

# Recent advances and significance of intra-arterial infusion chemotherapy in non-resectable colorectal liver metastasis

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**Abstract:** In era of systemic chemotherapy for colorectal liver metastasis (CLM), role of hepatic intraarterial infusion chemotherapy (HAIC) remains important. We examined treatment effects of HAIC in 36 patients with non-resectable CLM using 5-FU or CPT-11. Tumor response was complete response (CR) in 4, partial response (PR) in 19, stable disease (SD) in 6, and progressive disease (PD) in 7. Tumor control rate was 81% and response rate was 64%. Six patients showed catheter-related complications. Median survival period was 62 months in CR, and 25 with PR. HAIC has a major impact in high chemotherapy response and prolonging survival.

**Key Words:** Non-resectable colorectal liver metastasis; hepatic intraarterial infusion chemotherapy; longer survival



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## Introduction

Worldwide, liver metastases develop in 50% of patients with colorectal carcinoma and colorectal liver metastases (CLM) are currently thought to represent a major health problem (1). At this stage, conventional criteria for resectability include presence of less than four metastases, unilobar distribution, maximum tumor size less than 5 cm, good functional reserve of the liver and potential for complete resection (2-4). As a result, approximately 70-80% of patients with CLM are assigned a non-resectable status (5,6). For patients who do not undergo hepatectomy, survival rates have been poor, with 5-year survival rates less than 40%, although use of novel chemotherapeutic regimens such as oxaliplatin, irinotecan (CPT-11) and molecular-targeting drugs (e.g., cetuximab or bevacizumab) has increased the median survival for such patients (7-10). The potential value of resectability in achieving long-term survival has resulted in the development of oncological strategies for initially non-resectable CLM. Adam *et al.* reported a 13% conversion rate to resectability of non-resectable CLM after downsizing by effective chemotherapy in select cases, associated with a 5 year-survival rate of 33% after conversion hepatectomy (11). Even in those

few patients who underwent hepatectomy, tumor relapse in the remnant liver appears frequent and indications for repeat hepatectomy are limited (12-14). Most patients with recurrent CLM also need chemotherapy similar to those with non-resectable CLM.

With a traditional regimen of 5-fluorouracil (5-FU) and leucovorin (LV), tumor response rate is approximately 20% and median survival with non-resectable CLM is 12 months (15,16). Modern regimens such as combined 5-FU/LV with oxaliplatin or CPT-11 have achieved response rates of approximately 50% (17), and median survival of non-resectable CLM patients has increased to 20-23 months (18,19). Furthermore, with the development of biological agents such as cetuximab or bevacizumab, tumor response rates and median survival have continued to increase (9,10,20,21). Given these effective chemotherapeutic regimens, major tumor shrinkage can be achieved in some CLM patients, but complete response (CR) is rare. In addition, the new systemic chemotherapeutic regimens have been associated with skin reactions, high costs and impaired liver functions (22,23). Furthermore, in CLM patients with extrahepatic metastasis, control of liver metastases might be related to overall survival (24).

To solve this problem and improve control of non-resectable CLM, we have been attempting hepatic intraarterial infusion chemotherapy (HAIC) since 2000, as have other groups (25,26). Local control using HAIC has appeared remarkable. In cases where control of liver metastases is a major goal for improving prognosis, the role of HAIC remains unclear.

The present study examined treatment results for HAIC in 36 patients with non-resectable CLM and tumor relapse in the liver after hepatectomy to clarify treatment efficacy, clinical benefit and limitations.

