# The evolution of the histopathologic classification of thymic epithelial tumors

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**Abstract:** Few topics in pathology have been as controversial as the classification of thymic epithelial tumors (TET). The lack of knowledge regarding the structure and function of the thymus has certainly contributed to this difficulty. Only in the second part of the 20<sup>th</sup> century did a new awareness concerning the importance of the thymus emerge. Moreover, the heterogeneity of the histopathologic features and the variability of the lymphocytic content in TET contributed to an increase in the number of histologic classifications. This review covers the main classifications and points out the people who developed several classification schema and their underlying "driving" concepts. Historically, the first step regarded the need to separate TET from other tumors occurring in the mediastinum. Subsequently the discussion focused on establishing TET types or subtypes with different prognostic value. The development of histopathologic classifications of TET took also advantage of progressing advances in the knowledge of the thymus together with the adoption of new diagnostic tools which increased diagnostic accuracy and reproducibility. The ultimate goal of the diagnostic pathological process, from the very beginning, was to provide the most useful information to the clinicians (thoracic surgeons, oncologists) involved in patient management.

Keywords: Thymic epithelial tumors (TET); thymoma; thymic carcinoma; classification; pathology; history

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### General considerations—embryologic uncertainty

Until the second half of the 20<sup>th</sup> century, the role of the thymus was largely unknown. Therefore, the microscopic observations on thymic tumors, with no awareness of structure and physiology of this organ, initially included several other tumors. These tumors included neoplasms with variable behaviour, some of them characterized by aggressive behaviour. This led to confusing ideas concerning the neoplastic growth of the thymus. As far as embryology is concerned, 2 different theories on the lymphocytic thymic content were discussed at the beginning of the 20<sup>th</sup>

century. According to the immigration theory, sustained by Alexander A. Maximow (*Figure 1*) at about the second month of prenatal life, the thymus, which up to this time has been an endodermal organ, begins to being infiltrated by lymphoid cells of mesenchymal origin, which proliferate within the thymus and differentiate into lymphocytes. The lymphocytes separate the epithelial cells (EC) of the thymus (1,2). On the other hand, the "monistic" school, headed by James Ewing (*Figure 2*), believed that the endodermal cells themselves (endodermal thymic reticulum) differentiate into so-called thymic (lymphatic) cells (transformation theory) (3-5).





**Figure 1** Maximow Alexander Alexandrovich [1874–1928], public domain—Histologist and embryologist, born in St. Petersburg, Russia. Brilliant scientist, pioneer in experimental medicine. He introduced the hematopoietic (unitarian) stem cell concept. He studied the thymopoiesis supporting the view that lymphoid cells migrate from the blood into the thymus and differentiate there among ECs (1). EC, epithelial cells.

**Figure 2** Ewing James [1866–1943], public domain—Pathologist, born in Pittsburg, USA. Outstanding pathologist, first proposing cancer study as a medical specialty. He discovered and described the family of bone tumors originally named "Ewing's sarcoma" and proposed one of the first classifications of thymic tumors. He contributed to the distinction of epithelial tumors of the thymus from other non-epithelial neoplasias (3,4).

#### The first descriptions and the term "thymoma"

Among the first reports of morphologic descriptions of thymic tumors, J. Paviot and E. Gerest in 1896 (6) described a large mediastinal tumor which they named "epithelioma" of the thymus. This tumor presented at autopsy with a metastatic nodule outside the thorax (in the kidney capsule) in a patient who had died of asphyxia. In addition to cords of ECs, the tumor contained spherical bodies which appeared to have been derived from EC (7). Similar bodies had already been described by Arthur Hill Hassall in 1846 (8) and later named "Hassall's bodies" or corpuscles (HC).

The term "thymoma" was first introduced by F. Grandhomme in 1900 (9). At that time, it was applied to all malignant tumors arising in the thymic gland. In 1906 E. T. Bell (10) first described tumors of the thymus that were associated with myasthenia gravis (MG) and used the term "thymoma" meaning non-malignant tumors. Later on, in 1939 A. Blalock *et al.* (11) summarized the confusing

nomenclature of "thymoma": "Decker (12) stated: by thymoma, Brown means carcinoma; Crotti all tumors; Bell nonmalignant tumors; Margolis all tumors of parenchymal origin."

