

# Limbal epithelial stem cells in corneal surface reconstruction

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**Abstract:** Cornea serves as the partial front barrier and major light reflection organ of the eye. The integrity of corneal surface is essential for ocular function. Injuries or congenital diseases could significantly destruct the homeostasis of the ocular surface, especially the microenvironment of limbal epithelial stem cells (LESCs), and will eventually cause dysfunction of corneal regeneration and diminish of LESCs. The loss of LESCs by different reasons are named limbal stem cell deficiency (LSCD), which is one of the leading cause of vision loss worldwide. To restore the corneal surface, LESC transplantation in the form of tissue or cell cultures is currently a viable and promising method to treat LSCD. In this review, we aim to introduce the characters and niche of LESCs, and discuss different aspects of its application in cornea surface reconstruction.

**Keywords:** Limbal epithelial stem cells (LESCs); cornea; limbal stem cell deficiency (LSCD); stem cell transplantation

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# Limbal epithelial stem cell (LESC) and the niche

Cornea, the transparent dome-shaped structure residing at the anterior frontline of the eye, has several fundamental functions in vision. As a front barrier, cornea protects all the other eye structures from external damage combined with other region of fibrous tunic. Cornea also serves as the anatomical feature for light transmission and fraction, which are crucial steps for image formation. The light transmission and fraction relied deeply on the transparency and curvature of the cornea (1).

LESCs are a group of somatic stem cells that constantly asymmetrically divide, differentiate and centripetally migrate toward cornea center to replenish the worn-out corneal epithelial cells (CEpCs) (2). CEpCs, as consist as the outermost layer of the cornea, maintain the avascular and dehydrated feature for cornea transparency and integrity so that clear vision can be kept. As an important source of CEpCs, *in vivo* LESCs are always in continuous differentiating stages which makes it very difficult to have definitive stem cell markers. Some promising maker candidates emerged by recent studies, including ABCB5 (3), ABCG2 (4) and several cytoskeletal proteins like K14, K15, and K19 (5,6). Although they provide helps to distinguish between stem cell, but progenitor and transit-amplifying cell is still limited. A nuclear protein p63 was also identified as a putative LESC marker by Pellegrini *et al.* in 2001 (7). Connexin 43, a gap junction protein, expresses in differentiated CEpCs but is absent in LESCs or limbal basal epithelium (8,9). This expression property of connexin 43 makes it a credible negative marker for LESCs, which can help us distinguish limbal and CEpCs with diverse differentiation stages.

LESCs situated at limbus, specifically a transition zone of cornea and conjunctiva called palisades of Vogt (PV). The PV area is composed of radial fibrovascular ridges and also accepted as the limbal stem cell niche (LSCN). Healthy LSCN could provide ideal environment for homeostasis

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of LESCs, including supporting cells, extracellular matrix (ECM) and growth factors etc. Recently other major signaling pathways like Notch, TGF-β/BMP and Wnt/ $\beta$ -catenin have been reported to be involved in the regulation of LSCN (10-13). Loss of Wnt inhibitor Dkk2 could not only upregulate Wnt/β-catenin signaling in limbal stoma but also decrease PAX6 expression in corneal epithelium (14), which is the key transcription factor in cornea development. Results provided by this research proved us the involvement of Wnt and PAX6 in the regulation of LESCs in niche (14), and another study using PAX6+/- mice model also indicated the key function of PAX6 in LSCN dysfunction (15). Keratinocyte growth factor (KGF) is another important molecule that can help LSCN maintaining function, overexpression of KGF will lead to abnormal proliferation and differentiation of LESCs (16). Certain cytokine related pathway (SDF-1/ CXCR4) have been proved to be associated with limbal niche maintenance (17).

