



Impact of intra-pyloric botulinum toxin injection on delayed gastric emptying following esophagectomy: systematic review and meta-analysis

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Background: The value of pyloric interventions during esophagectomy remains controversial. Injecting botulinum toxin-A (BT-A) into the pylorus is proposed to reduce delayed gastric emptying by inhibiting the pyloric muscle.

Methods: We performed a systematic review and meta-analysis, searching in MEDLINE, EMBASE and Cochrane-collaboration databases to identify studies comparing intra-operative pyloric BT-A injection with no intervention during esophagectomy for cancer. We assessed rates of delayed gastric emptying, post-operative pyloric endoscopic balloon dilatations, anastomotic leaks, respiratory complications and mortality.

Results: Among 103 potentially relevant studies, 7 cohort studies (n=781 patients) met the inclusion criteria. Comparing BT-A use to no pyloric intervention, the pooled odds ratio (OR) was 0.59 [95% confidence interval (CI): 0.24–1.47; P=0.26] for post-operative delayed gastric emptying, 1.75 (95% CI: 0.68–4.48; P=0.24) for endoscopic balloon dilatations, 1.01 (95% CI: 0.54–1.87; P=0.98) for anastomotic leak, 0.60 (95% CI: 0.33–1.09; P=0.10) for respiratory complications and 1.11 (95% CI: 0.39–3.18; P=0.85) for mortality.

Conclusions: Meta-analysis of currently available data suggests BT-A use results in no significant impact on rates of delayed gastric emptying, requirement for endoscopic balloon dilatation, anastomotic leaks, respiratory complications or mortality. The use of BT-A and other pyloric interventions should be the subject of larger randomised trials. Currently, the heterogeneity of data available for meta-analysis and lack of consistent definitions precludes routine use of pyloric interventions.

Keywords: Cancer esophagus; esophageal cancer surgery; gastric emptying; gastric pull-up

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Introduction

Delayed gastric emptying occurs in 15–39% (1) of patients after esophagectomy. It is a result of the transection of the vagal nerve leading to denervation of the pyloric muscle. The condition is defined as the presence of any of two of the following symptoms: early satiety, vomiting, nausea, regurgitation of limited oral intake or delayed contrast passage on contrast imaging post-operatively (2,3). The use of pyloric drainage procedures to mitigate or prevent delayed gastric emptying as part of oesophageal surgery remains controversial. Practice is still variable with some surgeons routinely performing a pyloric intervention and others not (4). Proponents of pyloric intervention argue that it reduces the rate of delayed gastric emptying and associated risks, including aspiration pneumonia and anastomotic leak. Others contend that the risks are low and delayed gastric emptying can be managed if it occurs without the risk incurred by additional operative intervention. It has also been proposed that pyloric intervention may leave patients susceptible to bile reflux (5).

Botulinum toxin-A (BT-A), more commonly called botox, is a bacterial neurotoxin and a potent paralytic agent that inhibits the calcium-dependent release of acetylcholine from cholinergic nerve terminals (6). BT-A has been successfully used in the treatment of achalasia (7), diffuse oesophageal spasm (8) and on the pylorus for the treatment of diabetic gastroparesis (6). For pyloric intervention, it is

typically injected endoscopically with 100–200 units (total) injected into 4 quadrants of the pyloric sphincter (5,9-14). The safe nature of BT-A (15) and the relative ease of use compared to other pyloric interventions, especially during laparoscopic surgery make it an attractive option in modern oesophageal surgery. We performed a systematic review and meta-analysis comparing BT-A use to no pyloric intervention in relation to risk of delayed gastric emptying and associated clinical outcomes. We present the following article in accordance with the MOOSE reporting checklist (available at <https://aoe.amegroups.com/article/view/10.21037/aoe-22-29/rc>).

