

Do all *BRCA1/2* carriers with breast cancer benefit from bilateral mastectomy?

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Abstract: Surgical management of hereditary breast cancer is influenced by several variables pertaining tumor biology as well as patients' physical and psychological characteristics. The prevalence of *BRCA1/2* mutations among breast cancer patients is low, but many carriers are not diagnosed according current criteria of access to genetic testing. This is becoming even more relevant as specific drugs for carriers have now been included in the armamentarium of medical oncologists. Indeed, the whole therapeutic strategy, including pros and cons of radiotherapy, is influenced by *BRCA1/2* status. Although no major differences have been reported between the outcome of *BRCA1/2* negative *vs.* *BRCA1/2* positive patients, the latter carry a substantially higher cumulative risk of metachronous breast and ovarian cancers. It is then mandatory that the complex interplay between clinical, biological and treatment variables be fully taken into account when cancer develops in these women.

Keywords: Hereditary breast cancer; *BRCA1/2* mutation; bilateral mastectomy; breast conserving surgery

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Which breast cancer patients should undergo *BRCA* testing?

Since the discovery of the *BRCA1* (1) and *BRCA2* genes (2) over 25 years ago, understanding and management of hereditary breast and ovarian cancer patients have been constantly evolving. In the general population *BRCA1/2* mutations occur in 1 every 300 to 500 women and account for 5% and 15% of breast and ovarian cancer cases, respectively. *BRCA1* and *BRCA2* carriers exhibit a similar cumulative breast cancer risk to the age of 80 (72% and 69%, respectively), while both ovarian cancer risk (44% *vs.* 17%) and contralateral cumulative breast risk 20 years after breast cancer diagnosis (40% *vs.* 26%) are higher for *BRCA1* *vs.* *BRCA2* carriers (3). Given the substantial risk of subsequent breast and ovarian cancers, knowledge of *BRCA1/2* mutation status may be important when cancer develops as it may influence the attitude of patients towards surveillance and preventive options (4).

The prevalence of *BRCA* pathogenic variants in

unselected breast cancer patients is less than 2%, yet up to 60% of carriers are not diagnosed according to current criteria of access to genetic testing (5). This gap of knowledge is becoming a critical issue in breast cancer care. Indeed, the relevance of *BRCA* status is increasing not only from the surgical standpoint, but also to tailor medical therapies as it predicts responsiveness to platinum-based chemotherapy and poly(ADP-ribose) polymerase (PARP) inhibitors (6). Therefore, universal genetic testing for ovarian cancer patients and a broadening of criteria to genetic testing for breast cancer patients have been suggested (7). This change of practice is reflected in the recently published US Preventive Services Task Force statement on genetic testing for *BRCA*-related cancer (8).

Neoadjuvant chemotherapy *vs.* primary surgery

The available scientific evidence on breast cancer overall survival of *BRCA* carriers as compared to non-carriers is conflicting, although large differences are unlikely to

Table 1 Meta-analyses and systematic reviews and on overall survival of breast cancer in BRCA carriers

Author, year	Main results
Lee, 2010 (9)	<ul style="list-style-type: none"> • <i>BRCA1</i> mutation decreases short-term and long-term OS and short-term PFS • <i>BRCA2</i> mutation does not affect either short-term or long-term survival rate
Zhong, 2015 (10)	<ul style="list-style-type: none"> • Among patients with breast cancer, <i>BRCA1</i> mutation carriers had worse OS than non-carriers • <i>BRCA2</i> mutation was not associated with breast cancer prognosis
van den Broek, 2015 (11)	<ul style="list-style-type: none"> • Current evidence does not support worse breast cancer survival of <i>BRCA1/2</i> carriers
Templeton, 2016 (12)	<ul style="list-style-type: none"> • <i>BRCA</i> mutations were not associated with worse overall survival
Bernier, 2015 (13)	<ul style="list-style-type: none"> • There is currently no evidence that, provided adequate systemic treatment is part of the therapeutic management, significant differences in both BCSS and OS
Hallam, 2015 (14)	<ul style="list-style-type: none"> • In most studies there was no significant difference in survival for <i>BRCA1/2</i> carriers

OS, overall survival; PFS, progression free survival; BCSS, breast cancer specific survival.