# Early classifications and the "granulomatous thymoma concept"

Among the first relevant classifications of thymic tumors, one has to mention the classifications by James Ewing [1928] (*Figure 2*) (4), Douglas Symmers [1932] (13), and Elizabeth Lowenhaupt [1948] (14) (*Table 1*). Some of these early classifications were based on morphological characteristics such as the predominance of lymphocytes or epithelial elements. Others were focused on the apparent origin of the tumors (parenchyma vs stroma of the thymic gland). Lowenhaupt's classification, which tentatively correlated the morphology of thymic tumors with embryology, was of interest because it included the entity of "granulomatous

Table 1 Early classifications of thymic tumors	thymoma" (15). However, the "granulomatous thymoma" was		
J. Ewing [1916] (3,4)	later considered "a misconception", because it represented		
Lymphosarcoma or thymoma	in fact a Hodgkin lymphoma (16,17) (Figure 3)!		
Carcinoma (arising from reticulum cells)	An extraordinary description of the History of Hodgkin's disease in the thymus was reported by A.D. Thomson in		
Spindle cell sarcoma or myxosarcoma	1955 (18) (Figure 4)		
D. Symmers [1932] (13)			
Perithelioma	Development of the thymoma classification:		
Lymphosarcoma	the search for morphological categories and		
Epithelioma	prognostic features		
Spindle cell sarcoma	In the second part of the 20 <sup>th</sup> century, several classification		
Hodgkin's disease	schema were proposed, along with the development of cellular immunology after the discoveries of RA Good (19)		
E. Lowenhaupt [1948] (14)	and JF Miller (20) on the fundamental role of Thymus		
Carcinoma of primitive epithelial reticulum	and its cellular components. The collaboration between		
Carcinoma of variegated cell pattern	two thoracic surgeons (PE Bernatz and O. Clagett) and a		
Carcinoma of granulomatous pattern	Minnesota, produced a simple, and exclusively morphologic		
Carcinoma of thymic round cells (lymphoepithelioma)	classification schema based on the predominant cellular		
Encapsulated thymoma (lymphosarcoma)	type (epithelial or lymphocytic) and their relative numbers		
Carcinoma of adamantinous pattern	( <i>Table 2</i> ) (21). The Verley and Hollman's schema, later proposed in 1985 (22) was strictly related to the		
	inter proposed in 1705 (22) was strictly related to the		



Figure 3 Hodgkin lymphoma in the thymus: the neoplastic lymphoid proliferation stimulates also EC network proliferation. (A) Paracardiac anterior mediastinal mass occurring in a 22-year-old female, H & E staining, 100×; (B) H & E staining of the tumor, showing large cells with atypical nuclei in a lymphoid background, 200x; (C) IHC highlights CD30+ cells in the lymphoid background, 400x; (D) pankeratin immunostain reveals the presence of a disorganized EC network, 400x (courtesy of Prof. Lucia Anemona, Tor Vergata University, Rome, Italy). EC, epithelial cells; IHC, immunohistochemistry.

#### THE THYMIC ORIGIN OF HODGKIN'S DISEASE.

A. D. THOMSON. from the Bland-Sutton Institute of Pathology, Middlesex Hospital, London, W.I.

Received for publication January 31, 1955.

In this preliminary communication it is suggested that Hodgkin's disease is a tumor, and that it originates in the thymus.

**Figure 4** Particular of front page of Thomson paper discussing the lymphoid origin of the "granulomatous Thymoma" (18). This topic promoted a long debate among lymphoma and thymoma experts, until the lymphoid origin of the so-called "granulomatous thymoma" was demonstrated: most of the discussed cases were Hodgkin lymphomas (14,16,17).

Table 2 Bernatz et al.	(21) and	l Verley/Hollman's	(22)	) classifications
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Bernatz, Clagett and Harrison [1961]
Predominantly lymphocytic
Predominantly epithelial
Predominantly mixed
Predominantly spindle cell
Verley and Hollman [1985]
Spindle and Oval cell thymoma
Lymphocyte-rich thymoma
Differentiated EC-rich
Epidermoid, lymphoepithelial and Clear cell subtypes
Undifferentiated epithelial-rich thymoma

EC, epithelial cells.

