Focused airway ultrasound: an armamentarium in future airway management

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Abstract: Point-of-care ultrasound (POCUS) has gained the upper hand for being simple, non-invasive and portable. Traditional beliefs that ultrasound is futile in the imaging of air-filled structures has been refuted. Upper airway ultrasound is applicable to patients with distorted anatomy, not easily discernable by traditional methods, besides serving as a useful adjunct peri-intubation; as a pre-intubation screening tool for difficult laryngoscopy, selection of endotracheal tube (ETT) size and confirmation of ETT placement and depth, and to detect laryngeal mask airway (LMA) malrotation. Airway ultrasound also assists in procedural guidance for percutaneous cricothyroidotomy, percutaneous dilatational tracheostomy (PDT) and airway nerve blocks by improving success rate with fewer attempts and safety. In the critical care unit, sonographic parameters of the airway provide means to predict post extubation stridor hence successful extubation, in addition to identifying upper airway pathologies. The feasibility of focused airway ultrasound also lies in its shallow learning curve and the relative ease of mastering the skill with appropriate training. The integration of upper airway ultrasound into POCUS examination may be a prelude to a bigger picture in future airway management. Increasing awareness of the practicality of this tool, availability in critical areas and improving technological advancements will encourage more usage resulting in its incorporation into routine clinical practice.

Keywords: Upper airway ultrasound; point-of-care ultrasound (POCUS); airway management

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Introduction

Point-of-care ultrasound (POCUS) has the advantage in its simplistic, non-invasive and portable nature enabling rapid assessment without depending on transfers to the radiology department. The integration of upper airway ultrasound into POCUS examination paved the way to a paradigm shift in upper airway assessment (1). This article addresses the role of upper airway ultrasound as a potential first line airway assessment tool.

Sonoanatomy of focused the upper airway ultrasound

Understanding the physics of basic ultrasound and being proficient in the upper airway anatomy will enhance mastery of sonoanatomy. Tracheal cartilage in the transverse plane is viewed as an inverted 'U' shaped structure (*Figure 1*), bordered posteriorly by a hyperechoic undeviating strip line, the air-mucosal (A-M) interface. Cricoid cartilage is best seen when the probe moves cephalad (*Figure 2*). This is

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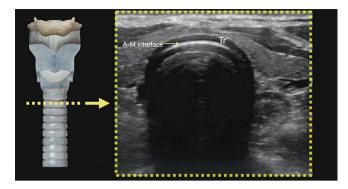


Figure 1 The air-mucosal interface (A-M interface) is seen as a hyperechoic strip line beneath an inverted 'U' shape hypoechoic tracheal cartilage. It is formed by reverberation artefacts. Tr, tracheal cartilage.

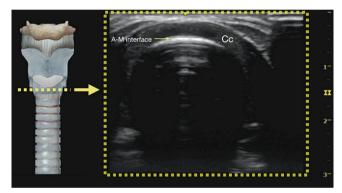


Figure 2 Cricoid cartilage is seen as an oval mixed-echoic structure, which is thicker than tracheal cartilages. Cc, cricoid cartilage.

shown sonographically as a C-shaped mixed-echoic structure, much thicker than the tracheal cartilages, with a similar hyperechoic strip line of A-M interface directly beneath it. The cricothyroid membrane is seen on the transverse view as a hyperechoic strip line (*Figure 3*) sandwiched between the cricoid and thyroid cartilage. Transverse view at the level of the thyroid cartilage provides the best window to visualize the vocal cords. Hyperechoic vocal ligaments on the medial aspect delineate the vocal cords (2). The thyroid cartilage appears as a hypoechoic triangular structure in this view (*Figure 4*)

In the longitudinal plane, the tracheal cartilages (T1, T2, T3, T4) have a hypoechoic appearance with a similar A-M interface beneath them (*Figure 5*). Cricoid cartilage is the bulkiest cartilage cephalad to the tracheal rings. The tracheal cartilage sequence is also knowns as "string of beads". A linear hyperechoic line seen posteriorly on longitudinal plane of upper airway is formed by reverberation artefacts known as the A-M interface (1). The cricothyroid membrane in the longitudinal view (*Figure 6*) is a membrane near the A-M interface in between the cricoid and thyroid cartilages.