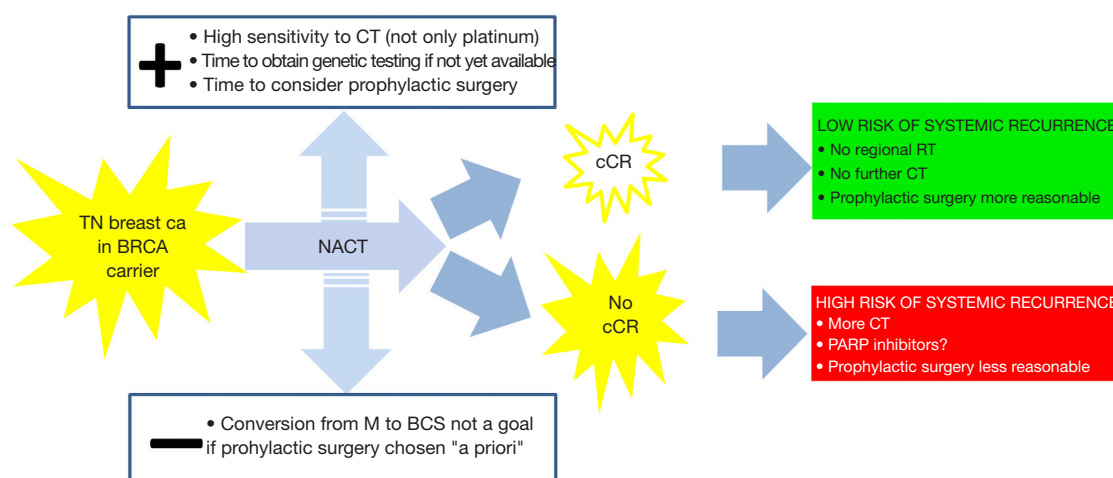


Figure 1 Treatment tailoring with neoadjuvant chemotherapy for triple negative breast cancer in *BRCA* carriers. CT, chemotherapy; TN, triple negative; BCS, breast conserving surgery; RT, radiotherapy; NACT, neoadjuvant chemotherapy; cCR, clinical complete response. +, pros; –, cons.

exist (Table 1) (9–14). A recently published prospective study assessed the relationship between germ-line *BRCA* mutation and outcome in a large cohort of young-onset breast cancer patients in the UK (15). Overall, patients with a *BRCA* mutation had similar survival as non-carriers [hazard ratio (HR) 0.96, 95% confidence interval (CI): 0.76–1.22; $P=0.76$]; nevertheless, carriers with triple-negative (TN) breast cancer had a survival advantage during the first few years after diagnosis compared with non-carriers (HR 0.59, 95% CI: 0.35–0.99; $P=0.047$), likely due to the greater sensitivity of *BRCA*-mutant breast cancers to chemotherapy. Indeed, a systematic review and meta-analysis by Caramelo *et al.* suggests that the addition of

platinum to chemotherapy regimens in the neoadjuvant setting increases the complete pathological response (pCR) rate in *BRCA*-mutated as compared to wild-type TNBC patients (16). Neoadjuvant chemotherapy (NACT) is particularly attractive in suspected *BRCA* carriers with TN tumors primarily due the expected chemosensitivity of their tumors. In addition, NACT provides time to get the result of genetic testing if not yet available, and to consider pros and cons of prophylactic surgery if the test is positive. Furthermore, tumor response may help to tailor further adjuvant regional and systemic treatments, as well as the opportunity to undergo prophylactic surgery based on the expected outcome of the patient (Figure 1).

