

Machine learning-based random forest predicts anastomotic leakage after anterior resection for rectal cancer

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Background: Anastomotic leakage (AL) is one of the commonest and most serious complications after rectal cancer surgery. The previous analyses on predictors for AL included small-scale patients, and their prediction models performed unsatisfactorily.

Methods: Clinical data of 5,220 patients who underwent anterior resection for rectal cancer were scrutinized to create a prediction model via random forest classifier. Additionally, data of 836 patients served as the test dataset. Patients diagnosed with AL within 6 months' follow-up were recorded. A total of 20 candidate factors were included. Receiver operating characteristic (ROC) curve was conducted to determine the clinical efficacy of our model, and compare the predictive performance of different models.

Results: The incidence of AL was 6.2% (326/5,220). A multivariate logistic regression analysis and the random forest classifier indicated that sex, distance of tumor from the anal verge, bowel stenosis or obstruction, preoperative hemoglobin, surgeon volume, diabetes, neoadjuvant chemoradiotherapy, and surgical approach were significantly associated with AL. After propensity score matching, the temporary stoma was not identified as a protective factor for AL (P=0.58). Contrastingly, the first year of performing laparoscopic surgery was a predictor (P=0.009). We created a predictive random forest classifier based on the above predictors that demonstrated satisfactory prediction efficacy. The area under the curve (AUC) showed that the random forest had higher efficiency (AUC =0.87) than the nomogram (AUC =0.724).

Conclusions: Our findings suggest that eight factors may affect the incidence of AL. Our random forest classifier is an innovative and practical model to effectively predict AL, and could provide rational advice on whether to perform a temporary stoma, which might reduce the rate of stoma and avoid the ensuing complications.

Keywords: Anastomotic leakage (AL); rectal cancer; risk factor; machine learning; nomogram; random forest

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Introduction

Colorectal cancer (CRC) is the third leading cause of cancer-related deaths according to a latest statistical report (1). Although improvements have been successful at reducing the incidence of CRC (2), the morbidity and mortality still remain high. Anterior resection (AR) based on total mesorectal excision (TME) (3) is the major surgical treatment for rectal cancer. Anastomotic leakage (AL) is one of the most common and serious complications after AR for rectal cancer. The incidence of AL varies from 3% to 21% according to previous reports (4-7). Patients with AL are likely to suffer from increased length of hospital stay and rates of re-operation, and even recurrence and mortality. Some of the patients may even require a de-functioning stoma that would significantly affect their quality of life. A temporary stoma is often recommended for patients with high risk of AL (8). However, the necessity of a temporary stoma is hard to decide upon since AL is associated with many factors, and is difficult to be predicted.

Though multiple studies have reported the predictors for AL (5,6,9), accurate prediction of AL remains difficult. According to the current knowledge, the healing of the anastomotic site depends on the tension and blood supply around the anastomotic site (10). The previous reports of risk factors included relatively few patients, and their results were not consistent (5,7,11-13). Moreover, the performance of the established models predicting the incidence of AL remains unsatisfactory. Random forest, a new and highly flexible machine learning algorithm, has wide application prospects, and has been demonstrated to have better performance in disease prediction (14). However, to the best of our knowledge, no article has reported the application of random forest in AL prediction so far. In this study, we aimed to analyze a large number of rectal cancer patients after AR to illustrate the risk factors of AL, and to create a random forest classifier to better predict the incidence of AL and give an advice on whether to a do temporary stoma. We present the following article in accordance with the TRIPOD reporting checklist (available at http://dx.doi. org/10.21037/jgo-20-436).

Methods

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved and monitored by the Ethics Committee of Changhai Hospital (No. CHEC2020-035). Because of the retrospective nature of the study, the requirement for informed consent was waived.

Patients

Patients who underwent AR for rectal cancer in Shanghai Changhai Hospital from August 2009 to June 2018, were included in the study. In addition, data of patients who underwent AR from July 2018 to June 2019, in our institution were collected as a group for external validation. Demographics, clinicopathological variables, and follow-up were extracted from the prospectively maintained CRC database.