Methods

A systematic literature search of MEDLINE, EMBASE and the Cochrane Library was performed for studies published between January 1990 and July 2021. The search terms were “esophagus” or “esophageal” and “cancer” or “resection” or “esophagectomy” and “botox” or “botulinum”. Additionally, reference lists of all relevant studies were reviewed. We considered cohort studies and randomised clinical trials that compared esophagectomy with BT-A injected into the pylorus either endoscopically or laparoscopically at the time of esophagectomy, to esophagectomy without any pyloric intervention. We excluded studies not including esophagectomy, those in the paediatric population and those which described any reconstructive method apart from gastric conduit were excluded. No non-English studies were found. The records from the initial search were scanned by two authors (A.B. and P.H.P.) to exclude any duplicate and irrelevant studies. Any discrepancies were resolved by discussion and consensus. The outcomes selected were the most clinically relevant including; rates of delayed gastric emptying, post-operative pyloric endoscopic balloon dilatations, anastomotic leaks, respiratory complications and mortality. Results were reported in accordance with the MOOSE (Meta-analysis Of Observational Studies in Epidemiology) guidelines and tabulated in Microsoft Excel (Microsoft, Redmond, Wahington) (16). Study type, type of esophagectomy, method of administration of BT-A, definitions of DGE and definitions of primary outcomes were also extracted. Any missing data resulted in that study being excluded from the individual meta-analysis.

Statistical analysis

Statistical analysis was performed using Review manager

Highlight box

Key findings

- This meta-analysis suggests botulinum toxin-A (BT-A) use results in no significant impact on rates of delayed gastric emptying, requirement for endoscopic balloon dilatation, anastomotic leaks, respiratory complications or mortality when injected as a pyloric intervention during oesophageal surgery.

What is known and what is new?

- Pyloric interventions are used routinely in many esophagectomy centres;
- Previous meta-analysis of all types of pyloric interventions *vs.* no intervention have shown no significant benefits to performing them;
- We examined the use of BT-A only as this is a novel technique which may be easier to use in the minimally invasive era.

What is the implication, and what should change now?

- Further well-designed and large randomised controlled trials are required to assess the benefits of pyloric interventions during esophagectomy.

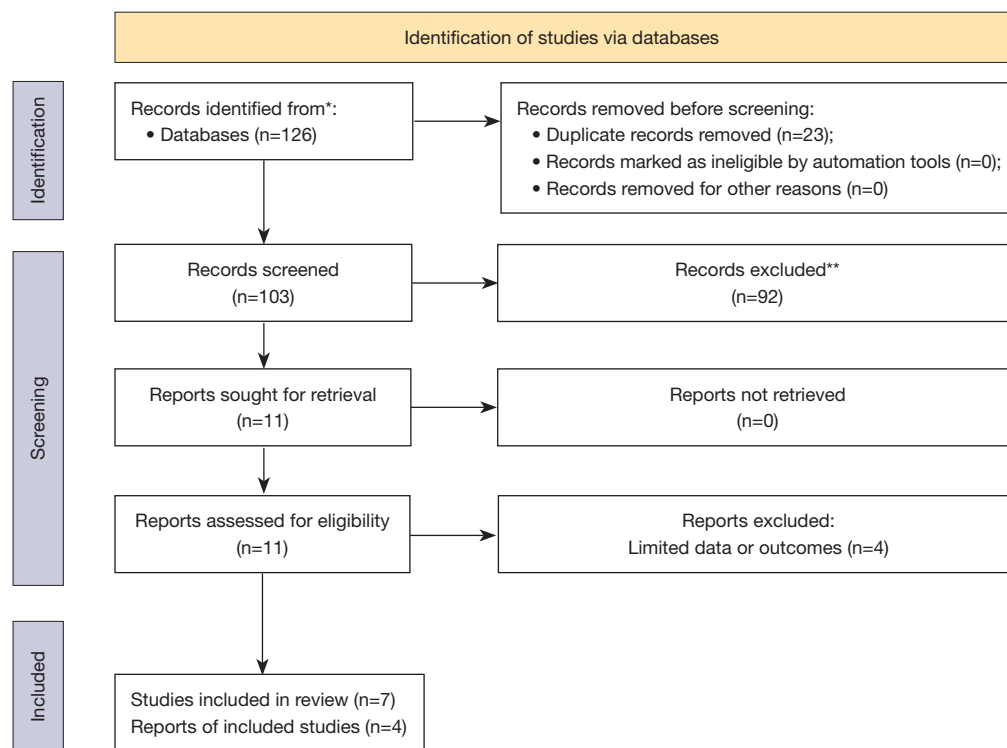


Figure 1 PRISMA flowchart of literature search. *, MEDLINE, EMBASE and the Cochrane Library; **, studies not relevant to meta-analysis. PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses.