Clinical applications of focused upper airway ultrasound

Upper airway ultrasound can be used for the evaluation of:

- ✤ Airway size and prediction of
 - Endotracheal tube (ETT) size;
 - Left double-lumen bronchial tube size.

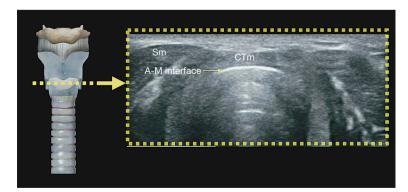


Figure 3 Cricothyroid membrane in transverse view. Sm, sternocleidomastoid muscle; CTm, cricothyroid membrane.

VL Ac

Figure 4 Transverse view at the level of the thyroid cartilage. Tc, thyroid cartilage; VL, vocal ligaments; Ac, arytenoid cartilage; Sm, sternocleidomastoid muscle.

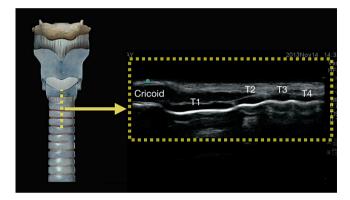


Figure 5 Longitudinal view of cricoid cartilage in relation to tracheal cartilages. This appears as a "string of beads". T1–T4, 1st–4th tracheal cartilage.

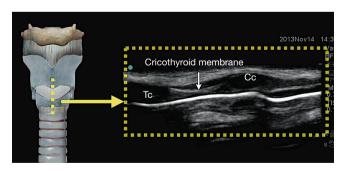


Figure 6 The longitudinal view of cricothyroid membrane in relation to cricoid and thyroid cartilage. Tc, thyroid cartilage; Cc, cricoid cartilage.



Figure 7 Airway size measurement using subglottic transverse diameter.

- Prediction of difficult laryngoscopy;
- Airway device placement and depth:
 - ETT confirmation;
 - ETT depth;
 - Laryngeal mask airway (LMA) confirmation.
- Procedures:
 - Percutaneous cricothyroidotomy;
 - Percutaneous dilatational tracheostomy (PDT);
 - Superior laryngeal nerve blocks for awake fiberoptic intubation.
- Identifying pathological airway structures:
 - Epiglottis;
 - Vocal cord assessment;
 - Trachea location and surrounding structures;
 - Laryngeal injury.
- Predicting post extubation stridor.

Airway size and prediction of ETT and left double-lumen bronchial tube size

The accuracy of ultrasound evaluation of airway size is validated against magnetic resonance imaging (2) and computed tomography scan (3). A correctly sized ETT can potentially avoid the hazards of subglottic stenosis and inadequate ventilation especially in the paediatric population, where the narrowest airway lies in the subglottic region. Subglottic airway diameter can be measured ultrasonographically (*Figure 7*).

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Ultrasound measurement of the subglottic diameter is superior to age-based (4-6) and height-based formula (4) in estimating suitability of ETT size (*Figure 7*). It has the ability to predict accurately up to 98% of cuffed ETT size and 96% of uncuffed ETT size. Age and height-based formula, in comparison only managed to predict 35% of cuffed and 60% of uncuffed ETT size, according to a study by Shibasaki *et al.*, Kim *et al.* based on ultrasonographic measurements of the airway proposed a formula to choose the appropriate ETT size in children (7).

Selection of a left-sided double lumen tube can be based on ultrasound measurement of the outer tracheal width at the level just above the sternoclavicular junction. This measurement has been shown to correlate with the internal tracheal width and left mainstem bronchus size on the computed tomography scan (3).

Prediction of difficult laryngoscopy

The ability to predict a difficult airway can potentially save lives and remained an area of great research interests across various disciplines. Although most were pilot studies with small study samples, preliminary findings showed promising results. There were four studied methods to date:

(I) Visualisation of byoid bone

The inability to visualise the hyoid bone on ultrasound using the sublingual approach predicts difficult intubation as demonstrated by Hui *et al.* This method has high sensitivity and specificity with a high positive likelihood ratio of 21.6 and moderate negative likelihood ratio of 0.28 (8).