## Patients and methods

### Patients and follow-up

Thirty-six consecutive patients (25 males, 11 females) with non-resectable CLM with or without extrahepatic metastases who were admitted to the Division of Surgical Oncology, Department of Surgery, Nagasaki University Graduate School of Biomedical Sciences (NUGSBS) between 2000 and 2009 were analyzed retrospectively in this study. Synchronous CLM with primary colorectal tumor was observed in 16 patients, metachronous CLM in 5 and posthepatectomy recurrence of CLM in 15. Chemotherapeutic regimens for HAIC comprised 5-FU continuous intraarterial infusion (CIA) in 11 patients, irinotecan (CPT-11) in 16 and the combination of both in 9. Detection and follow-up imaging were performed using multi-detector computed tomography (CT) or magnetic resonance imaging (MRI) every 3-6 months and serum levels of carcinoembryonic antigen (CEA) measured every month during follow-up. The entire study design was approved by the Human Ethics Review Board of our institution. Informed consent for data collection was obtained from each patient prior to enrolment. Patient data were retrieved from the NUGSBS database.

### Definition of non-resectable CLM and treatment protocol for chemotherapy

Our Nagasaki criteria of non-resectable CLM comprise: (I) numerous liver metastases, but the number is not clearly defined; (II) small functional liver volume (remnant volume <30% or <300 cm<sup>3</sup>) was estimated when major hepatectomy was considered; (III) poor functional liver reserve evaluated by indocyanine green retention rate at 15 min or <sup>99m</sup>-technetium-galactosyl serum albumin liver scintigraphy (27); and (IV) massively progressed extrahepatic metastases. Clinical parameters were defined according to the Japanese Classification of Colorectal Carcinoma (28).

In cases of resectable CLM, 6-8 cycles of the modified FOLFOX6 with or without cetuximab or bevacitumab

was used as a neoadjuvant setting for multiple CLM over 4 regions. Adjuvant chemotherapy after hepatectomy comprised oral administration of UFT (tegafur-uracil; Taiho Pharmaceutical Co., Tokyo, Japan) plus l-leucovorin (Takeda Chemical Industries, Tokyo, Japan), or S-1 (Taiho Pharmaceutical Co.) or capecitabine (Xeloda; Roche, Nutley, NJ). In case of H2- or H3-grade CLM according to Japanese criteria (tumor size >5 cm, or number of tumors >4), 4-6 cycles of the modified FOLFOX6 with or without cetuximab or bevacizumab was administered after hepatectomy. In cases where recurrent tumor was able to be resected, repeat radical hepatectomy was selected.

Chemotherapeutic regimens for non-resectable CLM and recurrent non-resectable CLM are shown in *Figure 1*. For CLM showing massive liver metastases without extrahepatic metastases, HAIC was selected. The first-line regimen is 1 g/m<sup>2</sup> of 5-FU CIA and the second-line regimen is 5-FU CIA plus 40-80 mg of CPT-11 per week. In cases where first- and second-line HAIC regimens elicited no response, systemic chemotherapy comprising modified FOLFOX 6 or FOLFIRI with or without molecular targeting drugs was applied concurrent with HAIC. In cases of non-resectable CLM with extrahepatic metastases, HAIC was generally not selected.

### Statistical analysis

Tumor-free and overall survival and time to progression after treatment were calculated according to the Kaplan-Meier method, and differences between groups were tested for significance using the log-rank test. A two-tailed P value <0.05 was considered as significant. All statistical analyses were performed using SPSS version 18.0 software (SPSS, Chicago, IL).

## Results

### Survival after HAIC for non-resectable CLM

Progression-free survival after HAIC was 10.8 months. *Figure 2* shows survival after HAIC in cases with non-resectable CLM. The 1-, 3- and 5-year survival rates after HAIC were 84%, 21% and 13%, respectively, and median survival after HAIC was 32.5 months. Tumor response after HAIC was CR in 4 patients (11%), partial response (PR) in 19 (53%), stable disease (SD) in 6 (17%) and progressive disease (PD) in 7 (19%). Disease control rate was 81% and response rate was 64%. Two cases showing PR became resectable from non-resectable CLM after decreasing the number of tumors although conversion hepatectomy was eventually not performed.

