and the age proportion of the sample (20) affects the discriminative power of these models.

Given the multiple limitations of these prediction models mentioned above, we aimed to develop a comprehensive prediction model with demographic and clinical data in a large sample cohort to assess the in-hospital mortality risk of VAP patients from the Medical Information Mart for Intensive Care (MIMIC-III) database between 2001 and 2012. We present the following article in accordance with the TRIPOD reporting checklist (available at <https://apm.amegroups.com/article/view/10.21037/apm-22-502/rc>).

Methods

Study design

This was a retrospective cohort study. Using the International Classification of Diseases (ICD-9) diagnosis code (99731: ventilator-associated pneumonia) and keywords (VAP, ventilator-associated pneumonia, or venting-associated pneumonia), we selected adult individuals diagnosed with VAP from the MIMIC-III database. The MIMIC-III database was developed by an interdisciplinary team of data scientists and practicing physicians from the Laboratory for Computational Physiology at Massachusetts Institute of Technology (21). It contains detailed information of 38,597 distinct adult patients and 49,785 hospital admissions downloaded from archives from critical care information systems, hospital electronic health record databases, and the United States Social Security Administration Death Master File. The data are publicly available at <https://physionet.org/content/mimiciii/1.4/>. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Potential variables

The demographic and clinical data were extracted including gender; ethnicity; last care unit; oral care; duration of invasive ventilation; comorbidities including chronic obstructive pulmonary disease (COPD), lung cancer, heart failure, diabetes mellitus, hypertension, and septicemia; hospital length of stay (LOS), and ICU LOS. The SOFA and SAPS II scores were also collected to assess the severity of the disease. In addition, we collected the laboratory data from 6 hours before to 24 hours after admission to the ICU, including white blood cell (WBC) count, red blood cell (RBC) count, blood urea nitrogen (BUN), mean arterial pressure (MAP), fraction of inspired oxygen (FIO₂), oxygen saturation (SPO₂), and bacteria that are common pathogens carried by patients with VAP (*Klebsiella pneumoniae*, *Pseudomonas aeruginosa*, *Acinetobacter baumannii*, yeast, *Aspergillus fumigatus*, *Staphylococcus*, and microorganism quantity) (22).

Among the variables mentioned above, the categorical variables included gender, ethnicity, last care unit, oral care, comorbidities, lung cancer, heart failure, diabetes mellitus, hypertension, septicemia, *Klebsiella pneumoniae*, *pseudomonas aeruginosa*, *Acinetobacter baumannii*, yeast, *Aspergillus fumigatus*, *Staphylococcus*, and microorganism quantity. Continuous variables included invasive ventilation, hospital

LOS, ICU LOS, MAP, FIO₂, SPO₂, WBC, RBC, BUN, SAPS II score, and SOFA score. The primary outcome was in-hospital mortality of hospitalized patients with VAP.

Development and validation of the prediction model

Random sampling was used to divide the data into training sets and test sets, with 70% of the data forming the training set and the remaining 30% forming the test set. An equilibrium test was performed between the training set and the test set. After ensuring that the 2 groups of data were balanced, univariate logistic regression analysis was used to screen for possible predictors in the training set. According to the results of the univariate logistic regression analysis, factors with $P < 0.05$ were included in the multivariate logistic analysis. The backward selection method was adopted to establish the model in the training set, and internal validation was carried out in the test set. The receiver operating characteristic (ROC) curve and the calibration curve were plotted to evaluate the performance of the model, and the DeLong test was used to compare the area under the curve (AUC) between the single-index models (SAPS II, and SOFA) and the combined model. The value of AUC was over 0.8, indicating the performance of the model was good.

Statistical analysis

Enumeration data were expressed as the number of cases and the constituent ratio [N (%)]. A chi-square test was used for comparison between groups. Measurement data in normal distribution were expressed as mean and standard deviation (mean \pm SD), and a *t*-test was used for comparison between groups. Non-normal distributed measurement data were expressed as median and interquartile range [M (Q1, Q3)], and the Wilcoxon rank-sum test was used for comparison.

Data were randomly grouped by using the “scikit-learn” module in Python 3 (Python Software Foundation, Wilmington, DE, USA), and univariate and multivariate logistic regression analyses were performed using R version 4.0.2 (The R Foundation for Statistical Computing, Vienna, Austria). $P < 0.05$ was considered statistically significant.

Results

Patient characteristics

A total of 8,182 adult individuals diagnosed with VAP

were selected from the MIMIC database. Individuals who were missing any information relating to laboratory data ($n=1,278$), clinical data ($n=285$), or disease severity data ($n=6$) were excluded. Finally, 6,613 eligible subjects were enrolled in the dataset.

The whole dataset was divided into a training set ($n=4,629$) and a test set ($n=1,984$). In the training set, there were 2,788 (60.23%) males and 1,841 (39.77%) females. Among them, 3,297 (71.22%) subjects were white people, 330 (7.13%) were black people, and 1,002 (21.65%) were of other ethnicities. The median duration of invasive ventilation was 24.908 (9.779, 94.738) hours. The median hospital LOS was 8.70 (5.25, 14.65) days, and the median ICU LOS was 3.24 (1.76, 6.98) days. More details are shown in *Table 1*. The results suggested that there were no significant differences in the distribution between the training set and the test set (all $P > 0.05$).

Developing the prediction model

According to the univariate logistic regression analysis, female gender ($P=0.003$); other races ($P < 0.001$); oral care of toothbrush ($P=0.004$); comorbidities of COPD ($P=0.002$), lung cancer ($P < 0.001$), hypertension ($P=0.001$), and septicemia ($P < 0.001$); hospital LOS ($P=0.021$); ICU LOS ($P < 0.001$); FIO₂ ($P=0.012$); SPO₂ ($P < 0.001$); WBC ($P < 0.001$); BUN ($P < 0.001$); bacteria including *Klebsiella pneumoniae* ($P < 0.001$), *Pseudomonas aeruginosa* ($P < 0.001$), yeast ($P < 0.001$), *Aspergillus fumigatus* ($P < 0.001$), and *Staphylococcus* ($P < 0.001$); microorganism quantity ($P < 0.001$); SAPS II score ($P < 0.001$); SOFA score ($P < 0.001$); and duration of invasive ventilation ($P < 0.001$) were found to be potential predictors of the mortality of VAP (*Table 2*).

