Acute dislocation of the patella: should these patients be operated on more often?

Vicente Sanchis-Alfonso¹, Erik Montesinos-Berry²

¹Department of Orthopaedic Surgery, Hospital Arnau de Vilanova, Valencia, Spain; ²Agoriaz Orthopaedic Center, Riaz & Clinique CIC, Montreux, Switzerland

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Correspondence to: Vicente Sanchis-Alfonso, MD, PhD. Department of Orthopaedic Surgery, Hospital Arnau de Vilanova, Valencia, Spain. Email: vicente.sanchis.alfonso@gmail.com.

Abstract: Primary patellar dislocations should be operated on more often after the first dislocation. The role of surgery for first-time traumatic patellar dislocations is emerging as a reasonable treatment strategy. In highly active patients under 25 years of age with a pathoanatomy predisposing to recurrent dislocation (trochlear dysplasia associated or not with patella alta) surgical treatment could be considered as a first line of treatment in the first-time patellar dislocation because the recurrence risk is unacceptably high. This recommendation is based on recurrence predictive models after a first-time patella dislocation and in cost effectiveness economic models. Moreover, we must incorporate individual circumstances and preferences (patient's goals such as sports) into the decision protocols. Obviously we need high quality clinical trials describing anatomical abnormalities and medial patellofemoral ligament (MPFL) tear site, ideally multicentric ones, which compare surgical and conservative treatments after a first-time lateral patellar dislocation to definitely answer the question we ask ourselves in this paper.

Keywords: Acute dislocation of the patella; conservative treatment; surgical treatment

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Background

First-time lateral patellar dislocation is a common orthopaedic injury with a high incidence in the general population with a mean of 42 per 100,000 person-years, and particularly in the young female patient aged 10–17 (108/100,000) (1). Patients who are skeletally immature at the time of the first patellar dislocation have a high rate of recurrent patellar instability with an increment of the redislocation rate with time (2). Moreover, 17% of patients will develop a patellofemoral osteoarthritis (PFOA) 20 years following initial dislocation (2). Sanders *et al.* (3) have demonstrated that osteochondral injury, recurrent patellar instability, and trochlear dysplasia are associated with the development of PFOA. Therefore, we are faced with a frequent lesion, not self-limited, with potential longterm complications such as anterior knee pain, decrease in the activity level, recurrent dislocation and PFOA, the last being a serious problem with a poor solution in the young patient. The orthopaedic surgeon should be aware of both, the high risk of recurrent dislocation and the risk to develop a PFOA when deciding on the treatment. It is therefore necessary to find the best treatment to avoid these possible outcomes.

Typically the first-time lateral patellar dislocation is treated conservatively, except in some very specific cases that we will discuss later. In cases with an acute osteochondral fracture of the trochlea and/or patella that requires surgical treatment (refixation), the tear of the medial patellofemoral ligament (MPFL) will be repaired or reconstructed at the



Figure 1 Substantial disruption of the MPFL. MPFL injury pattern assessment by MRI. (A) Coronal view; (B) axial view. Laterally subluxated patella. The contralateral patella has a normal alignment. MPFL, medial patellofemoral ligament.



Figure 2 Large and displaced bone avulsion fracture of the MPFL. (A) Surgical image; (B) CT-3D image. MPFL, medial patellofemoral ligament,

same time. Furthermore, in cases with a non-reducible lateral patellar dislocation with rotation as well as in cases with a substantial disruption of the medial patellar stabilizers, MPFL reconstruction would be indicated in the acute phase (*Figure 1*). Lastly, in those rare cases with a large and displaced bone avulsion fracture of the MPFL, surgical treatment would also be indicated (*Figure 2*). Controversy

remains for the treatment of the rest of the cases and this will be the object of our paper.

Our work hypothesis is that the first acute lateral patellar dislocation should be treated surgically more often. To answer the question we ask ourselves in this review article, we are going to follow four different strategies. First we are going to review the literature published about this subject: conservative versus surgical treatment. Some randomized clinical trials (RCT) already analyze this alternative and given the fact that a RCT is the type of study with the highest scientific evidence, we are going to prioritize them but still do a critical reading, which is one of the basic tools of Evidence Based Medicine's (EBM) methodology. Not all the published papers, including those published in high impact factor journals, are quality papers, RCT included. Therefore, all the papers should be analyzed thoroughly in a critical way. For our analysis we are going to focus on the design of the paper and the data provided. We will also perform a critical analysis of systematic reviews with the meta-analyses included, because the quality of a systematic review depends not only on the level of evidence of the papers chosen for the revision but also on the quality of the review. The second strategy to answer the question we ask ourselves in this paper is to critically analyze the studies that examine the probability of recurrence after a first lateral patellar dislocation based on predictive models. The third strategy will be to analyze the goals the patient has. Lastly we are going to analyze the cost-effectiveness economic models. Our goal is to determine which patients with a first

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episode of lateral patellar dislocation will be candidates for a surgical treatment and which will not.

