

# Primary tumor resection in patients with metastatic osteosarcoma

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**Background:** Osteosarcoma with metastatic disease at the time of presentation necessitates a very different clinical discussion with patients than those who present with isolated disease. Therefore, the purpose of this study was to evaluate the role of local control surgery in patients with metastatic osteosarcoma as well as to further investigate confounding variables such as demographic, socioeconomic, and tumor characteristics on the overall and cancer-specific mortality rates.

**Methods:** The National Cancer Institute's Surveillance, Epidemiology, and End Results Program was queried for all patients with a diagnosis of metastatic osteosarcoma between the years 2004–2014. Patients who did not undergo any treatment (excisional surgery or chemotherapy) for their disease, were diagnosed at autopsy, or whose histologic subtypes were surface (parosteal and periosteal) or secondary osteosarcomas (Paget's and radiation-induced) were excluded from further analyses. Multivariate models were used to isolate and evaluate the impact of excisional surgery of the primary tumor on the likelihood of survivorship.

**Results:** A total of 3,277 patients were identified, of which 42.5% underwent excisional surgery of the primary tumor. The 5-year survival rate for all patients with metastatic osteosarcoma was 24.4% whereas it was 34.5% in patients who underwent surgery and 5.8% in those who did not undergo surgery. Patients in the lowest quartile for income and education were more likely to be treated nonoperatively. Older age, axial location, and lower education level portended a much worse overall- and cancer-specific mortality. However, surgical excision of the primary tumor was most strongly associated with prolonged survivorship.

**Conclusions:** Patients with metastatic osteosarcoma whose primary tumor characteristics are amenable to surgery have a better prognosis than patients whose tumor characteristics preclude surgical resection. **Level of evidence:** III prognostic.

Keywords: Metastatic disease; osteosarcoma; surgery; survivorship; prognosis

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#### Introduction

While osteosarcoma is a rare disease, it is the most common primary sarcoma of bone and has a predilection for affecting adolescents and young adults (1). Neoadjuvant, multi-agent chemotherapy has radically improved the survival of patients with non-metastatic osteosarcoma from approximately 20% in the 1970s to greater than 70% today (1-3). However, between 10 and 20% of patients with osteosarcoma present with metastatic disease and their survival rate remains extremely poor, with estimates between 11% and 19% (4-6).

Several studies have evaluated potential prognostic factors that influence survival, including tumor size, grade, patient age, and lymph node involvement (6-10). Of all the potential factors, the tumor stage at the time of diagnosis remains the most widely accepted and influential prognostic indicator (4,11). A review of 202 osteosarcoma patients with metastatic disease at the time of diagnosis further confirmed

#### Page 2 of 10

that the number of metastases at diagnosis and the completeness of surgical resection of all clinically detected tumor sites were also independent prognostic factors (11).

The decision to resect the primary tumor in the setting of metastatic disease remains highly controversial and needs to be tailored to each patient's goals and their tumor characteristics. Recent studies demonstrated that patients who are able to undergo surgical resection of the primary tumor in the setting of metastatic breast cancer, colorectal carcinoma, and chondrosarcoma have an associated decreased mortality rate and prolonged survival time (12-15). However, the correlation between surgical resection and survivorship in patients with metastatic osteosarcoma remains unknown. Therefore, the purpose of this study was to evaluate the role of surgery in addition to demographic, socioeconomic, and tumor characteristics on the overall and cancer-specific mortality rate in patients with metastatic osteosarcoma.

### Methods

The National Cancer Institute's Surveillance, Epidemiology, and End Results (SEER) is a publicly available database that covers 34% of the U.S. population. The data is deidentified, case-based, and contributed by 20 geographicallydefined cancer registries. These registries collect patient demographics, as well as data on the primary tumor anatomic location, morphology, disease stage at initial diagnosis, first course of treatment, and follow-up data. The population covered by all of these registries is comparable to the general U.S. population.