Table 2 Studies of ipsilateral breast tumor recurrences (IBTR) after BCT + RT in *BRCA* carriers *vs.* controls

Author, year	<i>BRCA</i> carriers	Controls	Median FU (years)	IBTR (%) carriers	IBTR (%) controls	P
Pierce, 2000 (17)	71	203	5	14	16	0.84
Haffty, 2002 (18)	22	105	13	49	21	0.007
Seynaeve, 2004 (19)	26	174	6.0	21.8	12.1	0.05
Robson, 2005 (20)	56	440	9.7	12	8	0.68
Kirova, 2005 (21)	29	271	8.8	24	19	0.47
Pierce, 2006 (22)	170	469	8.3	12.5	8.6	0.55
Brekeldmans, 2007 (23)	109	410	4.3	12/17	12	0.6
Garcia Etienne, 2009 (24)	54	162	4.0	27	4	0.03
Kirova, 2010 (25)	29	58	13.4	36	33	0.42
van den Broek, 2019 (26)	55	1,510	12	7.3	7.9	–

FU, follow up; IBTR, ipsilateral breast tumor recurrence; BCS, breast conserving surgery; RT, radiotherapy.

Table 3 Studies comparing local failures after BCS + RT *vs.* mastectomy in *BRCA* carriers

Author, year	BCS	M	Median FU (years)	BCS <i>vs.</i> M at 10 yrs		BCS <i>vs.</i> M at 15 yrs	
				Local failure (%)	P	Local failure (%)	P
Pierce, 2010 (28)	302	353	8.2/8.9	10.5 <i>vs.</i> 3.5	0.0001	23.5 <i>vs.</i> 5.5	0.0001
Nilsson, 2104 (29)	45	118	14.9/12.1	25 <i>vs.</i> 9	0.03	32 <i>vs.</i> 9	0.03
van den Broek, 2019 (26)	91	49	–	7.3 <i>vs.</i> 1.5	–	–	–

FU, follow up; IBTR, ipsilateral breast tumor recurrence; BCS, breast conserving surgery; RT, radiotherapy; M, mastectomy.

Breast conserving surgery (BCS) *vs.* mastectomy

The role of breast conserving surgery followed by radiotherapy (RT) in *BRCA* carriers has long been debated. It has been suggested that, as *BRCA* mutations may predispose carriers to higher cellular sensitivity to ionizing radiation, tumors responses may be better, but toxicity and radiation-induced malignancies may also be increased. Fortunately, the available data on complications are reassuring and there appears to be no increase in contralateral breast cancer (CBC) risk from scatter radiotherapy (RT) (13,17).

As tumor control is concerned, most studies do not show significant differences of ipsilateral breast tumor recurrences (IBTR) in *BRCA* carriers treated by BCS + RT as compared to non-carriers (*Table 2*) (17–26). The meta-analysis by Valachis *et al.* confirms this finding [relative risk (RR) 1.45, 95% CI: 0.98–2.14], yet shows a significant higher risk for ipsilateral breast tumor recurrences (IBTR) among *BRCA*-mutation carriers in studies with a median follow-up

≥7 years (RR 1.51, 95% CI: 1.15–1.98) (27). The latter finding, coupled with the observation that *BRCA* carriers develop more new events elsewhere in the breast (i.e., not in the quadrant where the original tumor was located) than non-carriers (19), suggests that these “late” IBTR are likely new primaries and not local recurrences.

The same explanation may underlie the increased risk of local failure in *BRCA* carriers treated with BCS + RT *vs.* mastectomy (*Table 3*) (26,28,29). Importantly, in none of these studies significant differences for overall survival (OS), breast cancer death, or distant recurrence have been reported according to type of surgery performed.