(RevMan), version 5.4 (The Cochrane Collaboration, 2020). Pooled outcomes measures were determined using random effects models as described by Mantel-Haenszel. Odds ratios (ORs) with 95% confidence intervals (CIs) were calculated. Heterogeneity among studies was assessed by Galbraith plot. The risk of bias was assessed using the Newcastle-Ottawa Quality Assessment Scale (17). Studies scoring 7 to 9 stars were considered to be of high methodological quality, studies scoring 4 to 6 stars were moderate and 1 to 3 stars were considered to be low quality.

Results

Studies

After evaluation of 103 potentially eligible studies, seven cohort studies met the inclusion criteria (Figure 1), together including a total of 781 patients. No studies were excluded due to published abstracts only. All seven studies provided details of BT-A delivery, given intra-operatively during the esophagectomy (Table 1). Six studies injected BT-A into the pylorus extraluminally (241 patients) and one study injected endoscopically or extraluminally if the tumour was non-

traversable (65 patients). The number of units injected ranged from 20 to 200 (median 200) across 2–6 injection sites (median 4 sites).

Risk of delayed gastric emptying

Five studies (672 patients) provided data for rates of delayed gastric emptying (Table 2), each with a different definition of this condition (Table 2) (5,9,10,12,14). Rates of delayed gastric emptying ranged from 6% to 69% in the non-BT-A group *vs.* 0% to 68% in the BT-A group. Meta-analysis demonstrated a non-significantly reduced rate of DGE in the BT-A use group (pooled OR 0.59, 95% CI: 0.24–1.47; $P=0.26$) (Figure 2). There was statistically significant heterogeneity between five studies included in this analysis ($I^2=73\%$; $P=0.005$).

Requirement for balloon dilatation

Six studies (659 patients) reported rates of post-operative endoscopic balloon dilatation (9–14). The rates of dilatation ranged from 0% to 30% in the non-BT-A group *vs.* 0% to

Table 1 Study demographics, esophagectomy type and botulinum toxin-A delivery method

Author, year, country	Study type	Patient number (n=781)	Type of oesophagectomy	Method of administration of BT-A	NOS score
Nobel, 2019, USA	Retrospective cohort	210*	MIO: 2 stage, n=192 (91%); 3 stage, n=18 (9%)	Extraluminal, 200 U, 2 sites	8
Tham, 2019, UK	Retrospective cohort	228	ILO: hybrid, n=113 (50%); open, n=115 (50%)	Endoluminal (or extra if non-traversable), 200 U, 4 sites	5
Marchese, 2018, UK	Retrospective cohort	60*	ILO: open, n=60 (100%)	Extraluminal, 200 U, 4 sites & finger fracture	8
Stewart, 2017, USA	Retrospective cohort	71	MIO: 2 stage, n=69 (97%); 3 stage, n=1 (1%); transhiatal, n=1 (1%)	Extraluminal, 20 U, 2 sites	6
Giugliano, 2017, USA	Retrospective cohort	49*	MIO: 3 stage, n=23 (47%); 2 stage, n=26 (53%)	Extraluminal, 100 U, 4–6 sites	7
Fuchs, 2016, USA	Retrospective cohort	41	RATE, n=41 (100%)	Extraluminal, 200 U, 4 sites	7
Cerfolio, 2009, USA	Retrospective cohort	122*	Open ILO, n=122 (100%)	Extraluminal, 100 U, 4 sites	7

*, studies included patient arms that were excluded as they did not have BT-A or no intervention. MIO, minimally invasive oesophagectomy; ILO, Ivor-Lewis oesophagectomy; NOS, Newcastle-Ottawa Score; BT-A, botulinum toxin-A; RATE, robotic-assisted transhiatal esophagectomy.