*Table 1* shows treatment results of HAIC using 5-FU CIA

**Table 1** HAIC applying 5-FU-CIA as primary chemotherapy

	Manifestation	First drug	Changed drugs by tumor progression	Tumor response	Prognosis	Progression
1	Postop-Rec*	5-FU	CPT-11+DSM	PR	30 m/d	Ing-LN
2	Initial Tx	5-FU		CR	85 m/a	
3	Postop-Rec*	5-FU		PD	5 m/d	Lung/brain
4	Postop-Rec*	5-FU		PD	18 m/d	Liver
5	Initial Tx	5-FU	CPT-11	PR	23 m/d	Liver
6	Initial Tx	5-FU		CR	39 m/a	
7	Initial Tx	5-FU	5-FU/CPT-11→FOLFIRI/Bev	PR	35 m/a	Liver/lung/brain
8	Initial Tx	5-FU	5-FU/CPT-11→CPT-11/S-1	PR	16 m/a	Liver/bone
9	Initial Tx	5-FU	FOLFOX	PR	19 m/a	Liver/local
10	Initial Tx	5-FU	FOLFOX→FOLFILI/Bev	PD	14 m/d	Liver/peritoneum
11	Initial Tx	5-FU		CR	10 m/a	Liver

\*Postoperative recurrence; Tx, treatment; DSM, degradable starch microspheres; FOLFOX, 5-FU/leucovorin/oxaliplatin; FOLFIRI, folic acid/5-FU/CPT-11; Bev, bevacizumab; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; m, months; a, alive; d, dead; Ing-LN, inguinal lymph node

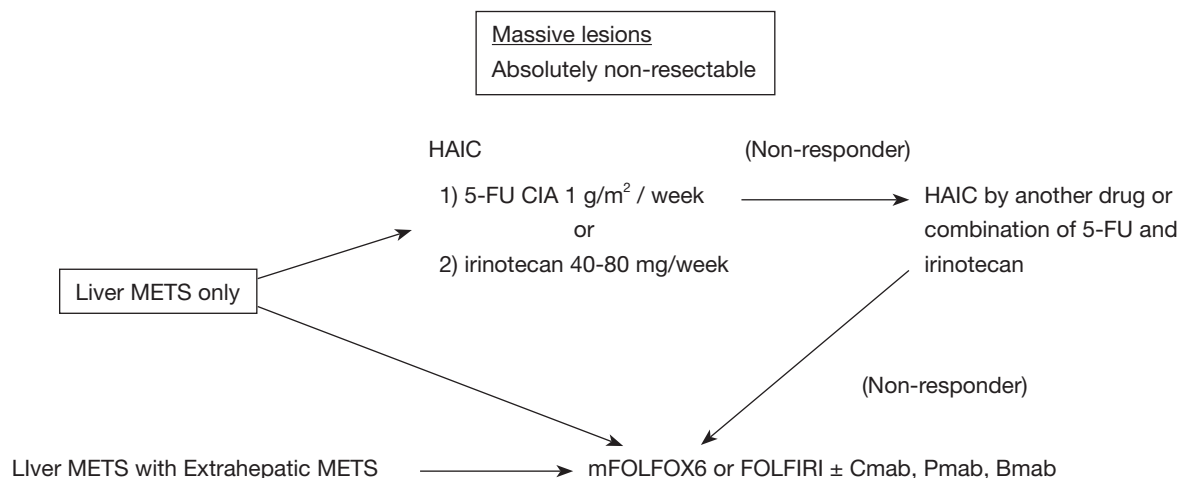
**Table 2** HAIC applying Irinotecan as primary chemotherapy