Inclusion and exclusion criteria

Enrolled patients met the following inclusion criteria: rectal cancer patients; underwent AR with TME; complete clinical data. The exclusion criteria included: patients who underwent local excision, Miles or Hartmann surgery; patients with tumor >15 cm from the anal verge; patients with multiple primary colorectal carcinomas.

Diagnosis of AL

AL was defined as the defect of the intestinal wall at the anastomotic site (including suture and staple lines of neorectal reservoirs) leading to a communication between the intra- and extra-luminal compartments (15). A pelvic abscess close to the anastomosis was also considered as AL. Based on its impact on clinical management, AL was classified into three grades (grade A, B, and C) according to the International Study Group of Rectal Cancer: Grade A resulted in no change in patients' management, whereas grade B leakage required active clinical intervention but was manageable without re-operation. Grade C required re-operation (15). In this study, AL was diagnosed via digital rectal examination (DRE), endoscopy, or imaging examination within 6 months. The follow-up was conducted via outpatient or telephone.

Variables

Demographic variables were defined and analyzed as follows: sex, age at operation, body mass index (BMI). Clinicopathological variables were diabetes, preoperative albumin (pALB) (<35 vs. \geq 35 g/L), preoperative hemoglobin (pHGB) (<90 vs. \geq 90 g/L), carcinoembryonic antigen (CEA) (>5 vs. \leq 5 ng/mL), carbohydrate antigen 199 (CA199) (>37 vs. \leq 37 U/mL), preoperative bowel stenosis or obstruction, surgical approach (laparoscopic or open), blood loss, blood transfusion, distal tumor distance from the anal verge, neoadjuvant chemoradiotherapy (nCRT), surgeon volume (high volume surgeon: amount of colorectal surgeries >100 in the previous year; a total of 8 well-trained surgeons were include, qualifications of surgeons can be seen in Table S1),

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Figure 1 The flow chart of the selection process of patients.

temporary stoma, pathological T stage, pathological N stage, pathological types, and American Society of Anesthesiologists (ASA) score. The above variables were selected as candidate predictors because of previous reports and clinical experiences.

Statistical analysis

Statistical analyses were conducted with the statistical package for social sciences (SPSS version 22.0.0, IBM SPSS statistics, IBM Corporation, Armonk, NY, USA), R software (version 3.5.1; http://www.Rproject.org), and Python software (version 3.7.4; https://www.python.org). Descriptive statistics were computed for all variables. These included means and standard deviations (SD) for continuous factors, and frequencies for categorical factors. Comparisons of the distribution of clinicopathological characteristics were performed by using the two-tailed *t*-test (or Wilcoxon rank sum test as appropriate) for continuous variables and chi-square test (or the Fisher exact test as appropriate) for categorical variables. To evaluate whether temporary stoma was a risk factor for AL, propensity score matching (PSM) was implemented to reduce the possibility of selection bias by using a logistic regression model (16). P values of 0.05 or lower were considered statistically significant.

Candidate predictors incorporated in the prediction nomogram were based on the multivariate logistic regression analysis. In this study, the random forest classifier was also applied for which all the categorical data were transformed into numerical values in order to train the model. The pre-processed data set was then split into training set and validation set. Grid-search cross validation technique was used to tune the number of estimators in the classifier, and all trainings were conducted with 5-fold cross validation to prevent overfitting. Receiver operating characteristic (ROC) curve was conducted to evaluate the clinical usefulness of the nomogram and the random forest classifier by comparing the area under the curve (AUC) formed by the real results and the predicted results (17).

Results

Demographic and clinicopathological characteristics of the patients

A total of 5,220 eligible patients were enrolled (*Figure 1*), including 3,454 (66.2%) patients with a temporary stoma. 1,824 patients (34.9%) were female and 3,396 patients (65.1%) were male. Patients with AL classified as Grade B accounted for 3.83% (200/5,220), while those classified as grade A and grade C accounted for 1.86% (97/5,220) and 0.56% (29/5,220), respectively. Additionally, 1,343 patients (25.7%) received nCRT and 896 patients (17.2%) underwent laparoscopic surgery. The overall incidence of AL was 6.25% (326/5,220) (*Table 1*).

