

# The influence of radiation therapy dose escalation on overall survival in unresectable pancreatic adenocarcinoma

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**Purpose:** Radiation therapy (RT) dose escalation in unresectable pancreatic adenocarcinoma (PAC) remains investigational. We examined the association between total RT dose and overall survival (OS) in patients with unresectable PAC.

**Methods and materials:** National cancer data base (NCDB) data were obtained for patients who underwent definitive chemotherapy and RT (chemo-RT) for unresectable PAC. Univariate (UV) and multivariate (MV) survival analysis were performed along with Kaplan-Meier (KM) estimates for incremental RT dose levels.

**Results:** A total of 977 analyzable patients met inclusion criteria. Median tumor size was 4.0 cm (0.3-40 cm) and median RT dose was 45 Gy. Median OS was 10 months (95% CI, 9-10 months). On MV analysis RT dose <30 Gy [HR, 2.38 (95% CI, 1.85-3.07); P<0.001] and RT dose ≥30 to <40 Gy [HR, 1.41 (95% CI, 1.04-1.91); P=0.026] were associated with lower OS when compared with dose ≥55 Gy. Patients receiving RT doses from 40 to <45, 45 to <50, 50 to <55, and ≥55 Gy did not differ in OS.

**Conclusions:** Lack of benefit to OS with conventionally delivered RT above 40 Gy is shown. Optimal RT dose escalation methods in unresectable PAC remain an important subject for investigation in prospective clinical trials.

**Keywords:** Radiation dose escalation pancreatic cancer; radiation dose escalation in pancreatic adenocarcinoma (PAC); unresectable pancreatic cancer; PAC and radiation therapy (RT); RT dose in unresectable pancreatic cancer; PAC and intensity modulated radiation therapy (IMRT); dose response pancreatic cancer

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## Introduction

Pancreatic adenocarcinoma (PAC) remains a substantial cause of cancer mortality in the United States (1). Patients with non-metastatic, unresectable PAC constitute a large percentage of PAC patients and are a group in which aggressive local therapy could potentially improve outcomes (2). The use of preoperative chemotherapy has increased and aids in selection of those patients that would otherwise

develop distant metastatic disease (3). Furthermore, a recent autopsy series demonstrated exciting genetic markers that can predict failure patterns along with evidence that close to one third of patients with unresectable PAC die from predominately local disease progression (4). These recent advancements are bringing us closer to selecting those patients with unresectable PAC that may truly benefit from aggressive local therapy.

A considerable number of clinical trials have been

conducted examining RT dose escalation (5-14). These trials have resulted in conflicting conclusions regarding the benefits of increasing RT dose. The goal of this series was to examine the effect of RT dose escalation in non-metastatic, unresectable PAC through an analysis of the national cancer data base (NCDB).

## Patients and methods

Our patient population was obtained from the Pancreatic Participant Use Data File (PUF) from the NCDB, which is one of the world's largest clinical cancer registries (15). The NCDB is supported by the American College of Surgeons and the American Cancer Society (15) and includes more than 1,440 hospitals in the United States. Data available include patient demographics, pathologic characteristics, detailed staging, RT dose information, chemotherapy data, and overall survival (OS) data.

Emory University was granted alpha-test user site status for the Pancreatic PUF, which includes all incident cases of PAC reported to the NCDB for the 5-year period of 1998-2002. PUF's are entirely de-identified data files available to selected investigators at Commission on Cancer (CoC) approved institutions for the advancement of patient care. Results reported are in compliance with the privacy requirements of the Health Insurance Portability and Accountability Act of 1996 as described in the Standards for Privacy of Individually Identifiable Health Information; Final Rule (45 CFR Parts 160 and 164). The use and publication of these data have been previously subject to peer review and approval by the NCDB.