	Manifestation	First drug	Changed drugs	Response	Prognosis	Progression
1	Initial Tx	CPT-11+S-1		PD	6 m/d	Liver/bone
2	Postop. Rec.	CPT-11	5-FU	PR	16 m/d	Liver/bone
3	Postop. Rec.	CPT-11		PR	35 m/d	Liver/lung/brain
4	Initial Tx	CPT-11		CR	62 m/a	
5	Initial Tx	CPT-11		PR	18 m/d	Liver
6	Initial Tx	CPT-11		PR	47 m/d	Liver
7	Postop. Rec.	CPT-11	I-leucovorin+5-FU	PR	47 m/d	Liver
8	Initial Tx	CPT-11	5-FU	PR	17 m/d	Liver/lung/bone
9	Postop. Rec.	CPT-11	5-FU	PR	19 m/d	Liver
10	Postop. Rec.	CPT-11	5-FU	PR	16 m/d	Liver/lung/bone/skin
11	Initial Tx	CPT-11	5-FU	PD	18 m/d	Liver/bone/skin
12	Initial Tx	CPT-11		PR	5 m/d	Liver/lung/bone
13	Initial Tx	CPT-11	5-FU	PD	25 m/d	Liver
14	Postop. Rec.	CPT-11		PR	8 m/d	Liver
15	Initial Tx	CPT-11		SD	14 m/d	Liver
16	Initial Tx	CPT-11		PD	12 m/d	Liver

Abbreviations: see *Table 1*

as a primary chemotherapy in 11 patients. Eight patients underwent HAIC for non-resectable CLM and three underwent HAIC for posthepatectomy recurrence. Six cases received second-line chemotherapy, including HAIC with CPT-11 in two, HAIC as a combination of 5-FU and CPT-11 in two, and systemic chemotherapy in two. Three cases received third-line chemotherapy, including HAIC plus S-1 oral administration in one, and systemic chemotherapy with bevacizumab in two. CR was observed in 3 of 11 patients

(27%), PR in 5 (46%), and PD in 3. Two of 3 cases showing CR achieved long survival without tumor relapse. All cases showing PR and PD had tumor progression, but two cases survived over 24 months. *Table 2* shows the treatment results of HAIC using CPT-11 (irinotecan) as a primary chemotherapy in 16 patients. Ten patients underwent HAIC for non-resectable CLM and 6 underwent HAIC for posthepatectomy recurrence. Seven cases received second-line chemotherapy, including HAIC with 5-FU CIA in 6,

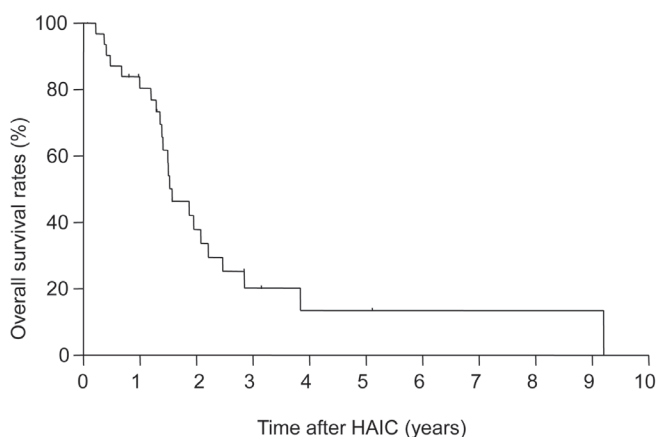


**Figure 1** The schema of our chemotherapy protocol for non-resectable colorectal liver metastases. METS, metastases; HAIC, hepatic intraarterial infusion chemotherapy; CIA, continuous intraarterial infusion. FOLFOX: 5-FU, leucovorin and oxaliplatin. FOLFIRI: folic acid, 5-FU and CPT-11

**Table 3** HAIC applying 5-FU-CIA+Irinotecan as primary chemotherapy

	Manifestation	First drug	Changed drugs	Response	Prognosis	Progression
1	Initial Tx	5FU-CPT11+FOLFOX	FOLFIRI>FOLFOX+Bev	PR	17 m/d	Liver/lung/bone
2	Initial Tx	5FU-CPT11+FOLFOX		PR	16 m/a	Liver
3	Initial Tx	5FU-CPT11	FOLFOX>FOLFIRI	PR	6 m/d	Liver
4	Postop. Rec	5FU-CPT11		PD	2 m/a	Liver
5	Postop. Rec	5FU-CPT11		PD	3 m/d	Liver
6	Postop. Rec	5FU-CPT11		PD	12 m/a	Liver/peritoneum
7	Postop. Rec	5FU-CPT11		SD	15 m/a	Liver
8	Initial Tx	5FU-CPT11		SD	17 m/a	Liver
9	Postop. Rec.	5FU-CPT11		SD	30 m/d	Liver