32% in the BT-A group. Two studies compared different time frames for endoscopic balloon dilatation post-operatively (Table 2). One of these studies (n=60) found that both inpatient [BT-A use 4/30 (13%) vs. non-BT-A 0/30 (0%), P=0.032] and outpatient [BT-A use 6/30 (20%) vs. non-BT-A 0/30 (0%), P=0.003] endoscopic balloon dilatation requirement was higher with BT-A use (11). The other study (n=210) found no difference in endoscopic balloon dilatation rates at 90 days [BT-A use 2/53 (4%) vs. non-BT-A 10/157 (6%), P=0.3], but a higher endoscopic balloon dilatation requirements at 6 months [BT-A use 7/48 (14.6%) vs. non-BT-A use 3/141 (2.1%), P=0.009] and a non-significant increase [BT-A use 3/39 (7.7%) vs. non-BT-A use 4/122 (3.3%); P=0.4] at 12 months after surgery (9). In analyses of all timeframes as one variable, BT-A demonstrated a non-significantly increased rate of endoscopic balloon dilatation use in the BT-A group (Figure 3). The pooled OR was 1.75 (95% CI: 0.68–4.48; P=0.24). There was moderate heterogeneity ($I^2=50%$, P=0.07).

Anastomotic leak

Anastomotic leak rates were reported in 6 studies (comprising 553 patients) (9–14). Anastomotic leaks were defined radiologically in three studies and were undefined in the remaining three. Anastomotic leaks ranged from 0%

to 22% in the non-BT-A group vs. 3% to 23% in the BT-A group. Pooled analysis showed that BT-A had no impact on the incidence of anastomotic leaks (pooled OR 1.01, 95% CI: 0.54–1.87; P=0.98) (Figure 4). There was no statistically significant heterogeneity ($I^2=0%$, P=0.47).

Respiratory complications

Four studies reported a total of 65 (15%) respiratory complications, including pneumonia, aspiration pneumonia or aspiration (Table 2) (5,9,12,14). Only one study defined pneumonia with clinical markers (9). Rates in individual studies ranged from 6% to 22% in the non-BT-A group vs. 0% to 13% in the BT-A group. Pooled analysis demonstrated a non-significantly reduced rate of respiratory complications in the BT-A use group (pooled OR 0.60, 95% CI: 0.33–1.09; P=0.10) (Figure 5). There was no statistically significant heterogeneity ($I^2=0%$, P=0.93).

Mortality

Mortality rates were reported in 5 studies (5,9,11,12,14). It was reported as 30-day mortality in 3 studies. The other two reported 90-day mortality and in-hospital mortality respectively. In total, there were 17 (3%) deaths. Rates in individual studies ranged from 0% to 6% in the non-BT-A

Table 2 Definitions of post-operative complications and the impact of BT-A on outcomes

Author, year, country	Diagnostic criteria for DGE	Definition of AL and respiratory complications	Timeframe for post-op dilatation data	Outcome data							
				Group	Number in each arm, n [%]	Incidence of DGE, n [%]	Dilatation, n [%]	Anastomotic leak, n [%]	Respiratory, n [%]	Mortality, n [%]	LOS (days), SD [range]
Nobel, 2019, USA	High NGT output on day 3 >300 mL	Respiratory; pneumonia: clinical, CXR & leukocytosis AL: not specified	EBD categorised within 90 days, 6 & 12 months	BT-A	53 [25]	36 [68]	14 [26]	8 [15]	7 [13]	0 [0]	8 (IQR, 7–12)
Tham, 2019, UK	NG output >50% of intake or conduit >50% on CXR (1 L of fluids)	Respiratory: N/a AL: N/a	No specifics given	No BT-A	157 [75]	103 [66]	19 [12]	35 [22]	31 [20]	5 [3]	9 (IQR, 8–11)
Marchese, 2018, UK	No data for DGE	Respiratory: N/a AL: not specified	EBD performed as inpatient & outpatients till follow-up ceased	BT-A	30 [50]	*	6 [20]	1 [3]	*	1 [3]	16 [9–71]
Stewart, 2017, USA	Not tolerating a PO diet by POD 10 –corroborated with contrast swallow or OGD	Respiratory: not specified, numbers given for aspiration pneumonia AL: post-op esophagram	EBD within the 1 st 12 weeks	BT-A	35 [49]	2 [6]	2 [6]	8 [23]	2 [6]	3 [9]	11 (IQR, 10–12.5)
Giugliano, 2017, USA	No data for DGE	Respiratory: N/a AL: not specified	EBD within the 1 st 6 months	No BT-A	36 [51]	3 [8]	1 [3]	5 [14]	2 [6]	2 [6]	13 (IQR, 10–18)
Fuchs, 2016, USA	Clinical diagnosis – nausea and vomiting with swallow and endoscopy to confirm diagnosis	Respiratory: not specified, numbers given for pneumonia AL: contrast swallow	EBD within the 1 st 12 months	BT-A	41 [84]	*	13 [32]	7 [17]	*	*	9 [6–35]
Cerfolio, 2009, USA	Contrast swallow on POD 4 –gastric emptying taking longer than 10 mins, i.e., majority of contrast not in duodenum	Respiratory: not specified, numbers given for aspiration/pneumonia together AL: swallow completed on day 4 post-operatively	No data	No BT-A	27 [66]	8 [30]	8 [30]	2 [7]	2 [7]	1 [4]	Mean 7.4 [6–11]
				BT-A	68 [56]	21 [31]	*	0 [0]	9 [13]	3 [4]	Mean 8.2
				No BT-A	54 [44]	37 [69]	*	1 [2]	12 [22]	2 [4]	Mean 7.3 [no range]

