

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Lee 1



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Daniel	2. Surname (Last Name) Lee	3. Date 10-June-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Abby White, DO		
Manuscript TitleSignet-ring cell esophageal cancer is as and disease-free survival	ssociated with poor progno	osis in the modern treatment era: factors influencing overall		
6. Manuscript Identifying Number (if you k	now it)			
Section 2. The Work Under C	Consideration for Public	cation		
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,		
Section 3. Relevant financial	activities outside the s	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes V				
Section 4. Intellectual Prope	rty Patents & Copyric	ghts		
Do you have any patents, whether plar	nned, pending or issued, br	roadly relevant to the work? Yes No		

Lee 2



Section 5. Polotionskips not solvered above
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Mr. Lee has nothing to disclose.

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patent

Mazzola 1



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1. Given Name (First Name) Emanuele	2. Surname (Last Name) Mazzola	3. Date 05-June-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Abby White
Manuscript TitleSignet-ring cell esophageal cancer is as and disease-free survival	ssociated with poor progn	osis in the modern treatment era: factors influencing overall
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		_
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any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ibed in the instructions. Upport relationships that we est?	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Name of Entity	Grant' _	n-Financial Other? Comments
The VeraMedica Institute LLC		Statistical Consultation
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Mazzola 2



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Dr. Mazzola reports personal fees from The VeraMedica Institute LLC, outside the submitted work; .

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Bhagat 1



Section 1. Identifying Inform	nation			
Given Name (First Name) Rohun	2. Surname (Last Name) Bhagat	3. Date 05-June-2020		
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Abby White		
5. Manuscript Title Signet-ring cell esophageal cancer is as and disease-free survival	ssociated with poor progno	osis in the modern treatment era: factors influencing overall		
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Bhagat 2



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Kucukak 1



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1. Given Name (Firs	st Name)	2. Surname (Las Kucukak	st Name)		3. Date 05-June-2020
4. Are you the corre	esponding author?	Yes ✓	No	Corresponding Author's Na Abby White	me
5. Manuscript Title Signet-ring cell es and disease-frees	sophageal cancer is ass	sociated with po	oor progno	sis in the modern treatme	nt era: factors influencing overall
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of compensation) clicking the "Add	with entities as descri	bed in the instru port relationship	uctions. Use	e one line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication.
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Do you have any p	patents, whether planr	ned, pending or	issued, bro	oadly relevant to the work:	? ☐ Yes ✓ No

Kucukak 2



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Kucukak 3



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Bravo-lñiguez 1



Section 1. Identifying Infor	mation				
1. Given Name (First Name) Carlos	2. Surname (Last Name) Bravo-Iñiguez	3. Date 05-June-2020			
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Abby White, DO			
5. Manuscript Title Signet-ring cell esophageal cancer is treatment era: factors influencing over					
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Section 1. Identifying Inform				
Identifying Infor	mation			
1. Given Name (First Name) Raphael	2. Surname (Last Name) Bueno		3. Date 05-June-2020	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author Abby White, DO	or's Name	
5. Manuscript Title Signet-ring cell esophageal cancer is a and disease-free survival	nssociated with poor progn	osis in the modern tre	atment era: factors influencing o	verall
6. Manuscript Identifying Number (if you I	know it)			
		_		
Section 2. The Work Under (Consideration for Publi	cation		
Did you or your institution at any time rec any aspect of the submitted work (includir statistical analysis, etc.)?				
Are there any relevant conflicts of inte	rest? Yes Vo			
Section 3. Relevant financia	l activities outside the	submitted work.		
Place a check in the appropriate boxes of compensation) with entities as described in the compensation of	ribed in the instructions. U	se one line for each er	ntity; add as many lines as you ne	eed by
clicking the "Add +" box. You should re Are there any relevant conflicts of inte	· _ · _	re present during the	e 36 months prior to publicatio	n.
If yes, please fill out the appropriate in				
Name of Entity	Grant•	n-Financial Other?	Comments	
Medgenome	✓			
Roche	✓			
Verastem	✓			
Merck	✓			
Gristone	✓			
Epizyme	✓			
Siemens	V			
Celsisus				



Name of Entity	Grant?	_		Other?	Comments
NCI	✓	Fees?	Support		
DoD	<u> </u>				
NIH	/				
Section 4. Intellectual	Property Pat	ents & Cop	yrights		
Do you have any patents, wheth	er planned pend	ina or issua	d broadly releva	nt to the	work? ✓ Yes No
, , ,		-	•		ty press the "ADD" button to add a row.
Excess rows can be removed by	pressing the "X" k	outton.			`
Patent?	Pending? Issue	ed? Licens	ed? Royalties?	License	e? Comments
7,622,260		√		BWH	
8,450,057		✓		BWH	
8,551,700		√		BWH	
9,446,050		√		BWH	
Section 5. Relationshi	ps not covered	above			
				influence	d, or that give the appearance of
potentially influencing, what yo	u wrote in the sur	mittea wor	K!		
✓ Yes, the following relationsh	ips/conditions/ci	cumstance	s are present (exp	olain belo	w):
No other relationships/cond	itions/circumstan	ces that pre	sent a potential	conflict of	finterest
Patents/Equity in Navigation Sci	ences				
, a.c., -q, g					

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.



Section 6.

