



‘SMART’ implantable devices for spinal implants: a systematic review on current and future trends

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Background: ‘SMART’ implants refer to modified orthopedic implants that combine the biomechanical safety and efficacy of traditional devices with the intelligence of data-logging sensors. This review aims to systematically assess the available literature on SMART spinal implants and present these findings in a clinically relevant manner.

Methods: A search of PubMed, Scopus, and Google Scholar databases was conducted by two separate reviewers. Information including sensor type, intended application, and sample size, was extracted from included studies. Risk of bias assessment was conducted using the Office of Health Assessment and Translation (OHAT) risk of bias tool.

Results: Eighteen studies were included for analysis. Eight studies involved SMART rods and ten studies used SMART vertebral body replacements (VBR). No more than 20 patients are reported to have received a SMART spinal implant. Including non-primary evidence, seven unique designs for SMART spinal implants were found. The majority of these used strain gauges with recent designs including thermometers and accelerometers.

Discussion: At present, SMART spinal implants have primarily focused on utilising strain gauges to report loading on the implant itself. This is a logical first step as it allows quantification of real-world requirements of an implant, detection of catastrophic failure, while also allowing researchers and clinicians to estimate changes in load sharing between newly forming bone and the implant itself, providing real-time information on the progression of healing and fusion. Future work includes documenting the correlation between data provided by these SMART implants and clinical findings, including complications such as pedicle screw loosening and interbody cage subsidence.

Keywords: Telemetry; sensor; spinal implants; SMART devices; spine surgery

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Introduction

Intelligent sensor technology is increasingly being integrated into the healthcare system. Innovations in medical sensors represent a paradigm shift towards a more objective, data-driven decision-making process (1-5). This

practice is especially important for the care of spinal surgery patients where the efficacy and safety of spinal implants and prostheses pose additional challenges (6). Prior to regulatory approval, spinal constructs are evaluated according to standards relating to their intended use. This may require specifically investigating material-based cytotoxicity, and

biocompatibility, undertaking preclinical animal studies as well as static and fatigue testing to assess mechanical performance. While these tests allow an insight into how the implant may perform following implantation, they cannot provide real-time diagnostic and performance data from the final implant while it is implanted in a human (3). This problem is inextricably linked to the design of current spinal implants and so a possible solution lies in radically rethinking the capacity and the potential of these devices: by integrating sensors with spinal implants.

The nomenclature of 'SMART' orthopedic devices was coined by Burny who referred to his sensing implants as 'intelligent' (7). However, various inventors, engineers, and surgeons explored this idea of combining sensors with surgical implants as early as the 1990s. The preferred term of choice was 'telemetry' or 'telemeterized' devices which refer to the process of recording and transmitting data, often wirelessly. Whilst this concept has been reviewed in the broader context of orthopedics (7-9), the current review focuses on SMART or telemeterized implants for the spine exclusively. Furthermore, as these sensors have not yet achieved widespread use in clinical practice, the majority of available literature is found within engineering databases and journals. This creates an additional challenge for clinicians to access, interpret and apply these findings to their practice. As such, the purpose of this study is to systematically review the available evidence for the application and potential of SMART spinal implants and present them for use by surgeons and clinicians in the field. We present the following article in accordance with the PRISMA reporting checklist (available at <https://jss.amegroups.com/article/view/10.21037/jss-21-100/rc>).

Methods

Search strategy

The following methodology complies with the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) checklist. A search on PubMed, Scopus and Google Scholar was made using the following search terms, "spinal OR spine" and "implants OR prosthesis" and "SMART OR sensor OR accelerometer OR strain-gauge OR telemetry covering all periods of time.

Study selection

Studies were reviewed and included for analysis if they

fulfilled the following inclusion criteria: (I) peer-reviewed publication; (II) primary evidence; (III) spinal implants equipped with at least one of the following sensors: strain-gauges, accelerometers, pH probe, thermometer; (IV) implants are designed for *in vivo* use; (V) implants capable of data logging; (VI) implants have a clear purpose for use in humans.

Studies were removed from analysis if they met the following exclusion criteria: (I) secondary evidence such as systematic reviews or meta-analyses; (II) implants are designed for and used in animals e.g., rodents, baboons, ruminants; (III) implants need to be removed from an *in vivo* environment for data collection; (IV) implants designed for non-spine applications e.g., knee, hip, spinal cord; (V) sensors or wearables that are not applied to surgical devices e.g. measuring intra-discal pressures.

Data collection

After an initial screening process to discard any duplicate studies, two independent reviewers conducted an eligibility assessment of the studies. The first round of assessments involved a basic review of the abstracts and titles. The second and final round of assessment involved reading through the entire text. Each round was conducted independently by both reviewers. Of the eligible studies, the following data were extracted and tabulated: first authors, publication date, study type, number and type of patients, application/purpose of SMART implant, type of sensor, and type of power supply.

Critical appraisal

Risk of bias assessment was conducted for studies included in our primary analysis using the Office of Health Assessment and Translation (OHAT) risk of bias tool for human and animal studies. Applicable studies were rated on a 4-point scale of definitely-low to definitely-high risk of bias for 11 questions across seven domains: selection, confounding, performance, attrition/exclusion, detection, selective reporting, and other bias.

