



# Sickle cell retinopathy and systemic disease

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**Abstract:** Sickle cell disease (SCD) is a widespread hemoglobinopathy that results in significant patient morbidity and mortality. Vascular occlusion can cause acute pain, acute chest syndrome, and avascular necrosis, while hemolysis and endothelial disruption can cause ischemic stroke, leg ulcers, pulmonary hypertension, and priapism. All ocular and orbital structures can be affected by SCD ischemic events, including orbital bone infarction, ischemic optic neuropathy, retinal artery occlusion, hyphema, secondary glaucoma, sickle cell maculopathy, and sickle cell retinopathy. Proliferative sickle cell retinopathy (PSR) is the most common cause of vision loss. Untreated PSR can lead to macular ischemia, vitreous hemorrhage, and tractional retinal detachment. Ophthalmic screening exams and multimodal imaging can lead to earlier detection of sickle cell retinopathy and improved patient outcomes. SCD patients undergoing vitreoretinal surgery may require coordination of care with hematologists to avoid ischemic complications. While hydroxyurea was the only United States Food and Drug Administration approved treatment for several decades, patients with SCD now have several more treatment options. Despite the United States screening all infants for SCD, there can be delays in diagnosis and treatment. This review article aims to provide an overview of sickle disease for the ophthalmologist, and to discuss emerging treatment options and current management of SCD ocular complications.

**Keywords:** Sickle cell anemia; sickle cell disease (SCD); sickle cell retinopathy; retinal detachment; vitreous hemorrhage (VH)

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## Introduction

### Background

In 1910, James B. Herrick described the first case of sickle cell disease (SCD) in a dental student from Grenada who presented with pulmonary symptoms (1). By 1949, Linus Pauling demonstrated differential migration of sickle cell hemoglobin (HbS) compared to normal hemoglobin (Hb) by gel electrophoresis (2). The sickle cell mutation was later described as a point mutation resulting in an amino acid substitution of glutamate to valine on the beta-globin

subunit in Hb (3). As a result, deoxygenation in patients with SCD results in red blood cell sickling, and subsequent multiorgan system damage (4).

Worldwide, approximately 300,000 people affected by SCD are born each year (5). Over half of these patients are born in the Democratic Republic of the Congo, India, and Nigeria (5). This geographical bias is due to the sickle cell trait (heterozygous for the sickle cell mutation) providing a high degree of protection from severe malaria (6). In the United States, there are about 100,000 total patients with SCD (7). Due to migration and the slave trade, SCD is now

present in most countries (5).

### ***Rationale and knowledge gap***

Unfortunately, patients with SCD experience significant morbidity and an average life expectancy 20 years less than the general population. In the United States, the life expectancy for patients with SCD is 54 years, while the life expectancy for patients without SCD is 76 years (8). Pediatric patients with SCD in sub-Saharan Africa have a high mortality rate, as 50% to 95% die by the age of 5 years (5). In recent years, exciting new treatment options have emerged to improve patients' morbidity and mortality.

### ***Objective***

This review article aims to provide an overview of sickle disease for the ophthalmologist and to discuss emerging treatment options and current management of SCD ocular complications.

## **SCD overview**

### ***Genetics and pathophysiology***

SCD is caused by a single alteration in the gene coding for the beta-globin subunit in Hb (3). Hb is a tetrameric molecule consisting of identical subunits (alpha, beta, gamma, or delta), each encoded by different genes (9). During fetal life, the predominant Hb is HbF (two alpha and two gamma subunits). In the postnatal period, HbF is normally replaced by HbA (two alpha and two beta subunits). HbS results from a single substitution of valine for glutamic acid in the beta globin subunit, and Hb C results from a single substitution of lysine for glutamic acid in the beta globin subunit. Patients with two HbS molecules (HbSS) have sickle cell anemia, and patients with the heterozygous mutation of hemoglobin SC (HbSC) have milder sickle cell anemia. Different genotypes result in different SCD phenotypes, with HbSS experiencing the most severe systemic variant and HbSC having a relatively better clinical course (10). However, the severity of retinopathy is reversed, as patients with HbSS have less severe retinopathy compared to those with HbSC (11). If just one HbS molecule is present, usually hemoglobin AS, the patient has sickle cell trait, which may have mild systemic symptoms and rarely demonstrates ophthalmic effects.