*, data not available. BT-A, botulinum toxin-A; DGE, delayed gastric emptying; AL, anastomotic leak; LOS, length of stay; NGT, nasogastric tube; CXR, chest X-ray; EBD, endoscopic balloon dilatation; N/a, non-applicable; PO, per-oral; POD, post-operative day; OGD, oesophagogastrroduodenoscopy; IQR, interquartile range; post-op, post-operative.

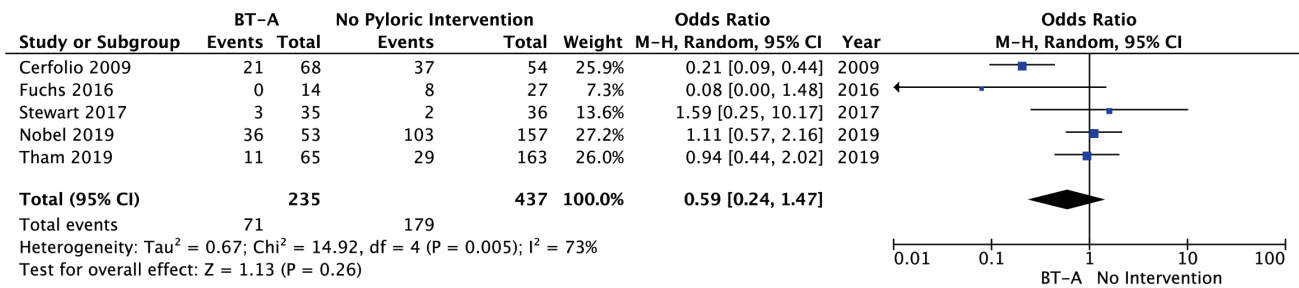


Figure 2 Meta-analysis of BT-A vs. no BT-A on rates of delayed gastric emptying. BT-A, botulinum toxin-A; CI, confidence interval; M-H, Mantel-Haenszel test.

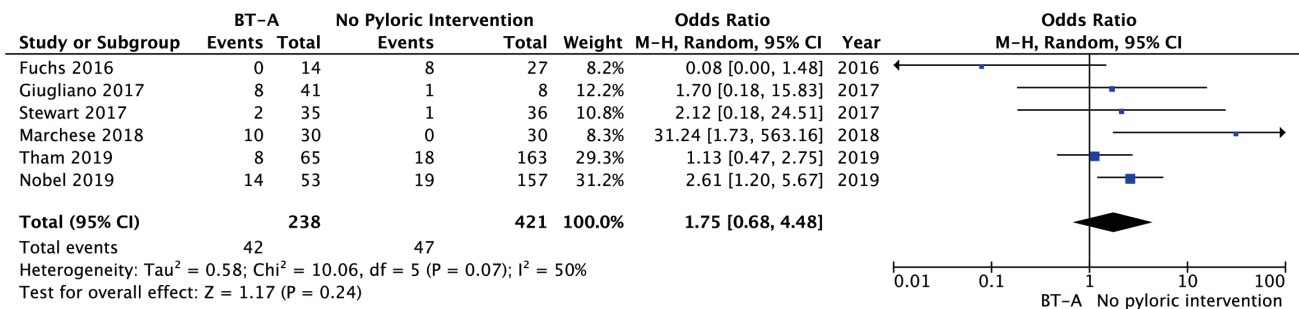


Figure 3 Meta-analysis of BT-A vs. no BT-A on rates of endoscopic balloon dilatation. BT-A, botulinum toxin-A; CI, confidence interval; M-H, Mantel-Haenszel test.