All variables with statistical significance were enrolled in the multivariate logistic regression for further analysis. The results showed that other races [odds ratio (OR) = 1.637, 95% CI: 1.330–2.011, $P < 0.001$], lung cancer (OR = 2.202, 95% CI: 1.226–3.884, $P=0.007$), septicemia (OR = 2.000, 95% CI: 1.620–2.466, $P < 0.001$), hospital LOS (OR = 0.928, 95% CI: 0.915–0.941, $P < 0.001$), FIO₂ (OR = 0.992, 95% CI: 0.988–0.995, $P < 0.001$), SPO₂ (OR = 0.969, 95% CI: 0.952–0.985, $P < 0.001$), SAPS II score (OR = 1.048, 95% CI: 1.041–1.055, $P < 0.001$), SOFA score (OR = 1.109, 95% CI: 1.077–1.143, $P < 0.001$), and duration of invasive ventilation (OR = 1.004, 95% CI: 1.004–1.005, $P < 0.001$) were all independently associated with the mortality of VAP (*Table 3*).

The algorithm for the mortality risk was as follows: $\ln P/(1-P) = -0.700 + 0.493 \text{ Other Ethnicity} + 0.789 \text{ Lung}$

Table 1 Baseline variables of patients in the training set and the test set

Variables	Total (n=6,613)	Training set (n=4,629)	Test set (n=1,984)	Statistics	P
Gender, n (%)				$\chi^2=2.620$	0.105
Male	4,025 (60.86)	2,788 (60.23)	1,237 (62.35)		
Female	2,588 (39.14)	1,841 (39.77)	747 (37.65)		
Ethnicity, n (%)				$\chi^2=5.282$	0.071
White	4,759 (71.96)	3,297 (71.22)	1,462 (73.69)		
Black	447 (6.76)	330 (7.13)	117 (5.90)		
Other races	1,407 (21.28)	1,002 (21.65)	405 (20.41)		
Last care unit, n (%)				$\chi^2=6.521$	0.164
Coronary care unit	401 (6.06)	298 (6.44)	103 (5.19)		
Cardiac surgery recovery unit	2,271 (34.34)	1,566 (33.83)	705 (35.53)		
Medical ICU	1,848 (27.94)	1,306 (28.21)	542 (27.32)		
Surgical ICU	1,119 (16.92)	792 (17.11)	327 (16.48)		
Trauma/surgical ICU	974 (14.73)	667 (14.41)	307 (15.47)		
Oral care, n (%)				$\chi^2=1.034$	0.596
Swab	3,888 (58.79)	2,740 (59.19)	1,148 (57.86)		
Toothbrush	2,533 (38.30)	1,755 (37.91)	778 (39.21)		
None	192 (2.90)	134 (2.89)	58 (2.92)		
Comorbidities, n (%)					
COPD				$\chi^2=0.395$	0.530
No	5,894 (89.13)	4,133 (89.28)	1,761 (88.76)		
Yes	719 (10.87)	496 (10.72)	223 (11.24)		
Lung cancer, n (%)				$\chi^2=0.150$	0.698
No	6,509 (98.43)	4,558 (98.47)	1,951 (98.34)		
Yes	104 (1.57)	71 (1.53)	33 (1.66)		
Heart failure, n (%)				$\chi^2=0.120$	0.729
No	4,844 (73.25)	3,385 (73.13)	1,459 (73.54)		
Yes	1,769 (26.75)	1,244 (26.87)	525 (26.46)		
Diabetes mellitus, n (%)				$\chi^2=0.205$	0.651
No	4,942 (74.73)	3,452 (74.57)	1,490 (75.10)		
Yes	1,671 (25.27)	1,177 (25.43)	494 (24.90)		
Hypertension, n (%)				$\chi^2=2.339$	0.126
No	3,108 (47.00)	2,204 (47.61)	904 (45.56)		
Yes	3,505 (53.00)	2,425 (52.39)	1,080 (54.44)		
Septicemia, n (%)				$\chi^2=0.033$	0.856
No	5,401 (81.67)	3,778 (81.62)	1,623 (81.80)		
Yes	1,212 (18.33)	851 (18.38)	361 (18.20)		
Invasive ventilation, hours, M (Q ₁ , Q ₃)	26.183 (10.217, 95.95)	24.908 (9.779, 94.738)	26.617 (10.517, 97.30)	Z=-0.913	0.361
Hospital LOS, days, M (Q ₁ , Q ₃)	8.70 (5.25, 14.64)	8.70 (5.25, 14.65)	8.71 (5.24, 14.62)	Z=0.025	0.980

Table 1 (continued)

Table 1 (continued)