When deoxygenated, HbSS polymerizes and causes red

blood cells to adopt a sickle shape (12). Sickled red blood cells have an increased adhesion to endothelial cells in blood vessel walls. Sickled cells can interact with platelets and white blood cells leading to vascular occlusion. Sickled cells hemolyze into free Hb, leading to endothelial cell dysfunction and inciting an inflammatory cascade (13). Chronic and recurrent sickling, hemolysis, and endovascular inflammation results in pain and organ damage (14). Vascular occlusion can lead to acute pain, acute chest syndrome, and avascular necrosis (15,16). Hemolysis and endothelial disruption can lead to ischemic stroke, leg ulcers, pulmonary hypertension, and priapism (15,16).

### ***Diagnosis***

Some countries, including the United States, screen all infants for SCD (16). Dried blood spot samples are screened through high-performance liquid chromatography or isoelectric testing to detect the presence of Hb variants (17). Solubility testing cannot differentiate between SCD or sickle cell trait, and may give false negative results (18). Diagnosis is confirmed by Hb electrophoresis (16). Despite screening, diagnosis and communication can be time consuming, requiring expensive equipment, skilled operators, and sometimes additional visits to explain the diagnosis (19). When not identified via screening, SCD might be diagnosed through evaluating a patient with normocytic anemia, atraumatic pain, or other systemic manifestations (16).

### ***Systemic manifestations***

Patients with SCD tend to experience both acute pain crises and chronic pain (16). Acute pain episodes are the most common complication of patients with SCD (20). Vital signs and laboratory values do not confirm or rule out pain crises (16). Severe pain crises are managed with analgesics, including opioids and nonsteroidal anti-inflammatory drugs (20,21). Unlike the general population, patients with chronic pain related to SCD are not more likely to become addicted to analgesics (16). Unless otherwise indicated, supplemental oxygen, intravenous fluids, and exchange transfusions are not necessary (20,21).

In children, splenic sequestration of sickled red blood cells is the most common cause of acute anemia, defined as a decline in baseline Hb of at least 2 g/dL (22). Due to hypovolemia and shock, emergent red blood cell transfusion is necessary (16). Since splenic sequestration can recur, splenectomy can be considered. Patients with SCD are

also particularly susceptible to aplastic anemia, a condition in which parvovirus B19 infection results in reduced bone marrow production of red blood cells. Aplastic anemia should also be treated with emergent red blood cell transfusion (22).

Both pediatric and adult patients with SCD are at a higher risk of thromboembolism (23-25), and pharmacologic prophylaxis is recommended for all hospitalized patients with SCD (26). Acute treatment of thromboembolism is similar to the general population, although practitioners may have a lower threshold to institute chronic thromboembolism prophylaxis (26).

Male and female patients with SCD have diminished reproductive health (27). Pregnant patients with SCD have a higher rate of preeclampsia, urinary tract infections, low birth weight (28), and thromboembolic events (29). To mitigate the risk of thromboembolism, estrogen containing oral contraceptives should be avoided (30). In men, sperm abnormalities can occur in up to 90% of patients with SCD, possibly due to hypogonadism or testicular infarction (31,32).

Because of the increased risk of sepsis, stroke and perioperative complications, preventative guidelines have been put in place for patients with SCD. To mitigate sepsis, patients with SCD are recommended to receive penicillin prophylaxis daily until 5 years old and to receive appropriate immunizations (21). Patients with SCD aged 2–16 years old are recommended to receive annual transcranial Doppler ultrasounds (33). Abnormal transcranial Doppler ultrasound patients can receive monthly red blood cell (RBC) transfusions to decrease their risk of developing strokes (33). To reduce the risk of perioperative complications, especially acute chest syndrome (16), preoperative RBC transfusion can be considered in cases using general anesthesia that last greater than 1 hour (34).

### ***Emerging treatments***

For approximately 20 years, hydroxyurea was the only United States Food and Drug Administration (FDA) approved treatment for patients with SCD (14). Hydroxyurea is an oral medication that increases HbF production by inhibiting ribonucleotide reductase and also increases nitric oxide, decreases red blood cell adhesion, and decreases leukocytes. Hydroxyurea is considered the first-line therapy for most patients with SCD, and is prescribed as early as 9 months of age.

Crizanlizumab is an FDA approved oral antibody medication directed against P-selectin, an adhesion

molecule implicated in endothelial cell vascular occlusion. A phase 2 trial demonstrated crizanlizumab decreases pain crises when compared to placebo in patients with SCD aged 16 to 65 years old (35). The authors recommend consideration of crizanlizumab as a second line treatment, in particular for patients with at least two pain crises per year on hydroxyurea or for patients intolerant to hydroxyurea.