#### Study cobort

SEER was queried for all patients with metastatic osteosarcoma between the years 2004–2014. Inclusion criteria for the study were a histologic diagnosis of metastatic osteosarcoma as defined by an AJCC stage IV (derived from the 6<sup>th</sup> and 7<sup>th</sup> editions of the AJCC staging system) at the time of diagnosis. Patients were included if they underwent surgical resection of the primary tumor or if they were treated nonoperatively with adjuvant chemotherapy. Patients were excluded if the details of the surgery were unknown, if they were not treated with either surgery or chemotherapy, if they were diagnosed at autopsy, or if they did not have metastatic disease of a primary osteosarcoma.

# Variables

Demographic data was also collected including age at the time of diagnosis, sex, race (Black, White, and other), and ethnicity (Hispanic or non-Hispanic). Insurance coverage (private or Medicare, Medicaid, uninsured, and unknown), marital status (married, single, divorced or widowed, and unknown), geographic population density at the site of patient residence (rural or urban location), and year of diagnosis were also queried.

Socioeconomic status (SES) was measured using a composite score calculation described in prior studies (16-18). SEER reports county-level data on household income, percent of the population living above the poverty line, percent of the population below the level of a high school education, and percent unemployment. Patients were grouped into quartiles for each of the four components (income, poverty, education, and employment) of the SES score, and patients in the lowest quartiles were compared to the rest of the population.

Tumor-specific variables included tumor size (in centimeters), grade (II, III, IV, and unknown), anatomic location, and histologic subtype as defined by the International Classification of Disease for Oncology (ICD-O-3). Histologic subtypes included conventional osteosarcoma as well as chondroblastic, fibroblastic, and telangiectatic. Patients were excluded if their histologic subtypes were surface (parosteal and periosteal) or secondary osteosarcomas (Paget's and radiation-induced) as these subtypes confer significantly different prognoses than conventional subtypes. Anatomic locations were defined as axial, extremities, or other unusual locations such as the mandible, similar to previous studies (16). Treatment variables of interest included chemotherapy (yes or no/ unknown) and the type of surgery (resection of the primary tumor vs. no cancer-directed surgery).

# Statistical analysis

The primary outcomes of interest were risk factors for allcause mortality and cancer-specific mortality. SEER reports disease-specific mortality from information abstracted from death certificates, which are subsequently reported as either "cancer" or "other causes". Any death attributable to the primary tumor, disease recurrence, or sequela from metastatic disease is attributed to "cancer". Secondary outcomes included 1, 3, and 5-year survival rates for patients

with metastatic osteosarcoma. Survival time was defined as the number of months from diagnosis until death.

Frequencies of patient, tumor, and treatment characteristics were first calculated. Baseline covariates were analyzed using the Chi-square test or Student t-test for categorical or continuous variables, respectively. Simple, univariate Cox regression models were then created to assess the effect of each of the potential covariates on overall- and cancer-specific mortality. Variables included in the initial, unadjusted models included age, sex, race, ethnicity, insurance coverage, marital status, year of diagnosis, anatomic location, histology, tumor size, grade, chemotherapy, surgery, geographic location, education, income, poverty, employment, and the composite SES score. Multivariate Cox regression models were then constructed using only predictors with substantial measures of association (P<0.1). For both overall and cancer-specific mortality, these models included age, marital status, anatomic site, grade, chemotherapy, surgery, and education level. Kaplan-Meier survival curves were then constructed and 1, 3, and 5-year survival rates were abstracted from the survival curves. All statistical analyses were conducted using SPSS version 25, with two-sided statistical significance set *a* priori at P<0.05.

#### Missing data

Tumor size was missing for 104 patients (21.7%). All 479 patients were included in all analyses that did not account for this variable. When tumor size was accounted for in the univariate regression analyses, patients with missing data were excluded in those specific analyses. However, primary tumor size was not a significant predictor for either overall or cancer-specific mortality, and this variable was therefore excluded in the multivariate Cox regression analyses. Therefore, all 479 patients were included in the final, adjusted, multivariate models.

# **Results**

#### **Demographics**

A total of 479 patients were identified for inclusion in this study (*Table 1*). Among them, 64.5% of the patients underwent surgical excision of the primary tumor while 35.5% were treated nonoperatively with adjuvant chemotherapy. Patients who were treated without surgery were significantly older and more likely to have an axial rather than an extremity-based primary tumor (P<0.001, *Table 1*). There were no significant differences based on race, ethnicity, sex, size of the primary tumor, or histologic subtype (P=0.159, *Table 1*).