In the test dataset with 836 patients, the incidence of clinical AL was 5.4% (45/836). Additionally, 26.6% of these patients received nCRT (222/836), which was higher than that in the training dataset. Further, 22.7% (190/836) of these patients underwent laparoscopic surgery, which was also higher than that in the training dataset. Similarly, the proportion of patients with temporary stoma in the test dataset was also higher than that in the training dataset (69.2% vs. 66.2%, respectively). Conversely, the distance of tumor from the anal verge was lower in the test set (6.53 ± 3.28 vs. 6.99 ± 3.18 , respectively). The reasons for the differences regarding temporary stoma and nCRT between the two datasets were owing to the development

Table 1 Univariate analysis of variables related to anastomotic leakage (n=5,220)

Variables	Patients without AL (n=4,894)	Patients with AL (n=326)	P value
BMI, kg/m ²	23.32±3.09	23.80±3.11	0.09
Sex			<0.001
Female	1,744 (95.6)	80 (4.4)	
Male	3,150 (92.8)	246 (7.2)	
Age, years	59.68±11.35	58.68±11.43	0.17
Blood transfusion, mL	28.11±161.52	52.31±234.86	0.03
Surgeon volume, /year			<0.001
≥100	4,388 (94.2)	265 (5.8)	
<100	556 (90.1)	61 (9.9)	
Blood loss, mL	197.23±149.05	209.75±150.05	0.14
Surgical approach			0.25
Open	4,049 (93.6)	275 (6.4)	
Laparoscopic	845 (94.3)	51 (5.7)	
Stoma			<0.001
No	1,693 (95.9)	73 (4.1)	
Yes	3,201 (92.7)	253 (7.3)	
nCRT			<0.001
No	3,701 (95.5)	176 (4.5)	
Yes	1,193 (88.8)	150 (11.2)	
Distance, cm	7.07±3.19	5.77±2.75	<0.001
Stenosis or obstruction			0.001
No	3,273 (94.5)	190 (5.5)	
Yes	1,621 (92.3)	136 (7.7)	
Pathological types			0.50
Well differentiated	129 (94.2)	8 (5.8)	
Moderately differentiated	3,994 (94.0)	256 (6.0)	
Poorly differentiated	224 (92.2)	19 (7.8)	
Mucinous	518 (92.5)	42 (7.5)	
Signet ring cell	29 (96.7)	1 (3.3)	
pT stage			0.31
1, 2	1,790 (94.2)	110 (5.8)	
3, 4	3,104 (93.5)	216 (6.5)	
pN stage			0.60
0	2,835 (93.9)	184 (6.1)	
1, 2	2,059 (93.5)	142 (6.5)	

Table 1 (continued)

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Table 1 (continued)

Variables	Patients without AL (n=4,894)	Patients with AL (n=326)	P value
pALB, g/L			0.78
≥35	4,681 (93.8)	311 (6.2)	
<35	213 (93.4)	15 (6.6)	
pHGB, g/L			0.02
≥90	4,796 (93.9)	312 (6.1)	
<90	98 (87.5)	14 (12.5)	
Diabetes			<0.001
No	4,313 (94.6)	244 (5.4)	
Yes	581 (87.6)	82 (12.4)	
ASA score			0.03
≤2	4,457 (93.9)	292 (6.2)	
≥3	437 (92.0)	34 (8.0)	
CA199, U/mL			0.55
≤37	4,278 (93.8)	281 (6.2)	
>37	616 (93.2)	45 (6.8)	
CEA, ng/mL			0.67
≤5	3,240 (93.7)	220 (6.4)	
>5	1,654 (94.0)	106 (6.0)	

Data were presented as n (%) or mean ± standard deviation. AL, anastomotic leakage; BMI, body mass index; nCRT, neoadjuvant chemoradiotherapy; pALB, preoperative albumin; pHGB, preoperative hemoglobin; ASA, American Society of Anesthesiologists; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen 199.

of the concepts of nCRT, and techniques in laparoscopic surgery during the past few years. Patients who received neoadjuvant therapy or underwent low AR were more likely to receive a temporary stoma during surgery (Table S2).