Abbreviations; see Table 1

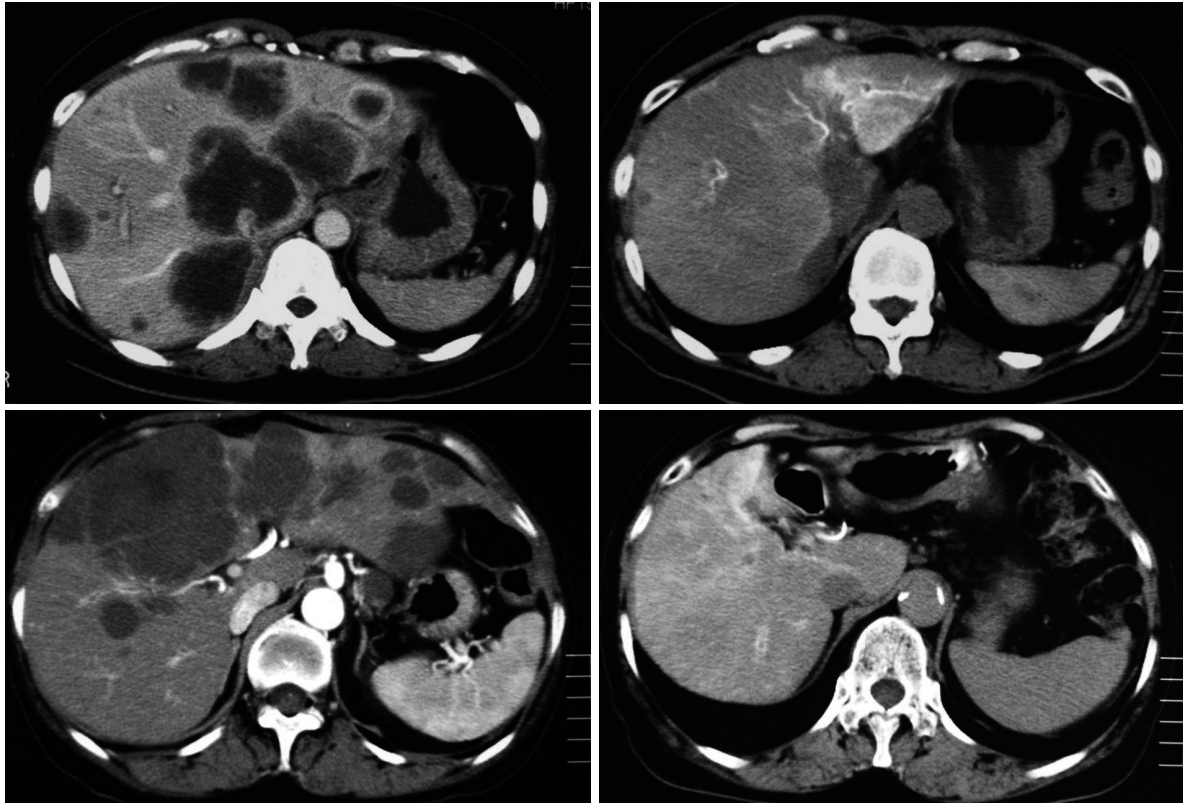
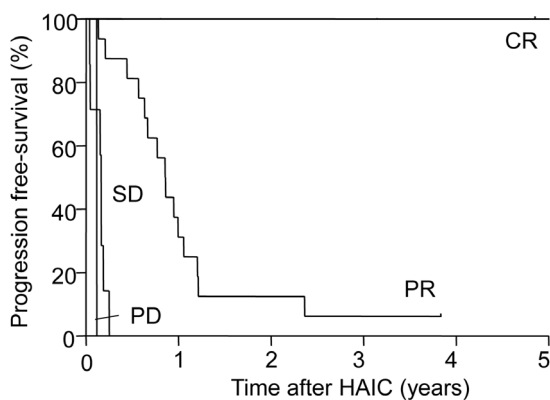