No differences were observed with regards to geographic location of residence or composite SES scores and treatment arm (P=0.116, *Table 1*). Within the SES score, no differences were seen with employment or poverty levels (P=0.313, *Table 1*). However, patients in the lowest education quartile and the lowest income quartile were more likely to be treated nonoperatively (P=0.047, *Table 1*).

### **Overall** mortality

In the univariate model for overall mortality (Model 1 in *Table 2*), patients who did not undergo surgery had a significantly higher rate of overall mortality (OR 3.538, 95% CI: 2.809–4.455). The risk of all-cause mortality increased among older patients (2.8% per year, OR 1.028, 95% CI: 1.023–1.033) and those in the bottom quartile of education (OR 1.280, 95% CI: 1.023–1.602). Single patients, those who had received adjuvant chemotherapy, and those with an extremity location of their primary tumor had a decreased risk of all-cause mortality (P<0.001, *Table 2*).

The estimated ORs based on surgical treatment arm, age, anatomic location, and histology remained stable in the adjusted, multivariate model (Model 2 in *Table 2*). Marital status and education level lost statistical significance in the adjusted model. However, surgery remained the most predictive factor for overall survival (OR 2.102, 95% CI: 1.582–2.793).

# Cancer-specific mortality

In the univariate analysis (Model 3 in *Table 2*), patients who did not undergo surgery had an increased risk of cancerrelated mortality (OR 3.531, 95% CI: 2.779–4.486). The risk of cancer-related mortality increased with increasing patient age (OR 1.029, 95% CI: 1.024–1.034) and those in the bottom quartile of education (OR 1.280, CI 1.023– 1.602). Patients who were single (OR 0.397, 95% CI: 0.303–0.519) as well as those who had received adjuvant chemotherapy (OR 3.263, 95% CI: 2.448–4.351), or had an extremity-based location of their tumor (OR 0.334, 95% CI: 0.251–0.444) had a decreased risk of cancer-specific mortality. Race, sex, ethnicity, insurance status, and year of

# Page 4 of 10

#### Annals of Joint, 2019

Table 1 Demographic data	and tumor characteristics for	patients presenting	with stage IV osteosa	rcoma between the years 2004–2014
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	Total	Total (n=479)		Surgery (n=309)		No surgery (n=170)	
Characteristics	N	%	N	%	N	%	<ul> <li>P value</li> </ul>
Age, mean yrs (SD)	29.9	(23.4)	23.7	(19.2)	41.1	(26.1)	<0.001
≤30	322	67.2%	240	74.5%	82	25.5%	
>30–60	78	16.3%	42	53.8%	36	46.2%	
>60	79	16.5%	27	34.2%	52	65.8%	
Race							0.423
White	367	76.6%	231	62.9%	136	37.1%	
Black	74	15.4%	52	70.3%	22	29.7%	
Other	38	7.9%	26	68.4%	12	31.6%	
Ethnicity							0.495
Non-Hispanic	334	69.7%	216	64.7%	118	35.3%	
Hispanic	145	30.3%	93	64.1%	52	35.9%	
Sex							0.165
Male	286	59.7%	190	66.4%	96	33.6%	
Female	193	40.3%	119	61.7%	74	38.3%	
Site*							<0.001
Axial	86	18.0%	23	26.7%	63	73.3%	
Extremities	364	76.0%	273	75.0%	91	25.0%	
Other	29	6.0%	13	44.8%	16	55.2%	
Size**							0.163
<5 cm	39	10.4%	23	59.0%	16	41.0%	
5–10 cm	129	34.4%	93	72.1%	36	27.9%	
>10 cm	207	55.1%	153	73.9%	54	26.1%	
Missing	104	21.7%	40	38.5%	64	61.5%	
Grade							<0.001
II	8	1.7%	3	37.5%	5	62.5%	
III	127	26.5%	94	74.0%	33	26.0%	
IV	136	28.4%	68	50.0%	68	50.0%	
Unknown	208	43.4%	144	69.2%	64	30.8%	
Histology							0.159
Conventional	399	83.3%	251	62.9%	148	37.1%	
Chondroblastic	55	11.5%	37	67.3%	18	32.7%	
Fibroblastic	14	2.9%	11	78.6%	3	21.4%	
Telangiectatic	11	2.3%	10	90.9%	1	9.1%	
Chemotherapy							<0.001
Yes	409	85.4%	286	69.9%	123	30.1%	