It is terrifying to read John Ioannidis, from Stanford University, when he states regarding medical literature that: "false report probability is likely to exceed 50% for the whole literature" (4) or "currently, many published research findings are false or exaggerated, and an estimated 85% of research resources are wasted" (5). For this reason, we must be very critical when analyzing published studies, and the ones published on the subject we are reviewing are obviously not an exception. The goal of critical analysis is to avoid mistaken conclusions and this is also the final objective of our paper.

Decision based on critical analysis of the medical literature where conservative and surgical treatments are compared

There are several studies in the medical literature that analyze surgical versus conservative treatment for the firsttime lateral patellar dislocation (6-16). In some of them, the reported patellar dislocation recurrence rates were lower in the surgical treatment group (10,11,13,15,16). However, other authors reported no difference in recurrence rates with operative or non-operative treatment (6-9,12,14). In most of the studies, the functional result is similar in both types of treatment (6-9,11,12,14,16). That is, surgical treatment does not improve the long-term outcomes. Interestingly, Regalado et al. (16) reported an increment of the redislocation rate with time in both types of treatment in adolescents. At 3 years, patients in the operative group had a redislocation rate of 0% versus 35% for the nonoperative group (16). At 6 years of follow-up, the surgical group had a redislocation rate of 33% versus 73% for the non-surgical group (16). Palmu et al. (9), in patients younger than sixteen years of age, reported a similar dislocation recurrence rate of 71% for the non-operative group at the 14-year follow-up but the recurrence rate in the operative group was 67%. These figures would force us to take cautiously the recurrence rates in the studies carried out in the short term. We can conclude that at long-term, surgical stabilization does not reduce the rates of redislocation nor does it produce better subjective functional outcomes. According to Palmu et al. (9) the only significant predictor factor for recurrence was a positive family history of patellar instability.

If we analyze in detail the above mentioned studies we can draw several conclusions: (I) the follow-up times are

very heterogeneous, so that the recurrence rates are not comparable; (II) the ages of the patients are not comparable in all the studies; (III) the small number of patients in the studies is a limiting factor that could lead to non-detection of statistically significant differences between the groups; (IV) the conservative treatment protocol is not the same in all studies; and (V) the types of surgical treatment are also different (realignment surgery, MPFL repair and MPFL reconstruction). Therefore, it is difficult to draw conclusions when doing systematic reviews with metaanalysis using these studies.

Erickson *et al.* (17) conducted a systematic review of the literature in 2015 to identify meta-analyses comparing non-operative and operative treatment of patellar dislocations. Meta-analysis quality and level of evidence were assessed. Patients treated operatively had a 24% rate of redislocations, whereas patients treated non-operatively had a 34.6% rate of redislocations (17). No differences in functional outcome scores were seen between both groups. Two out of four meta-analysis had low Oxman-Guyatt scores (<4), which indicates major flaws (17). Thus, according to the best available evidence, operative treatment of the first-time patellar dislocations may result in a lower rate of recurrence of dislocations than non-operative treatment but does not improve functional outcome scores. Therefore, they conclude that the scientific evidence to recommend surgical treatment is low.

When critically analyzing any of the previously mentioned studies we must check if the two groups that we are going to compare are really homogeneous. If they are not, the results are not going to be valid. We will now critically analyze the study by Bitar et al. (13). It is a RCT (level I of evidence) where results after a first lateral patellar dislocation treated with an MPFL reconstruction are compared with the results after a conservative treatment. The authors conclude that the results after a surgical treatment are better than with a conservative treatment after a 2-year follow-up. They observed a high percentage of recurrences in the conservative group (35%) while in the surgical group there were no cases of recurrence. To evaluate function the authors use the Kujala score that is significantly lower in the conservative treatment group (70.8) than in the surgical group (88.9). The authors conclude that the results of surgical treatment far exceed those of non-surgical treatment. However, when thoroughly analyzing the design of this study several questions come to mind. The information on randomization is unclear and therefore there is a risk of selection bias. Moreover, the allocation concealment is also unclear. All of this is