There were 94,385 incident cases in the Pancreatic PUF for the 1998-2002 period. Of these, we selected patients with a primary tumor site in the pancreas resulting in 69,268 analyzable patients. We then selected 54,138 patients who did not have surgery on the primary site. From this group we selected 9,183 patients who underwent a documented course of external beam RT, thus excluding patients with missing information. Patients without evidence of distant metastatic disease were included, and pathologic M1 patients were excluded, leaving 7,044 patients. We then selected only those patients coded as having unresectable disease leaving 5,544 patients. Patients were then eliminated if they were coded as having T0, T1, or T2 disease leaving 4,532 patients. Any remaining patients coded as having stage I, or both an unknown T or group stage were also excluded leaving 4,023. Patients that did not receive chemotherapy were then excluded leaving

3,579. Patients were then selected that did not have missing survival information leaving 3,576. We then selected patients for whom the radiation dose was known leaving a total of 989 patients (coding radiation dose was optional until 2003). Finally, 12 patients with inaccurately coded RT doses (defined as any inconceivable dose of RT either less than 1 Gy or greater than 100 Gy) were eliminated leaving the final total of 977 patients. Among patients that met the first nine criteria, patients that met all criteria ( $n=977$ ) *vs.* those that were excluded due to missing survival information, missing radiation dose, or incorrect dose were compared. Differences were assessed using chi-square test or analysis of variance.

Covariates included age, gender, race, facility type, facility volume, radiation dose, radiation duration, stage, tumor size, and grade. Facility volume was calculated as the total number of PAC cases in a given facility during the years 1998-2002. Facility types were designated as Community Cancer Programs (CCP), Comprehensive Community Cancer Programs (CCCCP), or Academic/Research Programs (ARCP). The primary outcome was OS, and if a patient survived beyond 60 months, OS was censored at 61 months. Initially dose was examined as a continuous variable and also dichotomized based on the median dose. Categories for radiation dose were then chosen based on martingale residual plots, and were then further adapted to be based on clinically meaningful dose levels determined by a consensus group of the authors.

Statistical analysis was conducted using SAS Version 9.3. The significance level was set at 0.05. Descriptive statistics for each variable were reported. The unadjusted association of each variable with OS was derived from a Cox proportional hazards model. The chi-square test was used for categorical covariates and analysis of variance was used for numerical covariates to compare the covariates across the different radiation dose levels. Kaplan-Meier method was used to generate OS curves and estimate median survival with 95% confidence intervals. Radiation duration and tumor size were excluded from all multivariate (MV) analysis due to a high number of missing values. The MV survival analysis included dose, stage, facility type, and facility volume. The other covariates were entered in the model subject to a backward variable selection method with an  $\alpha=0.05$  removal criteria.

Propensity scores were calculated using a nominal logistic regression model to predict radiation dose. Inverse probability of treatment weights (IPTW) were calculated and represented the inverse probability of a

participant receiving the observed dose based on their characteristics. IPTW estimates were further stabilized by multiplying them by the marginal probability of receiving the observed dose. The multivariable survival analysis was repeated, weighting by the stabilized IPTW. Weights were normalized to add up to the original sample size.

## Results

A total of 977 analyzable patients were identified during the time interval assessed meeting inclusion criteria. There were no significant differences in patient characteristics, other than facility type and volume, between excluded patients and those presented. Median age was 67 years (range, 27-90 years), 49.5% were male, and 85.8% were Caucasian. All patients were treated with RT and chemotherapy. The staging was 5<sup>th</sup> edition American Joint Committee on Cancer (AJCC) staging and consisted of 211 AJCC stage II, 148 stage III, 589 stage IVA, and 29 patients had missing stage information. Median tumor size was 4.0 cm (range, 0.3-40 cm) and all patients were negative for distant metastatic disease (M0). Median RT dose was 45 Gy (range, 1.5-65 Gy), and median treatment duration was 40 days (range, 3-109 days). 134 patients (13.7%) received <30 Gy, 72 (7.4%) received ≥30 to <40 Gy, 65 patients (6.7%) received ≥40 Gy to <45 Gy, 295 (30.2%) received ≥45 Gy to <50 Gy, 281 (28.8%) received ≥50 to <55 Gy, and 130 (13.3%) received ≥55 Gy. A detailed summary of patient and treatment characteristics is found in *Table 1*.