Results

Included studies

Figure 1 outlines the overall search strategy process and the number of studies included or excluded at each round

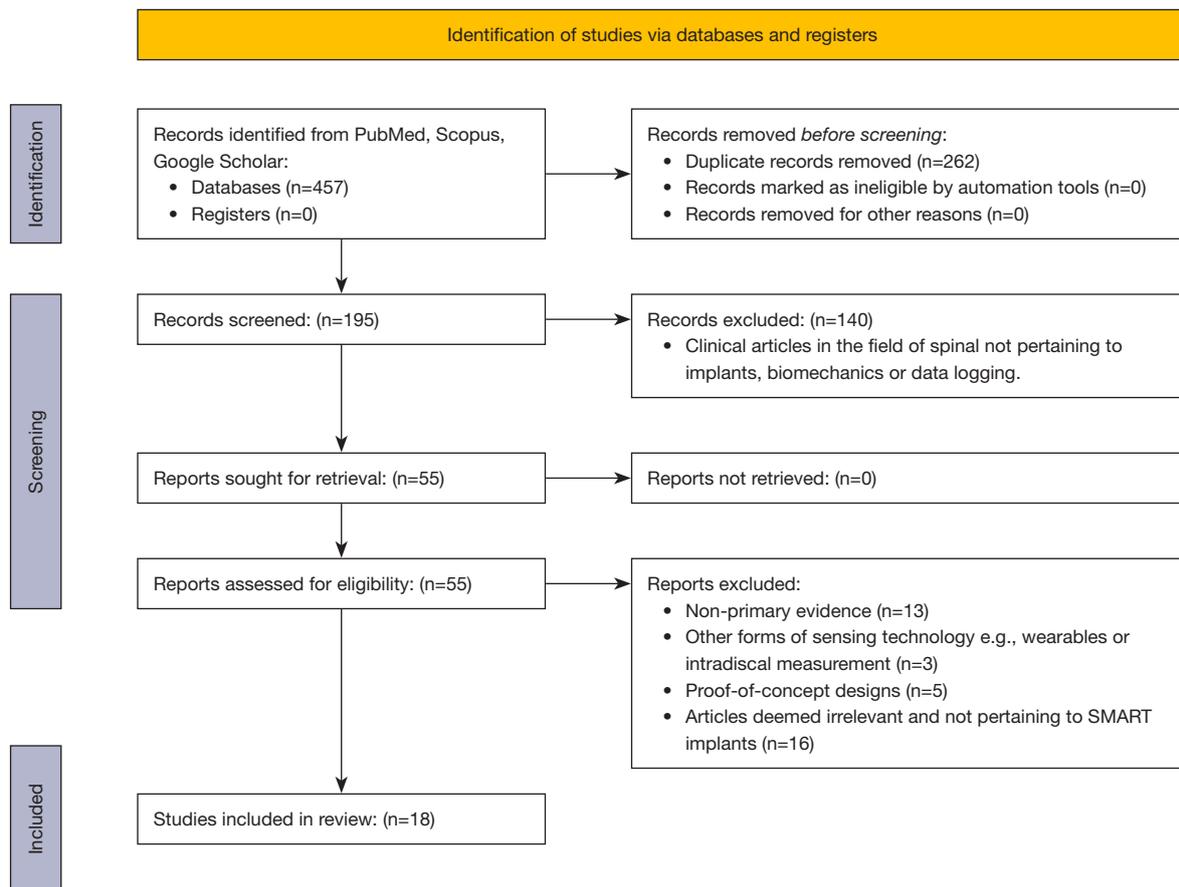


Figure 1 Adapted PRISMA flow diagram for the systematic search process. PRISMA, the Preferred Reporting Items for Systematic Reviews and Meta-Analysis.

of screening. A total of 457 articles were found through a preliminary search on PubMed, Scopus, and Google Scholar. After the first round of screening, 55 articles were selected for detailed assessment. After the second round of eligibility assessment, 18 studies were included for a qualitative systematic review (10-27). From this eligibility assessment, 13 studies were excluded because they were non-primary forms of evidence (systematic/literature reviews, meta-analysis), 3 studies were excluded as they involved other forms of measurement such as external wearables and intradiscal pressure sensors, 5 proof-of-concept designs yet to be clinically implemented were also excluded. Sixteen studies were also excluded as they featured topics not pertaining to spine surgery or SMART implants under closer inspection.

Table 1 shows all relevant outcome measures collected across the 18 included studies including the application and design of the SMART implant. Of the 18 included

studies, 8 studies applied sensors on rods or posterior fixators (10-16,27) and 10 studies on vertebral body replacements (VBR) (17-26). Following the temporal course of these studies, SMART rods were investigated and reported on during the 1990s, then, SMART VBRs became widespread in the literature through the late 2000s and early 2010s. All 18 of our included studies utilized strain-gauges as their primary form of sensing technology. There were no reports of accelerometers, thermometers, pH monitors, etc. used clinically and experimentally in human subjects. Pooling across all 18 studies, no more than 20 unique patients were reported to have received a SMART spinal implant.

Critical appraisal

The risk of bias for the 18 studies was graded according to the OHAT assessment tool. See [Table S1](#) for the scoring