L-glutamine is an FDA approved oral amino acid supplement for SCD that reduces reactive oxygen molecules to mitigate sickling and blood cell adhesion. A recent randomized controlled trial of 230 patients demonstrated a 25% reduction in acute pain crises compared to placebo, as well as significantly fewer hospitalizations (36). As with crizanlizumab, the authors recommend consideration of L-glutamine as a second line treatment for SCD pain management.

Voxelotor is an FDA approved oral medication that promotes HbS binding to oxygen, resulting in decreased sickling and hemolysis. In a recent phase 3 randomized clinical trial, voxelotor increased serum Hb by at least 1.0 g/dL (51%) compared to 7% of patients in the placebo group (37). Voxelotor is now a second line agent for sickle cell anemia.

In addition to these newly approved therapies, there are promising gene therapy experiments ongoing for SCD. One recently published case series by Kanter in 2022 used gene therapy with LentiGlobin infusions (bb1111; lovetibeglogene autotemcel) (38). Autologous transplantation of hematopoietic stem and progenitor cells transduced with a lentiviral vector encoding a modified beta-globin produced an anti-sickling manufactured Hb molecule (38). In their case series of 35 patients, modified autologous stem cell engraftment occurred in all patients. The anti-sickling manufactured Hb molecule represented at least 40% of total Hb, and serum Hb increased significantly from a median of 8.5 to 11 g/dL. Of the 25 patients experiencing vascular occlusive events, all had resolution over a median follow-up of 17 months. These 25 patients previously had a median 3.5 events per year in the 24 months prior to study enrollment. Other gene modification techniques are being developed, such as CRISPR/Cas9 gene correction (39), pyruvate kinase activators (40), and proinflammatory cytokine inhibitors (41).

### **SCD ophthalmic manifestations**

All ocular and orbital structures can be affected by ischemic events secondary to SCD (42), including orbital bone infarction (43), ischemic optic neuropathy (44), retinal artery

occlusion (45), hyphema (46), secondary glaucoma (46), sickle cell maculopathy (47), and sickle cell retinopathy (48).

### **Orbital disease**

Patients with SCD can develop infarctions of the orbital bones, commonly the greater wing of the sphenoid (49). Orbital infarction is more likely to occur in pediatric than adult patients due to their greater orbital bone marrow space (50). Orbital infarction may result in sterile inflammation and orbital hematoma. Symptoms of orbital bone infarction can include pain, headache, fever, periorbital edema, proptosis, and limited extraocular motility (49). Vision loss may occur if there is an exudative retinal detachment or optic nerve compression (51). Diagnosis is aided by computed tomography or magnetic resonance imaging, as well as doppler velocimetry to demonstrate arteriolar resistance (52,53). While rare, it is possible orbital bone infarctions are underdiagnosed given their tendency to spontaneously improve (42). Treatment consists of adequate hydration, pain control, steroids, and orbital decompression (52).

### **Hyphema and secondary glaucoma**

Hyphema, ghost cells from vitreous hemorrhage (VH), or neovascularization (NV) of the angle can cause intraocular pressure (IOP) elevation and secondary glaucoma (46). Thus, all traumatic hyphema patients of African descent should be screened for a sickling hemoglobinopathy. Sickled red blood cells have a high tendency to occlude the trabecular meshwork, thus resulting in increased IOP. IOP lowering therapy should be initiated early, as the central retinal artery and optic disc may have impaired circulation and be more susceptible to damage at lower pressures than patients without SCD (44,45). When IOP lowering medication is insufficient, an early anterior chamber washout may prevent optic nerve damage (46). Carbonic anhydrase inhibitors (e.g., acetazolamide) should be used with extreme caution, as administration may worsen sickling through dehydration and metabolic acidosis (54).

### **Sickle cell retinopathy**

#### **Stages**

Goldberg classified sickle cell retinopathy into five stages related to retinal vasculature alterations with disease progression (48). Stages I–II represent non-proliferative sickle cell retinopathy (NPSR), and Stages

III–V represent proliferative sickle cell retinopathy (PSR). Peripheral arteriolar occlusions result in peripheral retinal nonperfusion (Stage I). Remodeling of the peripheral retinal vasculature causes arteriovenous (AV) anastomoses (Stage II). Transformation to Stage III represents development of NV at the posterior edge of the retinal nonperfusion (*Figure 1A,1B*). The classic white seafan occurs with autoinfarction of the NV, resulting in NV regression. Bleeding from the NV results in VH (Stage IV). Finally, degenerative changes in the vitreous over the leaking NV lead to the formation of preretinal tractional membranes that can ultimately cause a tractional retinal detachment (TRD) (Stage V).