Risk factors associated with AL

According to univariate analysis, of all the examined variables, sex, blood transfusion volume, temporary stoma, surgeon volume, nCRT, distance of tumor from the anal verge, bowel stenosis or obstruction, pHGB level, diabetes, and ASA score were associated with AL (*Table 1*). Moreover, we found that the incidence of AL was significantly higher during the surgeon's first year of laparoscopic surgery compared with the following years (P=0.009) (*Table 2*). Therefore, the surgical approach was re-defined as open surgery, first year, and following years of laparoscopic surgery. The risk factors with P<0.2 in

the univariate analysis were included in the multivariate analysis. The multivariate analysis showed that sex, surgeon volume, distance of tumor from the anal verge, bowel stenosis or obstruction, pHGB, nCRT, diabetes, and surgical approach were independent risk factors of AL (*Table 3*).

As shown in *Table 1*, patients with temporary stoma appeared to have a higher incidence of AL, which was contradictory to a previous report (18). To further confirm whether temporary stoma is associated with AL, we used PSM to balance the baseline data. According to the univariate analysis, BMI, sex, blood transfusion, blood loss, distance of tumor from the anal verge, nCRT, surgical approach, and pALB were considered as the matching variables (Table S3). A total of 2,218 patients were selected after PSM (ratio =1:1, caliper =0.05), and there was no significant difference between patients with and without temporary stoma regarding the incidence of AL (P=0.58) (*Table 4*).

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Laparoscopic year of surgeon	Patient without AL	Patient with AL	P value
Open	4,049 (93.6%)	275 (6.4%)	0.009
1	74 (88.1%)	10 (11.9%)	
2	151 (94.4%)	9 (5.6%)	
3	195 (97.0%)	6 (3.0%)	
4	129 (92.1%)	11 (7.9%)	
5	139 (93.9%)	9 (6.1%)	
6	95 (97.9%)	2 (2.1%)	
7	62 (93.9%)	4 (6.1%)	

Table 2 Relationship between laparoscopic year of surgeon and AI

AL, anastomotic leakage.

Table 3 Independent risk factors of AL according to multivariate analysis

Variables	OR (95% CI)	P value
Sex	1.792 (1.369–2.345)	<0.001
Surgeon volume	2.757 (2.000–3.800)	<0.001
nCRT	3.498 (2.718–4.501)	<0.001
Distance of tumor to anal verge	0.851 (0.815–0.890)	<0.001
Stenosis or obstruction	1.572 (1.235–2.001)	<0.001
pHGB level	2.949 (1.579–5.508)	0.001
Diabetes	3.385 (2.543–4.506)	<0.001
Surgical approach	-	<0.001

AL, anastomotic leakage; OR, odds ratio; CI, confidence interval; nCRT, neoadjuvant chemoradiotherapy; pHGB, preoperative hemoglobin.

Table 4 Relationship	between stom	a and AL a	after PSM (n=2,366)

Stoma	Patient without AL	Patient with AL	P value
No	1,125 (95.1%)	58 (4.9%)	0.58
Yes	1,118 (94.5%)	65 (5.5%)	0.56

AL, anastomotic leakage; PSM, propensity score matching.

Prediction model for AL

A random forest classifier that is a classic machine learning approach was constructed to predict the incidence of AL. All the risk factors with P<0.2 in univariate analysis were included in the random forest model, and their respective feature importance in the model were calculated. Since the number of positive class (AL patients) was much less than that of the negative class (that we only have 326 positive labels out of 5,220 patients), we up-sampled patients from positive class with replacement to solve the imbalanced class problem. After resampling, we obtained a new dataset containing 9,788 patients' information with the same numbers of positive and negative classes.

