

Prognostic value of the ratio of carcinoembryonic antigen concentration to maximum tumor diameter in patients with stage II colorectal cancer

Xianzhe Li^{1,2#}, Zhizhong Xiong^{1,2#}, Minghao Xie^{1,2#}, Qunsheng Huang³, Longyang Jin¹, Shi Yin^{1,2}, Shuanggang Chen³, Ping Lan^{1,2}, Lei Lian^{1,2}

¹Department of Colorectal Surgery, the Sixth Affiliated Hospital of Sun Yat-sen University, Guangzhou, China; ²Guangdong Institute of Gastroenterology, Guangdong Provincial Key Laboratory of Colorectal and Pelvic Floor Diseases, the Sixth Affiliated Hospital of Sun Yat-sen University, Guangzhou, China; ³Sun Yat-sen University Cancer Center, State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Guangzhou, China

Contributions: (I) Conception and design: X Li, Z Xiong, M Xie; (II) Administrative support: L Lian, P Lan; (III) Provision of study materials or patients: Q Huang; (IV) Collection and assembly of data: L Jin; (V) Data analysis and interpretation: S Yin, S Chen; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

"These authors contributed equally to this work.

Correspondence to: Lei Lian; Ping Lan. Department of Colorectal Surgery, the Sixth Affiliated Hospital of Sun Yat-sen University, 26 Yuancun Er Heng Rd., Guangzhou, China. Email: lianlei2@mail.sysu.edu.cn; lanping@mail.sysu.edu.cn.

Background: Recently, a study from our center indicated that the ratio of preoperative carcinoembryonic antigen (CEA) concentration to maximum tumor diameter (DMAX) may be a prognostic marker for patients with rectal cancer. Therefore, the study aimed to evaluate whether this ratio (CEA/DMAX) has prognostic value for patients with stage II colorectal cancer (CRC).

Methods: A prospectively maintained database was searched for patients with pathologically confirmed stage II CRC who underwent surgery between January 2010 and March 2019. Patients were stratified according to the mean CEA/DMAX value into low and high CEA/DMAX groups. Kaplan-Meier, univariable, and multivariable Cox regression analyses were used to evaluate whether the CEA/DMAX could predict overall survival (OS) and disease-free survival (DFS). Nomograms were constructed in terms of the results of multivariable Cox regression analyses.

Results: The study included 2,499 patients with stage II CRC. The mean CEA/DMAX value was 2.33 (ng/mL per cm). Kaplan-Meier analyses revealed that, relative to the low CEA/DMAX group, the high CEA/DMAX group had significantly poorer OS (67.31% vs. 85.02%, P<0.001) and DFS (61.41% vs. 77.10%, P<0.001). The multivariable Cox regression analysis revealed that CEA/DMAX independently predicted OS (hazard ratio: 2.58, 95% confidence interval: 1.51–4.38, P<0.001) and DFS (hazard ratio: 1.97, 95% confidence interval: 1.38–2.83, P<0.001). Two simple-to-use nomograms comprising CEA/DMAX, age, T stage, and lymphovascular invasion were developed to predict 1-, 3-, and 5-year rates of OS and DFS among patients with stage II CRC. The nomograms had good performance based on the concordance index, receiver operating characteristic (ROC) curve analysis, and calibration curves. Subgroup analyses further confirmed that a high CEA/DMAX was associated with poor OS and DFS among patients with stage II colon cancer and among patients with stage II rectal cancer (both P<0.05).

Conclusions: Among patients with stage II CRC, a high CEA/DMAX independently predicted poor OS and DFS, and the predictive abilities were also observed in subgroup analyses of patients with stage II colon cancer or rectal cancer. Furthermore, we developed two nomograms that had good accuracy for predicting the prognosis of stage II CRC.

Keywords: Carcinoembryonic antigen (CEA); maximum tumor diameter (DMAX); stage II colorectal cancer; prognosis

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Introduction

Colorectal cancer (CRC) is the third most common cancer and a global leading cause of cancer-related mortality (1,2). Stage II CRC involves a local tumor without lymph node metastasis and accounts for approximately 25% of all CRC cases (3,4). Radical surgery is the preferred treatment for stage II CRC; however, approximately 15–25% of patients still develop relapse or death within 5 years after surgery (5). While adjuvant chemotherapy is the standard treatment for stage III CRC after surgery, the benefits of adjuvant chemotherapy for stage II CRC remain controversial (6,7). Thus, the development of novel prognostic markers to predict recurrence or death is important to guide the selection of appropriate treatment for stage II CRC.