(II) Hyomental distance ratio

Wojtczak *et al.* demonstrated that a shorter hyomental distance ratio of 1-1.05 in morbidly obese patients predicts difficult laryngoscopy with high sensitivity. This is the distance between hyoid bone and mandibular mentum in the neutral position to the hyperextended neck position. Patients intubated easily were found to have a hyomental distance ratio ranging 1.12-1.16 (9).

(III) Anterior neck thickness

Anterior neck thickness at different anatomical levels has been found to be predictors for difficult intubation (10-13). These are studied at the level of the vocal cords, hyoid bone and thyrohyoid membrane. At the level of the vocal cords, Ezri *et al.* found that a mean pretracheal tissue exceeding 28 ± 2.7 mm in the obese patients increases the risk of difficult laryngoscopy (10). This was not reproducible in different population (11-13). Adhikari *et al.* however found that, anterior neck thickness above 2.8cm at the level of the hyoid bone and thyrohyoid membrane better predicts difficult laryngoscopy compared to that of the vocal cords (12). Pinto *et al.* supported the latter findings at the level of thyrohyoid membrane (13).

(IV) Tongue thickness and tongue thickness to thyromental distance ratio

Tongue thickness of more than 6.1 cm measured using the submental approach; and higher tongue thickness to thyromental distance ratio of more than 0.87 are capable of predicting difficult tracheal intubation (14).

More consistent results with higher sample size providing level one evidence are imperative to mandate its use for routine screening pre-intubation.

ETT confirmation

Capnography has traditionally been regarded as the gold standard for ETT placement confirmation. This is not without limitations. Cardiorespiratory arrest (15), low flow states, bronchoconstriction and technical malfunction or availability (16-18), for instance, may preclude its use. In the emergency situation, ultrasonography may be more reliable and accessible (19).

Adi *et al.* demonstrated that upper airway ultrasound is as good as waveform capnography for ETT confirmation, with a kappa value of 0.85 showing good agreement between these two methods. There was no delay in confirmation using ultrasound, with short mean time of 16.4 ± 7.3 seconds, which increases practicality of this method. On the ultrasound, the ETT is seen as "double tract sign" (16) (*Figure 8*).

Tracheal rapid ultrasound exam (T.R.U.E.), a method by Chou *et al.* using static transtracheal approach at the level of the suprasternal notch, can diagnose oesophageal intubation with high sensitivity (98.9%) and specificity (94.1%) (20).

ETT depth

Clinical assessment by auscultation and observing chest rise may fail to identify up to 55 % of endobronchial

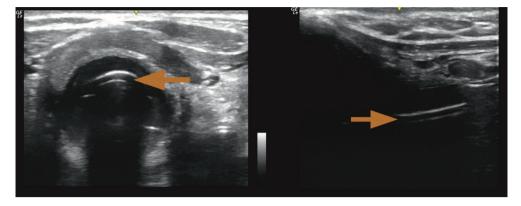


Figure 8 Transverse and longitudinal image of ETT in trachea. ETT position in trachea is seen as two hyperechoic lines, which is described as "double tract" sign (arrows). ETT, endotracheal tube.

intubations (21). The avoidance of endobronchial intubation could be accomplished with the aid of ultrasonography.

Tracheal rapid ultrasound saline test (TRUST), a technique using saline-filled ETT cuff by Tessaro *et al.*, showed accurate and rapid assessment of depth of ETT placement to prevent endobronchial intubation in children (22). Even novice sonographers could accurately identify a saline-inflated ETT cuff at the level of the suprasternal notch in a cadaver study by Uya *et al.* (23).

LMA confirmation

Ultrasound can be used to detect LMA malrotation in children with high sensitivity and specificity (93% and 82% respectively) and an accuracy of 87% (24). Kim *et al.* in this observational study in paediatric patients correlated upper airway ultrasound with fiberoptic bronchoscopy findings, and recognised LMA malrotation based on graded sonographic arytenoid cartilage elevation in the transverse plane (24).

Sonographic visualisation of the LMA cuff is also possible when cuffs are inflated with saline and contrast agents (25).

Percutaneous cricothyroidotomy

Cricothyroidotomy is a life-saving procedure in the "cannot intubate cannot ventilate" situation. Ultrasound can be used both pre-procedural by surface marking the cricothyroid membrane prior to an anticipated difficult intubation, or as a real-time procedural guidance.