The top 8 factors with the greatest impact weights were chosen into the final random forest model (*Table 5*). In order to prevent overfitting, we performed 5-fold cross-validation to train the model. During each training, 80% of the patients were sampled to form the training set, and the rest 20% patients were left as the validation set.

To evaluate our model, we used AUC-ROC curve, specificity, and sensitivity as our evaluation metrics. The average AUC for the training set was 0.89 ± 0.00 (*Figure 2A*) while that for the validation set was 0.85 ± 0.02 (*Figure 2B*). The sensitivity of training and validation set was 0.827 and 0.818, respectively, and the specificity was 0.739 and 0.67,

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Table 5 Weight of factors in random forest

Factor	Weight
Distance of tumor to anal verge	0.316318
nCRT	0.286645
Diabetes	0.173374
Surgeon volume	0.081505
Sex	0.070168
Stenosis or obstruction	0.027725
Preoperative hemoglobin	0.018607
Surgical approach	0.017111

nCRT, neoadjuvant chemoradiotherapy.



respectively. According to the data in the test set, the AUC was 0.87, while the sensitivity and specificity were 0.844 and 0.697, respectively (*Figure 2C*).

In order to verify the efficacy of the random forest classifier, we also constructed a nomogram to predict AL based on the same clinical data (*Figure 3*). Patients from August, 2009, to June, 2018, were collected as training set while patients from July, 2018, to June, 2019, were regarded as test set. Based on the multivariate analysis, sex, surgeon volume, distance of tumor from the anal verge, bowel stenosis or obstruction, pHGB level, nCRT, diabetes, and surgical approach were included in the final nomogram. The AUC of training and test set was 0.735 and 0.724,



Figure 2 The ROC curve was to validate the efficiency of Random forest model. (A) Eighty percent patients (n=4,176) were split into training set in each fold. Five-fold cross-validation was applied in the random forest model. Each iteration produced an ROC curve. The average AUC for the training set was 0.89 ± 0.00 ; (B) 20% patients (n=1,044) were split into validation set in each fold. The average AUC for the validation set was 0.85 ± 0.02 ; (C) 836 patients were prospectively collected as a group for external validation. The AUC for the test set was 0.87. ROC, receiver operating characteristic; AUC, area under the curve.



Figure 3 Nomogram to calculate risk scores and predict AL probability for RC patients. To estimate the probability of AL in a given patient, mark patient values at each axis, draw a straight line perpendicular to the point axis, and sum the points for all variables. Then, we summed the total points and drew vertical line from the *total points* row to obtain the probability of AL. AL, anastomotic leakage; RC, rectal cancer.

respectively, which was inferior to that of the random forest classifier (*Figure 4A*,*B*).

The final random forest classifier has been stored and can be used for future applications. Moreover, we are constructing a website embedded with the model and user instructions so that future doctors can further validate and utilize our model to predict AL with our model as the reference (http://www.changhai-rc-al-prediction.org).

Discussion

In this study, 5,220 patients who underwent AR for rectal cancer were enrolled and analyzed to identify the predictors for AL. We collected 20 demographic and clinicopathological

characteristics to identify the predictors for AL. After univariate and multivariate analysis, 8 predictors for AL were identified as follows: sex, surgeon volume, distance of tumor from the anal verge, bowel stenosis or obstruction, pHGB, nCRT, diabetes, and surgical approach. Furthermore, we created a random forest classifier, which is a part of machine learning, providing a more accurate prediction for the risk of AL (AUC =0.87) than the nomogram (AUC =0.724), which is based on logistic regression model. To the best of our knowledge, our study is the largest retrospective singlecenter study according to the data volume so far.

In our study, AUC and ROC curves confirmed that the random forest model, based on predictors per our findings, had greater predictive efficiency than the nomogram, which



Figure 4 The ROC curve was applied to validate the efficiency of the prediction nomogram. (A) The AUC was 0.735 (n=5,220) in the training set; (B) the AUC was 0.724 (n=836) in the test set.