The median OS for patients receiving less than 30 Gy was five months (95% CI, 4-6 months); for those patients receiving between ≥30 to <40 Gy was 8 months (95% CI, 6-10 months); for those receiving ≥40 to <45 Gy median OS was 12 months (95% CI, 9-14 months); for those receiving ≥45 to <50 Gy median OS was also 11 months (95% CI, 10-11 months); for those receiving ≥50 to <55 Gy median OS was also 11 months (95% CI, 10-12 months) and for those receiving greater than 55 Gy median OS was 11 months (95% CI, 10-13 months). The KM OS analysis for each dose level is shown in *Figure 1*.

In the UV survival analysis, several different adjuvant treatment parameters were associated with higher risk of death including RT dose below the median, RT dose <30 Gy, and RT dose ≥30 to <40 Gy, and shorter radiation duration. Factors significantly associated with lower risk of death included, smaller tumor size, lower grade, and younger age. The results of the complete UV can be found in *Table 2*.

The UV associated between categorized radiation dose and

all other covariates are summarized in *Table 3*. Factors found to be significantly correlated with the different dose level categories of RT included facility type, tumor size, and grade. It can be seen that the RT dose was independent of stage.

In the MV survival analysis, RT dose <30 Gy [HR, 2.38 (95% CI, 1.85-3.07);  $P \leq 0.001$ ] and RT dose ≥30 Gy and <40 Gy [HR, 1.41 (95% CI, 1.04-1.91);  $P = 0.026$ ] *vs.* RT dose ≥55 Gy; were significantly associated with worse OS. In addition to radiation dose, age was also found to be significant on MV analysis. The complete MV survival analysis can be seen in *Table 4*. As the results of the MV survival analysis were not significantly different with and without the propensity score weighting, we present the unweighted results only.

The duration of time over which each of the respective RT doses was delivered is summarized in *Table 5*. It can be seen that the vast majority of patients for which the RT duration was known received conventionally fractionated RT.

## Discussion

The purpose of this analysis of the NCDB was to examine the effect of RT dose escalation in a large cohort of patients with unresectable PAC. This series presents a heterogeneous group of patients, treated in a variety of facility types, with a wide range of RT doses. There was no measureable benefit or detriment to OS in patients treated with conventionally delivered, escalating RT doses greater than 40 Gy.

There exists a historical precedent for RT dose escalation in unresectable PAC. An early prospective study examining RT dose escalation was the Gastrointestinal Tumor Study Group's (GITSG) locally advanced dose escalation trial (5). Published in 1981, this prospective trial randomized 194 patients to 60 Gy of RT alone or concurrent chemo-RT with dose escalated RT consisting of 40 *vs.* 60 Gy. The RT was delivered over a split course using a two week intervening break with concurrent 5-FU based chemotherapy. A significant benefit was demonstrated with the addition of chemotherapy to RT, but no benefit was seen with RT dose escalation. The median OS for patients in the moderate high dose chemo-RT arms were both approximately ten months (5).

Profound technical advances in RT delivery have inspired an array of modern RT dose escalation series in unresectable PAC using a variety of RT delivery methods (6,8,10-12,14). In some series median OS has remained comparable to that demonstrated by the GITSG trial nearly

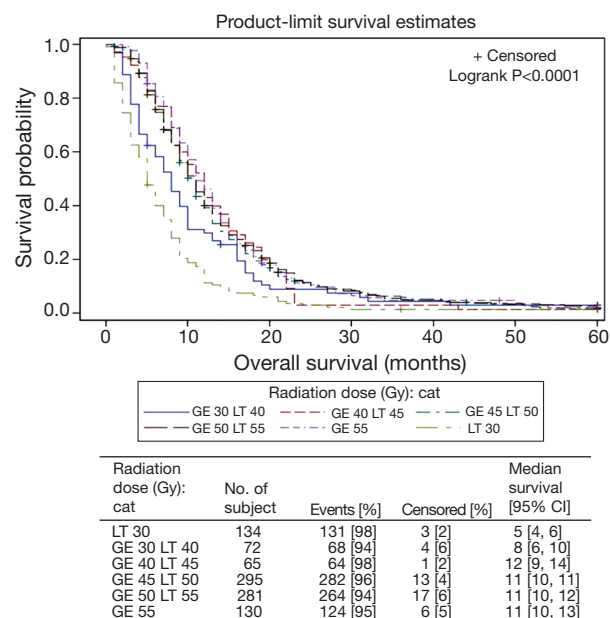
**Table 1** All patient and treatment characteristics