Table 1 Summary of findings from all 18 included studies

Study	Study population	Application	Device design	Recorded force on implants
Rohlmann <i>et al.</i> (1995)	1 patient with degenerative instability	Measuring <i>in vivo</i> implant loads after surgery	Dick internal fixators (rods) modified with six strain-gauges, telemetry unit, inductive coil hermetically sealed in a cartridge.	Walking: AF [†] = -170, BM [†] = -2.7 Lifting leg whilst supine: AF = -210, BM = -2.9 Lateral flexion whilst supine: AF = -240, BM = -2.2 Left hand to right knee whilst supine: AF = -125, BM = -1.9 Cycling whilst supine: AF = -245, BM = -3.4
Rohlmann <i>et al.</i> (1997)	5 lumbar cadaver spines. 3 patients (1 with degenerative instability, 2 with compression fractures)	Comparing <i>in vitro</i> and <i>in vivo</i> implant loads	Modified Dick internal fixators as above	In vitro Standing: AF = -150, BM = -4.5 Flexion: AF = -40, BM = -3.5 Extension: AF = -60, BM = 1.5 Patient 1 Standing: AF = -210, BM = -6 Flexion: AF = -220, BM = -6.5 Extension: AF = -270, BM = -6 Patient 2 Standing: AF = -140, BM = -1.5 Flexion: AF = -150, BM = -1.5 Extension: AF = -160, BM = -2 Patient 3 Standing: AF = -130, BM = -6 Flexion: AF = -160, BM = -6.5 Extension: AF = -150, BM = -6.5
Rohlmann <i>et al.</i> (1997)	1 patient with degenerative instability. 1 patient with a compression fracture	Measuring <i>in vivo</i> loads during walking	Modified Dick internal fixators as above	Relative bending moments From seated position: standing = 100%, ventral flexion = 105%, extension = 107%, lateral bending = 108%, axial rotation = 108% Whilst standing: sitting down = 119%, tip toes = 110%, ventral flexion = 127%, extension = 124%, lateral bending = 118%, axial rotation = 115%, elevation of extended arm = 110%, kneeling on hands and knees = 69%, kneeling + flexion = 97%, kneeling + extension = 80%, kneeling + leg extension = 100%
Rohlmann <i>et al.</i> (1998)	2 patients with compression fractures. 1 patient with degenerative instability	Measuring the influence of muscle forces on implant loads	Modified Dick internal fixators as above	Left fixator Relaxed: AF = 140, BM = 4.2 Abdominal muscle: AF = 250, BM = 7.2 Back muscle: AF = 280, BM = 7.2 Pressing: AF = 150, BM = 5.2 Coughing: AF = 145, BM = 4.3 Right fixator Relaxed: AF = 60, BM = 1 Abdominal muscle: AF = 55, BM = 1.5 Back muscle: AF = 190, BM = 4.2 Pressing: AF = 70, BM = 2.4 Coughing: AF = 90, BM = 2.4

Table 1 (continued)

Table 1 (continued)

Study	Study population	Application	Device design	Recorded force on implants
Rohlmann <i>et al.</i> (1999)	7 patients with compression fractures. 3 patients with degenerative instability	Measuring <i>in vivo</i> loads in different body positions	Modified Dick internal fixators as above	<p>Patient 1[†]</p> <p>Standing: AF (left) =-224, AF (right) =-125, BM (left) =-6.438, BM (right) =-4.143</p> <p>Sitting: AF (left) =-161, AF (right) =-133, BM (left) =-6.122, BM (right) =-4.239</p> <p>Supine: AF (left) =-77, AF (right) =-85, BM (left) =-2.464, BM (right) =-0.586</p> <p>Prone: AF (left) =-102, AF (right) =-89, BM (left) =-3.280, BM (right) =-1.219</p> <p>Lateral: AF (left) =-72, AF (right) =-95, BM (left) =-3.178, BM (right) =-1.668</p> <p>Patient 2</p> <p>Standing: AF (left) =-203, AF (right) =-109, BM (left) =-0.502, BM (right) =-3.769</p> <p>Sitting: AF (left) =-187, AF (right) =-85, BM (left) =-0.370, BM (right) =-3.271</p> <p>Supine: AF (left) =-96, AF (right) =-100, BM (left) =0.129, BM (right) =-3.243</p> <p>Prone: AF (left) =-100, AF (right) =-99, BM (left) =0.257, BM (right) =-3.082</p> <p>Lateral: AF (left) =-95, AF (right) =-91, BM (left) =0.663, BM (right) =-3.037</p> <p>Patient 3</p> <p>Standing: AF (left) =-141, AF (right) =-111, BM (left) =-4.861, BM (right) =-4.610</p> <p>Sitting: AF (left) =-111, AF (right) =-81, BM (left) =-4.294, BM (right) =-3.927</p> <p>Supine: AF (left) =-12, AF (right) =-21, BM (left) =-1.239, BM (right) =-1.345</p> <p>Prone: AF (left) =-20, AF (right) =-29, BM (left) =-1.538, BM (right) =-1.974</p> <p>Lateral: AF (left) =-54, AF (right) =-28, BM (left) =-2.222, BM (right) =-1.795</p>
Rohlmann <i>et al.</i> (2000)	7 patients with compression fractures. 3 patients with degenerative instability	Measuring <i>in vivo</i> loads during sitting, standing, walking, and lying in the first 20 post-operative months	Modified Dick internal fixators as above	Marked interindividual differences in fixator loads
Szivek <i>et al.</i> (2005)	1 patient with spinal deformity	Monitoring fusion progress, measuring strain on spine during cantilever bending	Calcium phosphate ceramic (CPC)-coated strain gauges attached on lamina, uncoated single-element gauge to left rod with a subminiature, remotely powered radio transmitter	<p>Control (non-instrumented)</p> <p>T7 lamina: left =80 μ-strain, right =-180 μ-strain</p> <p>T9 lamina: left =120 μ-strain, right =90 μ-strain</p> <p>T9 vertebral body: left =-405 μ-strain, right =-270 μ-strain</p> <p>T11 vertebral body: left =-320 μ-strain, right =-280 μ-strain</p> <p>Instrumented</p> <p>T7 lamina: left =3 μ-strain, right =-3 μ-strain</p> <p>T9 lamina: left =80 μ-strain, right =2 μ-strain</p> <p>T9 vertebral body: left =-480 μ-strain, right =-190 μ-strain</p> <p>T11 vertebral body: left =-190 μ-strain, right =-280 μ-strain</p> <p>Rod: left =270 μ-strain, right =220 μ-strain</p> <p>PMMA fused</p> <p>T7 lamina: left =-30 μ-strain, right =-390 μ-strain</p> <p>T9 lamina: left =-195 μ-strain, right =-180 μ-strain</p> <p>T9 vertebral body: left =-280 μ-strain, right =-270 μ-strain</p> <p>T11 vertebral body: left =-190 μ-strain, right =-120 μ-strain</p> <p>Rod: left =190 μ-strain, right =230 μ-strain</p>

Table 1 (continued)

Table 1 (continued)