Bernatz proposal (it recognized four types: spindle cell, lymphocyte rich, and the epithelial-cell rich type was subdivided into differentiated and undifferentiated types) but these researchers added a prognostic value to their schema, classifying thymoma types from benign to potentially aggressive. Invasiveness and histologic typing appeared as two distinct parameters with separate prognostic significance, particularly in differentiated and undifferentiated epithelial tumors (*Table 2*).

Raffaele Lattes from Turin, Italy, immigrated to the United States and later established at Columbia University, in 1962 firmly established the epithelial nature of "reticulum cells" of the thymus. He proposed a morphological classification based on the EC type and lymphocytic content (*Table 3*) and together the reappraisal of the encapsulation or "non encapsulation" of the tumor. In addition, he noticed the association between MG with the Lymphocytic type,

Table 3 R. Lattes classification of thymic tumors [1962] (15)
Predominantly lymphocytic type
Predominantly spindle cell type
Predominantly EC type
Predominantly "rosette-forming" type
EC, epithelial cells.

and of Aregenerative anemia with the Spindle cell type. Moreover, he discussed the problem of the malignancy of thymoma (15). Previously, Benjamin Castleman, who also contributed a relevant study on Tumors of the thymus gland in the First Series of the Armed Forces Institute of Pathology Atlas of tumor pathology (23), argued that, "since thymomas do not spread by embolic metastases, they should not be considered malignant even if they sometimes recur after surgery or seed themselves as multiple pleural implants or extend and penetrate into adjacent structures". Later on, Juan Rosai and Gerald D. Levine investigated together the ultrastructural features of EC in thymoma. They firmly established the epithelial nature of the "thymoma". Their study was reflected in the 1976 edition (second series) of the Fascicle of the Armed Forces Institute of Pathology, representing the first and best organized book on thymic tumors (24). The authors established that "once the term thymoma is restricted to the tumor of epithelial thymic cells, with or without a lymphocytic component, all further subdivisions are artificial".

Subsequently [1978] Levine and Rosai, respectively from the Stanford University, Stanford and the University of Minnesota, Minneapolis, US, proposed a classification concerning the histologic aspect of the tumour and the biological behaviour as determined by the degree of invasion at surgery (*Table 4*). They classified thymic epithelial tumors (TET) into benign encapsulated thymoma, type I malignant

(A)	Masaoka S Stage Stage I	taging System of Thymoma (27) Definition Macroscopically completely encapsulated and microscopically no capsular invasion
	Stage II Microscopi Stage III	Macroscopic invasion into surrounding fatty tissue or mediastinal pleura, or c invasion into capsule Macroscopic invasion into neighboring organ, i.e., pericardium, great vessels, or lung
	Stage Iva Stage IVb	Pleural or pericardial dissemination Lymphogenous or hematogenous metastasis
(B)	) Masaoka-k	Coga Staging System of Thymoma (28)
	Stage	Definition
	1	Grossly and microscopically completely encapsulated tumor
	lia	Microscopic transcapsular invasion
	an	through mediastinal pleura or pericardium
	Ш	Macroscopic invasion into neighboring organ (i.e., pericardium, great vessel, or lung)
	IVa	Pleural or pericardial metastases
	IVb	Lymphogenous or hematogenous metastasis

**Figure 5** Staging according to the four-tiered classification system of Masaoka *et al.* (based on 91 patients from a single institution) [1981] (A) and the Masaoka-Koga classification system (based on 79 patients) [1994] (B). The Masaoka-Koga classification system, which has been used most widely, is focused on the local extension of the primary tumor, with nodal involvement playing a lesser role (27,28).