Variables	Total (n=6,613)	Training set (n=4,629)	Test set (n=1,984)	Statistics	P
ICU LOS, days, M (Q ₁ , Q ₃)	3.23 (1.74, 6.95)	3.24 (1.76, 6.98)	3.18 (1.69, 6.78)	Z=-1.066	0.286
Laboratory test					
MAP, mmHg, mean ± SD	82.28±18.79	82.16±18.89	82.54±18.57	t=0.736	0.462
FIO ₂ , %, M (Q ₁ , Q ₃)	100.00 (50.00, 100.00)	100.00 (50.00, 100.00)	100.00 (50.00, 100.00)	Z=0.791	0.429
SPO ₂ , %, mean ± SD	97.87±4.76	97.89±4.57	97.82±5.16	t=-0.554	0.579
WBC, 10 ⁹ /L, M (Q ₁ , Q ₃)	11.70 (8.30, 15.90)	11.70 (8.20, 15.90)	11.80 (8.40, 15.90)	Z=0.588	0.556
RBC, 10 ¹² /L, mean ± SD	3.61±0.80	3.62±0.80	3.61±0.80	t=-0.523	0.601
BUN, mg/dL, M (Q ₁ , Q ₃)	19.00 (14.00, 29.00)	19.00 (14.00, 29.00)	18.50 (14.00, 29.00)	Z=-0.245	0.806
<i>Klebsiella pneumoniae</i> , n (%)				χ ² =0.035	0.852
No	6,372 (96.36)	4,459 (96.33)	1,913 (96.42)		
Yes	241 (3.64)	170 (3.67)	71 (3.58)		
<i>Pseudomonas aeruginosa</i> , n (%)				χ ² =0.222	0.638
No	6,338 (95.84)	4,433 (95.77)	1,905 (96.02)		
Yes	275 (4.16)	196 (4.23)	79 (3.98)		
<i>Acinetobacter baumannii</i> , n (%)				χ ² =0.355	0.551
No	6,574 (99.41)	4,600 (99.37)	1,974 (99.50)		
Yes	39 (0.59)	29 (0.63)	10 (0.50)		
Yeast, n (%)				χ ² =1.839	0.175
No	3,439 (52.00)	2,382 (51.46)	1,057 (53.28)		
Yes	3,174 (48.00)	2,247 (48.54)	927 (46.72)		
<i>Aspergillus fumigatus</i> , n (%)				χ ² =0.168	0.681
No	6,590 (99.65)	4,612 (99.63)	1,978 (99.70)		
Yes	23 (0.35)	17 (0.37)	6 (0.30)		
<i>Staphylococcus</i> , n (%)				χ ² =1.839	0.175
No	3,439 (52.00)	2,382 (51.46)	1,057 (53.28)		
Yes	3,174 (48.00)	2,247 (48.54)	927 (46.72)		
Microorganism quantity, n (%)				χ ² =2.485	0.289
None	3,439 (52.00)	2,382 (51.46)	1,057 (53.28)		
1-2	2,156 (32.60)	1,536 (33.18)	620 (31.25)		
≥3	1,018 (15.39)	711 (15.36)	307 (15.47)		
SAPS II score, M (Q ₁ , Q ₃)	39 [31, 51]	39 [31, 51]	40 [31, 51]	Z=0.300	0.764
SOFA score, M (Q ₁ , Q ₃)	7 [5, 10]	7 [5, 10]	7 [5, 10]	Z=0.297	0.766
Outcome					
In-hospital mortality, n (%)				χ ² =0.000	0.990
No	5,437 (82.22)	3,806 (82.22)	1,631 (82.21)		
Yes	1,176 (17.78)	823 (17.78)	353 (17.79)		

COPD, chronic obstructive pulmonary disease; ICU, intensive care unit; LOS, length of stay; MAP, mean arterial pressure; FIO₂, fraction of inspired oxygen; SPO₂, oxygen saturation; WBC, white blood cell; RBC, red blood cell; BUN, blood urea nitrogen; SOFA, Sequential Organ Failure Assessment; SAPS II, Simplified Acute Physiology Score.

Table 2 Univariate logistic regression analysis of the training set

Variables	β	OR (95% CI)	P value
Gender			
Male	Ref		
Female	0.230	1.258 (1.080, 1.465)	0.003
Ethnicity			
White	Ref		
Black	-0.001	0.999 (0.729, 1.345)	0.994
Other races	0.414	1.513 (1.270, 1.798)	<0.001
Oral care			
Swab	Ref		
Toothbrush	-0.235	0.790 (0.672, 0.927)	0.004
None	0.297	1.346 (0.882, 2.001)	0.154
COPD			
No	Ref		
Yes	0.352	1.422 (1.132, 1.775)	0.002
Lung cancer			
No	Ref		
Yes	1.186	3.273 (2.009, 5.263)	<0.001
Heart failure			
No	Ref		
Yes	0.160	1.174 (0.993, 1.385)	0.059
Diabetes mellitus			
No	Ref		
Yes	0.067	1.070 (0.900, 1.268)	0.440
Hypertension			
No	Ref		
Yes	-0.249	0.779 (0.670, 0.906)	0.001
Septicemia			
No	Ref		
Yes	1.411	4.099 (3.467, 4.845)	<0.001
Hospital length of stay, days	-0.009	0.991 (0.984, 0.998)	0.021
ICU length of stay, days	0.036	1.036 (1.027, 1.046)	<0.001
MAP, mmHg	0.001	1.001 (0.997, 1.005)	0.744
FIO ₂ , %	-0.004	0.996 (0.993, 0.999)	0.012

Table 2 (continued)**Table 2** (continued)

Variables	β	OR (95% CI)	P value
SPO ₂ , %	-0.081	0.923 (0.908, 0.937)	<0.001
WBC, 10 ⁹ /L	0.024	1.024 (1.014, 1.034)	<0.001
RBC, 10 ¹² /L	0.057	1.059 (0.965, 1.163)	0.228
BUN, mg/dL	0.023	1.024 (1.020, 1.027)	<0.001
<i>Klebsiella pneumoniae</i>			
No	Ref		
Yes	0.832	2.299 (1.641, 3.183)	<0.001
<i>Pseudomonas aeruginosaa</i>			
No	Ref		
Yes	0.648	1.912 (1.379, 2.616)	<0.001
<i>Acinetobacter baumannii</i>			
No	Ref		
Yes	0.739	2.093 (0.903, 4.482)	0.067
Yeast			
No	Ref		
Yes	0.977	2.657 (2.267, 3.121)	<0.001
<i>Aspergillus fumigatus</i>			
No	Ref		
Yes	2.149	8.580 (3.255, 24.968)	<0.001
<i>Staphylococcus</i>			
No	Ref		
Yes	0.977	2.657 (2.267, 3.121)	<0.001
Microorganism quantity			
None	Ref		
1–2	0.794	2.212 (1.855, 2.640)	<0.001
≥3	1.325	3.763 (3.071, 4.610)	<0.001
SAPS II score	0.065	1.067 (1.061, 1.073)	<0.001
SOFA score	0.233	1.263 (1.235, 1.291)	<0.001
Invasive ventilation, hours	0.002	1.002 (1.002, 1.003)	<0.001

OR, odds ratio; CI, confidence interval; COPD, chronic obstructive pulmonary disease; ICU, intensive care unit; MAP, mean arterial pressure; FIO₂, fraction of inspired oxygen; SPO₂, oxygen saturation; WBC, white blood cell; RBC, red blood cell; BUN, blood urea nitrogen; SOFA, Sequential Organ Failure Assessment; SAPS II, Simplified Acute Physiology Score.