Study	Study population	Application	Device design	Recorded force on implants
Rohlmann <i>et al.</i> (2008)	2 patients with compression fractures of L1	Measuring <i>in vivo</i> loads during sitting, standing, walking, and lying in the first 6 post-operative months	Modified VBR Synex™, a VBR with a hollow cylinder housing sensor, inductive power coil and telemetry unit	AF for different positions: Lying \leq 100, Standing/sitting =150–450, Flexion \geq 420, Elevation of arms to 90° with weight \geq 700 BM for most exercises \leq 2
Rohlmann <i>et al.</i> (2008)	3 patients with compression fractures of L1	Measuring <i>in vivo</i> loads during sitting, standing, walking, and lying in the first post-operative month	Modified VBR Synex™	Relative AF to standing [§] : standing =100%, flexion =242%, extension =34%, lateral bending =127%, axial rotation =104%, elevation of both arms to 90° =194%, abduction of both arms 90° =108%, walking upstairs =217%, walking downstairs =169%, sitting =99%, sitting + flexion =229%, lying supine =14%, lying prone =22%, lying lateral =26% Relative BM to standing: standing =100%, flexion =272%, extension =37%, lateral bending =246%, axial rotation =133%, elevation of both arms to 90° =203%, abduction of both arms 90° =100%, walking upstairs =219%, walking downstairs =160%, sitting =122%, sitting + flexion =256%, lying supine =45%, lying prone =47%, lying lateral =51%
Rohlmann <i>et al.</i> (2010)	4 patients with A3 type compression fractures	Measuring <i>in vivo</i> loads on spine during whole-body vibration	Modified VBR Synex™	Maximum force increased with increasing intensity of vibration At maximum intensity vibration (three-axes), the average increase in force on VBR ranges from 123–189% Leaning backwards decreased implant loads to approximately 50% of the force in normal sitting
Rohlmann <i>et al.</i> (2011)	5 patients with A3 type compression fractures	Measuring <i>in vivo</i> loads on spine during sitting	Modified VBR Synex™	Average change in force whilst sitting: 15° flexion =48% increase, 10° extension =19% decrease Relative reduction in force compared to sitting on stool: bench =7%, stool with padded wedge =9%, knee stool =19%, chair =35%, office chair =41%
Rohlmann <i>et al.</i> (2012)	5 patients with A3 type compression fractures	Measuring <i>in vivo</i> loads on spine during position changes	Modified VBR Synex™	Maximum force on VBR relative to standing (according to recommendation): lateral to supine to lateral =110%, lateral to prone to lateral =130%, lateral lying to sitting =390%, sitting to lateral lying =395% Maximum force on VBR relative to standing (not according to recommendation): lateral to supine to lateral =425%, lateral to prone to lateral =155%, lateral lying to sitting =405%, sitting to lateral lying =625%
Rohlmann <i>et al.</i> (2013)	5 patients with A3 type compression fractures	Measuring the effect of orthosis on VBR load	Modified VBR Synex™	Average decrease in resultant force on VBR, lumbo tristep brace =9%, hyperextension orthosis =19%
Rohlmann <i>et al.</i> (2013)	5 patients with A3 type compression fractures	Long-term monitoring up to 65 months	Modified VBR Synex™	Significant inter-patient variation Force for walking was higher than standing by an average of 100N or 71%
Rohlmann <i>et al.</i> (2014)	5 patients with A3 type compression fractures	Measuring <i>in vivo</i> loads on spine during activities of daily living	Modified VBR Synex™	Ten activities with the highest resultant force [¶] : lifting weight from ground =545–1,229 N, forwards arm elevation with weight =611–972 N, moving weight in front of body=758–1,126 N, standing up/sitting down =206–681 N, staircase walking =305–726 N, tying shoes =585–926 N, upper body flexion =341–844 N, lifting a carried weight =261–690 N, washing face =712–831 N, moving from lying to sitting =170–858 N, walking =129–498 N
Dreischarf <i>et al.</i> (2015)	5 patients with A3 type compression fractures	Measuring <i>in vivo</i> loads on spine during forward bending	Modified VBR Synex™	Maximal force of 450 N measured whilst returning to initial standing position from maximal inclination angle of 53° Flexion during standing (330 N) > flexion during sitting (200 N)
Damm <i>et al.</i> (2017)	5 patients with A3 compression fractures	Measuring <i>in vivo</i> loads on spine and hip during forward bending	Modified VBR Synex™	Average peak force in VBR during walking =39% of bodyweight Force on implants during walking: hip, knee > spine
Barri <i>et al.</i> (2021)	Polymer testing blocks	Monitoring fusion progress	Fowler-Nordheim (FN) sensor data-logger on rods	Decrease in measured voltage corresponds with increasing elastic modulus (modelling fusion)

[†], all AF values are represented in N and BM values are represented in Nm unless otherwise stated; [‡], results from 3 out of 10 patients are reported. Each patient pertains to one of three surgical indications for Dick internal fixators: 'degenerative instability', 'old vertebral fracture', 'fresh vertebral fracture'; [§], results for 1 patient out of 2 reported; [¶], results for 1 patient out of 5 reported. AF, axial force; BM, bending moment; VBR, vertebral body replacement.

of each study across seven bias domains. The average total score was 30 out of 44. The majority of studies scored relatively low in the selection, confounding, and performance bias domains indicating a high risk of bias in these areas. The majority of studies scored highly in other domains of attrition/exclusion and selective reporting bias indicating a low risk of bias in these areas.

Review of currently published SMART implants

SMART spinal sensors found through our analysis are summarised in *Table 2*. Pooled across all sources, there are 7 unique designs for spinal implants. To summarise, 3 designs applied sensors on rods (16,27,28) and the remaining 4 designs featured sensors implicated for VBRs (29), pedicle screws (30), interbody cages (31), and orthopedic nails (32) respectively. Five out of 7 designs featured strain-gauges (27-30,33), 1 design used accelerometers (32) and 1 design used both (31).

Of the 7 designs, 3 are published in the academic literature as primary forms of evidence. In our excluded studies, there was one study reporting on pedicle screws instrumented with strain gauges but yet to be tested on patients during the year 1996 (30). More recently, one study in 2020 published a design of a micro-electromechanical-system-based sensor embedded in an interbody cage (31) which was the first spinal implant design using accelerometers in the last 30 years.