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important because if randomization is not adequate, the magnification of the effect of the intervention can be increased by 41% (18). If the randomization is not clear, the effect of the intervention can be increased by 30% (18). Furthermore, the absence of hiding the assigning sequence, can by itself magnify the effect of intervention by 21% (19). Moreover, there is a high risk of bias related to lack of blinding. We must note that if there is no double blind the effect of the intervention can be increased by 17% (18). What is more, if we thoroughly analyze the data they provide we can ask ourselves even more questions. Regarding the presence of predisposing factors according to each group, in the non-operative group there were 75% of knees with trochlear bump versus 50% in the reconstruction group. This is very important because trochlear dysplasia is an important risk factor for patellar dislocation recurrence (20,21). If we consider any predisposing factor for patellar instability the percentage in the non-operative group was of 95% vs. 66% in the reconstruction group. We are in fact comparing two groups that are not comparable. Therefore, results cannot be compared either and we must question the results of this study. Moreover, this paper has a duplicate publication (15). This paper was published in 2012 in the American Journal of Sports Medicine (13) and in 2015 in the Brazilian Journal of Orthopaedic Surgery (15). Duplicate publications are a serious problem because they deny other authors the chance to publish their work because of limited journal space. Another problem with duplicate publications is that they can lead to magnifying the results of one of the therapeutic options when a systematic review with metaanalysis is carried out.

After a critical revision of the medical literature that compares conservative treatment results to surgical treatment results in a first-time lateral patellar dislocation (6-16), we can conclude that current evidence is not conclusive to recommend one treatment over the other. The quality of the evidence is very low. More studies with the current accepted design quality criteria and with long term results, preferably multicentric trials in order to have a larger number of cases, are necessary. This type of study, today, does not exist. Therefore, we must try to find other reasons to defend a surgical treatment rather than a conservative treatment.

Decision based on predictive model analysis and pathoanatomy

One reason that could make us decide between the surgical

treatment and the conservative treatment is the recurrence risk seen with conservative treatment. The key question is: Can recurrent instability be predicted after a first-time patellar dislocation? The idea would be to identify those risk factors that can predict conservative treatment failure. We believe it would be interesting to analyze recurrent dislocation predictive models after a first episode of lateral patellar dislocation treated conservatively. Following this criterion, patients with a high recurrence risk would be candidates for a surgical treatment after the first lateral patellar dislocation.

Nowadays in medicine, it is important to be able to predict future outcomes of treatments. In the past, it was based on the orthopaedic surgeon's experience. Currently, it has been replaced by predictive model analysis, historically used in many business segments and that currently is an important tool in making decisions in orthopaedic surgery. Predictive models, "statistical tools that predict a clinical outcome based on at least two points of patient data", can assist in the decision-making process aimed at achieving better clinical outcomes, as well as reducing costs (22).

Lewallen et al. (23) in 2013 analyzed what factors predict a lateral patellar dislocation recurrence in pediatric patients and adolescents with a mean age of 15 years (ranging from 9-18 years). They found that success with conservative treatment after a first-time patellar lateral dislocation was 62%, and that almost half of the patients with recurrent lateral patellar dislocation require surgical treatment. However, in skeletally immature patients (open physis) with trochlear dysplasia conservative treatment was successful in only 31% of the cases. Trochlear dysplasia is therefore a risk factor for recurrence of patellar dislocation. The greatest risk of recurrence of dislocation occurs in skeletally immature patients with trochlear dysplasia. The combination of the two factors (trochlear dysplasia and skeletal immaturity) conferred a 69% risk at 5 years (23). The results of this study are in agreement with those of Askenberger et al. (24) who found that trochlear dysplasia is the main anatomic patellar instability risk factor in the skeletally immature children for a primary lateral patellar dislocation.

Sillanpää *et al.* (25) observed that lateral patellar dislocation recurrence was also related to the MPFL tear site after the first dislocation. An MPFL femoral avulsion was associated with a higher probability of recurrence. For this reason, they suggest considering the MPFL tear site when deciding on the treatment for a primary traumatic patellar dislocation. MPFL injury location and pattern can

Patella alta	Trochlear dysplasia	<25 years old	5-year risk of recurrence (%)
Yes	Yes	Yes	70
No	Yes	Yes	60
Yes	No	Yes	34
Yes	Yes	No	30
No	No	Yes	27
No	Yes	No	23
Yes	No	No	11
No	No	No	9

Figure 3 Clinical tool to evaluate risk of recurrence of patellar dislocation after the first episode (27). Surgical treatment recommended (pink); non-surgical treatment recommended (blue).

be assessed by MRI (Figure 1).