Carcinoembryonic antigen (CEA) is a widely used tumor marker (8), and several studies have indicated that high CEA concentrations are associated with an unfavorable prognosis among patients with stage II CRC (9-12). However, the prognostic value of preoperative CEA concentration remains controversial, as some studies have found that it is insufficiently sensitive and accurate when used alone, which has prompted attempts to provide better sensitivity and specificity by combining the CEA concentration with the neutrophil-to-lymphocyte ratio, CD44v6 concentration, or peritoneal carcinomatosis index (11,13,14). The vertical expansion of the primary tumor (T classification) is an important risk stratification factor for patients with stage II CRC, based on the 7th edition of the American Joint Committee on Cancer guidelines (15,16). However, there is controversy regarding the prognostic value of the horizontal tumor expansion, which is often measured as the maximum tumor diameter (DMAX) in cases of CRC (17,18). A previous study has indicated that the ratio of prostate-specific antigen concentration to tumor size was a useful prognostic marker for prostate cancer (19), and a recent report from our center also indicated that the ratio of preoperative CEA concentration to DMAX (CEA/ DMAX) may be a prognostic factor for patients with rectal cancer (20). The CEA/DMAX value reflects the relative CEA secretion per unit of tumor size; thus, a high CEA/ DMAX value may indicate a more aggressive and malignant phenotype. Nevertheless, it remains unclear whether the

CEA/DMAX can predict outcomes among patients with stage II CRC.

Thus, the present study evaluated data from 2,499 patients with stage II CRC, who were stratified into groups with low and high CEA/DMAX values to determine the prognostic value of this marker. We also developed simple-to-use nomograms for predicting outcomes based on the CEA/ DMAX and other common clinicopathological features. Finally, given the location-specific tumorigenesis and development of CRC, we performed subgroup analyses to determine whether the CEA/DMAX could predict outcomes among patients with colon or rectal cancer. We present the following article in accordance with the REMARK reporting checklist. Available at: https://dx.doi. org/10.21037/jgo-21-61.

Methods

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The retrospective study protocol was approved by the Medical Ethics Committee of the Sixth Affiliated Hospital of Sun Yat-sen University (Guangzhou, China; No.: 2021ZSLYEC-006) and informed consent was obtained from all patients for use of their data for research purposes.

Inclusion and exclusion criteria

Patients with primary stage II colorectal adenocarcinoma who underwent radical resection at the Sixth Affiliated Hospital of Sun Yat-sen University between January 2010 and March 2019 were studied. All retrospective data were collected from the database maintained by the Sixth Hospital of Sun Yat-sen University. However, patients were excluded if they: (I) had received preoperative radiotherapy or chemotherapy, (II) more than one primary lesion, or (III) no data regarding preoperative CEA or DMAX values.

Demographic and clinical variables

The patients' records were searched to collect data regarding preoperative clinicodemographic characteristics, which included age at surgery, sex, tumor location and preoperative CEA concentration (ng/mL). The postoperative pathological reports were also reviewed to collect data regarding the DMAX (cm), gross specimen type, T stage (based on the 7th edition of the American Joint Committee on Cancer guidelines), lymphovascular invasion, number of examined lymph nodes, and histological differentiation. Tumor location was classified as involving the colon (cecum to rectosigmoid) or rectum. The cut-off value for CEA concentration was defined as 5.00 ng/mL, based on previous reports (20,21). DMAX was measured by at least two pathologists based on the diameter of the largest cross-section of the tumor. Postoperative follow-up had been scheduled for surveillance every 3 months during the first year after the surgery, every 6 months during the next 2 years, and then annually thereafter. The follow-up time ended in March 2020. Overall survival (OS) was calculated from the first surgical resection to death because of any cause, and disease-free survival (DFS) was calculated from the first surgical resection to the first instance of recurrence, metastasis, or death (22,23).

Univariable and multivariable Cox regression analyses

Univariable and multivariable Cox regression analyses were used to identify factors that were associated with OS and DFS. Differences were considered statistically significant at two-sided P values <0.05, and significant variables from the univariate analyses were subsequently entered into the multivariable Cox regression model (forward stepwise).