An informal search of sensor technology also revealed unique iterations of SMART spinal sensors which are not found in the initial search of scholarly published literature. These are included in *Table 2*. In one published patent, an accelerometer sensing package is designed for attachment to an orthopedic implant (32). One permutation of the invention includes an accelerometer, processors, antenna, and a power supply, allowing for the measurement of a physiological acceleration parameter. The intended use of this device is to monitor *in vivo* bone healing using the acceleration parameter. The sensing package is described to be applied in a variety of ways, located within a medical implant in one embodiment, attached to a wearable device in another, and utilized in a computer-assisted surgery system in yet another embodiment.

From our informal search of commercial websites, we found the LOADPRO Spine Sensing System produced by Intellirod Spine™ that features a unique sensor that clamps onto 5.5 mm rods, allowing surgeons to monitor rod strains during kyphotic correction surgery (33).

Discussion

Systematic review

Objective data is a critical facet of the surgical decision-making process. In the current paradigm of continuous technological advancement, SMART and intelligent devices are becoming more robust and versatile in their ability to play a role in patient monitoring and care. More specifically, improvements to sensor-based technology potentiate a medical care system that prioritizes prevention, early detection, and minimally invasive management of diseases via real-time biofeedback from implantables (34). Furthermore, intelligent sensors that can integrate its collected data with other information such as family history, genomics, and connectomics would create a more personalized and individual-focused healthcare system. Despite these implications for its future use and potential, there is a scarcity of SMART implants designed and trialed for spinal surgery patients. Additionally, to the best of our knowledge, there are no systematic reviews or meta-analyses to date that provide a comprehensive overview of the current state of SMART spinal implants. Furthermore, the available reviews on SMART implants, in general, focus heavily on the engineering and technical aspects of their design and conceptions, with little regard for clinical interpretability and relevance. As such, this systematic review qualitatively addresses the available literature on SMART spinal implants to present clinically relevant and easily understood insights regarding their potential uses and efficacy in both present-day and future spine surgery.

Historical overview of the evolution of SMART implants.

The use of strain gauges to measure the load on a spinal model or cadaveric specimen has historically been a robust and valid technique for *in vitro* mechanical tests (16,35,36). However, these testing environments are never an exact representation of the *in vivo* biological environment that spinal prosthetics are placed into. One of the early applications of SMART implants for the spine was the translation of strain-gauges onto spinal rods for *in vivo* use. This was seen in Waugh's modification of Harrington rods during spinal deformity correction, where strain-gauges with wires were used to measure the axial load on the implant post-operatively (37). However, this design was problematic due to the infection risks posed by the percutaneous wires (9).

Table 2 Summary of all known designs of SMART spinal implants available across all sources i.e., primary, secondary academic literature, commercial website, patents etc.

Source	Design	Power system	Sensor	Intended location
Rohlmann <i>et al.</i>	Modified Dick internal fixator Contains six load sensors, eight-channel telemetry unit, inductive power coil and an antenna	Wireless inductive coupling (passive)	Strain-gauge	Rods/posterior fixators
Rohlmann <i>et al.</i>	Modified VBR Contains six load sensors, nine-channel telemetry unit, inductive power coil and an antenna	Wireless inductive coupling (passive)	Strain-gauge	VBR
Smith <i>et al.</i>	Modified cannulated pedicle screws Contains strain gauges and wires within the neck and proximal end of the screw	Wired	Strain-gauge	Pedicle screws
Janna <i>et al.</i>	Cylindrical accelerometer design able to inserted into narrow nails and screws Contains at least one sensor and microelectronic components including memory device, power supply and communications components	Undefined	Accelerometer	Orthopaedic nails and screws
Intellirod Spine™	Titanium/zirconia ceramic, single use strain sensor with radio-frequency transmission Clamps onto rods during kyphotic correction	Wireless inductive coupling (passive)	Strain-gauge	Rods
Anderson <i>et al.</i>	Modified tire pressure sensor MEMS-based technology	Wireless inductive coupling (passive)	Pressure-sensor Accelerometer	Interbody cage
Barri <i>et al.</i>	Compactly contains a load sensor, a two-axis accelerometer, a thermometer and a radio-frequency transmitter Fowler-Nordheim sensor-data-logger attached to polymer model of the spine	Longevity of 1 year in standby mode Self-powered energy harvesting system based on Fowler-Nordheim quantum tunnelling	Thermometer Strain-gauge	Rods

VBR, vertebral body replacement.

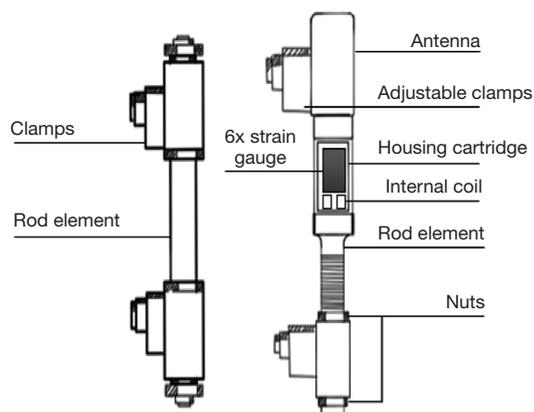


Figure 2 One of the earliest forms of a SMART spinal implant conceptualised by Rohlmann *et al.* in the 1990s. The image on the left represents an ‘normal’ Dick internal fixator and the image on the right represents the telemeterised version which allows for a six-channel strain gauge to be housed within the rod.