|                              | N=977      |
|------------------------------|------------|
| <b>Demographics</b>          |            |
| Age [years]                  |            |
| Mean                         | 65.76      |
| Median [range]               | 67 [27-90] |
| Age groupings                |            |
| ≤50                          | 92 [9.4]   |
| >50-≤65                      | 337 [34.5] |
| >65-≤75                      | 357 [36.5] |
| >75                          | 191 [19.5] |
| Gender [%]                   |            |
| Male                         | 484 [49.5] |
| Race [%]                     |            |
| White                        | 821 [85.8] |
| Other                        | 136 [14.2] |
| Missing                      | 20         |
| <b>Treatment</b>             |            |
| Facility type [%]            |            |
| CCP                          | 139 [14.2] |
| CCCP                         | 515 [52.7] |
| ARCP                         | 323 [33.1] |
| Facility volume              |            |
| Mean                         | 105        |
| Median [range]               | 82 [3-974] |
| Missing                      | 0          |
| Radiation dose [Gy]          |            |
| Mean                         | 43.49      |
| Median                       | 45         |
| Range                        | 1.5-65     |
| Missing                      | 0          |
| Radiation dose category [Gy] |            |
| <30                          | 134 [13.7] |
| ≥30-<40                      | 72 [7.4]   |
| ≥40-<45                      | 65 [6.7]   |
| ≥45-<50                      | 295 [30.2] |
| ≥50-<55                      | 281 [28.8] |
| ≥55                          | 130 [13.3] |
| Radiation duration [days]    |            |
| Mean                         | 40.00      |
| Median [range]               | 40 [3-109] |
| Missing                      | 522        |
| Radiation duration grouping  |            |
| <35                          | 92 [20.2]  |
| ≥35-<40                      | 114 [25.1] |
| ≥40-<45                      | 104 [22.9] |
| ≥45                          | 145 [31.9] |
| Concurrent chemo [%]         |            |
| Yes                          | 977 [100]  |
| No                           | 0          |
| Missing                      | 0          |

**Table 1** (continued)**Table 1** (continued)

|                               | N=977        |
|-------------------------------|--------------|
| <b>Tumor characteristics</b>  |              |
| Stage [AJCC 5 <sup>th</sup> ] |              |
| II                            | 211 [22.3]   |
| III                           | 148 [15.6]   |
| IVA                           | 589 [62.1]   |
| Missing [M status known]      | 29           |
| Metastatic disease            |              |
| M0                            | 977 [100%]   |
| M1                            | 0            |
| Missing                       | 0            |
| Tumor size [mm]               |              |
| Mean                          | 43.02        |
| Median [range]                | 40.0 [3-400] |
| Missing                       | 336          |
| Tumor size groupings [mm]     |              |
| ≤20                           | 43 [6.7]     |
| >20-≤30                       | 122 [19]     |
| >30-≤40                       | 207 [32.3]   |
| >40                           | 269 [42]     |
| Histologic grade              |              |
| Unspecified                   | 552 [56.5]   |
| I                             | 84 [8.6]     |
| II                            | 164 [16.8]   |
| III/IV                        | 177 [18.1]   |

Gy, gray; chemo, chemotherapy; CCP, Community Cancer Program; CCCP, Comprehensive Community Cancer Programs; ARCP, Academic/Research Cancer Program; AJCC, American Joint Committee on Cancer; mm, millimeters; facility volume, total number of all pancreatic adenocarcinoma cases in a given facility regardless of facility type.