Contralateral prophylactic mastectomy *vs.* surveillance

It has been repeatedly substantiated that the risk of CBC in *BRCA1/2* carriers is significantly higher as compared to non-carriers. In the meta-analysis by Valachis *et al.* the number of CBCs was significantly greater in carriers versus

Table 4 Studies on survival after contralateral prophylactic mastectomy (CPM) in *BRCA* carriers with unilateral breast cancer

Author	CPM/no-CPM	Mean FU (yrs)	No. CBC		OS	
			CPM vs. no-CPM (%)	P value	CPM vs. no-CPM (%)	P value
Van Sprundel, 2005 (32)	78/69	3.5	1 vs. 6 (1 vs. 8.7)	0.001	94 vs. 77	0.003*
Evans, 2013 (33)	105/473	9.7/8.6	6 vs. 118 (6 vs. 25)	–	89 vs. 71	<0.001°
Metcalfe, 2014 (34)	181/209	14.3	1 vs. 70 (0.6 vs. 33.5)	0.0004	88 vs. 66	0.03§
Heemskerk-Gerritsen, 2105 (35)	242/341	11.4	4 vs. 64 (2 vs. 19)	0.001	92 vs. 81	<0.001

*No longer significant after adjustment for BPO in a multivariate Cox analysis; °The survival advantage remained after matching for oophorectomy, gene, grade and stage; §In a propensity score adjusted analysis of 79 matched pairs, the association was not significant (P=0.08). CPM, contralateral prophylactic mastectomy; FU, follow up; CBC, contralateral breast cancer; OS, over.

controls (RR 3.56, 95% CI: 2.50–5.08) (27). In a more recent meta-analysis, the cumulative 5-year risk of CBC for *BRCA1* and *BRCA2* mutation carriers was 15% (95% CI: 9.5–20%) and 9% (95% CI: 5–14%), respectively and the 10-year risk increased up to 27% and 19%, respectively (30).

Similarly to the general population, family history of breast cancer and young age at primary breast cancer diagnosis increase CBC risk also in *BRCA* carriers, while endocrine therapy and risk reducing salpingo-oophorectomy (RRSO) decrease the risk (31). Somehow unexpectedly, while the type of surgery on the primary tumor (BCS *vs.* mastectomy) does not influence overall survival, several studies suggest that contralateral prophylactic mastectomy (CPM) may improve OS, likely through the prevention of new contralateral tumors (Table 4) (32–35). In the most recent of such studies the mortality was lower in the CPM group than in the surveillance group (adjusted HR 0.49, 95% CI: 0.29–0.82) and the survival benefit was especially seen in patients <40 years of age, with grade 1/2 and/or no TN tumors and if were not treated with adjuvant chemotherapy (35).

Variables in the surgical decision-making process

In *BRCA* carriers who develop breast cancer, similarly to all breast cancer patients, the risks of ipsilateral, contralateral and distant new events are significantly modified by several factors. With regard to local control, in patients undergoing BCT the risk of a second in-breast event is significantly reduced by chemotherapy (17). Risk reducing salpingo-oophorectomy (RRSO) has a protective effect against recurrences [hazard ratio (HR) 0.50; 95% CI: 0.31 to 0.69], but it also reduces the risk of death (HR =0.33; 95% CI: 0.28 to 0.38) (36). Also tamoxifen is associated with a reduction in

CBC risk both for *BRCA1* (HR 0.38, 95% CI: 0.27 to 0.55) and *BRCA2* carriers (HR 0.33; 95% CI: 0.22 to 0.50) (37).

The mutation in either *BRCA1* or *BRCA2* and patient's age are important factors to consider when deciding the optimal surgical approach. For example, it has been shown that 62.9% of *BRCA1* carriers less than 40 years of age at first breast cancer developed a CBC *vs.* 19.6% of those who were older than 50 years and that *BRCA1* carriers had a 1.6-fold (95% CI: 1.2- to 2.3-fold) higher risk of CBC than *BRCA2* carriers after 25 years of follow up (38). The risk-benefit ratio of prophylactic surgery may also be influenced by a history of previous breast irradiation. Radiotherapy may in fact exert a preventive effect on the development of new primaries (39), but it increases the likelihood of complications and unfavorable outcomes of reconstructive surgery (40). Finally, the decision should always respect patients' preferences, taking into account that the latter can be heavily influenced by perceived physician recommendation for CPM, greater perceived contralateral breast cancer risk, and greater perceived benefits of CPM (41,42) (Figure 2).