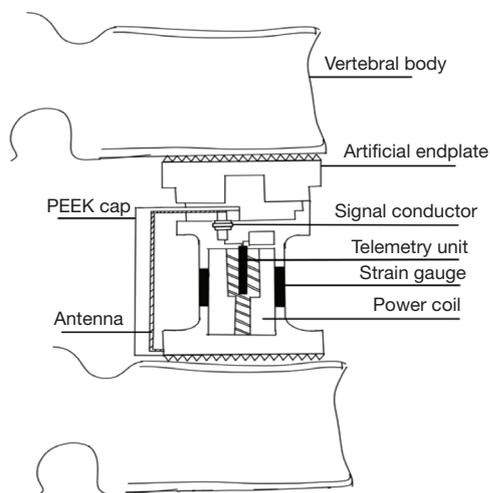


Figure 3 An adapted schematic diagram of a SMART VBR conceptualised by Rohlmann *et al.* The six-channel strain gauge sensor is hermetically sealed within the core of the VBR. VBR, vertebral body replacement.

Over the last two decades, the development of wireless, battery-powered systems represent a significant advancement to sensor and telemetry technology (9,38). This improvement would allow the data-logging of prosthetic function over a more dynamic range of everyday patient activities. However, from our systematic review, none of the published incidences of SMART implants featured a battery as such. We posit that this may be due

to the following disadvantages of such wireless design: (I) spinal implants such as cages and interbody spacers are too small to be able to house a large, bulky battery; (II) the longevity of most spinal implants would far outlive the short and finite life of the battery; (III) risk of failure and (IV) the hazardous potential of oxidative chemicals.

The majority of SMART spinal implants found for our systematic review feature a passive form of power supply that requires no batteries. This technology is known as inductive coupling, where the SMART implant would be powered by an external ‘coil’ that is attached or worn on the outside (39,40). This external electrical coil is ‘coupled’ or related to an internally placed coil so that the power running through the external coil will transfer to the internal coil and ultimately to the sensor (40). One potential shortcoming of this technology is that the patient needs to wear an external coil to power the sensor and allow data transfer. And whilst these models have been successfully integrated for live biofeedback in cochlear implants and pacemakers, the need to carry or wear external electronics may pose a minor inconvenience for day-to-day use, outside the laboratory setting.

Instrumented Dick internal fixators and VBRs

Fifteen of our included papers involved the seminal work by Rohlmann *et al.* that designed and tested telemeterized spinal fixation devices, ranging from instrumented Dick internal fixators (Figure 2) and VBRs (Figure 3). An early technical note by Rohlmann *et al.* in the 1990s lays down some of the founding principles for SMART spinal implants (28). The authors designed a spinal fixation device that housed a special cartridge containing six strain gauges (six channels), capable of measuring the forces and moments acting on the implant *in vivo*. There are some key characteristics of these implants worth noting: Firstly, the cartridge utilized an ‘inductive power coil’. Secondly, the strain gauges and associated devices such as the power coil and the antennae were hermetically sealed, protecting them from the surrounding biological fluids and tissue.

These novel devices were surgically implanted and evaluated in patients as early as 1997. Indeed, Rohlmann *et al.* compared loads of their six-channel telemeterized implant during *in vitro* and *in vivo* axial compression, flexion, extension, and lateral bending (11). Although this initial study utilized a very small sample size (2 cadaveric spine for *in vitro* and 3 patients for *in vivo* testing) thus barring any statistical inference, the authors reported an

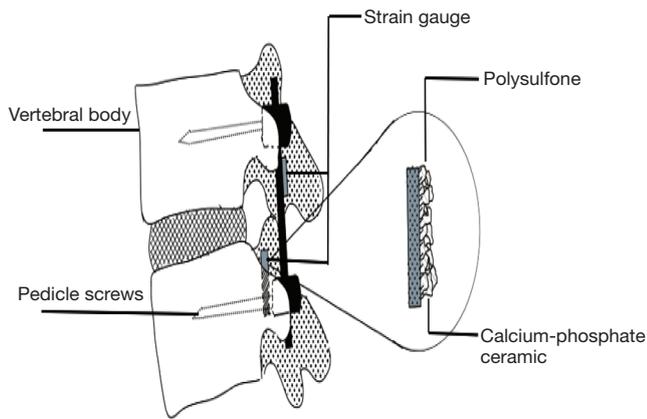


Figure 4 A simplified diagram of Szivek *et al.*'s novel design allowing strain gauges to be directly bonded to the rods and to the lamina to provide *in vivo* feedback regarding fusion progression. A zoom-in onto the lamina-bonded strain-gauge reveals a technique of coating the strain gauge with calcium-phosphate ceramic. An uncoated single-element strain gauge is attached to the rod with no such coating.

interesting finding that the *in vitro* and *in vivo* loads on the implant were vastly different, perhaps due to the lack of muscle forces, abdominal pressures and soft tissue support in cadaveric spines. Ultimately, this study introduced one of the key applications for SMART implants: to collect biomechanical data regarding the efficacy, performance, and safety of spinal constructs in a physiological environment.

Throughout 1995–2015, the authors conduct several related studies measuring *in vivo* loads in a variety of different patient contexts such as during walking (12), in different body positions (14), during sitting (20), whole-body vibrations (19), and in general activities of daily living (24) have been published. These recent studies utilized a new design, whereby a VBR is modified to house six load sensors (strain gauges), an inductive power supply, and an antenna for data transmission (29). Furthermore, these studies were clinically relevant as high impact activities that may lead to implant subsidence, pedicle screw loosening or implant failure could be identified. Overall, Rohlmann *et al.* found that lifting an object from the ground led to the greatest resultant force of 1,650 N on the VBR followed by walking upstairs, upper body flexion, tying shoes, etc. (24). Furthermore, a long-term follow-up of 5 patients revealed that post-operative forces on the 'SMART' VBRs varied considerably between patients, suggesting its importance in personalized and nuanced post-operative monitoring and

care (23). Additionally, the authors found that monitoring the direct *in vivo* loads of these VBRs could detect clinically relevant scenarios such as pedicle screw loosening and implant subsidence that were not able to be detected radiographically (23).