**Figure 1** Kaplan Meier overall survival curves by dose level.

**Table 2** Univariate survival analysis

|                                             | N=977 | Univariate HR [95% CI] | P-value |
|---------------------------------------------|-------|------------------------|---------|
| <b>Demographics</b>                         |       |                        |         |
| Age [years]                                 |       |                        |         |
| ≤50                                         | 92    | 0.64 [0.50-0.83]       | <0.001  |
| >50-≤65                                     | 337   | 0.78 [0.65-0.94]       | 0.007   |
| >65-≤75                                     | 357   | 0.87 [0.73-1.04]       | 0.135   |
| >75                                         | 191   | 1.0                    | –       |
| Gender                                      |       |                        |         |
| Female                                      | 493   | 1.09 [0.96-1.24]       | 0.191   |
| Male                                        | 484   | 1.0                    | –       |
| Race                                        |       |                        |         |
| White                                       | 821   | 1.0                    | –       |
| Other                                       | 136   | 0.91 [0.75-1.09]       | 0.297   |
| <b>Treatment</b>                            |       |                        |         |
| Radiation dose by median [Gy]               |       |                        |         |
| ≤45                                         | 533   | 1.21 [1.1-1.38]        | 0.004   |
| >45                                         | 444   | 1.0                    | –       |
| Radiation dose continuous [Gy] [Unit =3 Gy] | 977   | 0.95 [0.94-0.96]       | <0.001  |
| Radiation dose levels [Gy]                  |       |                        |         |
| <30                                         | 134   | 2.33 [1.81-2.98]       | <0.001  |
| ≥30-<40                                     | 72    | 1.39 [1.03-1.87]       | 0.029   |
| ≥40-<45                                     | 65    | 1.07 [0.79-1.45]       | 0.645   |
| ≥45-<50                                     | 295   | 1.07 [0.87-1.33]       | 0.515   |
| ≥50-<55                                     | 281   | 1.04 [0.84-1.29]       | 0.729   |
| ≥55                                         | 130   | 1.0                    | –       |
| Radiation duration [days]                   |       |                        |         |
| <35                                         | 92    | 1.75 [1.34-2.29]       | <0.001  |
| ≥35-<40                                     | 114   | 0.88 [0.68-1.13]       | 0.318   |
| ≥40-<45                                     | 104   | 0.93 [0.72-1.21]       | 0.601   |
| ≥45                                         | 145   | 1.0                    | –       |
| <b>Tumor characteristics</b>                |       |                        |         |
| Tumor size [mm]                             |       |                        |         |
| ≤20                                         | 43    | 0.91 [0.65-1.26]       | 0.557   |
| >20-≤30                                     | 122   | 0.80 [0.63-0.99]       | 0.049   |
| >30-≤40                                     | 207   | 0.95 [0.79-1.15]       | 0.610   |
| >40                                         | 269   | 1.0                    | –       |
| Stage [AJCC 5 <sup>th</sup> ]               |       |                        |         |
| II                                          | 211   | 1.14 [0.97-1.34]       | 0.104   |
| III                                         | 148   | 1.12 [0.94-1.35]       | 0.215   |
| IVA                                         | 589   | 1.0                    | –       |
| Grade                                       |       |                        |         |
| Unspecified                                 | 552   | 0.80 [0.67-0.95]       | 0.012   |
| I                                           | 84    | 0.70 [0.54-0.92]       | 0.010   |
| II                                          | 164   | 0.73 [0.59-0.91]       | 0.005   |
| III/IV                                      | 177   | 1.0                    | –       |
| Facility type                               |       |                        |         |
| CCP                                         | 139   | 1.1 [0.89-1.34]        | 0.405   |
| CCCP                                        | 515   | 1.04 [0.90-1.20]       | 0.604   |
| ARCP                                        | 323   | 1.0                    | –       |
| Facility volume [Unit =10]                  | 977   | 1.0 [0.99-1.00]        | 0.217   |

HR, hazard ratio; CCP, Community Cancer Program; CCCP, Comprehensive Community Cancer Programs; ARCP, Academic/Research Cancer Program; Gy, gray; AJCC, American Joint Committee on Cancer; mm, millimeters; facility volume [Unit =10], total number of all pancreatic adenocarcinoma in a given facility regardless of facility type, unit of incremental increase =10.