Construction and evaluation of the nomograms

The results of the multivariable Cox regression analyses were used to construct two simple-to-use nomograms, including the CEA/DMAX and three other clinicopathological parameters, that could predict the 1-, 3-, and 5-year rates of OS and DFS. The nomograms' performances were evaluated based on the concordance index (C-index), receiver operating characteristic (ROC) curve analysis, and calibration curves. The C-index was defined as the proportion of concordant pairs divided by the total number of possible evaluation pairs (24). The 1-, 3-, and 5-year ROC curves were used to assess the discriminative abilities of the nomograms over different time periods (25). The 1-, 3-, and 5-year calibration curves were used to evaluate whether the nomograms' predictions were consistent with the observed clinical risks (26).

Statistical analysis

Categorical variables were reported as number (percentage) and continuous variables were reported as median (interquartile range, IQR). Categorical variables were compared using the χ^2 test or two-tailed Fisher's exact test. Continuous variables were compared using the independent samples *t*-test or Mann-Whitney U test. Survival curves were estimated using the Kaplan-Meier method and compared using the log-rank test. All analyses were performed using R (version 4.0.1, The R Foundation for Statistical Computing, Vienna, Austria) and the "tableone," "survival," "survinner," "rms," "timeROC," and "regplot" packages.

Results

Patient characteristics

The study included 2,499 patients with primary stage II CRC who underwent radical resection during 2010-2019 (Figure 1), and their clinicodemographic characteristics are shown in Table 1. The median age was 62 years (IQR: 52-70 years) and the cohort included 969 female patients (38.78%). A preoperative CEA concentration of >5.00 ng/mL was identified for 890 patients (35.61%). The tumors were classified as colon cancer (1,632 patients, 65.31%) or rectal cancer (867 patients, 34.69%). The radical surgeries were classified as right colectomy for 668 patients (26.73%), transverse colectomy for 70 patients (2.80%), left colectomy for 217 patients (8.68%), sigmoidectomy for 652 patients (26.09%), low anterior resection for 728 patients (29.13%), abdominoperineal resection for 138 patients (5.52%), and Hartmann's procedure for 26 patients (1.05%).

Postoperative pathological examinations revealed pT3 disease in 2,280 patients (91.24%) and pT4 disease in 219 patients (8.76%). Lymphovascular invasion was identified in 124 patients (4.96%). The average CEA/DMAX value was 2.33 (ng/mL per cm), which was used to stratify the patients into a low CEA/DMAX group (\leq 2.33, 1,985 patients) and a high CEA/DMAX group (\geq 2.33, 514 patients). Relative to the low CEA/DMAX group (\geq 2.33, 514 patients). Relative to the low CEA/DMAX group, the high CEA/DMAX group was significantly older [median age: 63 years (IQR: 55–72 years) *vs.* 61 years (IQR: 51–69 years), P<0.001], had a significantly smaller median DMAX [4.00 cm (IQR: 3.00–5.00 cm) *vs.* 4.40 cm (IQR: 3.40–6.00 cm), P<0.001], and had significantly higher proportions of female sex (45.72% *vs.* 36.98%, P<0.001), CEA concentration



Figure 1 Study flowchart. CEA, carcinoembryonic antigen concentration; DMAX, maximum tumor diameter; OS, overall survival; DFS, disease-free survival.

of >5.00 ng/mL (98.05% vs. 19.45%, P<0.001), and pT4 disease (13.23% vs. 7.61%, P<0.001) (*Table 1*).

Kaplan-Meier analyses of OS and DFS

The Kaplan-Meier curves revealed that the high CEA/ DMAX group had significantly poorer OS (67.31% vs. 85.02%, P<0.001) (*Figure 2A*) and significantly poorer DFS (61.41% vs. 77.10%, P<0.001) (*Figure 2B*).

Univariate and multivariable Cox regression analyses of OS and DFS

The univariate analyses (*Table 2*) revealed that OS and DFS were significantly associated with age, T stage, lymphovascular invasion, CEA concentration, and CEA/DMAX (all P<0.05). These factors were entered into the multivariable Cox regression analysis, which confirmed that OS was independently associated with CEA/DMAX [hazard ratio (HR): 2.58, 95% confidence interval (CI): 1.51–4.38, P<0.001], age (HR: 1.07, 95% CI: 1.06–1.09, P<0.001), T stage (HR: 3.04, 95% CI: 2.00–4.63, P<0.001), and lymphovascular invasion (HR: 1.81, 95% CI: 1.02–3.20, P=0.044) (*Table 3*). However, lymphovascular invasion was not independently associated with DFS, although DFS

was independently associated with age (HR: 1.03, 95% CI: 1.02–1.04, P<0.001), T stage (HR: 2.53, 95% CI: 1.88–3.40, P<0.001), and CEA/DMAX (HR: 1.97, 95% CI: 1.38–2.83, P<0.001) (*Table 3*).