Author	Number of patients	Number of risk factors	AUC or C-index	Sensitivity	Specificity
Hongtu Zheng <i>et al.</i>	3,229	8	0.723	-	-
Nobuaki Hoshino et al.	936	5	0.72	-	-
Johannes Klose <i>et al.</i>	972	6	0.686	-	-
Chanchan Xiao <i>et al.</i>	477	5	0.816	66.67%	86.09%
Xuanhui Liu et al.	646	3	0.67	-	-
Seung Up Yang et al.	566	5	0.803	95.7%	67%
Tenghui Ma et al.	321	3	0.722	_	-
Our model	5,220	8	0.850	81.8%	67%

Table 6 Prediction performance of different models

was widely used in the previous studies (5,6,11,12,19). However, the principle of the nomogram to predict AL is based on logistic regression that has limitations in the fitting of model creation (20). Nevertheless, machine learning that derives from the computer field has been widely used in different fields, and can partly overcome the limitations of the regression models (21,22). Random forest is a type of machine learning based on decision tree algorithm, and it has shown better predictive value than the traditional prediction model (23). However, to the best of our knowledge, no prediction model of random forest for AL has been reported to date. We constructed the random forest model to predict the incidence of AL for the first time and with the largest data volume (n=5,220). To prevent our model from overfitting, we performed cross-validation to generate robust results (24). Thus, the results in our study

are likely to be more reliable and convincing. Moreover, compared to other studies, our model also showed a better predicting performance (11,12,19,20,25-27) (*Table 6*).

Of all enrolled patients who underwent AR for rectal cancer in this study (n=5,220), 326 (6.2%) patients were diagnosed with AL. In previous studies, there were significant variations in the incidence of AL after rectal resection, ranging from 3% to 21% (5-7). AL is more likely to occur in the left colon and rectal surgeries than in the right colon surgery (9). Clinical AL gains more attention not only because it is easy to be detected but also for its impact on follow-up treatment.

Whether a temporary stoma can reduce the incidence of AL is debatable (18). Some studies believed that a temporary stoma could indirectly accelerate anastomotic healing, thus reducing the incidence of AL (4). Other studies discovered that a temporary stoma could not reduce the incidence of AL, but could relieve the difficulty in managing postoperative complications (7). In this study, we used PSM to balance the differences of baseline data between the stoma and non-stoma groups. After PSM, we found that there was no correlation between stoma and AL, which indicated that a temporary stoma could not decrease the incidence of AL. However, our data showed that 37.0% (27/73) patients with AL needed re-operation in the nonstoma group, while in the stoma group, the re-operation rate was 0.8% (2/253) (Table S4). It was a hint for surgeons that a temporary stoma could reduce the incidence of reoperation necessitated by AL. Besides, the stoma rate was 66.2% in our study which was higher than several other studies (12,20,25). In contrast, in our study the average distance of tumor from the anal verge was lower than that in most previous studies (around 7.5 cm) (12,20,25,26). In our study, the overall average distance was 6.99±3.18 cm, and that in the stoma group was 5.75±2.52 cm, while in non-stoma group that was 9.41±2.90 cm, which implied that there were more low rectal cancer patients in our study.

Distance of tumor from the anal verge and sex are widely acknowledged as risk factors for AL in previous studies (28). Consistently, our study showed that male patients and those with lower distance of tumor from the anal verge were more likely to have AL. Similarly, preoperative bowel stenosis or obstruction, preoperative anemia (<90 g/L) or massive blood loss during operation, diabetes, and nCRT that were also considered as predictors in our study, have also been reported in several other studies (19,29). A proximal bowel stenosis or even obstruction may induce the proximal and distal bowel tissue edema, and thus increase the incidence of AL after AR. Patients with anemia or diabetes may have reduced blood supply, and tend to have a high risk of infection that may affect wound healing negatively (5). The nCRT may damage the tissue of gut lumen, and even impair the sphincter's functioning, thus delaying the anastomotic healing. In addition to the above predictive factors, we also found that surgeon volume, and surgical approach was related to the incidence of AL. It was argued that low volume surgeons were more likely to incur AL (29,30), and we found the same conclusion. However, when it comes to surgical approach, except open surgery (12,29), performing laparoscopic surgery in first year could also raise the incidence of AL. This result indicates that we cannot neglect the human factor in high volume surgeons and laparoscopic learning curve may contribute to AL (30-32).