The Jamaican Cohort Study revealed the incidence of PSR is highest in patients with HbSC (43%) compared to HbSS (14%) by age 20.5 years (28). NPSR findings include retinal vascular abnormalities include retinal vascular occlusion, AV hairpin anastomoses, salmon-patch hemorrhages (intraretinal hemorrhages following artery occlusion), black sunbursts (focal areas of retinal pigment epithelium hypertrophy/hyperplasia), and iridescent spots (resorbed hemorrhages underneath the internal limiting membrane) (42). PSR is associated with macular occlusive disease, and the release of angiogenic mediators that promote NV, VH, and TRD (42).

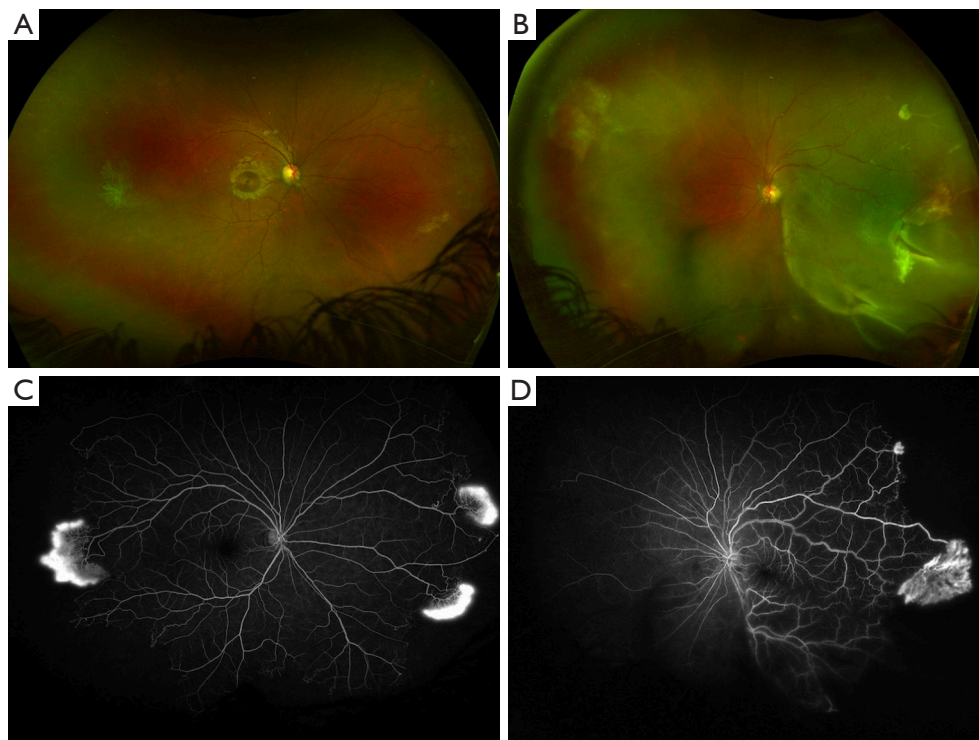
#### **Diagnosis**

A thorough history, medical and ophthalmologic exam with dilated fundus exam can lead to clinical suspicion of SCD. Hb electrophoresis should be ordered to determine the SCD type. Ultra-widefield fluorescein angiography aids in the visualization of peripheral retinal vascular disease and is useful in diagnosing all forms of sickle cell retinopathy (55,56) (*Figure 1C,1D*). Optical coherence tomography and optical coherence tomography angiography can be helpful in the diagnosis of macular ischemia through the identification of macular thinning and flow voids in the superficial and deep retinal capillary plexi (57,58). Even in asymptomatic patients, identification of macular vascular changes might be helpful in detecting earlier disease, providing prognosis, and informing treatment decisions (42).

#### **Management**

All patients with sickle cell retinopathy should be co-managed by hematology and ophthalmology. As with other systemic diseases affecting the eye, treating the underlying disease often impacts the development and progression of sickle cell retinopathy. Here we will review local treatment options for ophthalmologists.





**Figure 1** Wide-field fundus photography demonstrating seafan peripheral neovascularization in both eyes of a patient with proliferative sickle cell retinopathy (A,B); fluorescein angiography clearly demonstrates the presence of hyperfluorescence and leakage corresponding to the areas of retina neovascularization, along with vascular loops and peripheral retinal non-perfusion in both eyes (C,D). A rhegmatogenous retinal detachment due to a horseshoe tear temporally below the area of neovascularization can be seen in the left eye (B).