 Table 4 Levine and Rosai classification of thymic epithelial tumors

 [1978] (25)

Encapsulated
Type I Malignant thymoma
Locally invasive
Thymoma with lymphatic or hematogenous spread
Type II Malignant thymoma (cytologically malignant) (thymic

carcinoma)

thymoma (invasive thymoma), and type II malignant thymoma (thymic carcinoma). They did not attempt to subtype TET. A definite statement of the benign nature of lymphocytes in thymoma was made (25). Although the neoplastic nature of the EC and the benign properties of lymphocytes in TET have been now well accepted (26) it should be kept in mind that, in contrast to other tumors, most lymphocytes in thymoma are the expression of the thymopoietic capability of EC and not reactive lymphocytes.

During the same period [1981], professor Akira Masaoka from the Nagoya University, Japan, published his staging system for thymoma, based on a series of 81 cases (27). This world-wide widely used system considered the prognostic value of the tumor capsule. The Masaoka staging system, and the subsequent modification by Kenji Koga *et al.* (28) (*Figure 5*) required the key role of pathologists in the microscopic evaluation of the tumor.

The so called "histogenetic" European classification (29,30) proposed by Prof. HK Müller-Hermelink and coworkers from the University of Würzburg, Germany, derived from the consideration of morphological similarities of EC in thymoma from the cortical and medullary compartments of the thymic gland (*Table 5*). Thymic carcinomas were defined by the presence of invasive growth and almost pure composition of EC with cytologic criteria of malignancy. However, whereas the resemblance of the large dendritic-like cells of the thymoma to the cortical EC was more obvious, the concept of medullary EC was more criticized.

A separate category of well-differentiated thymic carcinoma (WDTC) was later recognized by HK Müller-Hermelink and T. Kirchner (31) and was added to the original "histogenetic" classification as a low-grade malignancy. In WDTC, EC with mild to moderate atypia predominated and showed an epidermoid or squamoid growth pattern with or without keratinization. An organotypic thymic feature was recognizable by its lobular growth pattern and the presence of perivascular spaces (PVS). The prognostic value of this "histogenetic" classification has been confirmed in several studies (32,33), but questioned in others (34). Moreover, several papers focused on pros- (35) and cons- (36) interobserver reproducibility of this histological classification.

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 Table 5 The Müller-Hermelink and Marino classification of thymic

 epithelial tumors [1985] (29,30)

Thymoma		
Cortical type		
Mixed type with cortical predominance		
Mixed type, common		
Mixed type with medullary predominance		
Medullary type		
Thymic carcinoma		
In 1989 the new estegery of well differentiated thumin		

In 1989 the new category of well-differentiated thymic carcinoma (WDTC) was introduced by T. Kirchner *et al.* (31) from the Würzburg University, Germany.

# The 1999 WHO classification—the value of cytoarchitectural features of TET

The WHO classification of 1999 was the result of several years of work by a panel of 8 pathologists, coordinated by Prof. Rosai (37) (Table 6). It represented a compromise among different views on thymoma classification. In our opinion, however, it was basically derived from the concept of the "histogenetic" classification (29). The first edition of the WHO classification emphasized that the classification of cytoarchitectural features of thymoma should occur independently of staging. TETs were classified according to the number and shape of EC and the number of lymphocytes in the tumor. The use of two alphabetic letters (A and B) made it possible to identify as "A", tumors with a component of spindle-oval EC without lymphocytes, and as "B", tumors with a component of large EC with dendritic (epithelioid) morphology, forming a lymphocyte attracting network. Tumours combining these two morphologies were designated as type AB. "Type B" thymomas were further subdivided into three subtypes on the basis of the proportional increase (in relation to the lymphocytes) and emergence of atypia of neoplastic EC, respectively designated as B1, B2 and B3. According to Prof. Rosai, "A" stands for atrophic (i.e., the effete thymic EC of adult life), "B" for bioactive (i.e., the biologically active organ of the fetus and infant) (Figure 6). The validity of the 1999 WHO classification has been confirmed in several studies) (39). Particularly, a large-scale report of TET stated that overall survival (OS) rates for patients with type A, AB, or B1 tumors were higher than those for patients with type B2 or B3 tumors, therefore pointing to the definition of "prognostic" groups (38).