Table 3 Multivariate logistic regression analysis of the training set

Variables	β	OR (95% CI)	P
Intercept	-0.700		<0.001
Ethnicity			
White	Ref		
Black	-0.226	0.798 (0.553, 1.133)	0.218
Other races	0.493	1.637 (1.330, 2.011)	<0.001
Lung cancer			
No	Ref		
Yes	0.789	2.202 (1.226, 3.884)	0.007
Septicemia			
No	Ref		
Yes	0.693	2.000 (1.620, 2.466)	<0.001
Hospital length of stay, days	-0.074	0.928 (0.915, 0.941)	<0.001
FIO ₂ , %	-0.008	0.992 (0.988, 0.995)	<0.001
SPO ₂ , %	-0.032	0.969 (0.952, 0.985)	<0.001
SAPS II score	0.047	1.048 (1.041, 1.055)	<0.001
SOFA score	0.104	1.109 (1.077, 1.143)	<0.001
Invasive ventilation, hours	0.004	1.004 (1.004, 1.005)	<0.001

OR, odds ratio; CI, confidence interval; FIO₂, fraction of inspired oxygen; SPO₂, oxygen saturation; SOFA, Sequential Organ Failure Assessment; SAPS II, Simplified Acute Physiology Score.

Cancer (Yes) + 0.693 Septicemia (Yes) – 0.074 Hospital LOS – 0.008 FIO₂ – 0.032 SPO₂ + 0.104 SOFA Score + 0.047 SAPS II + 0.004 Invasive Ventilation. The nomogram was also plotted, as shown in *Figure 1*.

Example

As shown in *Figure 2*, we randomly took 1 patient from the training set as an example. The patient was Caucasian without lung cancer or septicemia. The duration of invasive ventilation was 38.5 hours, and the hospital LOS was 24.35 days, with an FIO₂ of 100% and an SPO₂ of 83%. The SOFA score was 9 points, and the SAPS II score was 47 points. The total score of this patient was calculated to be 409 points, and the corresponding predicted probability of death was 0.1416, which was consistent with the fact that the patient reported no in-hospital death.

Validating the prediction model

According to the ROC analysis, the AUC in the training set was 0.837 (95% CI: 0.821, 0.853), with a sensitivity of 0.734 (95% CI: 0.704, 0.764) and a specificity of 0.796 (95% CI: 0.783, 0.809), which suggested that the model performed well. The cutoff value was 0.185. In the test set, the AUC was 0.817 (95% CI: 0.791, 0.843), with a sensitivity of 0.657 (95% CI: 0.608, 0.707) and a specificity of 0.797 (95% CI: 0.778, 0.817; *Table 4* and *Figure 3*). The calibration curve also confirmed the good calibration of the model (*Figure 4*).

Table 5 presents the result of the comparison between the combined model and the single-index models. The AUCs of SAPS II and SOFA were 0.760 (95% CI: 0.733–0.787) and 0.685 (95% CI: 0.653–0.716), respectively, which were both lower than the AUC of the combined prediction model, indicating that the combined model had a better ability to predict the individual death risk of patients with VAP in the ICU than SAPS II or SOFA.

Discussion

Currently, VAP is a common cause of nosocomial infections and even death of ICU patients during hospitalization. Therefore, rapid and accurate identification of patients at a higher risk of death from VAP is critical for better prevention and management of VAP. In this study, lung cancer, septicemia, other races, hospital LOS, FIO₂, SPO₂, SAPS II score, SOFA score, and duration of invasive ventilation were identified as independent predictors of VAP. We developed a prediction model for mortality in patients with VAP using the following algorithm: $\ln P/(1-P) = -0.700 + 0.493 \text{ Other Ethnicity} + 0.789 \text{ Lung Cancer (Yes)} + 0.693 \text{ Septicemia (Yes)} - 0.074 \text{ Hospital LOS} - 0.008 \text{ FIO}_2 - 0.032 \text{ SPO}_2 + 0.104 \text{ SOFA Score} + 0.047 \text{ SAPS II} + 0.004 \text{ Invasive Ventilation}$. Internal verification confirmed that the model had good predictive value, and that its curve was close to the ideal curves.

Previous studies have reported that nosocomial infection is associated with invasive mechanical ventilation in the ICU, including reintubation, tracheostomy, and fiberoptic bronchoscopy (13,23–25). The present study suggested that the duration of invasive ventilation was a predictor of VAP mortality, which was consistent with previous studies. ICU patients receiving invasive ventilation are easily exposed to stress, which leads to a decrease in patients' resistance. A longer duration of invasive ventilation may lead to multiple