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Bueno reports grants from Medgenome, grants from Roche, grants from Verastem, grants from Merck, grants from Gristone, grants from Epizyme, grants from Siemens, grants from Celsisus, grants from NCI, grants from DoD, grants from NIH, outside the submitted work; In addition, Dr. Bueno has a patent 7,622,260 licensed to BWH, a patent 8,450,057 licensed to BWH, a patent 8,551,700 licensed to BWH, and a patent 9,446,050 licensed to BWH and Patents/Equity in Navigation Sciences.

Evaluation and Feedback

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Instructions

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Mamon 1



Section 1. Identifying Infor	mation			
Given Name (First Name) Harvey	2. Surname (Last Name) Mamon	3. Date 10-June-2020		
4. Are you the corresponding author?	Yes No Corresponding Author's Name Abby White			
5. Manuscript Title Signet-ring cell esophageal cancer is associated with poor prognosis in the modern treatment era: factors influencing overall and disease-free survival				
6. Manuscript Identifying Number (if you	6. Manuscript Identifying Number (if you know it)			
Section 2. The Work Under	Section 2. The Work Under Consideration for Publication			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo				
Section 3. Relevant financial activities outside the submitted work.				
of compensation) with entities as desc	cribed in the instructions. Us eport relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.		
Section 4. Intellectual Prope	erty Patents & Copyric	yhts		
Do you have any patents, whether pla	nned, pending or issued, br	oadly relevant to the work? Yes V No		

Mamon 2



Section 5. Polotionskips not sovered above			
Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement On occasion, journals may ask authors to disclose further information about reported relationships.			
Section 6. Disclosure Statement			
Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Mamon has nothing to disclose.			

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Mamon 3



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Royalties: Funds are coming in to you or your institution due to your patent

Jaklitsch 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Michael	2. Surname (Last Name) Jaklitsch	3. Date 05-June-2020	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Abby White	
5. Manuscript Title Signet-ring cell esophageal cancer is associated with poor prognosis in the modern treatment era: factors influencing overall and disease-free survival			
6. Manuscript Identifying Number (if you kn	6. Manuscript Identifying Number (if you know it)		
		_	
Section 2. The Work Under Co	ancidovation for Dublic	ration	
The Work Under Consideration for Publication Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for			
any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?			
Are there any relevant conflicts of interest?			
Section 3. Polovant financial	activities outside the s	whitted work	
of compensation) with entities as descri	bed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by	
clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	·	e present during the 36 months prior to publication.	
Section 4. Intellectual Proper	ty Patents & Copyrig	phts	
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the work? Yes V No	

Jaklitsch 2



Section 5. Polationships not severed phase			
Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
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Section 6. Biochamus Statement			
Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Jaklitsch has nothing to disclose.			

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent

Wee 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Jon	rst Name)	2. Surname (Last N Wee	Jame) 3. Date 05-June-2020	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Anny White, DO	
5. Manuscript Title Signet-ring cell esophageal cancer is associated with poor prognosis in the modern treatment era: factors influencing overall and disease-free survival				
6. Manuscript Ide	nuscript Identifying Number (if you know it)			
Section 2.	=1 W 1U 1 C			
	The Work Under Co			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?				
Are there any rel	e there any relevant conflicts of interest? Yes V			
Section 3.	Polovant financial	activities outsid	e the submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication .				
_	Are there any relevant conflicts of interest? Yes No			
If yes, please fill o	out the appropriate info	ormation below.		
Name of Entity		Grant? Person	al Non-Financial Other? Comments	
		Fees	Support 4	
Ethicon			Consultant	
Medtronic		✓	Consultant	
Section 4.	Intellectual Proper	rty Patents & C	opyrights	
Do you have any		•	ued, broadly relevant to the work? Yes V No	
Do you have ally	paterits, whether plan	nea, penaing or iss	aca, bloadly relevant to the work:	

Wee 2



Section 5. Polotionskips not solvered above			
Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
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Section 6. Disclosure Statement			
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Wee reports personal fees from Ethicon, personal fees from Medtronic, outside the submitted work;.			

Evaluation and Feedback

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Wee 3



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Swanson 1



Section 1.	Identifying Inforn	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Swanson	3. Date 05-June-2020
4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Name Abby White, DO
5. Manuscript Title Signet-ring cell e and disease-free	esophageal cancer is as	ssociated with poor prog	nosis in the modern treatment era: factors influencing overall
6. Manuscript Ider	ntifying Number (if you kı	now it)	
	ı		
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Are there any relevant conflicts of interest? Yes V			
Section 3.	Relevant financial	activities outside the	e submitted work.
of compensation clicking the "Add) with entities as descr I +" box. You should re	ibed in the instructions. port relationships that w	whether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication .
•	evant conflicts of interout the appropriate info		
N (5 ::		Personal N	on-Financial 7 Comments
Name of Entity		Grant Fees Fees	Support? Comments
Ethicon			
Covidien			
Section 4			
Section 4.	Intellectual Prope	rty Patents & Copy	rights
Do you have any	patents, whether plan	ned, pending or issued,	broadly relevant to the work? Yes Vo

Swanson 2



Section 5. Polotionships not sovered above		
Relationships not covered above		
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Dr. Swanson reports other from Ethicon, other from Covidien, outside the submitted work; .		

Evaluation and Feedback

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Swanson 3



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White 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name)	2. Surname (Last Name)	3. Date	
Abby	White	01-June-2020	
4. Are you the corresponding author?	✓ Yes No		
5. Manuscript Title Signet-ring cell esophageal cancer is associated with poor prognosis in the modern treatment era: factors influencing overall and disease-free survival			
6. Manuscript Identifying Number (if you k	now it)		
Section 2. The Work Under C	onsideration for Publication		
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White 2



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White 3