Construction and evaluation of the nomogram

Based on the results of the multivariable Cox regression analysis, the CEA/DMAX and three other clinicopathological features (age, T stage and lymphovascular invasion) were used to develop nomograms for predicting OS and DFS outcomes (Figure 3). These easy-to-use tools might allow clinicians to calculate total scores based on those four parameters, which could then be used to predict the 1-, 3-, and 5-year rates of OS and DFS. The nomogram for OS had a C-index value of 0.774 and area under the curve (AUC) values of 0.781 for 1-year OS, 0.802 for 3-year OS, and 0.814 for 5-year OS (Figure 3B). Furthermore, the calibration curves revealed that the predicted 1-, 3-, and 5-year outcomes fit well with the reference lines (Figure 3C-E). Thus, the nomogram for OS was judged to have good predictive and discriminative abilities. The nomogram for DFS had a C-index value of 0.651 and AUC values of 0.709 for 1-year DFS, 0.704 for 3-year DFS, and 0.644 for 5-year DFS (Figure 3G). Moreover, the calibration curves revealed that the predicted

Table 1 Characteristics of patients with stage II colorectal cancer according to CEA/DMAX

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Characteristics	All (n=2,499)	Low CEA/DMAX (n=1,985)	High CEA/DMAX (n=514)	Р
Age, years	62 [52–70]	61 [51–69]	63 [55–72]	<0.001*
Sex				<0.001*
Male	1,530 (61.22)	1,251 (63.02)	279 (54.28)	
Female	969 (38.78)	734 (36.98)	235 (45.72)	
CEA, ng/mL				<0.001*
≤5.00	1,609 (64.39)	1,599 (80.55)	10 (1.95)	
>5.00	890 (35.61)	386 (19.45)	504 (98.05)	
Location				0.136
Colon	1,632 (65.31)	1,282 (64.66)	350 (68.09)	
Rectum	867 (34.69)	703 (35.34)	164 (31.91)	
T stage				<0.001*
pT3	2,280 (91.24)	1,834 (92.39)	446 (86.77)	
pT4	219 (8.76)	151 (7.61)	68 (13.23)	
Lymphovascular invasion				0.171
Negative	2,375 (95.04)	1,893 (95.37)	482 (93.77)	
Positive	124 (4.96)	92 (4.63)	32 (6.23)	
Number of examined lymph nodes				0.374
<12	129 (5.16)	98 (4.94)	31 (6.03)	
≥12	2,370 (94.84)	1,887 (95.06)	483 (93.97)	
DMAX, cm	4.00 (3.00–6.00)	4.40 (3.40–6.00)	4.00 (3.00–5.00)	<0.001*
Differentiation				0.606
Well	581 (23.25)	457 (23.02)	124 (24.12)	
Moderate	1,701 (68.07)	1,354 (68.21)	347 (67.51)	
Poor	52 (2.08)	38 (1.91)	14 (2.72)	
Undifferentiated	16 (0.64)	14 (0.71)	2 (0.39)	
Unknown	149 (5.96)	122 (6.16)	27 (5.25)	
Gross specimen type				0.449
Expansive	823 (32.93)	642 (32.34)	180 (35.20)	
Infiltrative	54 (2.16)	44 (2.22)	10 (1.95)	
Ulcerative	1,622 (64.91)	1,299 (65.44)	323 (62.84)	

*, P<0.05. Data are expressed as n (%) or median (inter-quartile range). pT, pathological T stage; CEA, carcinoembryonic antigen; DMAX, maximum tumor diameter.

1-, 3-, and 5-year outcomes fit well with the reference lines (*Figure 3H–f*). Thus, although the DFS nomogram was not as accurate as the OS nomogram, it still had reasonable predictive value.

Subgroup analyses of patients with stage II colon or rectal cancer

Several studies have indicated that colon cancer and rectal cancer have cc (27,28). Thus, we performed subgroup



Figure 2 Kaplan-Meier curves and risk tables for overall survival (OS) and disease-free survival (DFS) among patients with stage II colorectal cancer. The high CEA/DMAX ratio group had significantly poorer OS (67.31% *vs.* 85.02%, P<0.001) (A) and DFS (61.41% *vs.* 77.10%, P<0.001) (B) than the low CEA/DMAX ratio group. CEA, carcinoembryonic antigen concentration; DMAX, maximum tumor diameter.