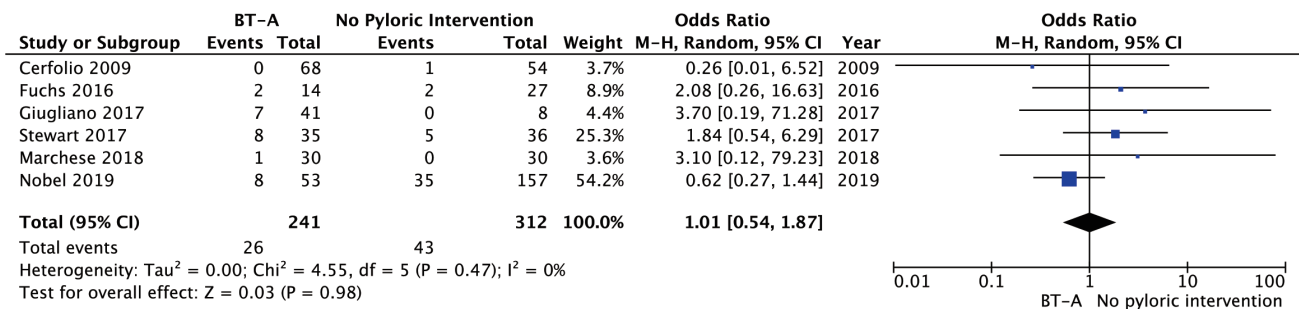


Figure 4 Meta-analysis of BT-A vs. no BT-A on rates of anastomotic leaks. BT-A, botulinum toxin-A; CI, confidence interval; M-H, Mantel-Haenszel test.

group vs. 0% to 9% in the BT-A group. There were no statistically significant differences or trends seen between the two groups on pooled analysis (pooled OR 1.11, 95% CI: 0.39–3.18, P=0.85) (Figure 6). There was no statistically significant heterogeneity (I²=0%).

Quality of studies

Five studies were considered to be of high methodological

quality, two of moderate quality and none of low quality. The two studies of moderate quality had risk of bias in either the definition of the exposed or non-exposed groups or adequacy of follow-up (10,12).

Discussion

This is the first systematic review and meta-analysis comparing intra-operative pyloric injection of BT-A with

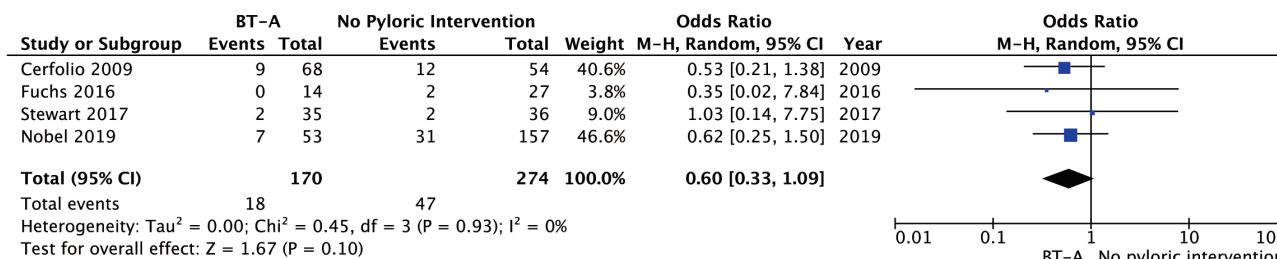


Figure 5 Meta-analysis of BT-A *vs.* no BT-A on rates of respiratory complications. BT-A, botulinum toxin-A; CI, confidence interval; M-H, Mantel-Haenszel test.