**Table 3** Variable association with RT dose levels

|                               | <30 Gy,<br>N=134 | ≥30-<40 Gy,<br>N=72 | ≥40-<45 Gy,<br>N=65 | ≥45-<50 Gy,<br>N=295 | ≥50-<55 Gy,<br>N=281 | ≥55 Gy,<br>N=130 | P value* |
|-------------------------------|------------------|---------------------|---------------------|----------------------|----------------------|------------------|----------|
| Age [years] [row %]           |                  |                     |                     |                      |                      |                  | 0.268    |
| ≤50                           | 9 [9.78]         | 8 [8.7]             | 5 [5.43]            | 28 [30.4]            | 28 [30.43]           | 14 [15.22]       |          |
| >50-≤65                       | 41 [12.17]       | 23 [6.82]           | 20 [5.93]           | 101 [29.97]          | 108 [32.05]          | 44 [13.06]       |          |
| >65-≤75                       | 43 [12.04]       | 25 [7]              | 26 [7.28]           | 111 [31.09]          | 99 [27.73]           | 53 [14.85]       |          |
| >75                           | 41 [21.47]       | 16 [8.38]           | 14 [7.33]           | 55 [28.8]            | 46 [24.08]           | 19 [9.95]        |          |
| Tumor size [mm]               |                  |                     |                     |                      |                      |                  | 0.021    |
| Mean                          | 45.1             | 46.7                | 44.9                | 41.6                 | 40.03                | 49.0             |          |
| Stage [AJCC 5 <sup>th</sup> ] |                  |                     |                     |                      |                      |                  | 0.555    |
| II                            | 34 [16.11]       | 19 [9.0]            | 12 [5.69]           | 64 [30.33]           | 54 [25.59]           | 28 [13.27]       |          |
| III                           | 21 [14.19]       | 15 [10.14]          | 8 [5.41]            | 46 [31.08]           | 35 [23.65]           | 23 [15.54]       |          |
| IVA                           | 77 [13.07]       | 37 [6.28]           | 43 [7.3]            | 174 [29.54]          | 181 [30.73]          | 77 [13.07]       |          |
| Histologic grade              |                  |                     |                     |                      |                      |                  | 0.004    |
| Unspecified                   | 73 [13.22]       | 32 [5.8]            | 44 [7.97]           | 179 [32.43]          | 160 [28.99]          | 64 [11.59]       |          |
| I                             | 8 [9.52]         | 11 [13.1]           | 1 [1.19]            | 26 [30.95]           | 20 [23.81]           | 18 [21.43]       |          |
| II                            | 22 [13.41]       | 11 [6.71]           | 4 [2.44]            | 47 [28.66]           | 56 [34.15]           | 24 [14.63]       |          |
| III/IV                        | 31 [17.51]       | 18 [10.17]          | 16 [9.04]           | 43 [24.29]           | 45 [25.42]           | 24 [13.56]       |          |
| Facility type                 |                  |                     |                     |                      |                      |                  | 0.001    |
| CCP                           | 30 [21.58]       | 12 [8.63]           | 8 [5.76]            | 38 [27.34]           | 26 [18.71]           | 25 [17.99]       |          |
| CCCP                          | 71 [13.79]       | 37 [7.18]           | 35 [6.8]            | 150 [29.13]          | 144 [27.96]          | 78 [15.15]       |          |
| ARCP                          | 33 [10.22]       | 23 [7.12]           | 22 [6.81]           | 107 [33.13]          | 111 [34.37]          | 27 [8.36]        |          |

CCP, Community Cancer Program; CCCP, Comprehensive Community Cancer Programs; ARCP, Academic Research Cancer Program; Gy, gray; AJCC, American Joint Committee on Cancer; cm, centimeters; \*, the P value is calculated using ANOVA for numerical covariates and Chi-squared test for categorical covariates.

25 years prior (8,14). The heterogeneous results from these trials have resulted in conflicting conclusions regarding the benefit of radiosurgical dose escalation, with some series concluding that radiosurgical boost has no role in dose escalation for unresectable PAC (14). Still, more recent series have concluded that this technology is promising and warrants further investigation (6,8,9). The question remains, despite the improvements in local control seen with dose escalation, what additional factors associated with these dose escalation trials could be contributing to only a minimal change in OS numbers? The most likely explanation is that patients treated with dose escalation have increased toxicity detrimental to OS or that poorly selected patients succumb to subsequent distant metastatic disease.