Table 2 Univariable Cox regression analyses of overall survival (OS) and disease-free survival (DFS)

Characteristics	OS		DFS	
Characteristics	HR (95% CI)	Р	HR (95% CI)	Р
Age	1.07 (1.06–1.09)	<0.001*	1.03 (1.02–1.04)	<0.001*
Sex (ref = male)	0.94 (0.67–1.32)	0.708	0.93 (0.74–1.18)	0.555
Location (ref = colon)	1.07 (0.76–1.49)	0.701	0.96 (0.76–1.22)	0.747
T stage (ref = pT3)	2.75 (1.82–4.16)	<0.001*	2.63 (1.96–3.51)	<0.001*
Lymphovascular invasion (ref = negative)	2.29 (1.29–4.05)	0.004*	1.76 (1.14–2.71)	0.011*
Numbers of lymph nodes examined (ref = <12)	0.71 (0.37–1.35)	0.298	0.70 (0.45–1.09)	0.121
DMAX	1.04 (0.97–1.12)	0.250	1.01 (0.95–1.06)	0.840
Differentiation (ref = well)	1.22 (0.80–1.86)	0.599	1.00 (0.76–1.32)	0.975
Gross specimen type (ref = expansive)	1.31 (0.41–4.25)	0.649	1.29 (0.60–2.79)	0.512
CEA (ref = ≤5.00 ng/mL)	1.73 (1.24–2.41)	0.001*	1.61 (1.29–2.03)	<0.001*
CEA/DMAX (ref = low)	2.43 (1.70–3.47)	<0.001*	1.97 (1.38–2.83)	<0.001*

*, P<0.05. HR, hazard ratio; CI, confidence interval; pT, pathological T stage; CEA, carcinoembryonic antigen; DMAX, maximum tumor diameter; ref, reference.

analyses to determine whether the CEA/DMAX had prognostic value among patients with colon or rectal cancer. The results revealed that a high CEA/DMAX was associated with significantly poorer OS (P<0.01) and DFS (P<0.001) among patients with stage II colon cancer and stage II rectal cancer (*Figure 4*). Thus, the CEA/DMAX seems useful for different anatomic locations of stage II CRC.

Discussion

Some patients with stage II CRC still have a high risk of recurrence or death after radical surgical treatment

Table 3 Multivariable	Cox regression analys	ses of overall survival ((OS) and	l disease-free survival	(DFS)
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Obernathanistica	OS		DFS	
- Characteristics	HR (95% CI)	P	HR (95% CI)	Р
Age	1.07 (1.06–1.09)	<0.001*	1.03 (1.02–1.04)	<0.001*
T stage (ref = pT3)	3.04 (2.00-4.63)	<0.001*	2.53 (1.88–3.40)	<0.001*
Lymphovascular invasion (ref = negative)	1.81 (1.02–3.20)	0.044*	1.43 (0.92–2.21)	0.110
CEA (ref = ≤5.00 ng/mL)	0.78 (0.48–1.29)	0.335	0.94 (0.67–1.31)	0.711
CEA/DMAX (ref = low)	2.58 (1.51–4.38)	<0.001*	1.97 (1.38–2.83)	<0.001*

*, P<0.05. HR, hazard ratio; CI, confidence interval; pT, pathological T stage; CEA, carcinoembryonic antigen; DMAX, maximum tumor diameter; ref: reference.

(29-31), and the National Comprehensive Cancer Network guidelines recommended adjuvant chemotherapy for patients with related risk factors (15,32). However, these factors do not include the CEA/DMAX and the prognostic value of the CEA/DMAX has not been previously studied among patients with stage II CRC. The present study evaluated the prognostic value of the CEA/DMAX in a cohort of 2,499 patients with stage II CRC and revealed that patients with a high CEA/DMAX value (≥2.33) also had high likelihoods of having various unfavorable features, such as older age, higher CEA concentration, and pT4 stage. In this context, previous studies have indicated that older age and pT4 stage are associated with poor outcomes among patients with stage II CRC (24,33,34). In addition, the present study revealed that the CEA/DMAX was independently associated with OS (HR: 2.58, 95% CI: 1.51-4.38, P<0.001) and DFS outcomes (HR: 1.97, 95% CI: 1.38-2.83, P<0.001).