Table 1 (continued)

Table 1 (continued)

Characteristics —	Total (n=479)		Surger	Surgery (n=309)		No surgery (n=170)	
	Ν	%	Ν	%	Ν	%	r value
No or unknown	70	14.6%	23	32.9%	47	67.1%	
Year of diagnosis							0.887
2004–2007	163	34.0%	107	65.6%	56	34.4%	
2008–2011	182	38.0%	115	63.2%	67	36.8%	
2012–2014	134	28.0%	87	64.9%	47	35.1%	
Marriage status							<0.001
Married	99	20.7%	50	50.5%	49	49.5%	
Single	337	70.4%	242	71.8%	95	28.2%	
Divorced or widowed	34	7.1%	10	29.4%	24	70.6%	
Unknown	9	1.9%	7	77.8%	2	22.2%	
Insurance							0.269
Private or Medicare	170	35.5%	116	68.2%	54	31.8%	
Medicaid	126	26.3%	85	67.5%	41	32.5%	
Uninsured	20	4.2%	12	60.0%	8	40.0%	
Unknown	163	34.0%	96	58.9%	67	41.1%	
Rural or urban							0.442
Urban	442	92.3%	286	64.7%	156	35.3%	
Rural	37	7.7%	23	62.2%	14	37.8%	
Income							0.047
Lowest quartile	153	31.9%	90	58.8%	63	41.2%	
All others	326	68.1%	219	67.2%	107	32.8%	
Poverty							0.313
Lowest quartile	122	25.5%	76	62.3%	46	37.7%	
All others	357	74.5%	233	65.3%	124	34.7%	
Education							0.026
Lowest quartile	171	35.7%	100	58.5%	71	41.5%	
All others	308	64.3%	209	67.9%	99	32.1%	
Employment							0.332
Lowest quartile	131	27.3%	82	62.6%	49	37.4%	
All others	348	72.7%	227	65.2%	121	34.8%	
Composite SES							0.116
Lowest quartile	124	25.9%	74	59.7%	50	40.3%	
All others	355	74.1%	235	66.2%	120	33.8%	

\*Site: anatomic location of the primary tumor; \*\*size: size of the primary tumor provided in cm<sup>2</sup>.

# Page 6 of 10

# Annals of Joint, 2019

Table 2 Risk factors for overall- and cancer-specific mortality for patients presenting with stage IV osteosarcoma between the years 2004–2014

	Overall mortalit	y, OR (95% Cl)	Cancer-specific mortality, OR (95% Cl)		
Variable	Model 1 (unadjusted)	Model 2 (adjusted)	Model 3 (unadjusted)	Model 4 (adjusted)	
Surgery					
Yes	Ref	Ref	Ref	Ref	
No	3.538 (2.809–4.455)	2.102 (1.582–2.793)	3.531 (2.779–4.486)	2.102 (1.564–2.824)	
Age					
For each 1-year increase	1.028 (1.023–1.033)	1.026 (1.016–1.035)	1.029 (1.024–1.034)	1.027 (1.018–1.037)	
Year of diagnosis					
For every 1-year increase	0.997 (0.958–1.037)		0.996 (0.956–1.038)		
Race					
White	Ref		Ref		
Black	0.837 (0.605–1.156)		0.820 (0.584–1.151)		
Other	1.130 (0.749–1.705)		1.224 (0.810–1.850)		
Ethnicity					
Non-Hispanic	Ref		Ref		
Hispanic	0.843 (0.661–1.073)		0.866 (0.674–1.112)		
Sex					
Male	Ref		Ref		
Female	0.913 (0.731–1.141)		0.917 (0.727–1.155)		
Insurance					
Private or Medicare	Ref		Ref		
Medicaid	0.933 (0.692–1.258)		0.921 (0.675–1.258)		
Uninsured	0.790 (0.425–1.471)		0.856 (0.459–1.595)		
Unknown	1.080 (0.836–1.395)		1.084 (0.831–1.414)		
Marriage status					
Married	Ref	Ref	Ref	Ref	
Single	0.412 (0.317–0.534)	1.284 (0.834–1.867)	0.397 (0.303–0.519)	1.280 (0.843–1.943)	
Divorced or widowed	1.112 (0.732–1.690)	0.632 (0.402–0.992)	1.089 (0.707–1.677)	0.602 (0.377–0.959)	
Unknown	0.468 (0.216–1.016)	0.926 (0.365–2.348)	0.420 (0.183–0.966)	0.988 (0.388–2.513)	
Site					
Axial	Ref	Ref	Ref	Ref	
Extremities	0.331 (0.251–0.435)	0.691 (0.504–0.948)	0.334 (0.251–0.444)	0.713 (0.514–0.990)	
Other	0.793 (0.487–1.292)	0.620 (0.363–1.060)	0.730 (0.433–1.231)	0.538 (0.303–0.955)	
Size					
For each 1-cm increase	1.006 (0.992–1.019)		1.007 (0.994–1.021)		

