

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

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4. Intellectual Property.

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Royalties: Funds are coming in to you or your institution due to your patent

Lee 1



Section 1. Identifying Inform	aation		
1. Given Name (First Name) Nathan	2. Surname (Last Name) Lee	3. Date 09-July-2020	
4. Are you the corresponding author?	✓ Yes No		
 5. Manuscript Title Flexion-Extension Radiographs Underestimate Instability in Patients with Single-Level Lumbar Spondylolisthesis. Comparing Flexion-Supine Imaging May Be More AppropriateOutcomes 6. Manuscript Identifying Number (if you know it) 			
Section 2. The Work Under Co	onsideration for Publication		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
Section 3. Relevant financial	activities outside the submitted work.		
of compensation) with entities as descri	n the table to indicate whether you have financial relibed in the instructions. Use one line for each entity; port relationships that were present during the 36 est? Yes V	add as many lines as you need by	
Section 4. Intellectual Proper	ty Patents & Copyrights		
Do you have any patents, whether plan	ned, pending or issued, broadly relevant to the work	x?	

Lee 2



Section 5. Polationships not sovered above
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Dr. Lee has nothing to disclose.

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patent

Mathew 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Justin	2. Surname (Last Name) Mathew	3. Date 09-July-2020	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Nathan J. Lee	
Flexion-Supine Imaging May Be More A	AppropriateOutcomes	nts with Single-Level Lumbar Spondylolisthesis. Comparing	
6. Manuscript Identifying Number (if you k	now it)	_	
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Do you have any patents, whether plan	ined, pending or issued, br	roadly relevant to the work? Yes V No	

Mathew 2



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Kim 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Jun	2. Surname (Last Name) Kim	3. Date 09-July-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Nathan J. Lee
5. Manuscript Title Flexion-Extension Radiographs Underes Flexion-Supine Imaging May Be More A		nts with Single-Level Lumbar Spondylolisthesis. Comparing
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Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Kim 2



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Lombardi 1



Section 1. Identifying Inforn	nation		
Given Name (First Name) Joseph	2. Surname (Last Name) Lombardi	3. Date 09-July-2020	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Nathan J. Lee	
5. Manuscript Title Flexion-Extension Radiographs Undere Flexion-Supine Imaging May Be More <i>A</i>	•	nts with Single-Level Lumbar Spondylolisthesis. Comparing	
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Do you have any patents, whether plan			

Lombardi 2



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Vivas 1



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Reidler 1



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6. Manuscript Identifying Number (if you k	now it)	_	
Section 2. The Week Under C	ancidonation for Dubli	antion.	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
	activities outside the s		
of compensation) with entities as descr	ribed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.	
Are there any relevant connects of inter-	cst. Tes vivo		
Section 4. Intellectual Prope	rty Patents & Copyric	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No	

Reidler 2



Section 5. Polotionskips not solvered above
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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Intellectual Property.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Zuckerman 1



Section 1. Identifying Inform	nation				
Given Name (First Name) Scott	2. Surname (Last Name) Zuckerman	3. Date 09-July-2020			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Nathan J. Lee			
5. Manuscript Title Flexion-Extension Radiographs Undere Flexion-Supine Imaging May Be More A	•	nts with Single-Level Lumbar Spondylolisthesis. Comparing			
6. Manuscript Identifying Number (if you kr	now it)	_			
Section 2. The Work Under Co	onsideration for Public	cation			
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Section 3. Relevant financial	activities outside the s	submitted work.			
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Section 4. Intellectual Proper	rty Patents & Copyrig	ghts			
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No			

Zuckerman 2



Section 5. Polotionskips not solvered above
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Park 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fir Paul	rst Name)	2. Surname (Last Name) Park		3. Date 09-July-2020
4. Are you the corr	responding author?	Yes ✓ No	Corresponding Author's Nan Nathan J. Lee	ne
			nts with Single-Level Lumba	ar Spondylolisthesis. Comparing
6. Manuscript Ider	ntifying Number (if you kn	ow it)		
			_	
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the si statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, cor ta monitoring board, study des	mmercial, private foundation, etc.) for sign, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
of compensation clicking the "Add) with entities as descri	bed in the instructions. Us port relationships that wer	e one line for each entity; a	ationships (regardless of amount dd as many lines as you need by nonths prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyrig	ıhts	
Do you have any	patents, whether plani	ned, pending or issued, bro	oadly relevant to the work?	☐ Yes 🗸 No

Park 2



Section 5. Polationships not sovered phase					
Relationships not covered above					
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Leung 1