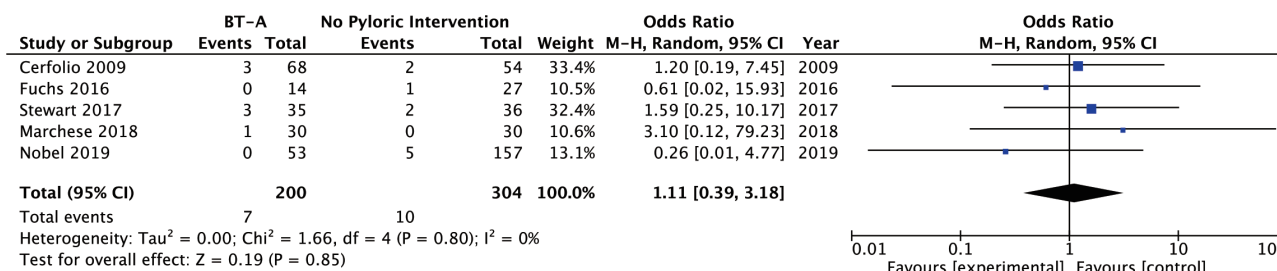


Figure 6 Meta-analysis of BT-A *vs.* no BT-A on rates of mortality. BT-A, botulinum toxin-A; CI, confidence interval; M-H, Mantel-Haenszel test.

no pyloric intervention to prevent delayed gastric emptying following esophagectomy. It demonstrates no statistically significant benefits to BT-A use in the prevention of delayed gastric emptying or associated outcomes.

Delayed gastric emptying is common after esophagectomy and can increase both rates of pneumonia and length of hospital stay (18). The physiology of delayed gastric emptying after esophagectomy is complex, poorly understood and its aetiology is multifactorial. Disruption of the vagal nerve and hiatal anatomy; the shape and diameter of the conduit (19); negative pressures within the thorax and the conduits route within the mediastinum, all impact on symptoms experienced by patients (3,20,21). With such complexity, it is uncertain if simply mechanically disrupting the pylorus with a pyloric intervention benefits the patients. There are also uncertainties as to the wider management of delayed gastric emptying. In the post-operative period, routine screening for delayed gastric emptying, use of nasogastric tubes and resumption of oral diets are inconsistently utilised (4).

In this review, rates of delayed gastric emptying varied and the need for endoscopic balloon dilatation post-operatively ranged widely between the included studies. Endoscopic balloon dilatation was the most commonly

used first-line intervention for delayed gastric emptying across studies. Other options, such as post-operative BT-A injection and pyloroplasty, were rarely used and never in the first instance.

A lack of clarity regarding treatment strategies and outcomes is further complicated by heterogenous diagnostic definitions and management algorithms with reference to pyloric pathology. In this review, the study with the highest reported rate of delayed gastric emptying, for example, had the largest discrepancy between delayed gastric emptying and endoscopic balloon dilatation, suggesting that remaining patients were diagnosed with delayed gastric emptying but deemed not to require treatment. That study defined delayed gastric emptying as nasogastric tube output greater than 300 mL on day 3 (9). The lowest rates of delayed gastric emptying and endoscopic balloon dilatation, conversely, were seen in a different cohort study where a diagnosis of delayed gastric emptying was defined as patients not tolerating an oral diet on post-operative day 10, corroborated with contrast swallow or endoscopy (12).

Further mirroring the lack of consistency in current literature, each of the five studies that defined delayed gastric emptying had a different definition. The lack of consensus on the definition of delayed gastric emptying

is a recognised clinical problem. A recent Delphi consensus attempted to address this (2), defining early (i.e., postoperative delayed gastric emptying as >500 mL daily nasogastric tube output between day 5 and 14. This definition requires a nasogastric tube to remain in place for many days, which might not be feasible. In a recent survey, 39% of centres would have removed the tube by day 5 (4) and prolonged use is contrary to enhanced recovery protocols for esophagectomy (22-25). Research is limited by this lack of an appropriate definition and standardised diagnostic criteria.