There is room for tremendous speculation as to why RT dose escalation has failed thus far in unresectable PAC. As with any aggressive local therapy, patient selection

remains absolutely critical. The ability to select those patients that will not fail distantly after completing a course of aggressive local therapy is essential to translating local control improvements into meaningful OS improvements. Recently, great advancements in patient selection through both neoadjuvant chemotherapy and genetic analysis have provided hope in this arena (3,4,16). Additionally, an often overlooked and understudied area of RT delivery in unresectable PAC is the modality of GTV delineation. Recently, retrospective data have emerged and called into question the volumes delineated on abdominal CT and MRI (17,18). When local tumors are treated alone with increasingly small margins, the process of a pancreatic tumor GTV delineation must be carefully studied before a minimal margin is used expanding GTV-PTV. The GTV delineation in this disease may have important implications for normal tissue toxicity and local control, particularly in



**Table 4** Multivariate survival analysis

|                               | HR [95% CI]      | P value |
|-------------------------------|------------------|---------|
| Age [years]                   |                  |         |
| ≤50                           | 0.65 [0.50-0.85] | 0.002   |
| >50-≤65                       | 0.79 [0.65-0.95] | 0.014   |
| >65-≤75                       | 0.94 [0.79-1.14] | 0.545   |
| >75                           | 1.0              | –       |
| Radiation dose [Gy]           |                  |         |
| <30                           | 2.38 [1.85-3.07] | <0.001  |
| ≥30-<40                       | 1.41 [1.04-1.91] | 0.026   |
| ≥40-<45                       | 1.06 [0.78-1.44] | 0.701   |
| ≥45-<50                       | 1.06 [0.85-1.31] | 0.626   |
| ≥50-<55                       | 1.05 [0.85-1.31] | 0.633   |
| ≥55                           | 1.0              | –       |
| Stage [AJCC 5 <sup>th</sup> ] |                  |         |
| II                            | 1.07 [0.91-1.26] | 0.422   |
| III                           | 1.09 [0.90-1.31] | 0.363   |
| IVA                           | 1.0              | –       |
| Facility type                 |                  |         |
| CCP                           | 0.90 [0.71-1.14] | 0.390   |
| CCCP                          | 0.96 [0.82-1.14] | 0.653   |
| ARCP                          | 1.0              | –       |
| Facility volume: Unit =10     | 1.00 [0.99-1.00] | 0.241   |

CI, confidence interval; HR, hazard ratio; CCP, Community Cancer Program; CCCP, Comprehensive Community Cancer Programs; ARCP, Academic Research Cancer Program; Gy, gray; AJCC, American Joint Committee on Cancer; facility volume [Unit =10], total number of cases in a given facility regardless of facility type, unit of incremental increase =10.

the setting of dose escalation.

Despite the conflicting trials, hope remains for improved outcomes with RT dose escalation in unresectable PAC. In a series by Ben-Josef *et al.*, high quality intensity modulated radiation therapy (IMRT) with strict dose constraints was delivered in a Time-to-Event Continual Reassessment (TITE-CRM) trial that accrued a total of 50 patients (19). The recommended dose was determined to be 55 Gy over 25 fractions, and 2-year OS was an encouraging 14.8 months (11). A combination of rigorous patient selection, meticulous RT dose constraints, improved gemcitabine delivery, and prospective RT quality assurance likely contributed to the improvement in outcomes demonstrated in the Ben-Josef *et al.* series (11).

Using retrospective data analysis of a large cohort of patients from the NCDB, the current series demonstrates the absence of an OS benefit or detriment with RT dose escalation above 40 Gy. Our results agree with those of past randomized trials, which offer little evidence that conventionally fractionated 3D conformal RT (3D-CRT) delivery above 40 Gy improves patient outcomes in unresectable PAC. Recent American-French consensus guidelines have supported a dose range of 50-54 Gy, which is primarily based on the dose used in published randomized trials (20).