Limbal basement membrane expresses a series of laminin and collagen family proteins including laminin-1, laminin-5 and type IV collagen ( $\alpha$ 1,  $\alpha$ 2 and  $\alpha$ 5 chains), all these ECM components might help stem cells to distribute normally in the niche (18-20). Regulation by supramolecular micro-environmental of these ECM is another type of mechanism that can determine the fate of LESCs besides the aforementioned intracellular signaling pathway. Aldrovani et al. (21) carefully reviewed recent progresses of LESCs regulation by biophysical and mechanical cues in LSCN. ECM may form as shape of liquid crystalline superstructure to have active physiological functions, and the superstructure could be ECM macromolecular complexes including fibrils, fibers and lamellae etc. Multiple kinds of cells and the closeness of vasculature in the basal layer of limbus are also key factors for LESCs regulation in LSCN (22). The mystery of crosstalk between LESCs and melanocytes, Langerhans cells, suppressor T-lymphocytes or other kind of cells in the niche is yet to be unveiled, and the future discovery of these dialogues will surely facilitate us understanding the refined regulation of LESCs in the niche (20).

Recently, a growing number of independent studies showed that corneal regeneration could happen in central corneas without limbus involvement. These phenomena indicate that central corneas could be the "second niche" of LESCs. Several studies form animal models showed that cornea homeostasis could be maintained after artificial limbal destruction which indicate the existence of LESCs in the central part of cornea. However, there is less evidence showed long-term corneal epithelial regeneration effect in limbus deficient model and the direct observation of LESCs in the central cornea has not been reported yet (23-26). Researchers suspect that the corneal epithelial regeneration in the central cornea could be the contribution of transientamplifying cell (TAC) migrated from limbus (27). Given the current study situation, the secondary niche theory of LECSs is indeed promising and interesting, but more direct fundamental evidence is also required for this theory to be more persuasive.

#### Limbal stem cell deficiency (LSCD)

LSCD is a disease defined by the irreversible impairment and dysfunction of LESCs and its essential supporting structure (niche) in the limbus. In the absence of LESCs and LSCN, the cornea loses its regeneration ability towards epithelium. Besides this, neovascularization and conjunctivalization in the cornea can also be recognized as the feature of LSCD by microscopy in pathology respect. The diagnostic modality of LSCD is currently poor and relies on the clinical observation. Impression cytology, which involves transferring, blotting and immunostaining of superficial ocular surface cells, is currently the best option for LSCD identification.

The clinical symptoms of LSCD observed from patients include chronic ocular surface discomfort, vision loss, and photophobia. The causes of LSCD varies and can be categorized to two groups: the extrinsic causes like chemical burn, radiation and infections like herpes simplex keratitis etc.; the intrinsic causes like Stevens-Johnson syndrome (SJS), aniridia, and chronic limbitis etc. (*Figure 1*). LSCD can also be categorized by the occasion site (unilaterally or bilaterally) or order of severity (partially or totally). The categorization of LSCD for patients decides the technique selection for the treatment. For partial LSCD that the center part of the cornea is relatively intact, conservative measures should be chosen for treatment (28). While in cases of total LSCD no matter unilateral or bilateral, surgery is indispensable.

# **Tissue transplant therapy for LSCD**

As mentioned above, LSCD patients with different causes, severity, occasion sites, or involvement of conjunctiva should choose customized therapy for optimal therapeutic effect. To ameliorate the suffering of LSCD beside the



Stevens-Johnson sydrome

Herpes simplex keratitis

Figure 1 LESC deficiency with different pathogeny. (A) Healthy eye without LSCD symptom; (B) LSCD eye caused by chemical burn; (C) LSCD eye caused by Stevens-Johnson syndrome; (D) LSCD eye caused by herpes simplex keratitis. LESC, limbal epithelial stem cell; LSCD, limbal stem cell deficiency.

surgery, medical management with the medicines should be considered, like topical lubricants, corticosteroids or autologous serum drops (29). For partial LSCD, corneal surface can be easily restored by conjunctival epitheliectomy with biological substrate. While in more severe or total LSCD case, tissue transplantation should be considered as the prime method. In next part of this section, tissuebased transplant therapies will be described: conjunctival limbal autograft (CLAU), limbal allograft (LAL) and keratoprosthesis (Kpro).