Jaquith and Parikh (26) performed a study in children and adolescents in order to predict the recurrence of patellar dislocation after a first episode of dislocation. They analyzed four risk factors: trochlear dysplasia, history of contralateral dislocation, skeletal immaturity and a Caton-Deschamps index greater than 1.45. The recurrence rate with no associated risk factor was 13.8%. However, when one or more risk factors were present the recurrence rate increased considerably. When there was one risk factor present the recurrence rate was 30.1%, with two factors it was 53.6%, with three factors it was 74.8%, and with four it was 88.4%. However, this study is retrospective and therefore other factors such as hyperlaxity, lower limb malalignment or MPFL tear pattern were not included in the predictive model.

Lewallen *et al.* (27) in 2015 analyzed the lateral patellar dislocation recurrence risk after one lateral dislocation in all the ages of patients with this type of problem, not just in children and adolescents like Jaquith and Parikh (26). In Table I you can see the 5-year risk of recurrence based on the associated risk factors. In the first two assumptions (see *Figure 3*) the authors recommend surgical treatment after one episode of lateral patellar dislocation because the recurrence rate is unacceptably high. In the last 6 cases (see *Figure 3*) surgical treatment is not recommended as a first line of treatment.

Finally, Christensen *et al.* (28) have found that female patients under eighteen at the time of the first dislocation, trochlear dysplasia, elevated TT-TG distance, and patella alta were at risk for recurrence.

To summarize, the pathoanatomy and the predictive models can help us estimate the dislocation recurrence risk after a first episode treated conservatively. This approach can help us with the decision-making for the optimal treatment for a patient with a first-time lateral patellar dislocation.

Decision based on patient's goals—return to sports at a pre-injury level

When deciding on the best treatment for any injury, not only must we consider the best evidence available and/or anatomopathological factors, but also the patient's goals. Among those goals is the return to sports at a pre-injury level. Sport in the current society is so important that the United Nations has declared them a human right: "Sport and play are human rights that must be respected and enforced worldwide". Practicing sports will demand more from the knee than a sedentary activity, therefore the joint's stability must be more perfect.

The return to sports in adolescents after first-time patellar dislocations has not been well studied. Oliva et al. (29) found that in adolescent athletes with continued patellar instability after initial non-operative treatment, only 9 out of 24 (37.5%) returned to their pre-injury level of activity. Regalado et al. (16) in 2014 found that 80% of the adolescent patients treated surgically after a first-time lateral patellar dislocation achieve an excellent result regarding the return to sports at a pre-injury level. This percentage was only 47% for patients treated conservatively. These studies suggest that for athletic adolescents, surgery increases the probability of a return to sports compared with the conservative treatment. However, Sillanpää et al. (11) found that 72% of young adult patients with a mean age of 20 (ranging 19-22 years of age) with a first-time lateral patellar dislocation treated surgically returned to sports in comparison with 68% of those treated conservatively.

Economic decision model

The only study published that analyzes the decision-making in an economic model is the one by Nwachukwu et al. (30) in 2017. The authors analyze the cost-utility of three different ways of addressing an acute first-time patellar dislocation in adolescents: (I) non-surgical treatment; (II) non-surgical treatment at first, but if an episode of dislocation is repeated again the patient is operated on; and (III) surgical treatment at first. In their analysis, the authors detail both the direct and indirect costs of each therapeutic strategy. Direct costs included direct medical costs: cost of surgery and physical therapy. Indirect costs were calculated from lost productivity associated with caregiver (parental) work absenteeism. Effectiveness was expressed in qualityadjusted life years (QALYs). The authors showed that both immediate surgical treatment and delayed surgery are cost-effective treatment options. Nevertheless, with a perspective of 10 years, immediate surgery provides the most QALY gains and therefore it would be the most cost effective treatment. These findings could have implications for therapeutic decision-making when we see a patient with a first episode of patellar dislocation.

Conclusions

Based on the data we have analyzed, the answer to the question we ask ourselves in this paper is yes. Primary patellar dislocations should be operated on more often after the first dislocation. We have to think critically and to keep an open mind towards new things.

The role of surgery for first-time traumatic patellar dislocations is emerging as a reasonable treatment strategy. In highly active patients under 25 years of age with a pathoanatomy predisposing to recurrent dislocation (trochlear dysplasia associated or not with patella alta) surgical treatment could be considered as a first line of treatment in the first-time patellar dislocation because the recurrence risk is unacceptably high. This recommendation is based on recurrence predictive models after a firsttime patella dislocation and in cost effectiveness economic models. Moreover, we must incorporate individual circumstances and preferences (patient's goals such as sports) into the decision protocols.

Obviously we need high quality clinical trials describing anatomical abnormalities and MPFL tear site, ideally multicentric ones, which compare surgical and conservative treatments after a first-time lateral patellar dislocation to definitely answer the question we ask ourselves in this paper.

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