These instrumented VBRs were used by other authors. For example, Dreischarf *et al.* found that forward bending increased the forces on the VBRs of 5 patients to a maximum load of 565 N (25). A study by Damm *et al.* using the same VBR design and similar patient demographics controlled for the patient's body weight, reporting that the maximum force in the instrumented VBR was 175% of the patient's body weight when bending forwards with a 10 kg weight in hand (26). To summarise, the general purpose of the SMART implant designed by Rohlmann *et al.* and used by others such as Dreischarf *et al.* and Damm *et al.* were to collect data necessary to refine implant designs and identify ergonomic activities which pose a high risk of reducing implant stability.

Monitoring fusion progress

Two of our included studies designed their SMART spinal implant for the specific purpose of monitoring fusion progress (16,27). The design utilized by Szivek *et al.* was unique, bonding strain-gauges directly to the vertebral components (lamina of T9,10,11) and hardware (left rod) rather than hermetically sealing it within a capsule. Instead, to protect the electrical components of the strain-gauge from the surrounding biological fluids and tissue, the authors coated the lamina-attached strain-gauge with calcium phosphate ceramic (CPC) and allowed it to communicate with the external environment using a remotely powered radio transmitter (Figure 4). Measurements collected for 7 months found that strain on the vertebrae decreased continuously over time, possibly indicating the progression of fusion as the load can be transferred away from the posterior fixation device to the maturing fusion mass (16). Whilst this finding may have been confounded by the gradual detachment of strain-gauge from the lamina over time, it shows a promising application of SMART spinal implants to detect miniature fusion progress that is not able to be detected by current techniques of X-ray, CT, and MRI. Indeed, studies have shown that surgeons cannot confidently assess fusion using these current techniques (41–43). As such, real-time tracking of spinal fusion using SMART spinal devices could be a potential future alternative.

Conversely, several reviews have suggested that SMART spinal devices could be used to detect pseudoarthrosis or other similar post-operative complications such as pedicle-screw loosening, cage subsidence, and general device failure (9,39). Indeed telemeterised VBRs found that the forces on the implant may increase if pedicle screws become loosened or decrease if there is VBR subsidence (22). As such, SMART spinal devices could operate as an early detection and screening tool, allowing surgeons to promptly address these serious post-operative complications before further injury is caused to the patient. An important future step will be correlating the telemeterised information with these clinical findings.

Future directions

As part of our informal analysis of the literature, one study by Anderson *et al.* presented a novel SMART implant using micro-electromechanical system (MEMS) technology (31). This tiny device was equipped with a pressure sensor, dual-axis accelerometer, and a thermometer and was small enough to be attached to an interbody cage (31). Furthermore, it boasted a very low power consumption relative to other devices in the literature, lasting 36 hours in high-intensity mode and 1 year in low-intensity mode (31). MEMS is a relatively recent technology that amalgamates various mechanical elements, sensors, and electronics on a microscopic semiconductor chip (44,45). The work done by Anderson *et al.* represents a potential future direction for SMART spinal implants, where MEMS technology would allow engineers to overcome the hurdle of designing devices small enough for a spinal prosthetic. If such designs were to be achieved, SMART implants could be implemented into the cervical spine, which anatomically smaller than the lumbar spine. This would be of great clinical relevance considering the frequency of cervical arthroplasty and anterior cervical discectomy and fusion that is performed each year (46).

Whilst MEMS offers a viable solution to the sizing problem, there is yet a need to address the wireless and battery-free capacity of future SMART spinal devices. Whilst passively-powered inductive coupling seen in our included studies represents an option, it is nonetheless cumbersome and suitable more for laboratory and in-clinic monitoring. There is an abundance of literature that alludes to the potential of energy harvesting as a future option (27,31,39,47,48). Simply put, energy harvesting involves converting the kinetic energy of gross movements such

as walking to electrical energy, effectively creating a self-powered system much like a self-winding watch (49).

Our systematic analysis shows an abundance of strain-gauges as the sensing modality of choice. A potential direction for future SMART spinal implants would be the use of accelerometers. Strain-gauges are often disadvantaged by their low accuracy due to confounding environmental factors such as temperature and overuse (50). Furthermore, the calibration process for strain-gauges is often extensive. This puts into question the accuracy of its measurements in a constantly evolving biological environment such as in the spine. We believe that accelerometers might offer a more energy efficient and pragmatic alternative to SMART spinal implants, which have historically been used to measure small and large degrees of movement in the orthopedic context (51).

Finally, this review feature sensors that have been conceptualised and designed for implants in spinal surgery. In the broadest definition, sensors are devices that detects phenomena or changes in the surrounding environment. However, many day-to-day applications of engineering involve an interactive and dynamic system between a sensor and an actuator, a device that can create movement or change in its surroundings. We envision that a truly intelligent SMART spinal implant would not only be able to sense the physical changes in *in vivo* load sharing but also react to these scenarios and the information that is offered by the sensors. Whilst it is unclear as to what form these actuators may take, it is interesting to imagine that future spinal implants may consist of an adaptive and fluid endoskeleton that may provide additional support or stability when it detects posture or activities that pose a high risk for the spine.

Challenges for SMART spinal implants

Several challenges are facing the widespread integration of SMART spinal devices into the current healthcare system. As discussed previously, a more elegant design of sensors that can function effectively despite being limited by a need for replaceable batteries or external power coil is necessary to allow real-time biofeedback for patients. Furthermore, electronic components should be small enough to be used in conjunction with the relatively small dimensions of spinal devices such as interbody cages, pedicle screws, and VBRs. Lastly, these electronics require a hermetically tight seal to reduce the risk of pyrogenic, cytotoxic and sensitivity reactions to the host whilst being functional.

Secondly, there are obstacles related to their capacity to directly measure the biological load sharing of spinal segments. All designs covered in this review except one discussed by Szivek *et al.* measure loads exerted on the implant as it performs *in vivo*. Whilst it may be able to infer the loads exerted on the vertebral body and the posterior elements, these inferences may not correspond to exact forces and moments acting on the *in vivo* biological spine. As such, future models may explore an alternative design that may be able to obtain quantitative biomechanical evidence from both the implants and the surrounding biological environment.