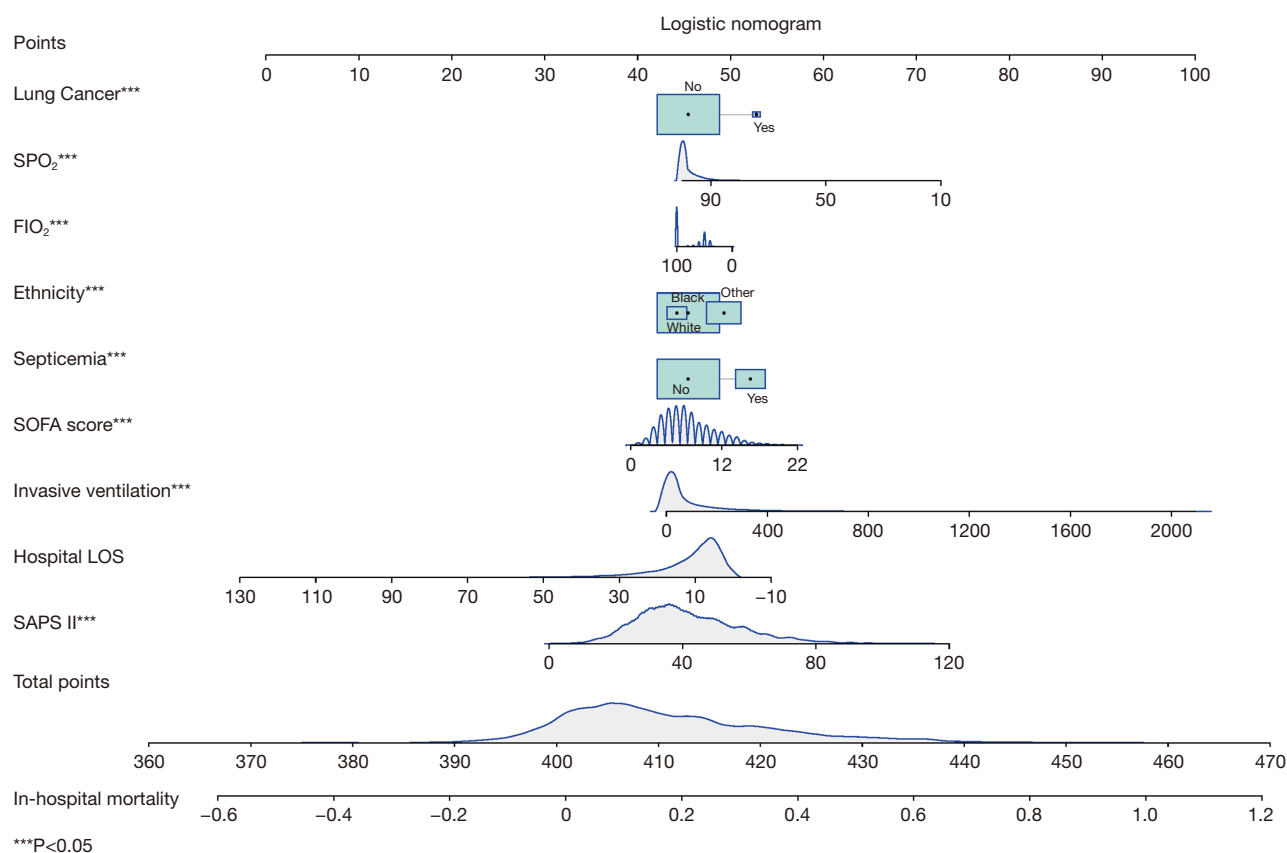


Figure 1 The nomogram for predicting the mortality risk of patients with VAP in the ICU. VAP, ventilator-associated pneumonia; ICU, intensive care unit; SPO₂, oxygen saturation; FIO₂, fraction of inspired oxygen; SOFA, Sequential Organ Failure Assessment; LOS, length of stay; SAPS II, Simplified Acute Physiology Score.

stress responses, which can further reduce the function of the body barrier and increase the risk of respiratory infections (12,26). Furthermore, bacteria colonized in the stomach can be colonized in the pharynx and then enter the lower respiratory tract to cause infection (12). Prolonged mechanical ventilation can also lead to a variety of complications. In our study, lung cancer and septicemia significantly increased the risk of VAP mortality. Studies have reported that comorbidities, such as diabetes, respiratory diseases, and renal failure, etc., might be a risk factor for VAP (15,27,28). These diseases can lead to immune suppression, which can impair vital organs such as the heart, liver, kidney, and lungs and make the patient more vulnerable to infection.

To date, only a few prediction models, such as APACHE II, SAPS II, and SOFA, can effectively score the mortality risk of patients with VAP in the ICU. Čiginskienė *et al.* observed that the AUCs of APACHE II, SAPS II, and SOFA

were 0.62 (95% CI: 0.54–0.84), 0.68 (95% CI: 0.54–0.84), and 0.73 (95% CI: 0.59–0.86), respectively, when predicting in-hospital mortality in drug-resistant patients with VAP caused by *Acinetobacter baumannii* (29). Studies assessing the discriminative power of the APACHE II score for VAP have reported AUCs of 0.53 (95% CI: 0.47–0.58) (30) and 0.743 (95% CI: 0.628–0.857) (31), while a study by Gursel *et al.* evaluating the discriminative power of the SOFA score for VAP reported an AUC of 0.71 (95% CI: 0.58–0.84) (32). Compared to these single-index models, our multivariate prediction model had a higher AUC [0.817 (95% CI: 0.791, 0.843)] based on a relatively large sample size, and its accuracy and reliability were confirmed by internal validation. This suggests that our model may perform better than these single-index models when predicting the risk of VAP mortality. In addition, our model contains relatively comprehensive variables (e.g., demographic, clinical, and laboratory data) and predictors that are easy to