Section 1. Identify	ring Information				
1. Given Name (First Name) Eric	2. Surname (Last Name) Leung	3. Date 09-July-2020			
4. Are you the corresponding	author? Yes ✓ No	Corresponding Author's Name Nathan J. Lee			
Flexion-Supine Imaging M	ay Be More AppropriateOutcomes	cients with Single-Level Lumbar Spondylolisthesis. Comparing			
6. Manuscript Identifying Nu	nber (if you know it)				
Section 2. The Wo	rk Under Consideration for Pub	lication			
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Section 3. Relevan	t financial activities outside the	e submitted work.			
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Section 4. Intellec	tual Property Patents & Copy	rights			
	hether planned, pending or issued,				

Leung 2



Section 5. Polotionskips not solvered above
Relationships not covered above
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Cerpa 1



Section 1. Identifying Info	rmation					
1. Given Name (First Name) Meghan	2. Surname (Last Name) Cerpa	3. Date 09-July-2020				
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Nathan J. Lee				
5. Manuscript Title Flexion-Extension Radiographs Unde Flexion-Supine Imaging May Be More	•	nts with Single-Level Lumbar Spondylolisthesis. Comparing				
6. Manuscript Identifying Number (if you	know it)					
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Do you have any patents, whether pla						

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Weidenbaum 1



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Mark	2. Surname (Last Name) Weidenbaum	3. Date 09-July-2020				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Nathan J. Lee				
5. Manuscript Title Flexion-Extension Radiographs Underestimate Instability in Patients with Single-Level Lumbar Spondylolisthesis. Comparing Flexion-Supine Imaging May Be More AppropriateOutcomes 6. Manuscript Identifying Number (if you know it)						
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Do you have any patents, whether plan						

Weidenbaum 2



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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent



Section 1.	Identifying Inform	ation					
1. Given Name (Fii Lawrence	, ,		ne (Last Nar	ne)		3. Date	
4. Are you the cor	responding author?	Yes	√ No	Correspond	ding Autho	or's Name	
Flexion-Supine I		opropriate		Patients with Sing	jle-Level l	Lumbar Spondylolisthesis. Compar	ing
Section 2.	The Work Under Co	nsiderat	tion for P	ublication			
any aspect of the s statistical analysis,	ubmitted work (including	but not lim	nited to gran			ent, commercial, private foundation, etcudy design, manuscript preparation,	c.) for
Section 3.	Relevant financial a	ctivities	outside	the submitted	work.		
of compensation clicking the "Add Are there any rele) with entities as describ	oed in the ort relationst?	instruction onships tha Yes	ns. Use one line fo	or each er	ial relationships (regardless of amo ntity; add as many lines as you need a 36 months prior to publication .	
Name of Entity		Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Medtronic			✓			paid consultant - monies donated to a charitable foundation; Royalties	
DePuy-Synthes Spine		✓	✓			paid consultant - monies donated to a charitable foundation; grant support - monies to institution	
K2M			✓			1) paid consultant - monies donated to a charitable foundation	
Broadwater				√		1) reimbursement for airfare/hotel	
Seattle Science Found	dation			✓		1) reimbursement for airfare/hotel	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Scoliosis Research Society	√		V		reimbursement for airfare/hotel; grant support - monies to institution	
Stryker Spine			✓		1) reimbursement for airfare/hotel	
The Spinal Research Foundation			√		1) reimbursement for airfare/hotel	
EOS	✓				1) Grant support - monies to institution	
Setting Scoliosis Straight Foundation	√				1) Grant support - monies to institution	
Fox Rothschild, LLC		✓			1) expert witness in a Patent Infringement case	
Quality Medical Publishing		✓			1) Royalties	
Evans Family Donation				✓	philanthropic research funding from grateful patient/family	
Fox Family Foundation				✓	philanthropic research funding from grateful patient	
AOSpine	✓		/		1) reimbursement for airfare/hotel; 2) grant support - monies to institution; 3) fellowship support to institution	
Abryx		\checkmark			1) Paid Consultant	
EOS Technologies		\checkmark			1) Paid Consultant	
Acuity Surgical		✓			1) Paid Consultant	
Section 4. Intellectual Property Patents & Copyrights						



Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
	ving relationships/conditions/circumstances are present (explain below): tionships/conditions/circumstances that present a potential conflict of interest
	inuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Lenke reports personal fees from Medtronic, grants and personal fees from DePuy-Synthes Spine, personal fees from K2M, non-financial support from Broadwater, non-financial support from Seattle Science Foundation, grants and non-financial support from Scoliosis Research Society, non-financial support from Stryker Spine, non-financial support from The Spinal Research Foundation, grants from EOS, grants from Setting Scoliosis Straight Foundation, personal fees from Fox Rothschild, LLC, personal fees from Quality Medical Publishing, other from Evans Family Donation, other from Fox Family Foundation, grants and non-financial support from AOSpine, outside the submitted work; Dr. Lenke reports personal fees from Medtronic, grants and personal fees from DePuy-Synthes Spine, personal fees from K2M, non-financial support from Broadwater, non-financial support from Seattle Science Foundation, grants and non-financial support from Scoliosis Research Society, non-financial support from Stryker Spine, non-financial support from The Spinal Research Foundation, grants from EOS, grants from Setting Scoliosis Straight Foundation, personal fees from Fox Rothschild, LLC, personal fees from Quality Medical Publishing, other from Evans Family Donation, other from Fox Family Foundation, grants and non-financial support from AOSpine, outside the submitted work;

Evaluation and Feedback

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Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes"

3. Relevant financial activities outside the submitted work.

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Royalties: Funds are coming in to you or your institution due to your patent

Lehman, Jr



Section 1.	Identifying Inform	nation			
1. Given Name (Fi Ronald	rst Name)	2. Surname (Last Name Lehman, Jr	e)		3. Date 18-June-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Correspond Nathan Le	ding Author's Nam	e
Flexion-Supine I		Appropriate	atients with Sing	gle-Level Lumbaı	Spondylolisthesis. Comparing
Section 2.	The Work Under C	onsideration for Pu	blication		
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants	s, data monitoring		mercial, private foundation, etc.) for gn, manuscript preparation,
Section 3.					
Section 5.	Relevant financial	activities outside th	ne submitted	work.	
of compensation	n) with entities as descr	ibed in the instructions	s. Use one line fo	or each entity; ad	tionships (regardless of amount d as many lines as you need by onths prior to publication.
•	evant conflicts of intere out the appropriate info		o		
Name of Entity		Grant? Personal Fees?	Non-Financial Support?	Other? Com	ments
Medtronic					ting Fees, Royalty 100,000
Stryker				Royalty	10,000-100,000
Department of Defer	ise	✓		Researc	ch Support
Section 4.	Intellectual Prope	rty Patents & Copy	vriahts		
Do you have any	patents, whether plan			nt to the work?	☐ Yes ✓ No

Lehman, Jr 2



Section 5					
Section 5. Relationships not covered above					
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?					
Yes, the following relationships/conditions/circumstances are present (explain below):					
No other relationships/conditions/circumstances that present a potential conflict of interest					
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.					
Section 6. Disclosure Statement					
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.					
Dr. Lehman, Jr reports consultant/royalty fees from Medtronic, royalty fees from Stryker, resarch grants from Department of Defense, outside the submitted work; .					

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Lehman, Jr



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Relationships not covered above. 5.

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Royalties: Funds are coming in to you or your institution due to your

patent

Sardar 1



Section 1.	Identifying Inform	nation				
1. Given Name (First Name) Zeeshan		2. Surname (Last Nam Sardar	ne) 3. Date 26-August-2020			
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Nathan Lee			
5. Manuscript Title Flexion-Extension Radiographs Underestimate Instability in Patients with Single-Level Lumbar Spondylolisthesis. Comparing Flexion-Supine Imaging May Be More Appropriate						
6. Manuscript Ide	ntifying Number (if you kr	now it)				
Section 2.	The Work Under C	onsideration for Pu	ıblication			
any aspect of the s statistical analysis, Are there any rel	ubmitted work (including	g but not limited to grant	from a third party (government, commercial, private foundation, etc.) for ts, data monitoring board, study design, manuscript preparation, No			
Section 3.	Relevant financial	activities outside t	he submitted work.			
of compensation clicking the "Add Are there any rel) with entities as descr	ibed in the instruction port relationships that est? Yes N	e whether you have financial relationships (regardless of amount s. Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication .			
Name of Entity		Grant? Personal Fees?	Non-Financial Support? Comments			
Medtronic Inc						
	ı					
Section 4.	Intellectual Prope	rty Patents & Cop	yrights			
Do you have any	patents, whether plan	ned, pending or issued	d, broadly relevant to the work? Yes Vo			

Sardar 2



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Disclosure Statement
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Dr. Sardar reports other from Medtronic Inc, outside the submitted work; and Stryker Spine (past).

Evaluation and Feedback

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Sardar 3