**Figure 2** Overall patient survival after HAIC

and systemic chemotherapy in 1. CR was observed in 1 of 16 patients (6%) (Figure 3), PR in 10 (63%), SD in 1 and PD in 4. One patient showing CR achieved long survival without tumor relapse. All patients except the one showing CR displayed tumor progression, but 3 cases showing PR achieved survival over 24 months. Table 3 shows treatment results for HAIC using a combination of 5-FU CIA and CPT-11 (irinotecan) as a primary chemotherapy in 9 patients. Four patients underwent HAIC for non-resectable CLM and 5 underwent HAIC for posthepatectomy recurrence. Two of 9 cases (22%) received second-line systemic chemotherapy. CR was not observed and PR was observed in 3 patients (33%), SD in 3 and PD in 3. All patients showed tumor progression and only 1 patient showing SD survived over 24 months.

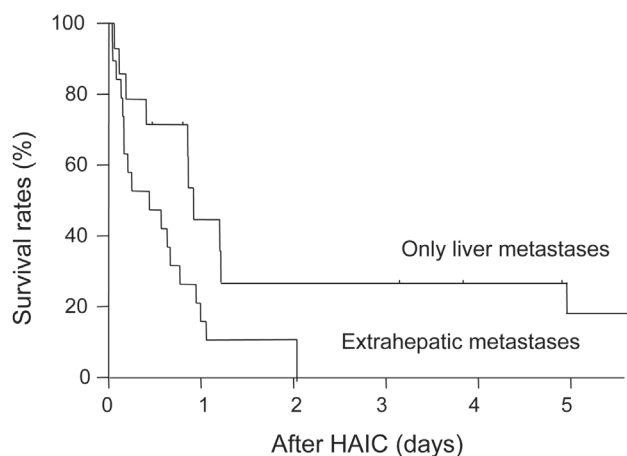
**Table 4** Catheter- or HAIC associated complications

Age	Gender	Used days	Morbidity	Result
51	Male	305	Occluded	Re-insertion
40	Male	335	Occluded	Re-insertion
52	Female	110	Port-site infection	Exchange
53	Male	335	Occluded	Discontinuance
67	Male	175	Port-site infection	Re-insertion
49	Female	168	Dislocation	Discontinuance

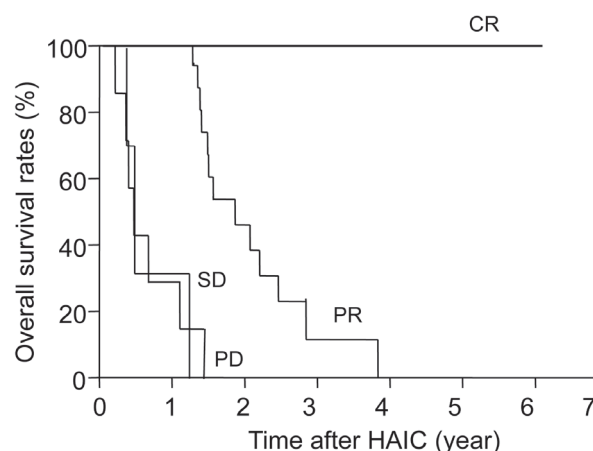
**Figure 3** Two representative cases of complete response after HAIC. Left, pre-HAIC findings from computed tomography; right, findings at the time of complete response**Figure 4** Tumor progression-free survival after HAIC with each level of response to chemotherapy. CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease

*Table 4* shows morbidity after HAIC, with 6 patients displaying associated complications (17%). Median duration of HAIC use was 238 days. Catheter occlusion was observed in 3 patients, port-site infection in 2 and catheter dislocation in 1. HAIC was able to be continued in 4 of these 6 cases by re-inserting or exchanging the catheter. Chemotherapy-associated complications were blood toxicity with grade 1 or 2 in 13 patients. Grade 4 leukocytopenia was observed in 2 patients (6%), one of whom died from subsequent acute respiratory distress syndrome and sepsis.