However, there were also some limitations in our study.

This was a retrospective study in a single center, which might have caused selection bias for patients and lacked external validation of other centers. We are now conducting prospective multicenter study to further verify our model through website. Besides, other relevant variables, such as smoking, were not recorded in the database. Whether the status of KRAS is associated with AL is controversial (13). However, because of the missing data of KRAS in early years, we only collected 3,806 patients with the accurate status of KRAS and found that there was no correlation between the status of KARS and AL (P=0.784) (Table S5).

Conclusions

Our study suggests that eight factors are closely related to the incidence of AL. Our prediction model of random forest may be a practical tool for more accurate prediction of AL after AR for rectal cancer. Machine learning combined with big data has great application prospects in predicting AL. The results of our random forest model could also provide a rational advice on whether to do a temporary stoma, which might reduce the high rate of stoma and avoid the ensuing complications.

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Footnote

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Supplementary

Table S1 Qualification of surgeons

Surgeon	Years of training	Year of doing colorectal surgery independently	Year of doing laparoscopic surgery independently
1	>10	2005	-
2	>10	2007	2009
3	>10	1989	2017
4	>10	2000	2008
5	>10	2011	2014
6	>10	2013	2013
7	>10	2006	2008
8	>10	2014	2015

Table S2 Data of test set (n=836)

Variables	Patients without AL (n=791)	Patients with AL (n=45)	P value
BMI, kg/m ²	23.52±2.99	23.95±3.02	0.35
Sex			0.14
Female	267 (96.4)	10 (3.6)	
Male	524 (93.7)	35 (6.3)	
Age	60.49±10.77	59.78±10.17	0.67
Blood transfusion, mL	20.23±158.78	35.56±166.73	0.53
Surgeon volume, /year			0.04
≥100	749 (95.1)	39 (4.9)	
<100	42 (87.5)	6 (12.5)	
Blood loss, mL	166.22±149.70	170.00±151.66	0.87
Surgical approach			0.93
Open	611 (94.6)	35 (5.4)	
Laparoscopic	180 (94.7)	10 (5.3)	
Stoma			0.03
No	250 (97.3)	7 (2.7)	
Yes	541 (93.4)	38 (6.6)	
nCRT			0.08
No	586 (95.4)	28 (4.6)	
Yes	205 (92.3)	17 (7.7)	
Distance, cm	6.60±3.28	5.36±3.09	0.01
Stenosis or obstruction			0.52
No	527 (95.0)	28 (5.0)	
Yes	264 (94.0)	17 (6.0)	
Pathological types			0.26
Well differentiated	20 (95.2)	1 (4.8)	
Moderately differentiated	647 (94.6)	37 (5.4)	
Poorly differentiated	37 (88.1)	5 (11.9)	
Mucinous	80 (97.6)	2 (2.4)	
Signet ring cell	7 (100.0)	0 (0.0)	
pT stage			0.11
1, 2	275 (92.9)	21 (7.1)	
3, 4	516 (95.6)	24 (4.4)	
pN stage			0.64
0	468 (94.9)	25 (5.1)	
1, 2	323 (94.2)	20 (5.8)	
pALB, g/L			0.62
≥35	771 (94.5)	45 (5.5)	
<35	20 (100.0)	0 (0.0)	
pHGB, g/L			0.04
≥90	779 (94.9)	42 (5.1)	
<90	12 (80.0)	3 (20.0)	
Diabetes			0.007
No	662 (95.7)	30 (4.3)	
Yes	129 (89.6)	15 (10.4)	
ASA score			0.31
≤2	711 (94.9)	38 (5.1)	
≥3	80 (92.0)	7 (8.0)	
CA199, U/mL			0.21

≤37	712 (94.9)	38 (5.1)	
>37	79 (91.9)	7 (8.1)	
CEA, ng/mL			0.14
≤5	525 (93.8)	35 (6.3)	
>5	266 (94.6)	10 (3.6)	

Data were presented as n (%) or mean ± standard deviation. AL, anastomotic leakage; BMI, body mass index; nCRT, neoadjuvant chemoradiotherapy; pALB, preoperative albumin; pHGB, preoperative hemoglobin; ASA, American Society of Anesthesiologists; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen 199.