Table 2 (continued)

Table 2 (continued)

Variable	Overall mortality, OR (95	5% CI)	Cancer-specific mortality, OR (95% CI)		
	Model 1 (unadjusted)	Model 2 (adjusted)	Model 3 (unadjusted)	Model 4 (adjusted)	
Histology					
Conventional	Ref		Ref		
Chondroblastic	0.789 (0.550–1.133)		0.856 (0.595–1.231)		
Fibroblastic	0.882 (0.454–1.714)		0.955 (0.491–1.857)		
Telangiectatic	0.811 (0.401–1.639)		0.768 (0.362–1.629)		
Grade					
IV	Ref	Ref	Ref	Ref	
III	2.022 (0.942-4.340)	1.522 (0.682–3.394)	2.141 (0.996–4.602)	1.674 (0.748–3.746)	
II	1.077 (0.817–1.421)	1.142 (0.856–1.525)	1.028 (0.771–1.373)	1.096 (0.811–1.481)	
Unknown	1.542 (1.189–2.001)	1.089 (0.807–1.469)	1.504 (1.149–1.969)	1.085 (0.797–1.478)	
Chemotherapy					
Yes	Ref	Ref	Ref	Ref	
No or unknown	3.200 (2.423–4.226)	1.540 (1.045–2.269)	3.263 (2.448–4.351)	1.622 (1.090–2.412)	
Rural or urban					
Urban	Ref		Ref		
Rural	1.931 (0.904–1.941)		1.384 (0.937–2.043)		
Education					
All others	Ref	Ref	Ref	Ref	
Lowest quartile	1.280 (1.023–1.602)	1.122 (0.881–1.429)	1.280 (1.023–1.602)	1.079 (0.838–1.388)	
Income					
All others	Ref		Ref		
Lowest quartile	1.072 (0.849–1.353)		1.072 (0.849–1.353)		
Poverty					
All others	Ref		Ref		
Lowest quartile	1.032 (0.805–1.324)		1.032 (0.805–1.324)		
Employment					
All others	Ref		Ref		
Lowest quartile	1.029 (0.805–1.315)		1.029 (0.805–1.315)		
Composite SES					
All others	Ref		Ref		
Lowest quartile	1.019 (0.795–1.306)		1.020 (0.789–1.320)		

diagnosis were not significant predictors for cancer-related mortality (*Table 3*). Furthermore, tumor size, histology, geographic location of residence, and SES score were also

not significant predictors for the risk of cancer-specific mortality.

The estimated ORs based on surgical treatment arm,

 Table 3 1, 3, and 5-year survival rate for patients presenting with stage IV osteosarcoma between the years 2004–2014

Variable	All patients	Surgery	No surgery
1 year	60.8%	76.9%	30.6%
3 year	33.6%	47.4%	7.7%
5 year	24.4%	34.5%	5.8%

age, extremity location, tumor grade, tumor size, and adjuvant chemotherapy remained stable in the adjusted, multivariate model, while marital status and education level lost statistical significance (Model 4 in *Table 3*). However, surgery remained the most predictive factor for cancerrelated survival (OR 2.102, 95% CI: 1.564–2.824).