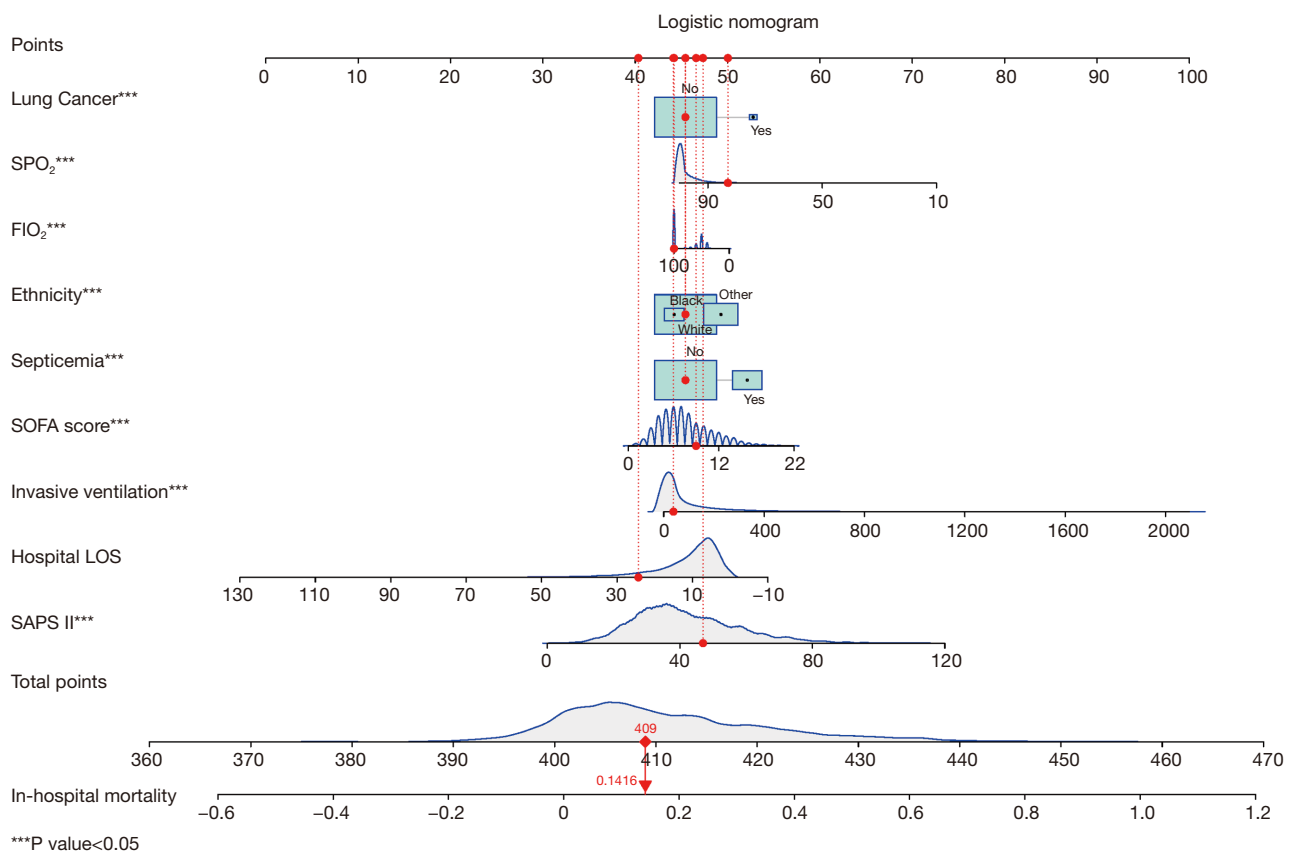


Figure 2 The example for practical use of the nomogram. SPO₂, oxygen saturation; FIO₂, fraction of inspired oxygen; SOFA, Sequential Organ Failure Assessment; LOS, length of stay; SAPS II, Simplified Acute Physiology Score.

Table 4 The predictive performance of the model in the training set and the test set

Indicator	Training set	Test set
AUC (95% CI)	0.837 (0.821, 0.853)	0.817 (0.791, 0.843)
Sensitivity (95% CI)	0.734 (0.704, 0.764)	0.657 (0.608, 0.707)
Specificity (95% CI)	0.796 (0.783, 0.809)	0.797 (0.778, 0.817)
PPV (95% CI)	0.438 (0.412, 0.822)	0.412 (0.371, 0.838)
NPV (95% CI)	0.933 (0.924, 0.941)	0.915 (0.900, 0.929)
Accuracy (95% CI)	0.785 (0.773, 0.797)	0.772 (0.754, 0.791)

AUC, area under the curve; CI, confidence interval; PPV, positive predictive value; NPV, negative predictive value.

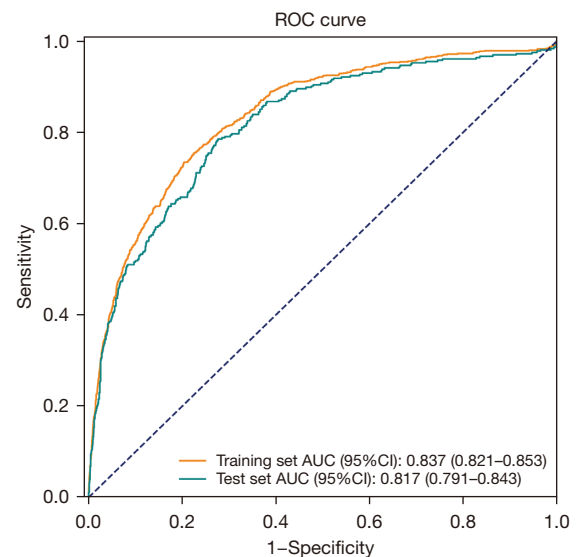


Figure 3 The ROC curve for the training set and the test set. ROC, receiver operating characteristic; AUC, area under the curve.