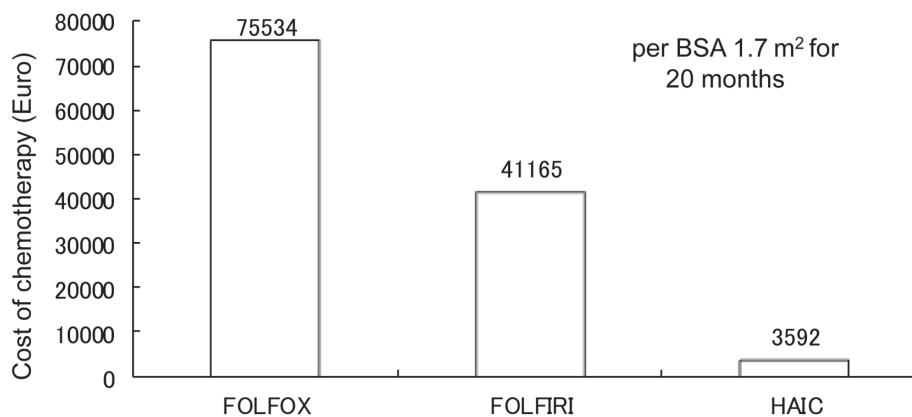
*Figure 4* shows tumor progression-free survival after HAIC for each level of response to chemotherapy. Median survival in CR patients was 57 months and no tumor progression was seen; survival was significantly longer than that with PR (13 months,  $P=0.024$ ), SD (1.7 months,



**Figure 5** Tumor progression-free survival after HAIC between liver metastases only and extrahepatic metastases. CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease



**Figure 6** Overall survival after HAIC with each level of response to HAIC. CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease



**Figure 7** Comparison of medical fees between HAIC and systemic chemotherapy. FOLFOX: 5-FU, leucovorin and oxaliplatin; FOLFIRI: folic acid, 5-FU and CPT-11

$P=0.012$ ) or PD (1.5 months,  $P=0.016$ ). *Figure 5* shows tumor progression-free survival in patients with or without extrahepatic metastases. Patients with only intrahepatic CLM achieved significantly longer survival (15.8 months) than those with extrahepatic metastases (9.8 months,  $P=0.047$ ). *Figure 6* shows overall survival after HAIC with each level of response to chemotherapy. Median survival period with CR was 62.3 months and all survived; survival was significantly longer than that with PR (25.4 months,  $P=0.021$ ), SD (12.1 months,  $P=0.018$ ) or PD (8.4 months,  $P=0.014$ ). No patients showing PR, SD or PD survived over 50 months. *Figure 7* shows a comparison of medical fees per  $1.7 \text{ m}^2$  of body surface area for 20 months of HAIC and systemic chemotherapy at our institute (in Euros). Cost of FOLFOX was 21-time higher and FOLFIRI was 11-times

higher than that of HAIC ( $P<0.01$ ).

## Discussion

In the era of systemic chemotherapy for CLM, the clinical significance of HAIC was not noted worldwide because of the similar survival benefit, reduced effectiveness against extrahepatic metastases and complicated management or catheter-associated problems (29). Kerr *et al.* reported that no survival benefit of HAIC has been found with the development of improved regimens of systemic chemotherapy (30). They concluded that no evidence for any survival advantage with HAIC was observed and continued use of this regimen was not recommended outside of clinical trials. Other reports have likewise denied the clinical

utility of HAIC in comparison with intravenous systemic chemotherapy (31,32). However, the regimen of drugs for HAIC was limited in these reports and no evaluations of continuous infusion of 5-FU or irinotecan had been undertaken. In the report by Kerr, dropout from the HAIC group due to catheter-related problems was relatively many, at 39%, and 51% of subjects did not achieve administration of 6 cycles. Despite this lack of ability to manage HAIC, median overall survival was comparable between HAIC and systemic chemotherapy (HAIC, 14.7 months; systemic chemotherapy, 14.8 months; hazard ratio, 1.04). A comparison of complications and survival benefits under adequate management of chemotherapy is therefore warranted. HAIC has still been applied in some institutes, including our own. Benefits for high response rate including complete diminishing of tumor image, longer survival, and lower cost in comparison with systemic chemotherapy were identified in the present study, suggesting that this treatment modality may be useful for controlling CLM.