International guidelines recommend diagnosing CRC and monitoring post-treatment outcomes based on the concentration of CEA, which is mainly secreted by solid tumors (15,35,36). Previous studies have also indicated that CEA concentrations can independently predict survival (37-39), but we did not observe significantly associations between CEA concentrations and OS or DFS outcomes. Similarly, other studies have indicated that CEA concentration is not a sufficiently sensitive prognostic marker for CRC, as it can be influenced by various confounding factors, including DMAX (11,20,40). Interestingly, DMAX may also be a prognostic factor that can help identify patients who might benefit from additional postoperative therapy (18,41). For example, Takahashi et al. (42) were the first to report that a DMAX of <4.0 cm (vs. \geq 4.0 cm) was associated with a significantly increased risk of recurrence, and several

subsequent studies have also indicated that a small DMAX is associated with an unfavorable prognosis in cases of stage II CRC (17,43). The present study did not detect significant relationships between DMAX alone and survival outcomes among patients with stage II CRC, although the CEA/ DMAX was an independently predictor of OS and DFS in the multivariable analyses. Thus, considering DMAX and CEA concentration together may be a useful strategy for predicting outcomes among patients with stage II CRC. Previous studies have also indicated that CEA concentrations may be correlated with DMAX, regardless of tumor stage (44-46). Thus, the CEA/DMAX may be superior to CEA concentration alone, as a higher CEA/DMAX value would reflect relatively greater CEA secretion per unit of tumor size, which would indicate a more aggressive and malignant phenotype that could explain the poor outcomes among patients with high CEA/DMAX values.

A nomogram is developed using multiple predictive factors from a complex regression equation, which are transformed into visualized graphs to facilitate simple and can rapid patient evaluation in clinical practice (24). In this study, we developed two nomograms incorporated CEA/DMAX, age, T stage, and lymphovascular invasion to predict 1-, 3-, and 5-year rates of OS and DFS among patients with stage II CRC. The results for the C-index, ROC curve analysis, and calibration curves revealed that both nomograms had good predictive and discriminative abilities, which might make them clinically useful for predicting outcomes among patients with stage II CRC.

The biological characteristics and clinical outcomes of colon cancer and rectal cancer are clearly different (27). Thus, we performed subgroup analyses to explore the prognostic value of the CEA/DMAX among patients with stage II colon cancer or rectal cancer. The results revealed



Figure 3 Construction and evaluation of the nomograms. Two nomograms were created based on four clinicopathological features that predicted 1-, 3-, and 5-year rates of overall survival (OS) and disease-free survival (DFS) among patients with stage II colorectal cancer (A,F). Receiver operating characteristic curve analysis was used to evaluate the nomograms' abilities to predict the different OS and DFS rates (B,G). Calibration curves (red solid curves) were created to evaluate consistency between the actual outcomes and nomograms' predictions of 1-, 3-, and 5-year OS and DFS rates (C-E,H-J) when compared with reference line (black dashed line). AUC, area under the curve; CEA, carcinoembryonic antigen concentration; DMAX, maximum tumor diameter.



Figure 4 Evaluation of the prognostic value of CEA/DMAX in stage II colon or rectal cancers. Kaplan-Meier curves and risk tables for overall survival (OS) (A) and disease-free survival (DFS) (B) among patients with colon cancer. Kaplan-Meier curves and risk tables for OS (C) and DFS (D) among patients with rectal cancer. CEA, carcinoembryonic antigen concentration; DMAX, maximum tumor diameter.

that high CEA/DMAX values were associated with poor OS (P<0.01) and DFS (P<0.001), regardless of anatomical location.

This study has two important limitations. First, the single-center retrospective design is prone to various sources of bias. Second, some patients had relatively short follow-up times, which might have influenced the accuracy of our findings. Therefore, large multi-center prospective studies with longer follow-up are needed to validate our findings.

CEA/DMAX values, patients with high CEA/DMAX values had significantly poorer outcomes. We developed nomograms based on the CEA/DMAX, age, T stage, and lymphovascular invasion, which had good predictive and discriminative abilities and might be useful for identifying patients with a high risk of postoperative recurrence or death who might benefit from some type of adjuvant therapy. Furthermore, we observed that the prognostic value of the CEA/DMAX was not influenced by the tumor's anatomical location.

Conclusions

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In conclusion, relative to stage II CRC patients with low

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Footnote

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