Another potentially important interpretation of the current series is that while there was no measureable benefit to RT dose escalation, there was also no detriment shown. Recent Phase III data have emerged that have demonstrated a detriment to OS with the addition of high dose chemo-RT as compared with chemotherapy alone (21). This has led to the conclusions that chemotherapy alone should be used in patients with unresectable PAC over chemo-

**Table 5** Duration of radiation therapy administration

| Radiation duration [Days] [row %] | <30 Gy, N=134 | ≥30-<40 Gy, N=72 | ≥40-<45 Gy, N=65 | ≥45-<50 Gy, N=295 | ≥50-<55 Gy, N=281 | ≥55 Gy, N=130 | P value* |
|-----------------------------------|---------------|------------------|------------------|-------------------|-------------------|---------------|----------|
| <10                               | 14 [93.33]    | 0                | 0                | 0 [0]             | 1 [6.67]          | 0 [0]         | <0.001   |
| ≥10-<20                           | 20 [90.91]    | 1 [4.55]         | 1 [4.55]         | 0 [0]             | 0 [0]             | 0 [0]         |          |
| ≥20-<30                           | 13 [43.33]    | 7 [23.33]        | 5 [16.67]        | 1 [3.3]           | 4 [13.33]         | 0 [0]         |          |
| ≥30-<40                           | 3 [2.16]      | 8 [5.76]         | 8 [5.76]         | 82 [58.99]        | 34 [24.46]        | 4 [2.88]      |          |
| ≥40-<50                           | 6 [3.33]      | 12 [6.67]        | 11 [6.11]        | 47 [26.11]        | 66 [36.67]        | 38 [21.11]    |          |
| ≥50-<60                           | 5 [10.2]      | 3 [6.12]         | 3 [6.12]         | 9 [18.37]         | 14 [28.57]        | 15 [30.61]    |          |
| ≥60                               | 2 [10]        | 2 [10]           | 3 [15]           | 3 [15]            | 5 [25]            | 5 [25]        |          |
| Mean                              | 23            | 38.39            | 41.48            | 40.77             | 43.13             | 49.35         | <0.001   |

\*, the P value is calculated using ANOVA for numerical covariates and chi-square for categorical covariates; Gy, gray.

RT, which is primarily practiced in Europe. Our series presents a large cohort of patients, treated in a variety of facility types, with escalating RT doses to 65 Gy without any measureable detriment to OS with increasing RT dose. If such a detriment to OS existed secondary to RT toxicity, one may expect to see it manifest in this large cohort of patients with increasing RT doses.

There are considerable limitations to any retrospective series and any large centralized database analysis. Such limitations include errors in data coding, absence of precise chemotherapy details, unknown CA-19-9 levels, lack of specific failure patterns, unknown medical comorbidities, and unknown performance status. Furthermore, a relatively small percentage of all available patients are included in this analysis, which introduces a potential confounder. We have conducted additional analysis to attempt to control for selection bias, including an analysis of all excluded patients and a propensity score adjusted analysis. These additional analyses had no influence on the conclusions drawn in the manuscript. Moreover, depending on the chemotherapy used differences might exist between the biological effectiveness of the RT dose levels we have examined. While we expect that given the treatment dates of 1998-2002 the majority of these patients received concurrent 5-FU based chemotherapy, the precise type and dose of chemotherapy is not known. Additionally the use of split course radiation is not known with certainty, and while it appears the majority of patients received conventional fractionation based on Table 5, however, we cannot be certain with the RT data included in the NCDB. Finally, certain dose levels compared have relatively small patient numbers, such as the 40 to <45 Gy cohort. This makes firm conclusions as to the optimal dose level difficult to ascertain from this analysis.

Limitations notwithstanding, these NCDB data offer a number of highly unique strengths. At the time of submission, the analysis is the largest conducted specifically examining RT dose escalation in unresectable PAC. The number of patients, knowledge of RT dose, chemotherapy, detailed staging, and diversity of facility types, provides insight into the outcomes of dose escalation across a wide range of practice settings. Such an analysis would be difficult without a large centralized database design.

The true role of RT dose escalation remains unknown in unresectable PAC. As the sequencing of chemotherapy and RT shift to preoperative delivery the potential benefits of preoperative RT dose escalation will require additional examination and have shown promise in a recent meta-analysis (22,23). Furthermore, the ability of dose escalation

to convert previously unresectable patients to resectable is exciting and was demonstrated in the series by Ben-Josef *et al.* (11). Overall, it is becoming abundantly clear that the delivery of dose escalated RT in unresectable PAC should take place in the setting of meticulously designed, prospective clinical trials with a substantial focus on RT quality, multidisciplinary assessment, and rigorous patient selection.

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