#### CLAU

In patients with unilateral total LSCD, the CLAU technique directly apply relatively larger conjunctival limbal tissue from the other healthy eye for autologous transplantation. As one of the curative techniques for LSCD, CLAU was first applied and described by Kenyon *et al.* back to 1989 (30). CLAU supplies appropriate amount of LESCs for corneal surface restoration, and takes no risk for immune rejection. The long-term success rate of CLAU therapeutic procedure can reach to 82% (31).

Despite the relative high success rate, the risk for the healthy eye should take into consideration prior to CLAU operation. CLAU is a preferable technique for unilateral total LSCD, but the visual improvement is greatly relied on the area of the CLAU graft. A recent research showed that the visual improvement rate of smaller grafts is 30% lower than CLAU with large grafts (32). Better surgical skills or newer techniques should be developed to achieve the best medical effect of the affected eye and avoid the risk of the donor healthy eye in the meantime.

#### LAL

For patients with bilateral LSD that none or less autograft is available for transplantation, LAL can be used as an alternative method for corneal surface reconstruction (33). The source of conjunctival tissue of LAL can be from living parents, siblings or cadaveric limbal tissue. The tissue amount from living relative is still as limited as CLAU for the health concern of the donor eye, but limbal allograft from cadaveric tissue could provide the whole limbal and corneal structure for transplantation which will give greater stem cell supply for reconstruction.

Comparing to CLAU therapy aforementioned, LAL requires systemic immunosuppression to prevent immune rejection, and long-term usage of medicine for immunosuppression will give rise to side effects like anemia, elevated creatinine and hyperglycemia etc. (34). Even with immunosuppression, the long-term success rate of LAL therapy is not as ideal as autologous transplantation (35,36). For patients who are not suitable or available for any therapy mentioned above, Kpro using bioengineering artificial materials provide the last choice. Depends on the severity of the LSCD, patient can make the choice of Kpro. The bilateral LSCD patient can choose Boston Kpro type 1 as the surgical option in case that immunosuppression is not applicable (37).

# **Cell transplant therapy for LSCD**

The treatment of LSCD is gradually mature by the recent progress in surgical technique and regenerative medicine. To restore the LESCs and LSN as the ultimate aim for LSCD treatment, regenerative medicine, which is defined by using somatic stem cells to generate biological substitutes and improve tissue functions (38), have become the most promising strategy for LSCD management in the last three decades.

# Cultivated limbal epithelial transplantation (CLET)

Regenerative cell therapy using somatic stem cells has gained great attention in multiple diseases treatment in recent years, especially in ocular field. In 1997, Pellegrini *et al.* firstly applied CLET for the ocular disease (39), in the study autologous human corneal epithelium was cocultured with mouse fibroblast feeder layer and then transplanted into unilateral two LSCD patients. In 2001, Kolli *et al.* established a method that using human amniotic membrane (hAM) as substrate to facilitate cell expansion. The method is using feeder-free culture conditions with no animal product, and eight patients were successfully treated by this new technique (40). Mariappan *et al.* also published a standard protocol for expansion of human limbal epithelial cells *in vitro* in the same time, which is also a feeder-free technique using hAM as the culture substrate (41). Now CLET is one of the most mature and wildly used stem cell therapies which have been reported. European Medicine Agency (EMA) has approved CLET as legal treatment for corneal burns in 2015 (Holoclar, Holostem Terapie Avanzate, Modena, Italy).

During the general procedure of CLET technique, a small limbal biopsy is performed to harvest healthy LESCs from the donor eye firstly, and then the harvested LESCs are cultured and expanded *ex vivo*. When the *in vitro* cells reached the amount for transplantation, carriers as amniotic membrane or other substrate will be simultaneously applied to support the transplantation CLET. Depending on diverse LESCs sources, this technique has been wildly used in unilateral, partial, and total LSCD.