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Table S3	Univariate	analysis	of variables	related to	stoma ((n=5.220)
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Variables	Patients without stoma (n=1,766)	Patients with stoma (n=3,454)	P value
3MI, kg/m ²	23.02±2.98	23.52±3.16	<0.001
Sex			<0.001
Female	711 (39.0)	1,113 (4.4)	
Male	1,055 (31.1)	2,341 (68.9)	
Age	59.88±11.43	59.48±11.31	0.23
Blood transfusion, mL	22.37±133.90	33.32±181.64	0.01
Surgeon volume, /year			0.59
≥100	1,551 (33.7)	3,052 (66.3)	
<100	215 (34.8)	402 (65.2)	
Blood loss, mL	183.60±108.41	205.38±165.66	<0.001
Surgical approach			0.048
Open	1,437 (33.2)	2,887 (66.8)	
Laparoscopic	329 (36.7)	567 (63.3)	
CRT			<0.001
No	1,373 (35.4)	2,504 (64.6)	
Yes	393 (29.3)	950 (70.7)	
listance, cm	9.42±2.92	5.76±2.52	<0.001
tenosis or obstruction			0.06
No	1,207 (34.9)	2,256 (65.1)	
Yes	659 (37.5)	1,098 (62.5)	
athological types			0.35
Well differentiated	44 (32.1)	93 (67.9)	
Moderately differentiated	1,440 (33.9)	2,810 (66.1)	
Poorly differentiated	86 (35.4)	157 (64.6)	
Mucinous	191 (34.1)	369 (65.9)	
Signet ring cell	5 (16.7)	25 (83.3)	
T stage			0.30
1, 2	698 (36.7)	1,202 (63.3)	
3, 4	1,268 (38.2)	2,052 (61.8)	
N stage			0.84
0	1,083 (35.9)	1,936 (64.1)	
1, 2	783 (35.6)	1,418 (64.4)	
ALB, g/L			0.04
≥35	1,674 (33.5)	3,318 (66.5)	
<35	92 (40.4)	136 (59.6)	
HGB, g/L			0.16
≥90	1,721 (33.7)	3,387 (66.3)	
<90	45 (40.2)	67 (59.8)	
liabetes			0.10
No	1,561 (34.3)	2,996 (65.7)	
Yes	205 (30.9)	458 (69.1)	
ASA score			0.73
≤2	1,610 (33.9)	3,139 (66.1)	
≥3	156 (33.1)	315 (66.9)	
A199, U/mL			0.33
≤37	1,880 (41.2)	2,679 (58.8)	
>37	286 (43.3)	375 (56.7)	
EA na/ml			0.07

≤5	1,141 (33.0)	2,319 (67.0)
>5	625 (35.5)	1,135 (64.5)

Data were presented as n (%) or mean ± standard deviation. AL, anastomotic leakage; BMI, body mass index; nCRT, neoadjuvant chemoradiotherapy; pALB, preoperative albumin; pHGB, preoperative hemoglobin; ASA, American Society of Anesthesiologists; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen 199.

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Table S4 Relationship between stoma and reoperation (n=326)

Stoma	Non-reoperation	Reoperation	P value		
No	46 (63.0%)	27 (37.0%)	<0.001		
Yes	251 (99.2%)	2 (0.8%)	<0.001		

Table S5 Relationship between KRAS and AL (n=3,806)

KRAS	Patient without AL	Patient with AL	P value	
Wild	2,002 (93.8%)	132 (6.2%)	0.794	
Mutant	1,573 (94.1%)	99 (5.9%)	0.764	

AL, anastomotic leakage.