In the present study, CR was observed in 4 patients (11%) and total response rate was high, representing a satisfactory result. In particular, patients with CR showed a long period of CR and long overall survival. In patients receiving systemic chemotherapy, the rate of achieving CR is supposed to be low at this stage (33). The power of local control with HAIC thus appears promising. Kemeny *et al.* reported on the CALGB9481 test, as a randomized prospective trial between groups receiving HAIC with FUDR and leucovorin compared to systemic chemotherapy with 5-FU and leucovorin (34). Their results showed a significantly longer median survival (24.4 months), longer progression-free survival (9.8 months), and higher response rate (47%) with HAIC in comparison with systemic chemotherapy. The present results were similar to those described by Kemeny *et al.*, albeit with a higher response rate of 64% (34). This might be attributable to different regimens of chemotherapy. In comparison with the latest systemic chemotherapy, survival and response rate in our results were not unfavourable (18,22,33). Although catheter-related problems were emphasized in previous results (29,30) and we also encountered 6 cases with catheter-related complication, HAIC was able to be maintained in 4 cases with replacement of a port or catheter. In comparison with the report by Kerr *et al.* (30), the complication rate was low and management was better in our study. When the management of ports and catheters for HAIC was well-organized, the scheduled cycle of administration of HAIC would be achievable in many cases. In terms of severe chemotherapy-related toxicity, we encountered only 2 patients. The drug toxicity of HAIC is lower than that of FOLFOX, FOLFIRI or use of molecular-targeted drugs (35).

In non-CR cases, tumors eventually progressed and patients died within 4 years. Furthermore, CLM with extrahepatic metastases showed very poor prognosis. Additional methods to obtain longer survival are thus necessary in such cases. We attempted combination therapy with HAIC and systemic chemotherapy to improve survival in non-CR cases. As HAIC was relatively inexpensive and showed fewer severe side effects compared to FOLFOX or FOLFIRI in our results, the significance of HAIC for controlling liver metastases remains. By combining systemic chemotherapy with HAIC, a well-balanced regime for better quality results may be achieved. Kemeny *et al.* reported the significance of HAIC with systemic chemotherapy for non-resectable CLM, in combination with oxaliplatin/CPT-11/FUDR. The response rate reached high as 90%, and median survival was long, at 36 months as above (36). Ducreux *et al.* also reported the combination of HAIC and systemic chemotherapy with oxaliplatin/5-FU/leucovorin, in which response rate was 64% and median survival was 27 months. Efficacy of the trial by Kemeny was superior to that of systemic chemotherapy or that of HAIC alone (36). Although control of extrahepatic metastasis by HAIC was weak, cause of death may be due to intrahepatic tumor progression. HAIC thus remains a useful chemotherapeutic option at this stage (37).

In conclusion, HAIC showed a high response rate and 4 cases of CR with long survival despite non-resectable CLM. Although catheter-related complications were observed in 17%, HAIC was able to be continued in 4 of the 6 cases and no severe drug toxicity was observed. From the perspective of view medical cost, HAIC appears cost-effective in comparison with recent systemic chemotherapies. HAIC for non-resectable CLM together with recent advances in systemic chemotherapy appears useful. To achieve good control of non-resectable CLM in the absence of extrahepatic metastases, HAIC can have a major impact with high anti-cancer response and prolonged survival, which can be applied to conversion hepatectomy in some groups with better responses to HAIC.

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