Upper airway ultrasound improves procedural safety by

providing accurate landmark particularly when anatomy is not easily identified by traditional palpation method (26-28). Siddiqui *et al.* in a randomised trial on cadavers compared safety of cricothyroidotomy between two groups, cricothyroid membrane landmark by ultrasound and by digital palpation. Airway injuries were three times lower when cricothyroid membrane is identified using ultrasound guidance than by digital palpation even in those with distorted neck anatomy, although time to completion of procedure almost doubled (29)

Locating the cricothyroid membrane is fast with short learning curve (30); and cricothyroidotomy can be performed successfully in a quick manner (31), an important feature in the emergency situation. Nicholls et al found that it took a mean of 24 ± 20 seconds to identify the cricothyroid membrane in the emergency department (29). Curtis *et al.* proved that real-time ultrasound-guided, bougieassisted cricothyroidotomy in cadavers is feasible with high success rate. The median time to identify the cricothyroid membrane was less than 4 seconds in this study, and to completion of procedure, 26 seconds (31).

PDT

Upper airway ultrasound improves safety of PDT (32-34). It enables precise location of procedure site (35), allows selection of tracheostomy tube size and length (36) and avoid trauma to the airway (37), vessels and anterior neck structures (32,33). Twenty-five percent of patients underwent re-siting of puncture site after ultrasound assessment (33).

Both pre-procedural and real-time ultrasound guidance

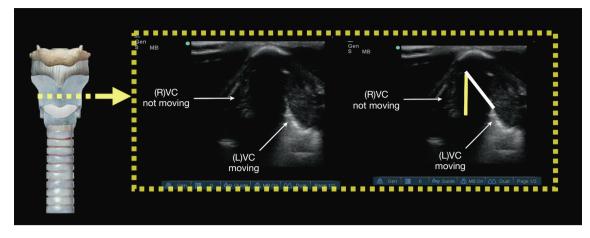


Figure 9 Right vocal cord palsy confirmed by direct sonographic visualisation of the vocal cords.

is beneficial especially in patients with distorted anatomy. The feasibility of real-time in-plane ultrasound guided PDT was not restricted only to cadavers (38) but also in real life situations.

In the critically ill patients, the success and complication rates of ultrasound guided PDT in the TRACHUS randomised controlled trial is similar to that of bronchoscopy-guided PDT, a tool considered standard for PDT (39).

Ultrasound guided PDT is also superior to anatomical landmark method in the following studies. Dinsmore *et al.* found that ultrasound guided PDT is superior with higher successful cannulation rate in shorter time (40). This advantage is also illustrated in the Traditional landmArk versus ultRasound Guided Evaluation Trial (TARGET) study (41) and by Dinh *et al.* (42), who demonstrated less attempts to successful cannulation, with high puncture accuracy.

Ultrasound-guided translaryngeal blocks

Real time sonographic guided superior laryngeal nerve blocks is useful to facilitate awake fibreoptic intubation under direct visualisation of the nerve (43).

Evaluation of pathological airway structures

(I) Evaluation of epiglottis

The normality of the epiglottis can be evaluated in terms of thickness and shape.

Patients diagnosed clinically with epiglottitis gave the

appearance of a thickened epiglottis on the ultrasound (44). There is however no standard cut-off limit for epiglottic thickness to date, although preliminary studies have evaluated epiglottis thickness in healthy population (45)

Hung *et al.* described the appearance of the "alphabet P sign" in patients with epiglottitis, the result of a hyperechoic thickened epiglottis in relation to the acoustic shadow of the hyoid bone with the transducer placed longitudinally at the level of the thyrohyoid membrane (46).

Further research is required to determine the average size of the epiglottis in the healthy population.

(II) Vocal cord assessment

Real-time visualisation of vocal cords movement during quiet breathing and upon vocalisation enable detection of vocal cord palsy (*Figure 9*) and vocal cord pathology noninvasively (*Figure 10*). The adduction and abduction of vocal cords can be seen clearly on ultrasound.

(III) Trachea location and surrounding structures

The image showed the presence of a large goitre causing tracheal displacement to the left (*Figure 11*).