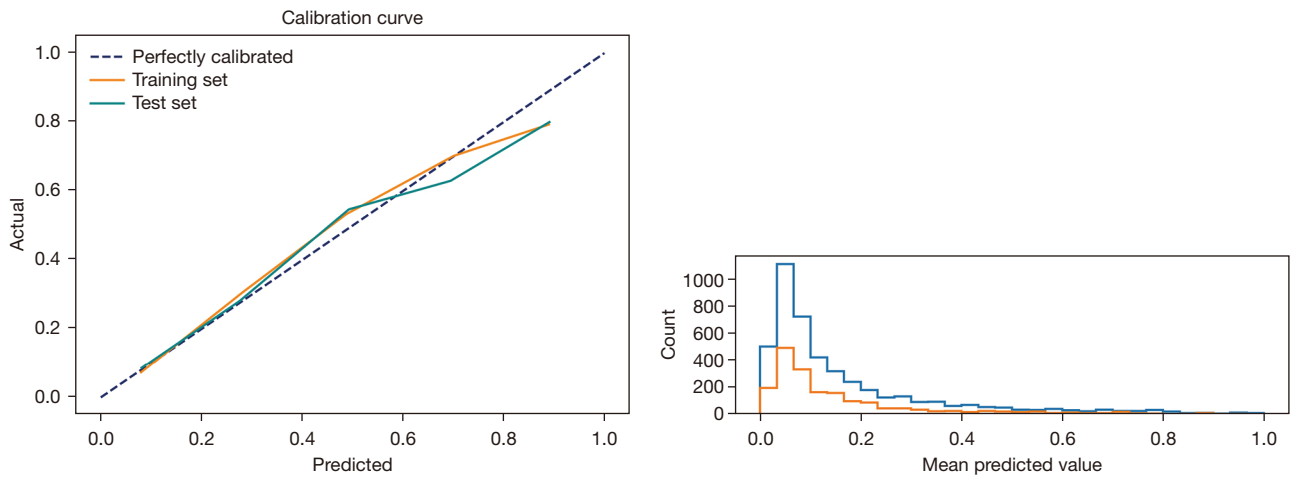


Figure 4 The calibration curve for the training set and the test set.

Table 5 Comparison between combined model and single-index models in the test set

Model	Cutoff	AUC (95% CI)	Accuracy (95% CI)	Specificity (95% CI)	Sensitivity (95% CI)	PPV (95% CI)	NPV (95% CI)
Combined model	0.185	0.817 (0.791, 0.843)	0.772 (0.754, 0.791)	0.797 (0.778, 0.817)	0.657 (0.608, 0.707)	0.412 (0.371, 0.838)	0.915 (0.900, 0.929)
SAPS II	0.173	0.760 (0.733, 0.787)*	0.710 (0.689, 0.730)	0.722 (0.701, 0.744)	0.652 (0.602, 0.701)	0.337 (0.301, 0.372)	0.905 (0.890, 0.921)
SOFA	0.218	0.685 (0.653, 0.716)*	0.722 (0.702, 0.742)	0.779 (0.759, 0.799)	0.459 (0.407, 0.511)	0.310 (0.271, 0.350)	0.869 (0.852, 0.887)

*, the difference between this model and the combined model was statistically significant (P<0.05). AUC, area under the curve; PPV, positive predictive value; NPV, negative predictive value; SAPS II, Simplified Acute Physiology Score; SOFA, Sequential Organ Failure Assessment.

obtain in clinical practice with high clinical practical value. Therefore, our model might assist in clinical decision-making by predicting patient outcomes, recommending timely intervention measures, and improving the survival and prognosis of patients with VAP.

This study has some limitations. First, our study was a single-center study with a study population from the United States only, which may have affected the general applicability of our results. Secondly, the data of the medical cost and administration time were not available in the database. Thirdly, our model lacks external validation. A multicenter, prospective study including different populations is necessary for further validation.

Conclusions

In this study, we developed and validated a practical VAP

prediction model with good performance. This model could provide ancillary data to help clinicians predict the individual death risk of patients with VAP in the ICU and make informed decisions regarding VAP diagnosis.

Acknowledgments

Funding: None.

Footnote

Reporting Checklist: The authors have completed the TRIPOD reporting checklist. Available at <https://apm.amegroupp.com/article/view/10.21037/apm-22-502/rc>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://apm.amegroupp.com/article/view/10.21037/apm-22-502/icoi>)

amegroups.com/article/view/10.21037/apm-22-502/coif). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Open Access Statement: This is an Open Access article distributed in accordance with the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License (CC BY-NC-ND 4.0), which permits the non-commercial replication and distribution of the article with the strict proviso that no changes or edits are made and the original work is properly cited (including links to both the formal publication through the relevant DOI and the license). See: <https://creativecommons.org/licenses/by-nc-nd/4.0/>.