**Table 6** WHO classification of thymic epithelial tumors (J. Rosai& LH Sobin in collaboration with pathologists from 8 countries)[1999] (37)

Type A (spindle cell, medullary)

Type AB (mixed)

Type B1 (lymphocyte-rich, lymphocytic, predominantly cortical, organoid)

Type B2 (cortical)

Type B3 (epithelial, atypical, squamoid, WDTC)

Thymic carcinoma (type C thymoma)

WDTC, well-differentiated thymic carcinoma.

# The Suster & Moran proposal—the value of cellular atypia

Saul Suster and Cesar A. Moran, respectively at the Ohio State University, Columbus and the University of Alabama at Birmingham, US, were opponents of Müller-Hermelink's histogenetic classification and of the subsequent WHO classification editions of TET (40). In 1999, the same year in which the first WHO classification of TET was published, they proposed to classify TET as thymoma (well differentiated thymic epithelial neoplasm), atypical thymoma (moderately differentiated thymic epithelial neoplasm), and thymic carcinoma (poorly differentiated thymic epithelial neoplasm) (41) (Table 7). The "atypical thymoma" actually corresponded to the "WDTC" of the Müller-Hermelink classification. The cellular atypia was the basis of their proposal, and they lumped together types A-AB, B1 and B2 of the WHO classification in the "thymoma" group, leaving only the B3 type in the "atypical thymoma" group. Organotypical features of the "thymoma" group included lobulation, an admixture of immature T cells and EC, foci of medullary differentiation and PVS. Clinicopathological data support the interest and value of this classification (42).

# **Progressing strategies—the 2004 and 2015 WHO classification**

The WHO edition of TET classification published in 2004 (43) reflected a renewed view of the pathological diagnosis, considering the clinical symptoms of patients, the macroscopic findings of the tumor, immunohistochemical and genetic features and prognostic data. Type C thymoma of the 1999 WHO classification was termed



**Figure 6** 1999 WHO classification of TET—the origin of the nomenclature: according to Prof. J. Rosai, "A" stands for atrophic (i.e., the effete thymic EC of adult life), and "B" for bioactive (i.e., the biologically active organ/EC of the fetus and infant). An "A" type and a "B2" type thymoma EC are shown. H & E, (A) 200×, (B) 400× (38). TET, thymic epithelial tumours; EC, epithelial cells.

Table 7 S. Suster and C. A. Moran classification of thymic epithelial neoplasms [1999] (41) and WHO 2004 comparison

Suster and Moran classification (41)	Comparison with WHO 2004 classification subtypes
Well-differentiated thymic epithelial neoplasm	A, AB, B1 and B2 thymoma
Moderately differentiated thymic epithelial neoplasm (atypical thymoma)	B3 thymoma
Poorly differentiated thymic epithelial neoplasm (thymic carcinoma)	Thymic carcinoma

as thymic carcinoma, while the morphologic subtypes of thymomas remained unchanged. In the following years, the WHO-based histological thymoma subtyping proved to be significant for the OS of thymoma patients (44,45). Moreover, the WHO classification proved to be an independent prognostic factor, with 10-year disease-free survival (DFS) rates decreasing from A, AB, B1, B2 to B3, respectively. An early-stage, low-risk group of types A, AB and B1 thymoma was recognized (46,47). Genetic support by comparative genomic hybridization and microsatellite analysis to the WHO subtyping was provided by a series of studies-most of them from the Würzburg groupacross the full spectrum of WHO types (48-50). These studies provided evidence that B3 thymoma and thymic carcinoma are strongly related through their chromosomal imbalances and that, with few exceptions, type A tumours lack chromosomal gains or losses.

In the period between the two WHO classification

revisions, from the 2004 to 2015, the International Thymic Malignancy Interest Group (ITMIG) (www. itmig.org) was established in 2010 and was able to engage a worldwide community, which supported the development of a centralized database (51,52). Therefore, demographic, epidemiologic, pathological and prognostic data for the 2015 WHO classification (53) were provided. Moreover, the new 2015 edition of the WHO classification established an interdisciplinary approach to the diagnosis of TET, by sharing the study with radiologists, thoracic surgeons and oncologists (Table 8, Figures 7 and 8). Some histomorphologic features and immunohistochemical criteria were refined during two pathology workshops held in New York, US and in Mannheim, Germany in order to enhance the reproducibility of thymoma subtyping and to facilitate the distinction between thymomas and thymic carcinomas (54). A short summary of refined diagnostic criteria in TET subtyping is provided:

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Table 8 WHO classification of TET [2015] (53)
Thymoma
Type A Thymoma (including atypical variant)
Type AB thymoma
Type B1 thymoma
Type B2 thymoma
Type B3 thymoma
Micronodular thymoma with lymphoid stroma
Metaplastic thymoma
Other rare thymomas
Microscopic thymoma
Sclerosing thymoma
Lipofibroadenoma
Thymic carcinoma
Squamous cell carcinoma
Basaloid carcinoma
Mucoepidermoid carcinoma
Lymphoepithelioma-like carcinoma
Clear cell carcinoma
Sarcomatoid carcinoma
Adenocarcinomas
Papillary adenocarcinoma
Thymic carcinoma with adenoid cystic carcinoma-like features
Mucinous adenocarcinoma
Adenocarcinoma NOS
NUT carcinoma
Undifferentiated carcinoma
Other rare thymic carcinomas
Thymic neuroendocrine tumors
Carcinoid tumors
Typical carcinoid
Atypical carcinoid
Large cell neuroendocrine carcinoma
Combined large cell neuroendocrine carcinoma
Small cell carcinoma

Combined small cell carcinoma

Combined thymic carcinomas

TET, thymic epithelial tumours; NOS, not otherwise specified; NUT, Nuclear protein in Testis.



Figure 7 The morphological spectrum of TET-I. (A) Type A thymoma, H & E, 200x: bland spindle cell proliferation, no or very few lymphocytes; (B) type AB thymoma, H & E, 100×: lymphoid proliferation interspersed within EC meshworks; the clear cell area corresponds to a so called medullary island; (C) type AB thymoma, H & E, 200×: EC predominate, forming sheets and microcysts; few lymphocytes are interspersed. In this case; however, the lymphocytic content is very variable in AB type TET; (D) type B1 thymoma, H & E, 100×: lymphoid proliferation predominates in the network of EC, with scattered histiocytes providing a "starry sky" aspect and contributing to an organotypic thymic structure. On the left, a pale "medullary island" with "Hassall's bodies" is seen (43). TET, thymic epithelial tumours; EC, epithelial cells.



**Figure 8** The morphological spectrum of TET-II. (A) Type B2 thymoma, H & E, 200×, with grouping of EC among lymphoid cells (thymocytes); on the left side, a "medullary island" with "Hassall's bodies" is seen; (B) type B2 thymoma, H & E, 242×, large EC grouping among thymocytes; (C) type B3 thymoma, 200×: large sheets of EC with abundant eosinophilic cytoplasm; ECs palisade around PVS. These are empty spaces around small vessels; the lymphocytes are relatively few; (D) thymic carcinoma, H & E, 100×: poorly differentiated squamous cell carcinoma: ribbons and nests of atypical EC in a desmoplastic stroma (51,53). TET, thymic epithelial tumours; EC, epithelial cells; PVS, perivascular spaces.

- (I) Type A was distinguished from AB by a "low throughout" or "focally moderate" content of immature, TDT+ T cells (*Figure 7A vs. 7B* and 7C);
- (II) Type B1 and B2 were redefined on H & E by either absence (B1) or presence (B2) of EC clustering (*Figure 7D vs. Figure 8A* and 8B);
- (III) The B2 versus B3 distinction remained an H & E diagnosis (blue vs. pink) (Figure 8A and 8B vs. Figure 8C).

The integration of H & E histology with immunohistochemistry (TdT, CD117, CD5) was indicated as a key to distinguishing B3 thymoma from thymic carcinoma (*Figure 8D*) (54).

# The never ending history of TET—recent developments

Although, according to Lalla Iverson (55) "neoplasia of the thymus gland displays such freedom of expression that it conforms to none but the broadest of definitions", considerable progress in TET classification has been made during the last century. Further developments are now ongoing in order to provide patients with meaningful pathological data. The refinement of morphological approaches is expected to progress in conjunction with detailed clinical data and new relevant genetic and epigenetic findings.

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