Conclusions

BRCA1/2 carriers who develop breast cancer face difficult decisions regarding their surgical options mainly due to their increased lifetime risk of developing other breast and ovarian cancers. In carriers, BCS and mastectomy provide the same survival, as well as in the general population, yet the risk of late new ipsilateral breast cancers after BCS is increased. This event is a source of severe psychological distress by itself and, as it requires a mastectomy, exposes these patients to higher risk of surgical complications and unfavorable reconstructive results due to the previous breast RT. Furthermore, the lifetime risk of CBC is significantly

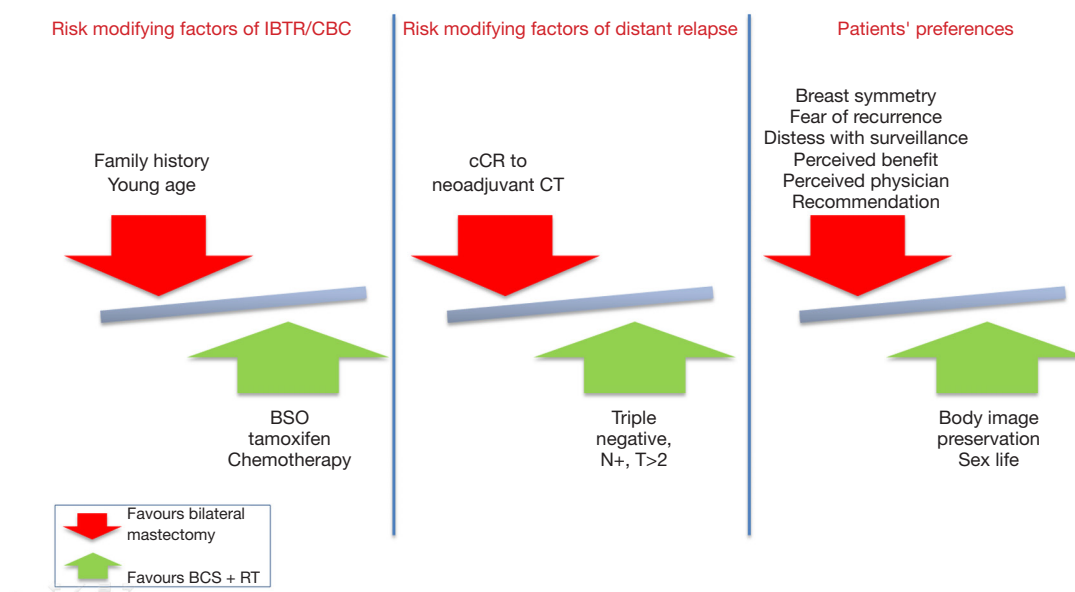


Figure 2 Factors to be considered when choosing between breast conserving surgery and bilateral mastectomy in *BRCA*-carriers with early stage unilateral breast cancer. BCS, breast conserving surgery; RT, radiotherapy; T, tumor diameter; N+, node positive; cCR, clinical complete response; CT, chemotherapy; BSO, bilateral salpingo-oophorectomy.