The use of pyloric interventions is variable with approximately 40% of UK centres performing them routinely. The most frequently used intervention in the UK is surgical pyloroplasty (26%) (4). Since 2010, 16 studies have analysed different approaches to pyloric interventions, and included comparisons of pyloroplasty, pyloromyotomy, BT-A, pre-operative endoscopic balloon dilatation and no intervention. Of these, 13 were cohort studies (9-14,26-32) and three were small randomised clinical trials (33-35). The most recent meta-analysis assessed only pre-operative pyloric endoscopic balloon dilatation and comprised 3 (n=203) cohort studies. It showed that pooled rates of early delayed gastric emptying (16% *vs.* 39%, $P < 0.001$) and anastomotic leaks (9% *vs.* 12%, $P < 0.001$) were significantly lower with endoscopic balloon dilatation (36). A meta-analysis from 2015 considered all pyloric drainage interventions (pyloromyotomy, pyloroplasty, BT-A, finger fracture) as one entity. From six comparative studies the meta-analysis found that pyloric drainage showed a non-significant trend toward fewer anastomotic leaks and pulmonary complications and reduced delayed gastric emptying (37). This analysis compared all types of drainage procedures to no intervention rather than individual analysis and it did not analyse rates of subsequent interventions such as post-operative endoscopic balloon dilatation as a measure of treatment efficacy. Previous meta-analyses of pyloric interventions have shown either no impact on rates of delayed gastric emptying and related complications (19) or reduction in delayed gastric emptying, but no effect on other early or late patient outcomes (38). There is a lack of high-quality original studies, particularly large randomised clinical trials, to guide management of the pylorus during esophagectomy.

Although without statistical significance, the present study suggests a trend to reductions in rates of delayed gastric emptying and pneumonia after BT-A, indicating that BT-A may ameliorate some of the early negative effects of

delayed gastric emptying. However, there was also a trend towards increased need for endoscopic balloon dilatation in the botox treated group. This incongruousness may be a result of delayed symptoms requiring endoscopic balloon dilatation. Whereas delayed gastric emptying and pneumonia were identified immediately post-operatively, endoscopic balloon dilations were analysed up to 12 months later. Only two studies compared index admission and delayed endoscopic balloon dilatation requirements (9,11). Both found higher rates of delayed endoscopic balloon dilatation with BT-A use when compared to non-use. This was postulated to be due to fibrosis within the muscle once the effects of botulinum stopped (9). It may also simply represent the temporary effect of BT-A. The duration of therapeutic effect with BT-A is thought to be 10 ± 3 weeks, so by 6 months any remaining effects of BT-A would be negligible (39).

BT-A may represent a safe and technically simple method of temporarily reducing early post-operative impact of delayed gastric emptying. Once the pharmacologic effects of BT-A have stopped there may instead be an increase in requirement for endoscopic balloon dilatation. However, after such delay patients would normally have fully recovered from the impact of their operation and would be better able to tolerate the symptoms and sequelae of delayed gastric emptying. Also, some evidence shows that function returns within the gastric conduit over 1–3 years (40-42). A temporary intervention rather than definitive pyloromyotomy or pyloroplasty may be more advantageous in the long-term. Patients may be less susceptible to long-term complications from pyloric intervention such as bile reflux and dumping syndromes (1,3,37).

Among limitations of this systematic review are the retrospective nature of the majority of included studies, the low number of studies, the limited sample sizes, and the heterogeneity amongst outcome definitions. Delayed gastric emptying, anastomotic leakages (whether clinical or radiological and if treated with conservative management or intervened on) and respiratory complications had different definitions in each study and in some they were not defined. Heterogeneity also exists in the different surgical approaches taken. Studies included in the meta-analysis included 2-stage, 3-stage and trans-hiatal operations. For each approach patients will experience different symptoms and rates of complications. Many of these studies are longitudinal, and different techniques to manage the pylorus during esophagectomy may result from evolution in practice or peri-operative protocols over time as well

surgeon variation in units. This also reduces the validity of the studies. Four of the studies included other forms of pyloric intervention (5,9,11,13). However, post-operative protocols were similar in each group, allowing for data extraction and a reduction in the risk of bias.

In conclusion, this systematic review and meta-analysis shows no statistically significant benefit to pyloric interventions with BT-A during esophagectomy, but a non-significant trend of reduced rates of delayed gastric emptying and pneumonia as well as an increase in need for endoscopic balloon dilatation. Individual studies, non-significant trends seen on meta-analyses, expert opinions and historical experience mean that pyloric interventions continue to be used routinely. BT-A may represent a safe, simple and temporary way of mitigating the immediate post-operative complications associate with delayed gastric emptying, However, well-designed and large randomised clinical trials comparing a range of surgical approaches to oesophagectomy; and different pyloric interventions are required. This will clarify the role of BT-A and other pyloric interventions during esophagectomy.

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Footnote

Reporting Checklist: The authors have completed the MOOSE reporting checklist. Available at <https://aoe.amegroups.com/article/view/10.21037/aoe-22-29/rc>

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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