The most important advantage of CLET is that it requires significantly little amount of LESCs to achieve viable therapeutic effect. CLET technique can also improve epithelialization rate and reduce the risk of inflammation comparing to other transplantation techniques. To break the limit of the CLET source, both autologous and allogeneic LESCs were verified in clinical trials (42-45). As we can speculate, autologous cells are optimum source for CLET as autologous tissues require no systemic immunosuppression. Baylis et al. reviewed and summarized case series and reports of human limbal epithelium transplantation from 1997 to 2011, the combined average success rate of all allogenic and autogenic CLET is 76% by that time (44). This review also pointed that the success rate differs in the causes of LSCD, inflammatory diseases and chemical/thermal burns have obviously higher chance to be cured than congenital causes. Cauchi et al. also thoroughly evaluated the benefits and adverse effects of LSCD surgical interventions by systematic literature review (43), 26 reports screened from 2,374 were appraised by different criteria. The meaningful conclusion of the review is that LSCD interventions are promising but more standardized and prospective data need to be collected as well. A more recent study based on the metaanalysis of published cases also proved the effectiveness of CLET, and clarified that there is no significant difference between allograft and autograft in success rate as firstly shown in Baylis et al.'s review (44,45). All three reviews are recommended to read if further understanding of the big LSCD treatment picture is interested (43-45).

Despite the great outcome, multiple advantages and wildly usages, the safety issues and technique challenges of CLET do exist during each step of procedure. Above all, plenty kinds of animal and/or human based products or tissue are involved in the current CLET protocols, which carry risks for the patient. Unsafety serum product, toxic or infectious agents and contamination during cell culture are all uncertain factors for CLET success. Some potential risks can be reduced by xeno-free culture protocols, which is promising but not common yet. Furthermore, good manufacturing practice (GMP) is also required for CLET practice and raises the threshold of the technique. Simple limbal epithelial transplantation (SLET) is a new approach that only use minimum limbal tissue and scatter it for tissue transplantation, which now believed to be a valid alternative for CLET, firstly described by Sangwan *et al.* in 2011 (46).

#### **Cell transplantation therapy from other sources**

Although CLET performs well in LSCD treatment, the limitation of its source is obvious. To overcome the shortage of LESCs, several recent studies had investigated alternative sources for transplantation. Cultivated oral mucosal epithelial transplantation (COMET) technique using epithelial cells lining the mouth (oral mucosa) was firstly developed as a promising alternative for CLET (47,48). In 2004, Nishida et al. firstly applied the transplantation of cultured autologous oral mucosal cells to four bilateral LSCD patients, and all four patients showed obvious visual improvement (48). However, the long-term effect of COMET showed disadvantage as neo-vascularization in the cornea was observed in all patients after transplantation, which severely affect the visual acuity. Inactivated mouse fibroblasts were used as the culture substrate in this study that also limit the future usage of COMET.

More and more stem cell studies have been investigated to exploring the new source for CLET. Although most of the new developed stem cell application has not reached to the clinical step, some studies already showed promising experimental results as in mesenchymal stem cells from the adipose (49) or bone marrow tissue (50). Hair-folliclederived stem cells (51), umbilical-cord stem cells (52), and dental pulp stem cells (53) are all potential sources of stem cell transplantation therapy for LSCD. With promising future, the efficacy and clinical application for all the stem cells mentioned above is still to be verified and developed.

Human skin epithelial stem cells (SESCs) can also be developed as a putative LESCs source for LSCD treatment after certain genetic modification. PAX6 and Wnt7a have identified to be key molecules only for corneal epithelium differentiation but not epidermal keratinocytes (54). In SESCs, transgenic expression of PAX6 could induce corneal cell phenotype and K3/K12 (corneal cell marker) expression. Of note, PAX6-transduced SESCs from rabbit could efficiently restore the LESC niche and corneal epithelium in corneal damage model. This study implied that autologous SESCs can be used as LESCs source after relatively simple genetic reprogramming of few factors, and novel treatments may be achieved with the magnificent progress of gene editing method.