### *Laser photocoagulation*

The average age of development of PSR is around 13 years of age (59), and screening for PSR is therefore recommended every 1 to 2 years from age 10 years (60). Ophthalmologists should consider scleral depressed exams, as retinal tears and rhegmatogenous retinal detachment (RRD) are more common in PSR than proliferative diabetic retinopathy (61). PSR may be treated with laser photocoagulation to the ischemic retina to cause regression of NV and decrease the risk of NV and TRD (62), but the benefit of laser remains controversial.

A Cochrane review assessed three randomized controlled trials of 414 eyes, each of which used a different laser technique, including focal scatter (63), feeder vessel coagulation (64), and sectoral scatter laser (65). No difference was reported in either complete regression of PSR (65) or the development of new PSR (64,65) when sectoral and feeder vessel coagulation was performed. Focal scatter laser reported regression in 55% of the treated group versus 28.6% of controls and progression of PSR

in 10.5% of treated versus 25.7% of control eyes, but the review graded this to be low-certainty evidence. Both the studies using sectoral and feeder vessel coagulation reported greater vision loss in the control group compared to the treated group, while the focal scatter group showed no difference between the groups. Again, the certainty of this data was considered low. Lastly, all three studies showed less VH in the treated groups, but the data was again assessed to be low certainty.

Given these limited studies, it is not surprising that practice patterns regarding the use of laser photocoagulation for PSR vary. While many retina specialists implement laser as soon as PSR features are noted, others consider anti-vascular endothelial growth factor (VEGF) injections (66), which has been associated with regression of NV with PSR (67) and aiding operative management (68). Still others recommend observation (66), supported by the 40% autoinfarction rate of seafan NV complexes. More research is needed to further understand the risks and benefits of laser treatment and intravitreal injections of anti-VEGF

compared to observation (62).

### ***Vitreoretinal surgery***

Vitreoretinal surgery can be performed for non-clearing VH, RRD, and TRD. Preoperative considerations are necessary, as patients with SCD are at higher risk of systemic and ocular vascular occlusive events (69). In 1971, Ryan and Goldberg reported anterior segment ischemia in 71% of patients receiving encircling scleral buckles (70). The authors proposed this high rate was due to compromised ciliary blood flow, inducing vascular occlusive disease. As a result, they recommended preoperative exchange transfusions and supplemental oxygen (70). Furthermore, Ryan and Goldberg recommended avoiding sympathomimetic agents, ciliary vessel damage, and extraocular muscle removal (70).

However, exchange transfusions have not been needed with more modern vitrectomy techniques, and scleral buckle placement seems to be well tolerated as long as the buckle indentation is relatively low-lying. A review of seven studies of 108 eyes undergoing posterior segment surgery reported no ischemic complications (69). Specifically, three larger recent case series from 2009–2018 reported no incidences of anterior segment ischemia despite not using exchange transfusions (71–73). Perhaps the increased use smaller gauge pars plana vitrectomy and shorter operating room times are reasons for reduced complications (69). Additionally, scleral buckles tend to be not as high and broad (69), and modern vitrectomy equipment has significantly improved IOP control with valved cannulas (73). In more complex vitreoretinal surgery cases, coordination of care between hematologists and ophthalmologists is still recommended to mitigate complications (69).

TRDs are exceedingly challenging to repair in SCD patients. The peripheral ischemic retina is thin and prone to iatrogenic retinal breaks, converting the case into a combined rhegmatogenous and TRD (72). Unlike diabetic patients, the traction points requiring dissection tend to be in the anterior retina, which is quite difficult in young phakic patients (72). Prevention of additional iatrogenic breaks may be aided by bimanual surgery with a chandelier, segmentation rather than delamination of preretinal membranes, and minimal tension on retinal surface.

### **Conclusions**

SCD is a hemoglobinopathy that causes significant morbidity and mortality throughout the world. Patients with SCD experiencing deoxygenation develop red blood cell sickling with subsequent vascular occlusion and

hemolysis. Vascular occlusion can cause acute pain, acute chest syndrome, and avascular necrosis, while hemolysis and endothelial disruption and cause ischemic stroke, leg ulcers, pulmonary hypertension, and priapism. Significant efforts have been made to reduce the risk of sepsis, stroke, and peri-operative complications including the use of hydroxyurea and the introduction of new FDA approved medications like crizanlizumab, L-glutamine, and voxelator. Additionally, several promising new gene therapy trials are ongoing. While PSR is a well described entity, guidelines regarding laser photocoagulation, intravitreal injections, and vitreoretinal surgery management remain based on expert advice and small case series due to limited published data and challenges performing randomized controlled trials. Further research is necessary to investigate optimal management strategies for patients with SCD.

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