References

- Li Z, Ma X, Gao S, et al. Association between hospital and ICU structural factors and patient outcomes in China: a secondary analysis of the National Clinical Improvement System Data in 2019. *Crit Care* 2022;26:24.
- Papazian L, Klompas M, Luyt CE. Ventilator-associated pneumonia in adults: a narrative review. *Intensive Care Med* 2020;46:888-906.
- Kock KS, Maurici R. Respiratory mechanics, ventilator-associated pneumonia and outcomes in intensive care unit. *World J Crit Care Med* 2018;7:24-30.
- Martin-Loeches I, Rodriguez AH, Torres A. New guidelines for hospital-acquired pneumonia/ventilator-associated pneumonia: USA vs. Europe. *Curr Opin Crit Care* 2018;24:347-52.
- Kalil AC, Metersky ML, Klompas M, et al. Management of Adults With Hospital-acquired and Ventilator-associated Pneumonia: 2016 Clinical Practice Guidelines by the Infectious Diseases Society of America and the American Thoracic Society. *Clin Infect Dis* 2016;63:e61-e111.
- Razazi K, Arrestier R, Haudebourg AF, et al. Risks of ventilator-associated pneumonia and invasive pulmonary aspergillosis in patients with viral acute respiratory distress syndrome related or not to Coronavirus 19 disease. *Crit Care* 2020;24:699.
- Ścisło L, Walewska E, Bodys-Cupak I, et al. Nutritional Status Disorders and Selected Risk Factors of Ventilator-Associated Pneumonia (VAP) in Patients Treated in the Intensive Care Ward-A Retrospective Study. *Int J Environ Res Public Health* 2022;19:602.
- Watson K, Reoch J, Heales LJ, et al. The incidence and characteristics of ventilator-associated pneumonia in a regional nontertiary Australian intensive care unit: A retrospective clinical audit study. *Aust Crit Care* 2021. [Epub ahead of print].
- Ribeiro ILA, Bellissimo-Rodrigues WT, Mussolin MG, et al. Impact of a dental care intervention on the hospital mortality of critically ill patients admitted to intensive care units: A quasi-experimental study. *Am J Infect Control* 2022. [Epub ahead of print].
- Zhu S, Wang W, Kang Y, et al. Clinical outcomes and risk factors for mortality from ventilator-associated events: A registry-based cohort study among 30,830 intensive care unit patients. *Infect Control Hosp Epidemiol* 2022;43:48-55.
- Nseir S, Le Gouge A, Pouly O, et al. Relationship Between Obesity and Ventilator-Associated Pneumonia: A Post Hoc Analysis of the NUTRIREA2 Trial. *Chest* 2021;159:2309-17.
- Wu D, Wu C, Zhang S, et al. Risk Factors of Ventilator-Associated Pneumonia in Critically Ill Patients. *Front Pharmacol* 2019;10:482.
- Othman HA, Gamil NM, Elgazzar AEM, et al. Ventilator associated pneumonia, incidence and risk factors in emergency intensive care unit Zagazig university hospitals. *Egyptian Journal of Chest Diseases and Tuberculosis* 2017;66:703-8.
- Liu Y, Di Y, Fu S. Risk factors for ventilator-associated pneumonia among patients undergoing major oncological surgery for head and neck cancer. *Front Med* 2017;11:239-46.
- Jiménez-Trujillo I, Jiménez-García R, de Miguel-Díez J, et al. Incidence, characteristic and outcomes of ventilator-associated pneumonia among type 2 diabetes patients: An observational population-based study in Spain. *Eur J Intern Med* 2017;40:72-8.
- Larsson J, Itenov TS, Bestle MH. Risk prediction models for mortality in patients with ventilator-associated pneumonia: A systematic review and meta-analysis. *J Crit Care* 2017;37:112-8.
- Karakuzu Z, Iscimen R, Akalin H, et al. Prognostic Risk Factors in Ventilator-Associated Pneumonia. *Med Sci Monit* 2018;24:1321-8.
- Gaudet A, Devos M, Keignart S, et al. Usefulness of Sepsis-3 in diagnosing and predicting mortality of ventilator-associated lower respiratory tract infections.

- PLoS One 2021;16:e0245552.
19. Boeck L, Eggimann P, Smyrniotis N, et al. The Sequential Organ Failure Assessment score and copeptin for predicting survival in ventilator-associated pneumonia. *J Crit Care* 2012;27:523.e1-9.
 20. Srinivasan M, Shetty N, Gadekari S, et al. Comparison of the Nosocomial Pneumonia Mortality Prediction (NPMP) model with standard mortality prediction tools. *J Hosp Infect* 2017;96:250-5.
 21. Johnson AE, Pollard TJ, Shen L, et al. MIMIC-III, a freely accessible critical care database. *Sci Data* 2016;3:160035.
 22. Liu Q, Yang J, Zhang J, et al. Description of Clinical Characteristics of VAP Patients in MIMIC Database. *Front Pharmacol* 2019;10:62.
 23. Horan TC, Andrus M, Dudeck MA. CDC/NHSN surveillance definition of health care-associated infection and criteria for specific types of infections in the acute care setting. *Am J Infect Control* 2008;36:309-32.
 24. Wałaszek M, Kosiarska A, Gniadek A, et al. The risk factors for hospital-acquired pneumonia in the Intensive Care Unit. *Przegl Epidemiol* 2016;70:15-20, 107-10.
 25. Joseph NM, Sistla S, Dutta TK, et al. Ventilator-associated pneumonia in a tertiary care hospital in India: incidence and risk factors. *J Infect Dev Ctries* 2009;3:771-7.
 26. Apostolopoulou E, Bakakos P, Katostaras T, et al. Incidence and risk factors for ventilator-associated pneumonia in 4 multidisciplinary intensive care units in Athens, Greece. *Respir Care* 2003;48:681-8.
 27. But A, Yetkin MA, Kanyilmaz D, et al. Analysis of epidemiology and risk factors for mortality in ventilator-associated pneumonia attacks in intensive care unit patients. *Turk J Med Sci* 2017;47:812-6.
 28. Chang L, Dong Y, Zhou P. Investigation on Risk Factors of Ventilator-Associated Pneumonia in Acute Cerebral Hemorrhage Patients in Intensive Care Unit. *Can Respir J* 2017;2017:7272080.
 29. Čiginskienė A, Dambrauskienė A, Rello J, et al. Ventilator-Associated Pneumonia due to Drug-Resistant *Acinetobacter baumannii*: Risk Factors and Mortality Relation with Resistance Profiles, and Independent Predictors of In-Hospital Mortality. *Medicina (Kaunas)* 2019;55:49.
 30. Lisboa T, Diaz E, Sa-Borges M, et al. The ventilator-associated pneumonia PIRO score: a tool for predicting ICU mortality and health-care resources use in ventilator-associated pneumonia. *Chest* 2008;134:1208-16.
 31. Mirsaedi M, Peyrani P, Ramirez JA, et al. Predicting mortality in patients with ventilator-associated pneumonia: The APACHE II score versus the new IBMP-10 score. *Clin Infect Dis* 2009;49:72-7.
 32. Gursel G, Demirtas S. Value of APACHE II, SOFA and CPIS scores in predicting prognosis in patients with ventilator-associated pneumonia. *Respiration* 2006;73:503-8.

Cite this article as: Han X, Wu W, Zhao H, Wang S. Developing and validating a prediction model for in-hospital mortality in patients with ventilator-associated pneumonia in the ICU. *Ann Palliat Med* 2022;11(5):1799-1810. doi: 10.21037/apm-22-502