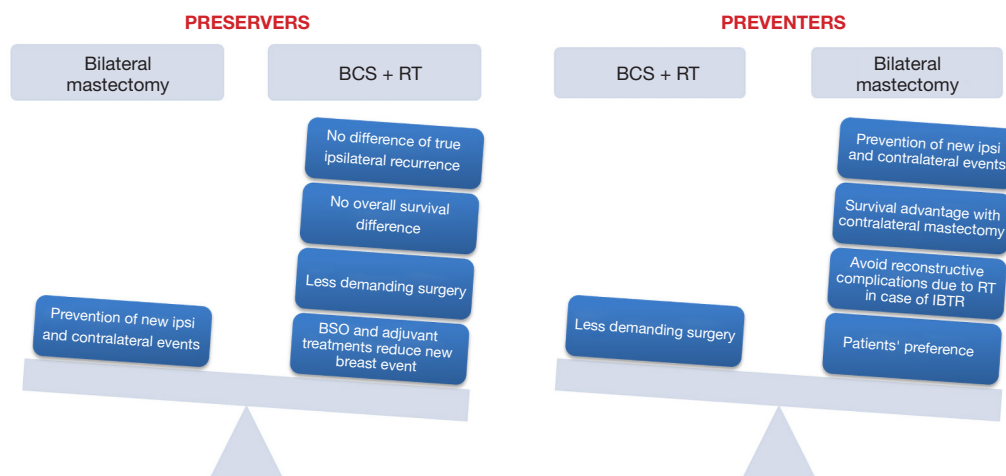


Figure 3 Different attitudes of physicians towards breast conserving surgery and bilateral mastectomy in *BRCA*-carriers with early stage unilateral breast cancer. BCS, breast conserving surgery; RT, radiotherapy; IBTR, ipsilateral breast tumor recurrence; BSO, bilateral salpingo-oophorectomy.

increased, especially in very young and *BRCA1*-positive patients. Therefore, as CPM in this setting may even improve overall survival, a thorough discussion of pros and cons of BCS + RT *vs.* therapeutic mastectomy and CPM is warranted in all *BRCA1/2* carriers with unilateral breast cancer (Figure 3).

Expedited genetic testing is becoming a critical issue for many newly diagnosed breast cancer patients; fortunately, a broadening of indications to gene counseling and the rapid analysis of gene panels are now possible thanks to the introduction of next generation sequencing (NGS). A negative *BRCA1/2* test may provide reassurance on the

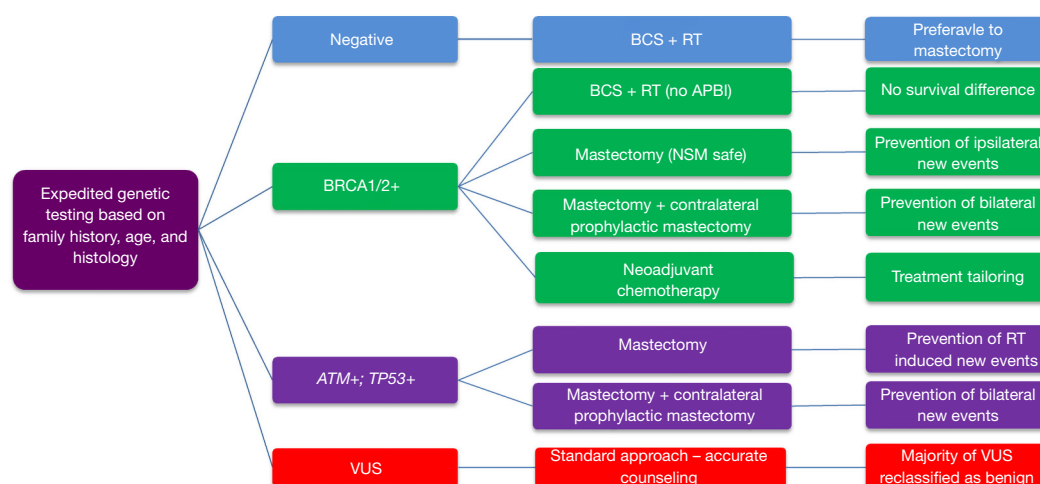


Figure 4 Proposal of a decision-making/aiding algorithm in patients with early stage hereditary breast cancer. BCS, breast conserving surgery; RT, radiotherapy; APBI, accelerated partial breast irradiation.

safety of BCS + RT, while limiting the unjustified increase of bilateral mastectomies that took place over the last 15 years worldwide. Conversely, the awareness of a positive test may help patients to choose their favorite surgical option and physicians to tailor neoadjuvant and adjuvant therapies. Large scale genetic testing with NGS will provide more in depth information on the risks associated with variants of unknown significant (VUS) of *BRCA1/2* and with other cancer predisposing genes. Better knowledge may hopefully lead to the creation of decision-making/aiding algorithms that will better take into account the complex interplay between clinical, biological and treatment variables (Figure 4) that characterizes the care of these patients.

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Footnote

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Ethical Statement: The author is accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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