(IV) Laryngeal injury

Blunt neck trauma can result in life-threatening upper airway injuries leading to airway obstruction. POCUS allows identification of deviation from the norm, offering an opportunity to detect laryngeal injuries by the bedside according to Schaefer classification (*Figure 12*). This

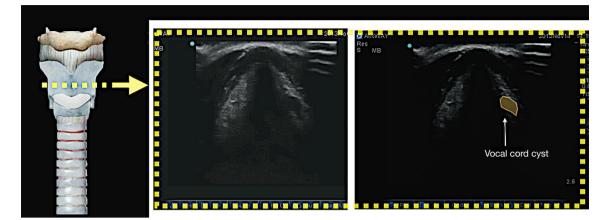


Figure 10 This is an incidental finding of a vocal cord cyst on the left vocal cord.



Figure 11 Tracheal displacement to the left secondary to large goitre.

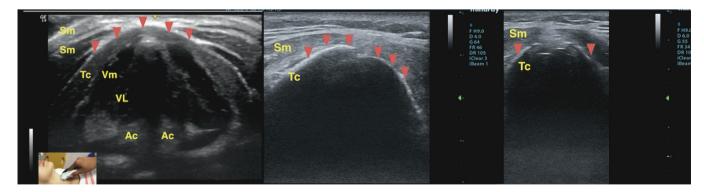


Figure 12 POCUS airway using 15 MHz linear transducer showing a normal sonoanatomy of thyroid cartilage (arrow heads in the first picture) and discontinuity of anterior cortex of thyroid cartilage with surrounding tissue oedema (arrow heads in the second and third pictures). POCUS, point-of-care ultrasound; Ac, arytenoid cartilage; Sm, sternocleidomastoid muscle; Tc, thyroid cartilage; VL, vocal ligaments; Vm, vocalis muscle.

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can expedite emergency management especially in those deemed unstable for transport to the imaging department.

Predicting postextubation stridor and airway oedema

Ultrasound measurement of air column width difference at the level of the vocal cords before and after ETT cuff deflation has the potential to predict postextubation stridor. This difference represents the amount of air passing through the vocal cords. A smaller difference is an indirect indication of narrower airway and possible laryngeal oedema, which may present clinically as postextubation stridor (47,48).

Sutherasan *et al.* proposed that air column width difference of less than 1.6 mm be taken to predict postextubation stridor. The sensitivity and specificity of this value was both 70% with a high negative predictive value and low positive predictive value (92% and 32% respectively) (48). This was however not reproducible by Mikaeili *et al.* (49).

The cutoff value to predict postextubation stridor may differ in different population. The use of ultrasound to predict postextubation stridor will require further studies with bigger sample size in different population.

Upper airway ultrasound educational learning curve

The quality and results of upper airway ultrasound demand not only technical proficiency, but also competent interpretation of sonogram images. It is operatordependent, necessitating adequate training and experience. Fortunately, studies showed shallow educational learning curves, and skills can be mastered easily for interested parties with little initial ultrasound knowledge (22,50,51).

There are several reasons contributing to the relatively short learning curve. Unlike other emergency ultrasound applications, the location of the upper airway is fixed, and do not require much adjustments once location is identified. The anatomy is consistent among patients even in the obese, easy to identify with less dependence on body habitus, unlike abdominal scans (51).

Gottlieb *et al.* showed that the four-step (4S) technique to confirm ETT placement in the adult human cadaver model provide a basic learning platform for both experts and novices. Both are able to confirm placement of ETT with a mean time of less than 30 seconds although novices took

one and a half times longer with less accuracy in the obese cadaver. Otherwise, this learning technique provides high sensitivity and specificity in the detection of proper ETT placement (51). In another study on emergency medicine fellows with limited baseline ultrasound knowledge, a 50-minute combined theoretical and practical training session can identify tracheal location and ETT depth with high sensitivity (23).

Chenkin *et al.* again supported the ease of acquiring upper airway ultrasound knowledge in his study. Emergency physicians were able to interpret accurately esophageal and endotracheal intubation ultrasound clips with 100% success rate after only a brief online 10-minute tutorial and two practice attempts (50).

Conclusions

Upper airway ultrasound is a convenient, cost-effective and reproducible tool. The integration of upper airway ultrasound to complement repertoire of pre-intubation airway screening may be the way forward in the future standard of care. It is a potential first-line airway assessment and management tool.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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