Thirdly, SMART spinal implants face an economic barrier. Whilst the benefits of early prevention and the associated reduction of costs associated with post-operative complications would provide cost savings for the healthcare system in the long run, current practices of designing and trialling these technologically advanced devices may be too high. Additionally, whilst the FDA is supportive of SMART implant initiatives that aim for live, objective monitoring of patients, the amount of required preclinical evidence and a low benefit-cost ratio for medical device manufacturers can act as barriers in the regulatory pathway (52).

Finally, there are ethical and cybersecurity concerns related to the implantation of SMART, data-logging devices into patients. Indeed, the risk of patient's privacy being reduced as surgeons gain access to information regarding their activities and other aspects of their biological environment is an impediment to the widespread use of SMART spinal implants. As also discussed by the FDA workshop (52), cyber-attacks and exploits unbeknownst to doctors and the health-care system may also put patients with pacemakers, infusion devices and SMART orthopaedics devices at risk of delayed treatment and diagnosis (52). Furthermore, difficult questions including access to this information, how long it is kept, and the extent to which the patient is informed regarding the implant status must be answered.

Limitations of this study

There are several limitations of this study to consider. Firstly, any systematic or meta-analysis are always limited by the quality of the included studies. Our risk of bias assessment suggests that there are only a few included studies with confidently low risk of bias. This is due to the nature of the studies used to test and validate SMART spinal implants i.e., there is a lack of blinding, no comparison

groups, etc. As SMART implants become more widespread and robust, we imagine the scope and validity of clinical trials to improve. The search terms chosen predetermined and somewhat limited the findings as specific sensor types were included. These search terms were chosen based on familiarity with the topic area and to assure that devices that were not specifically called out as SMART, were included in the findings.

Another limitation of this study is the small number of available studies in the literature. Whilst 18 studies were included in the total, we believe that this represents no more than 20 patients who have received SMART spinal implants. The majority of the studies involve the same authors performing a different version of tests on the same group of patients. As such, any pooled findings that are found from our systematic review cannot easily be generalized to the population.

Conclusions

There are a variety of uses for SMART spinal implants. From our systematic review, these intelligent implants have been suggested for use for understanding common activities that may risk damage to implants, monitor the progression of fusion, and early detection for potential complications such as pedicle screw loosening and interbody cage subsidence. Overall, our review found no more than 20 unique patients reported to have received such implants. Nonetheless, several unique designs currently exist in the literature with authors modifying rods, pedicle screws, VBRs, and cages to fit a sensor and its associated electronics. Potential developments in the future lie in the development of miniaturized sensor electronics in the form of MEMS and the widespread use of accelerometers.

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Footnote

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Table S1 OHAT risk of bias assessment for 18 included studies

Study	1	2	3	4	5	6	7	8	9	10	11	Total (out of 44)
Rohlmann <i>et al.</i> (1995)	1	1	1	2	4	1	3	3	3	3	3	25
Rohlmann <i>et al.</i> (1997)	1	1	3	2	4	1	4	4	3	3	3	29
Rohlmann <i>et al.</i> (1997)	1	1	1	2	4	1	3	3	3	3	3	25
Rohlmann <i>et al.</i> (1998)	1	1	1	2	4	1	3	3	3	3	3	25
Rohlmann <i>et al.</i> (1999)	1	1	1	2	4	1	4	4	4	4	4	30
Rohlmann <i>et al.</i> (2000)	1	1	1	2	4	1	4	4	4	4	4	30
Szivek <i>et al.</i> (2005)	1	1	1	2	4	1	3	3	3	3	3	25
Rohlmann <i>et al.</i> (2008)	1	1	1	2	4	1	4	4	4	4	4	30
Rohlmann <i>et al.</i> (2008)	1	1	1	2	4	1	4	4	4	4	4	30
Rohlmann <i>et al.</i> (2010)	1	1	1	2	4	1	4	4	4	4	4	30
Rohlmann <i>et al.</i> (2011)	1	1	2	2	4	1	4	4	4	4	4	31
Rohlmann <i>et al.</i> (2012)	1	1	2	1	4	1	4	4	4	4	4	30
Rohlmann <i>et al.</i> (2013)	1	1	2	2	4	1	4	4	4	4	4	31
Rohlmann <i>et al.</i> (2013)	1	1	2	2	4	1	4	4	4	4	4	31
Rohlmann <i>et al.</i> (2014)	1	1	2	3	4	1	4	4	4	4	4	32
Dreischarf <i>et al.</i> (2015)	2	1	2	3	4	1	4	4	4	4	4	33
Damm <i>et al.</i> (2017)	2	1	4	3	4	1	4	4	4	4	4	35
Barri <i>et al.</i> (2021)	2	2	4	3	4	2	4	4	4	4	4	37

Each study is given a score out of 4 (1= definitely high risk of bias, 2= probably high risk of bias, 3= probably low risk of bias, 4= definitely low risk of bias). There are 11 questions across seven bias domains as detailed below—Selection bias: (I) Was administered dose or exposure level adequately randomized? (II) Was allocation to study groups adequately concealed? (III) Did selection of study participants result in appropriate comparison groups? Confounding bias: (IV) Did the study design or analysis account for important confounding and modifying variables? Performance bias: (V) Were experimental conditions identical across study groups? (VI) Were the research personnel and human subjects blinded to the study group during the study? Attrition/exclusion bias: (VII) Were outcome data complete without attrition or exclusion from analysis? (VIII) Can we be confident in the exposure characterization? (IX) Can we be confident in the outcome assessment? Selective reporting bias: (X) Were all measured outcomes reported? Other bias: (XI) Were there no other potential threats to internal validity (e.g., statistical methods were appropriate, and researchers adhered to the study protocol)? OHAT, the Office of Health Assessment and Translation.