Induced pluripotent stem cells (iPSCs) technique has great potential for a serial of clinical applications with advantages of no risk for immuno-rejection and no ethically hurdle. In 2006, Hayashi *et al.* used iPSCs as a source for LESCs transplantation (55). In animal models, iPSCs can differentiated into self-formed ectodermal autonomous multi-zone (SEAM). CEpCs can be achieved from SEAM successfully and subsequently be transplanted to recover the visual function effectively. Given all the promising result achieved, disadvantages of therapy using iPSCs are also inevitable and unneglectable. GMP-grade product for iPSCs therapy need relatively high cost and expertise, no matter the oncogenesis risk during the therapy considering the immature understanding for iPSCs application.

Although various treatment options could help patient under different circumstances, a point need to be emphasized is that the effectiveness of the methods used to treat LSCD largely depends on the causative agent of the injury. The main therapies and promising studies along the history were summarized in the following table to get a clear overview for LSCD treatment (*Table 1*).

#### Conclusions

LESC research progressed greatly in recent years that benefits a lot for patients with corneal surface disease. However, developments still urgently needed in many respects. Identification of more specific LESC markers will profoundly help the tracing and enriching in stem cell population and facilitating the subsequent medical application. Those novels signaling pathways which can regulate homeostasis of LESCs and the niche are also need to be unveiled, which will guide the maintenance of cornea health.

Significant progress of tissue transplantation therapies has also been achieved in the past three decades, which benefited numerous patients from malignant diseases. In cornea field, the technique of CLAU, LAL, CLET and other newer techniques prevent millions of patients from blindness and also provide viable options for LSCD treatment in the future. Although development of the

Table 1 The summary of treatments and studies for LSCD

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Name	Species	Autologous/allogenic	Origin	Year	Post-surgery observation
CLAU (30)	Human	Autologous	Limbal tissue	1989	Average 18 months
LAL (33)	Human	Allogenic	Limbal tissue	1995	Average 17.2 months
CLET (39)	Human	Autologous	Cultured LESCs	1997	More than 2 years
COMET (48)	Human	Autologous	Mucosal epithelium	2004	14 months
SLET (46)	Human	Autologous	Limbal tissue	2011	9.2±1.9 months
Kpro (37)	Artifact	Allogenic	Boston Kpro type 1	2011	Up to 3 years
Dental pulp stem cells (53)	Human	Autologous	Dental pulp	2009	3 months
Hair-follicle stem cells (51)	Murine	Autologous	Hair-follicle	2011	7 weeks
Umbilical-cord lining stem cells (52)	Rabbit	Autologous	Umbilical-cord	2015	3 months
PAX6-transduced SESCs (54)	Human/rabbit	Autologous	SESCs	2014	90 days
Bone marrow stromal cells (50)	Human	Allogenic	Bone marrow	2015	25–35 days
Adipose-derived mesenchymal stem cells (49)	Human	Allogenic	Adipose	2015	3 months
iPS cell-derived ocular SEAM (55)	Human	Autologous	iPSC lines	2016	14 days

CLAU, conjunctival limbal autograft; LAL, limbal allograft; CLET, cultivated limbal epithelial transplantation; COMET, cultivated oral mucosal epithelial transplantation; SLET, simple limbal epithelial transplantation; Kpro, keratoprosthesis; SESCs, skin epithelial stem cells; iPS, induced pluripotent stem; iPSC, induced pluripotent stem cell; SEAM, self-formed ectodermal autonomous multi-zone; LSCD, limbal stem cell deficiency.

treatment technique is growing in an amazing pace, some difficult obstacles are eager to be overcome. In the future, new method to solve issues including large scale of xenofree culture, GMP generalization for stem cell therapy and efficient immunosuppression with low side effect should be developed to get better solution for LSCD.

New stem cell researches in the field of LSCD treatment developed vigorously in recent years, and they not only provide new sources for cell transplantation but also new percepts for method exploring. More effort should put into this blooming research area to achieve more efficient therapeutic effect and also conquer the putative obstacles in the future.

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