# Survival rates

The mean 1-, 3-, and 5-year survival rates for patients with metastatic osteosarcoma were 60.8%, 33.6%, and 24.4%, respectively (*Table 3*). At all time points, a greater likelihood of survivorship was observed in patients who underwent surgical resection of their primary tumor (*Table 3*). At 5 years, patients who underwent surgery had a survival rate of 30.6% compared to 5.8% in patients treated nonoperatively.

# Discussion

The results of this study demonstrate that 14.6% of patients with osteosarcoma present with metastatic disease at the time of diagnosis and their overall 5-year survival rate is 24.4%. Patients in the lowest quartile for income and education were more likely to be treated nonoperatively and surgical excision of the primary tumor was the strongest predictor of prolonged overall- and cancer-specific survivorship.

These findings mirror similar studies that report 11% of patients with osteosarcoma present with metastatic disease at the time of diagnosis and a 30.4% of these patients have a 5-year survival rate (5,11). In a single-center review of 202 patients who underwent surgery for metastatic osteosarcoma, Kager *et al.* found that poor histologic response to chemotherapy, more than one site of metastasis at the time of diagnosis, and incompleteness of surgical resection of all clinically detected tumor sites were most prognostic for mortality (11).

Prolonged survivorship associated with surgical resection of the primary tumor in the setting of metastatic disease has also been demonstrated in other populations including soft tissue sarcoma, chondrosarcoma, breast cancer, colorectal carcinoma, and renal cell carcinoma (19-21). Several possible explanations for these findings have been proposed. For one, removal of the primary tumor is thought to reduce the overall tumor burden as well as to remove the major source of cells that have gained metastatic competence (22). Additionally, the theory of 'self-seeding' postulates that circulating tumor cells from metastatic sites may return to the original site, promoting locoregional and systemic progression (22). While most tumor cells die within the hostile environment of the circulatory system, those that survive and return to the primary tumor via cytokines then contribute to a hospitable tumor microenvironment by suppressing immunosurveillance, promoting tumor growth and angiogenesis, and supporting further metastases (23,24). In fact, some animal models have demonstrated a reversal of immunosuppression following removal of the primary tumor, even in the presence of continued metastases (25).

However, literature on the removal of the primary tumor in the setting of metastatic disease remains highly controversial with mixed results. In other studies, uncontrolled growth of metastatic foci has been observed after resection of the primary tumor (26-28). The 'dormancy hypothesis' proposes that metastatic growth commonly includes periods of temporary dormancy at the single-cell phase and avascular micrometastasis phase. This theory suggests that surgery can drive escape from these dormant periods via the release of vascular endothelial growth factor (VEGF) and other unidentified proliferative inducers (29). This differs slightly from the theory of 'concomitant tumor resistance', which describes the ability of the primary tumor to slow metastatic growth through the secretion of antiangiogenic factors in the circulating system (27,30). Whether surgery drives escape from dormancy or removal of the primary tumor decreases antiangiogenic factors, both proposed pathways may consequently result in the uncontrolled growth of metastatic foci.

Surgery in the setting of metastatic osteosarcoma therefore remains a controversial decision and is predicated on many factors including the patient's disease response to chemotherapy, pattern of metastatic disease, the palliation of symptoms, and the anatomic location and characteristics of the tumor itself (21). Ideally, prospective studies would shed further light on such issues, but the current topic does not lend itself to prospective studies. Retrospective studies such as this are limited by selection bias, whereby surgical excision may be preferentially offered to patients

with a favorable perceived response to chemotherapy and a favorable pattern of metastases. Unfortunately, the authors are unable to comment on either of these potentially confounding characteristics due to the limitations of the SEER database. Furthermore, margin status post-surgery and the number of sites and location of metastatic disease were not available in the SEER database, and therefore remain limitations of these results. Additionally, patients with advanced metastases and poor overall health generally would not be considered a surgical candidate, further biasing retrospective results.

# Conclusions

However, the present study demonstrates a strong association between primary tumor resection and survival in patients with metastatic osteosarcoma. Specifically, patients whose primary tumors and metastatic patterns are amenable to surgical resection have a much more favorable prognosis than those who are not able to undergo surgery. This information can be used to help identify patients with poor prognostic factors, educate and counsel patients and their families, and inform future studies to evaluate the role of surgery in metastatic osteosarcoma.

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#### Page 10 of 10

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